

**THE DESIGN AND EVALUATION OF
"SHORT-SIGHTED" STOCHASTIC OPTIMAL CONTROLLERS**

by

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of the requirements for the degree of

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ABSTRACT

The quality of control provided by what is termed "short-sighted" stochastic optimal controllers controlling a linear heating system subjected to a random disturbance is evaluated by computer simulation and by experimentation.

The control laws evaluated respectively minimize the cost functions

$$I_3 = \hat{y}_{t+k/t}^2 + \lambda(u_t - u_{t-1})^2$$

(Control law 3)

and

$$I_2 = \hat{y}_{t+k/t}^2 + \lambda u_t^2$$

(Control law 2)

and are called "short-sighted" since they do not take into account the effect that the present control action u_t will have on future outputs at lead times greater than the process time delay k .

Since control law 2 does not allow the mean value of control input u to drift, it was expected that it would be unable to control the system subjected to a non-stationary disturbance. Since control law 3 allows the mean value of control input u to drift, it was expected that it would be more appropriate to use in this case.

It was found that control law 3 provides a better quality of control than control law 2 when the disturbance is non-stationary and modelled as such provided that the process and disturbance models are very accurate. A stability analysis, simulation and experiment show that model inaccuracies result in a serious degradation of control quality. It was also found that control law 2 is inappropriate to use since the inability of the mean value of control input u to drift results in large mean errors.

When the disturbance acting on the system is stationary and modelled as such, minimizing I_2 results in a marginally better quality of control than obtained by minimizing I_3 . However, a process model inaccuracy may result in an output offset error since a controller based on the minimization of I_2 does not have integral action. Using a controller based on the minimization of I_3 is advantageous in this case since the integral action of this controller cancels the offset.

For the situation where the disturbance acting on the system at a given time is stationary but may become non-stationary after a period of time, using I_3 and a stationary disturbance model results in a controller which provides the best overall control quality for the system evaluated. Sensitivity to model inaccuracies is less of a problem in this case.

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CHAPTER 1

INTRODUCTION

Stochastic control theory finds application in the design of controllers of systems subjected to large random disturbances. This dissertation evaluates by computer simulation as well as by experimentation what has been termed [2] "short-sighted" stochastic optimal control laws controlling a linear system subjected to respectively a stationary and a non-stationary disturbance.

Chapter 2 provides a brief background to the subject and describes the application of stochastic control in industry and various stochastic control strategies, including the "short-sighted" control laws. The scope and objectives of this dissertation are defined in more detail in this chapter.

Chapter 3 contains the theoretical analyses used to derive the respective control laws and to obtain an insight into the sensitivity and stability aspects. The process and disturbance parameters are defined and the process and disturbance models are derived from first principles.

Chapter 4 describes the design of the controller including hardware and software aspects.

The results obtained by simulation are presented in Chapter 5. Extensive use is made of diagrams and tables to portray the results obtained. A summary of the results obtained is given at the end of the chapter.

The results obtained by experimentation are presented in Chapter 6. Here also extensive use is made of diagrams and tables to portray the results obtained and a summary of the results obtained is given at the end of the chapter.

In Chapter 7 the results obtained by experimentation are critically compared to the results obtained by simulation with reference to the theoretical analyses. This is followed by a presentation of the final conclusions. Finally, suggestions are made for further work.

CHAPTER 2

BACKGROUND

2.0 WHY STOCHASTIC CONTROL?

When the magnitude of a random disturbance acting on a system is such that the system output becomes unacceptable i.t.o quality or performance, due consideration has to be taken of the disturbance in the design of the controller.

Using deterministic control theory to design a controller which effectively counters the effect of such a disturbance acting on a system poses a problem since it requires that the disturbance be postulated as an analytic function which is known a priori. This is usually not possible.

Stochastic control theory provides the necessary tools for the design of a controller of a system subjected to a random disturbance. Firstly, the disturbance is modelled as a stochastic process, i.e. as a sequence of random variables. Secondly, the controller is designed to consist of two parts, namely:

- a. an optimal predictor which predicts the effect of the disturbance on the system output minimizing the prediction error, and
- b. a controller which computes the control signal to make the predicted output equal to the desired value minimizing a given cost function.

The ability of a stochastic controller to predict the probable future value of the system output based on historical system input and output data and to drive the system in such a way as to minimize the probable future output error minimizing a given cost function, makes it a strong candidate for solving this kind of problem.

In addition, realising the prediction and control algorithm by means of a recursive calculation, makes real-time prediction and control by means of a digital computer a practical proposition.

2.1 APPLICATION IN INDUSTRY

The conventional PID controller has proved itself useful in feedback control applications. However, using it to control a process subjected to a large random disturbance may require a long parameter tuning time with subsequent production losses. Also, the PID controller is not able to adapt to a change in the statistical characteristics of the disturbance as is the case when the disturbance is non-stationary.

In such cases, the design and implementation of a stochastic controller may be profitable. The fact is, however, that stochastic control theory has found little application in the solution of process control problems in industry thus far. The following may be possible reasons for this:

- a. Control engineers prefer to use the proven 3-term controller rather than to experiment with a novel and sophisticated control algorithm.
- b. A stochastic control law must be implemented on a freely programmable process control computer. Previous generation process control systems were configured with dedicated hardware function blocks and were not freely programmable.

° Astrom [4] describes a stochastic control system which was designed by the IBM Nordic Laboratory in Stockholm and installed and commissioned in the Billerud Kraft Paper Mill at Grävön, Sweden, in 1965. The system controls paper basis weight which is an important quality variable of kraft paper. The system is subjected to stationary disturbances.

Before the stochastic controller replaced the previous controller, the basis weight fluctuations had a standard deviation of $1,3 \text{ g/m}^2$. After installation of the stochastic controller, consistent standard deviations of $0,5 \text{ g/m}^2$ wet basis weight and $0,3 \text{ g/m}^2$ dry basis weight were achieved, thus a significant improvement in control quality. The controller was designed to minimize the variance of the output.

The acceptance criterion of kraft paper is based on the requirement that the quality variable of a representative sample is within the test limits with a specified probability. In order to compensate for fluctuations in quality during production, the set point for the basis weight controllers was previously set well above the lower test limit. However, in significantly reducing the variance of the output, it was possible to move the set point closer to the acceptance limit without changing the probability of acceptance. In doing so, the raw material was used more economically and the production was increased resulting in a capital gain.

A more recent documented application is the viscosity control of an industrial polymerisation process as described by MacGregor et al [2] (1976). Viscosity control of polymers is of importance in the manufacture of condensation polymers.

An identification study performed identified the process model as non-minimum phase and the disturbance model as non-stationary. The presence of a non-stationary disturbance necessitated minimizing the following cost function which results in a sophistication of the standard minimum variance control law used in the application discussed previously:

$$I = E \{y_{t+k}^2 + \lambda(u_t - u_{t-1})^2\} \quad (2.1.1)$$

where E is the expectation operand,

y_t is the system output at sampling moment t,

u_t is the system input at sampling moment t,

k is the system time delay, and

λ is a control weighting.

The objective in using this cost function is explained in paragraph 2.2.

The implementation of the stochastic control law resulted in a substantial improvement in viscosity control.

In conclusion then can be said that stochastic controllers have been successfully implemented in industry although on a small scale. However, with the trend of distributed microprocessor-based process control systems becoming more common on process control equipment markets, the ability to implement stochastic control laws more easily as a result of the free programmability of these controllers, may result in a wider application of stochastic control theory.

2.2 VARIOUS STOCHASTIC CONTROL STRATEGIES

The standard stochastic control law is the so-called minimum variance control law which minimizes the cost function.

$$I = E \{y_t^2\} \quad (2.2.1)$$

This control law minimizes the variance of the system output and allows the system to be operated closer to the set point as explained in paragraph 2.1 with reference to the design by the IBM Nordic Laboratory.

However, as Clarke et al ^[1] point out, the minimum variance design has the following practical difficulties:

- a. As such it cannot stably control a nonminimum - phase system (however, by modifying it to become a suboptimal control law, the problem may be avoided).
- b. Since no constraint is placed on the variance of the control input, saturation of the control transducer may occur.
- c. The controller does not have a tunable parameter which can be tuned if the controlled process has an unsatisfactory performance.

Clarke et al ^[1] proposed in 1971 a design to avoid the above difficulties. They proposed minimizing the cost function

$$I = E \{y_{t+k}^2 + \lambda u_t^2\} \quad (2.2.2)$$

where λ is an adjustable weighting factor which places a cost on the variance of control input u . They derived control laws for actual systems minimizing what they thought was the above cost function and obtained the following results:

- a. No stability problems occurred in controlling nonminimum-phase systems.
- b. By placing a weight on the variance of the control input, a significant reduction in the variance of the control input with only a small increase in the variance of the system output could be obtained.

- c. The design had the flexibility to deal with cases where the closed - loop performance became unsatisfactory because of parameter drift or other causes.

However, in 1977 MacGregor et al [2] showed that Clarke et al erroneously dropped the expectation operand in their manipulations and in fact minimized the related but different cost function

$$I = \hat{y}_{t+k/t}^2 + \lambda u_t^2 \quad (2.2.3)$$

where $\hat{y}_{t+k/t}$ is the optimal k-step ahead predicted value of the system output y as predicted at time t.

MacGregor et al stated that minimizing the above cost function would appear to be sensible in that it selects the control action u_t which, at each instant of time t, attempts to drive the k-step-ahead forecast of the output deviation to zero, subject to a constraint on the magnitude of the present control action. They called it a "short-sighted" or "instantaneous action" stochastic optimal control action since it does not take into account the effect that the present control action u_t will have on future outputs at lead times greater than the process time delay k.

The cost function proposed by Clarke et al can be minimized using either of the following two methods [2]:

- a. The discrete Wiener-Hopf equation which can be solved by a method of factorisation of discrete covariance generating functions. (This procedure is straightforward for single-input/single-output systems but becomes much more difficult for multivariable systems).

- b. Transforming the discrete system transfer-function model into a state-variable form and minimizing the cost function by solving a Riccati equation.

MacGregor et al showed that minimizing the cost function proposed by Clarke et al does indeed provide a more optimal control than obtained by minimizing the cost function in equation (2.2.3). In the special case when the cost factor is zero, the two algorithms coincide.

In addition, MacGregor et al recognized that stochastic controllers are of greatest importance for controlling systems subjected to drifting or non-stationary disturbances. However, in order to deal with non-stationary disturbances, the control input must be allowed to drift i.e have "infinite" variance (limited of course between saturation boundaries). Controllers which respectively minimize the cost functions of equations (2.2.2) and (2.2.3) can therefore not stabilise the output of a system subjected to a non-stationary disturbance.

For this reason MacGregor et al proposed minimizing more appropriate cost functions such as

$$I = E \{ y_{t+k}^2 + \lambda (u_t - u_{t-1})^2 \} \quad (2.2.4)$$

and

$$I = \hat{y}_{t+k/t}^2 + \lambda (u_t - u_{t-1})^2 \quad (2.2.5)$$

which allows the control input to drift.

The controllers have integral action as a result of a pole on the unit circle in the disturbance model and therefore also have the additional feature of being able to cancel offset due to set point changes or load step changes.

Cost function equation (2.2.4) is again minimized by the method of factorisation of a discrete Wiener-Hopf equation with slight modification or by solving an appropriate Riccati equation. This cost function was used by MacGregor et al to solve the industrial control problem described in paragraph 2.1.

Cost function equation (2.2.5) is easily used by applying the method of Clarke et al.

2.3 SCOPE OF THIS DISSERTATION

This dissertation evaluates by simulation and experimentation the so-called "short-sighted" or instantaneous action stochastic optimal control laws as described in paragraph 2.2. Three objectives were set as described in the following paragraphs.

The first objective was to evaluate the performance of a controller of a minimum-phase system subjected to a non-stationary disturbance which minimizes the cost function

$$I = \hat{y}_{t+k/t}^2 + \lambda(u_t - u_{t-1})^2 \quad (2.3.1)$$

and to compare it to the performance of a controller which minimizes the cost function

$$I = \hat{y}_{t+k/t}^2 + \lambda u_t^2 \quad (2.3.2)$$

in order to determine whether using cost function equation (2.3.1) does provide a better quality of control than does using cost function equation (2.3.2) for non-stationary disturbances as stated by MacGregor et al.

The second objective was to evaluate which of the above two controllers provides the best quality of control if the disturbance is stationary and modelled as such.

The third objective was to evaluate for the situation in which it is not known a priori whether the disturbance is stationary or non-stationary, which combination of control law and disturbance model provides the best quality of control when the actual disturbance is stationary while the disturbance model is non-stationary and vice versa.

It was not within the scope of this dissertation to evaluate controllers based on the minimization of cost functions

$$I = E \{ y_{t+k}^2 + \lambda u_t^2 \} \quad (2.3.3)$$

and

$$I = E \{ y_{t+k}^2 + \lambda (u_t - u_{t-1})^2 \} \quad (2.3.4)$$

CHAPTER 3

THEORY

3.0 SYSTEM DEFINITION

A functional block diagram of the system is shown in Figure 3.0.1.

The sampled data temperature controller controls the power supply to the electric heating element of the hot water cylinder and attempts to maintain the measured temperature equal to the reference temperature.

The temperature is disturbed in a random manner by the disturbance generator which controls the outflow of warm water in a random manner. A level controller maintains a constant mass inventory in the cylinder by controlling the inflow of cold water.

The disturbance flow rate at any specific time is defined as the difference between the actual flow rate at the time and the nominal flow rate.

The values of the system parameters are specified in Table 3.0.1.

The mathematical models of the process and the disturbances are derived from first principles in paragraph 3.1.

In paragraph 3.2 the "instantaneous action" optimal control laws based on the minimization of the cost functions as described in paragraph 2.3 are derived. The minimum variance control law is derived as well but is shown to be a special case of one of the other two control laws. The description of the general procedure for the derivation of the control laws in paragraph 3.2.2 are followed by the derivation of the general forms of the control laws in paragraph 3.2.3 and the derivation of the specific forms of the control laws incorporating the process and disturbance models in paragraphs 3.2.4 and 3.2.5.

Table 3.0.1 Specifications of System Parameters

PARAMETER	SYMBOL	VALUE/RANGE
Specific heat capacity of water	H	4190 J/kg. K
Mass of water inventory	M	10 kg
Heater element power	Q	$0W < Q < 2700W$
Thermal resistance of cylinder wall	R	0,5 K/W
Measured temperature	T	$0^{\circ}C < T < 100^{\circ}C$
Ambient temperature	T_e	18 °C
Cold Water temperature	T_i	16 °C
Reference temperature	T_{ref}	50 °C
Nominal disturbance flow rate	V	0,011 kg/s
Maximum disturbance flow rate	V_{max}	0,021 kg/s

In paragraph 3.3 the stability of the system subjected to respectively a stationary and a non-stationary disturbance using the respective control laws is analysed mathematically.

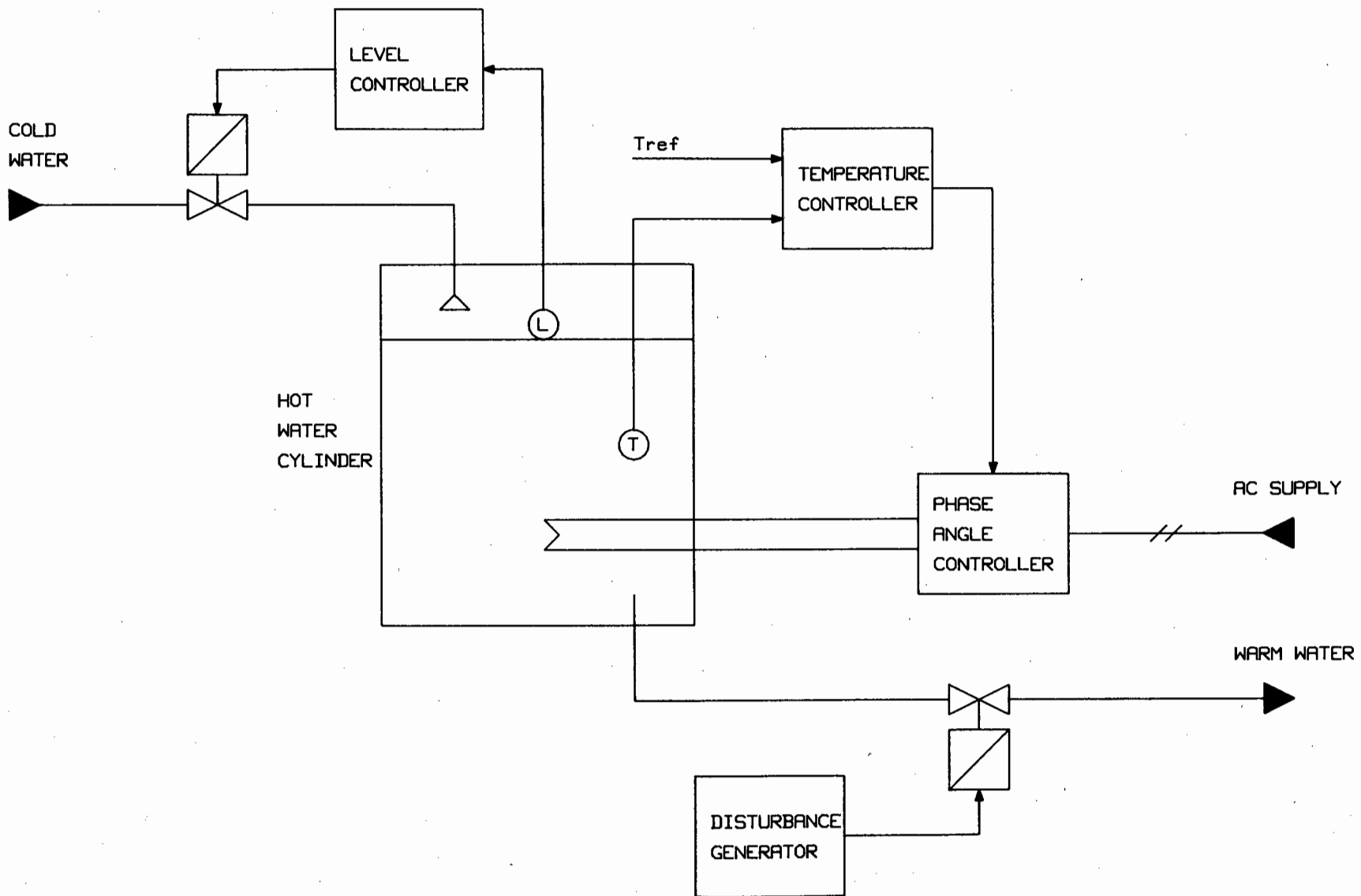


Figure 3.0.1 System Functional Block Diagram

3.1 PROCESS AND DISTURBANCE MODELS

The process and disturbance models are derived from first principles.

The derivation is based on the principle of conservation of energy:

$$Q = Q_c + Q_o - Q_i + Q_a \quad (3.1.1)$$

where:

Q = input heat from the heating element,

Q_c = heat stored in water mass,

Q_o = outflowing water heat loss,

Q_i = inflowing water heat input and

Q_a = heat loss to the ambient atmosphere

Writing equation (3.1.1) in differential form and using the symbols as defined in Table 3.0.1 gives:

$$Q = MH \frac{dT}{dt} + VHT - VHT_i + (T - T_e)/R \quad (3.1.2)$$

Writing equation (3.1.2) in an incremental form gives:

$$\Delta Q = MH \frac{d\Delta T}{dt} + (VH + 1/R) \Delta T + H(T - T_i) \Delta V - VH \Delta T_i - \Delta T_e/R \quad (3.1.3)$$

Taking the Laplace - transform of the equation and equating $\Delta T(o_+)$ to zero gives:

$$\Delta T(s) = \frac{1}{MHs + VH + 1/R} \cdot [\Delta Q(s) - H(T - T_i) \Delta V(s) + VH \Delta T_i(s) + \Delta T_e(s)/R] \quad (3.1.4)$$

with:

control input $\Delta Q(s)$,

output $\Delta T(s)$ and

disturbance inputs $\Delta V(s)$, $\Delta T_i(s)$ and $\Delta T_e(s)$.

For $\Delta V(s)$ a large forcing disturbance and $\Delta T_i(s)$ and $\Delta T_e(s)$ negligible, equation (3.1.4) approximates to:

$$\Delta T(s) = \frac{1}{MHs + VH + 1/R} \cdot [\Delta Q(s) - H(T - T_i) \Delta V(s)]$$

(3.1.5)

Allowing for a dead time delay of kT_s seconds where:

k is a natural number and

T_s is the sampling period in seconds

gives:

$$\Delta T(s) = \frac{1}{MHs + VH + 1/R} \cdot \exp(-skT_s) \cdot \Delta Q(s) - \frac{H(T - T_i)}{MHs + VH + 1/R} \cdot \Delta V(s)$$

(3.1.6)

Inserting a zero-order sample and hold circuit with sampling period T_s and transfer function

$$[1 - \exp(-sT_s)]/s$$

at the input gives:

$$\Delta T(s) = \frac{1}{MHs + VH + 1/R} \cdot \frac{1 - \exp(-sT_s)}{s} \cdot \exp(-skT_s) \cdot \Delta Q(s) - \frac{H(\tau - \tau_i)}{MHs + VH + 1/R} \cdot \Delta V(s) \quad (3.1.7)$$

Define in order to simplify the expression:

$$\text{constant } K_1 = \frac{1}{VH + 1/R} \quad , \quad (3.1.8)$$

$$\text{constant } K_2 = \frac{\tau - \tau_i}{M} \quad , \quad (3.1.9)$$

$$\text{process time constant } T_f = \frac{MH}{VH + 1/R} \quad , \quad (3.1.10)$$

$$\text{disturbance time constant } T_n = \frac{MH}{VH + 1/R} \quad , \quad (3.1.11)$$

$$\text{input } u(t) = \Delta Q(t) \quad (3.1.12)$$

where $\Delta Q(t)$ is the difference between the actual heat supplied by the heating element and the nominal heat required to maintain the temperature error equal to zero with the disturbance flow rate equal to the nominal value V ,

$$\text{output } y(t) = \Delta T(t) \quad (3.1.13)$$

where $\Delta T(t)$ is the temperature error and

$$\xi(t) = K_2 \Delta V(t) \quad (3.1.14)$$

where:

$\xi(t)$ is a continuous stationary random variable with zero mean and $\Delta V(t)$ is the difference between the actual disturbance flow rate at time t and the nominal disturbance flow rate V .

The relationship of the foregoing terms is shown in Figure 3.1.1 for the system subjected to a continuous stationary uncorrelated random disturbance with zero mean.

A non-stationary disturbance is generated by integrating the stationary random disturbance with zero mean with time. A proof is presented in Annexure 1 to Appendix A.

Figure 3.1.2 shows the continuous time presentation of the system subjected to a non-stationary disturbance.

The discrete time presentation of the system subjected to a stationary random disturbance with zero mean is:

$$y_t = \frac{K_1 [1 - \exp(-T_s/T_f)] z^{-(k+1)}}{1 - \exp(-T_s/T_f) z^{-1}} \cdot u_t + \frac{1}{1 - \exp(-T_s/T_n) z^{-1}} \cdot \xi_t \quad (3.1.15)$$

(The derivation of the z-transforms is shown in Annexure 2 to Appendix A).

with the symbols as defined before and:

discrete control input sequence $u_t = \Delta Q_t$,

discrete output sequence $y_t = \Delta T_t$ and

discrete stationary uncorrelated random sequence with zero mean

$$\xi_t = K_2 T_s \Delta V_t \quad (3.1.16)$$

The stationary disturbance sequence e_t which adds to the output is the filtered output of the disturbance model transfer function driven by the stationary ξ_t .

Figure 3.1.3 shows the discrete time presentation of the system subjected to a stationary disturbance.

The discrete time presentation of the system subjected to a non-stationary disturbance is:

$$y_t = \frac{K_1 [1 - \exp(-T_s/T_p)] z^{-(k+1)}}{1 - \exp(-T_s/T_p) z^{-1}} \cdot u_t + \frac{1}{1 - z^{-1}} \cdot \frac{1}{1 - \exp(-T_s/T_n) z^{-1}} \cdot \xi_t \quad (3.1.17)$$

with the symbols as defined before.

The non-stationary disturbance sequence e_t which adds to the output is the filtered output of the disturbance model transfer function driven by the non-stationary

$$\frac{1}{1 - z^{-1}} \cdot \xi_t$$

Figure 3.1.4 shows the discrete time presentation of the system subjected to a non-stationary disturbance.

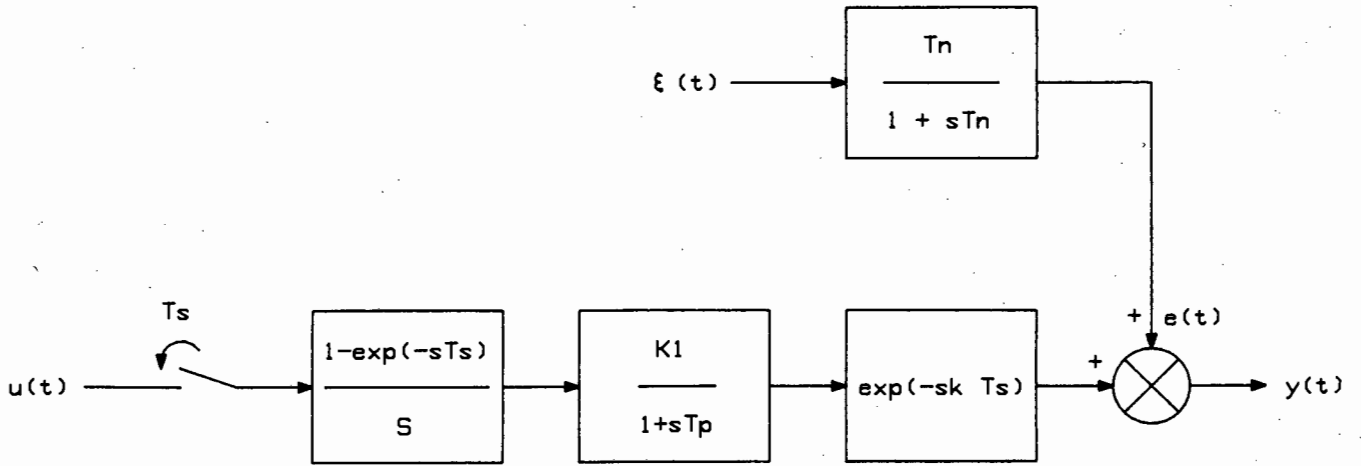


Figure 3.1.1 Continuous-time Presentation of the System Subjected to a Stationary Disturbance

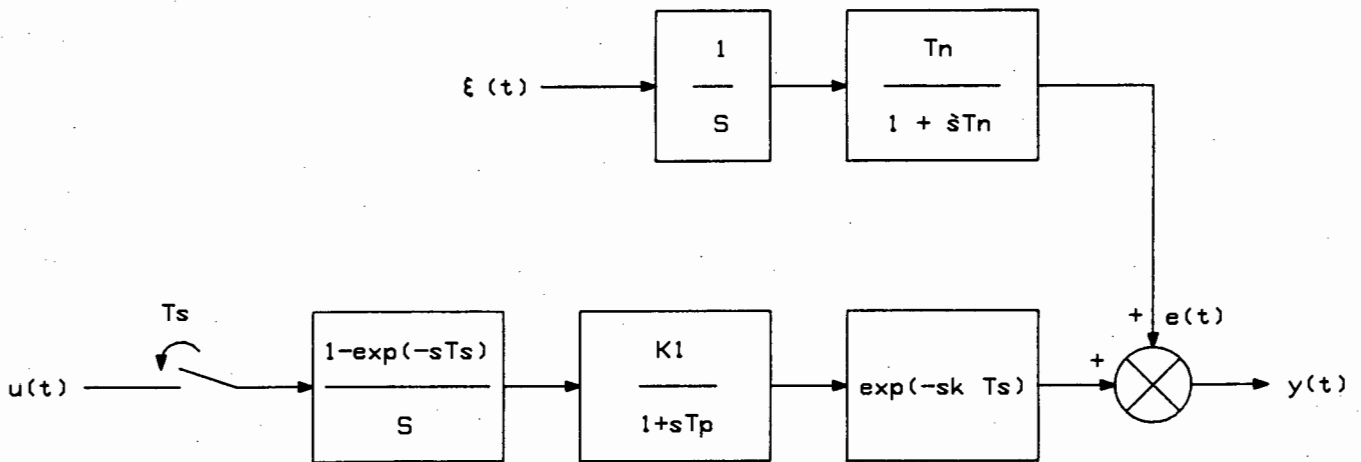


Figure 3.1.2 Continuous-time Presentation of the System Subjected to a Non-stationary Disturbance

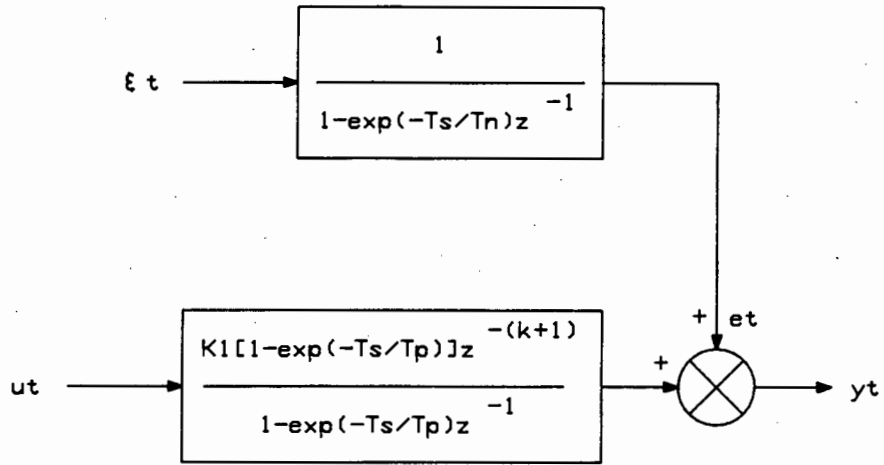


Figure 3.1.3 Discrete-time Presentation of the System Subjected to a Stationary Disturbance

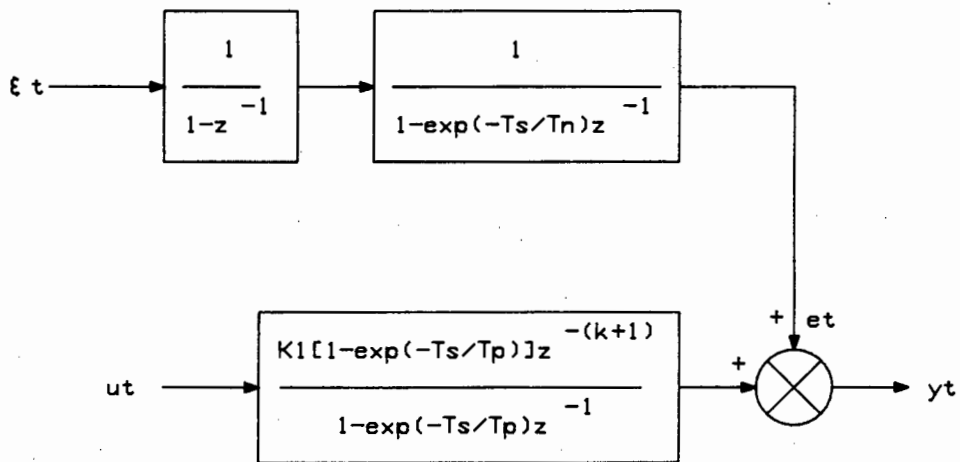


Figure 3.1.4 Discrete-time Presentation of the System Subjected to a Non-stationary Disturbance

3.2 DERIVATION OF CONTROL LAWS

3.2.1 Control Strategies

The three control strategies respectively implemented in the simulations and experiments are the following:

- a. the minimization of the cost function

$$I_1 = E\{y_t^2\} \quad (3.2.1)$$

which is the standard minimum variance control strategy,

- b. the minimization of the cost function

$$I_2 = \hat{y}_{t+k/t}^2 + \lambda u_t^2 \quad (3.2.2)$$

as implemented by Clarke et al [1], [2],

where $\hat{y}_{t+k/t}$ is the optimal k-step ahead predictor of y at moment t and

- c. the minimization of the cost function

$$I_3 = \hat{y}_{t+k/t}^2 + \lambda(u_t - u_{t-1})^2 \quad (3.2.3)$$

as suggested by MacGregor et al [2].

For both the system subjected to a stationary disturbance (equation (3.1.15)) and the system subjected to a non-stationary disturbance (equation (3.1.17)), three control laws which respectively minimize the above three cost functions are derived.

3.2.2 General Procedure for the Derivation of the Control Laws

The following general form of the model equation is used [1]:

$$y_t(z^{-1}) = z^{-k} G(z^{-1}) u_t(z^{-1}) + N(z^{-1}) \xi_t(z^{-1}) \quad (3.2.4)$$

where:

G is the system polynomial in z^{-1} with a leading constant and

N is the disturbance polynomial in z^{-1} with a unity leading constant.

Rearranging equation (3.2.4) to obtain output y in the same form as that of the k -step ahead predictor of y at sampling moment t , $\hat{y}_{t+k/t}$, gives:

$$y_{t+k} = G u_t + z^k N \xi_t \quad (3.2.5)$$

Define: $N = N_k + N_k^*$ (3.2.6)

where $N_k^* = 1 + n_1 z^{-1} + \dots + n_{k-1} z^{-k+1}$ (3.2.7)

and $N_k = n_k z^{-k} + n_{k+1} z^{-k-1} + \dots$ (3.2.8)

Substituting into equation (3.2.5):

$$y_{t+k} = G u_t + z^k N_k^* \xi_t + z^k N_k \xi_t \quad (3.2.9)$$

For $\hat{y}_{t+k/t}$, the optimal k -step ahead predictor of y at sampling moment t , to be realizable, it must be based on present and past measurements of the output:

$$y_t, y_{t-1}, y_{t-2}, \dots$$

only.

The predictor \hat{y} is defined to be optimal if it minimizes the variance of the prediction error

$$E\{y_{t+k} - \hat{y}\}^2.$$

Subtracting \hat{y} on both sides of equation (3.2.9) gives:

$$y_{t+k} - \hat{y} = Gu_t + z^k N_k \xi_t - \hat{y} + z^k N_k^* \xi_t$$

The variance of the prediction error is then given by:

$$E\{y_{t+k} - \hat{y}\}^2 = E\{Gu_t + z^k N_k \xi_t - \hat{y}\}^2 + E\{z^k N_k^* \xi_t\}^2 \quad (3.2.10)$$

The crossproduct terms drop away because of the independence of $z^k N_k^* \xi_t$ with all values of the output up to time t .

The variance of the prediction error is minimized to

$$E\{z^k N_k^* \xi_t\}^2 \quad \text{by equating}$$

$$\hat{y} = \hat{y}_{t+k/t} = z^k N_k \xi_t + Gu_t \quad (3.2.11)$$

The control law which minimizes a given cost function is found by differentiating the respective cost function and equating it to zero.

To reduce the number of parameters in the control law derived, the following equivalent general form of the model equation is used:

$$y_t(z^{-1}) = \frac{z^{-k} B(z^{-1})}{1 + A(z^{-1})} u_t(z^{-1}) + \frac{1 + D(z^{-1})}{1 + C(z^{-1})} \xi_t \quad (3.2.12)$$

In Annexure 3 to Appendix A it is shown that the transfer function

$$H(z) = \frac{Y(z)}{U(z)}$$

is realizable with:

A, C and D finite polynomials in z^{-1} with no leading constants and B a finite polynomial in z^{-1} with or without a leading constant.

Using, as Clarke et al [1] does, the identity

$$1+D = N_k^* (1+C) + z^{-k} D^1 \quad (3.2.13)$$

and obtaining N_k^* and D^1 by equating coefficients, the control law is obtained in the finite-parameter form.

3.2.3 General Forms of Derived Control Laws

The general derivation of the three control laws which respectively minimize the three cost functions is shown in Annexure 4 to Appendix A.

The general form of the control law which minimizes $I_1 = E\{y_t^2\}$ is:

$$u_t = - \frac{(1+A) D^1}{N_k^* B (1+C)} y_t \quad (3.2.14)$$

The general form of the control law which minimizes $I_2 = \hat{y}_{t+k/t}^2 + \lambda u_t^2$ is:

$$u_t = - \frac{(1+A)D'}{N_k^* B(1+C) + \lambda/b_0 (1+A)(1+D)} y_t \quad (3.2.15)$$

Note: Equation (3.2.14) is a special form of equation (3.2.15) with the cost factor λ equal to zero.

The general form of the control law which minimizes $I_3 = \hat{y}_{t+k/t}^2 + \lambda(u_t - u_{t-1})^2$ is:

$$u_t - u_{t-1} = - \frac{(1+A)(1-z^{-1})D'}{N_k^* B(1+C) + \lambda/b_0 (1+A)(1-z^{-1})(1+D)} y_t \quad (3.2.16)$$

3.2.4 Specific Control Laws for System Subjected to a Stationary Disturbance

Define:

$$a = \exp(-T_s/T_p) \quad (3.2.17)$$

and

$$c = \exp(-T_s/T_n) \quad (3.2.18)$$

For the system time delay k equal to zero, the discrete time presentation of the system subjected to a stationary disturbance with zero mean (equation (3.1.15)) becomes:

$$y_t = \frac{K_i(1-a)z^{-1}}{1-az^{-1}} u_t + \frac{1}{1-cz^{-1}} \sum_t \quad (3.2.19)$$

The specific derivation of the three control laws which respectively minimize the three cost functions for the system subjected to a stationary disturbance is shown in Annexure 5 to Appendix A.

The control law which minimizes $I_1 = E\{y_t^2\}$ is:

$$u_t = \frac{1}{K_1(1-a)} \cdot \left\{ K_1(1-a) c u_{t-1} - c y_t + a c y_{t-1} \right\} \quad (3.2.20)$$

The control law which minimizes $I_2 = \hat{y}_{t+k/t}^2 + \lambda u_t^2$ is:

$$u_t = \frac{1}{K_1(1-a) + \frac{\lambda}{K_1(1-a)}} \cdot \left\{ \left[K_1(1-a) + \frac{\lambda a}{K_1(1-a)} \right] u_{t-1} - c y_t + a c y_{t-1} \right\} \quad (3.2.21)$$

The control law which minimizes $I_3 = \hat{y}_{t+k/t}^2 + \lambda (u_t - u_{t-1})^2$ is:

$$u_t = \frac{1}{K_1(1-a) + \frac{\lambda}{K_1(1-a)}} \cdot \left\{ \left[K_1(1-a) c + \frac{\lambda(a+1)}{K_1(1-a)} + K_1(1-a) + \frac{\lambda}{K_1(1-a)} \right] u_{t-1} \right. \\ \left. - \left[\frac{\lambda a}{K_1(1-a)} + K_1(1-a) c + \frac{\lambda(a+1)}{K_1(1-a)} \right] u_{t-2} \right. \\ \left. + \frac{\lambda a}{K_1(1-a)} u_{t-3} - c y_t + (a+1) c y_{t-1} - a c y_{t-2} \right\} \quad (3.2.22)$$

3.2.5 Specific Control Laws for System Subjected to a Non-stationary Disturbance.

Using the parameters defined previously, the discrete time presentation of the system subjected to a non-stationary disturbance (equation (3.1.17)) is written in the form:

$$y_t = \frac{K_1(1-a)z^{-1}}{1-az^{-1}} u_t + \frac{1}{1-z^{-1}} \cdot \frac{1}{1-cz^{-1}} \cdot \sum_t$$

(3.2.23)

The specific derivation of the three control laws which respectively minimize the three cost functions for the system subjected to a non-stationary disturbance is shown in Annexure 6 to Appendix A.

The control law which minimizes $I_1 = E\{y_t^2\}$ is:

$$u_t = \frac{1}{K_1(1-a)} \left\{ K_1(1-a)(1+c)u_{t-1} - K_1(1-a)cu_{t-2} - y_t + ay_{t-1} \right\} \quad (3.2.24)$$

The control law which minimizes $I_2 = \hat{y}_{t+k/t}^2 + \lambda u_t^2$ is:

$$u_t = \frac{1}{K_1(1-a) + \frac{\lambda}{K_1(1-a)}} \cdot \left\{ \left[K_1(1-a)(1+c) + \frac{\lambda a}{K_1(1-a)} \right] u_{t-1} - K_1(1-a)cu_{t-2} - y_t + ay_{t-1} \right\} \quad (3.2.25)$$

The control law which minimizes $I_3 = \hat{y}_{t+k/t}^2 + \lambda(u_t - u_{t-1})^2$ is:

$$u_t = \frac{1}{K_1(1-a) + \frac{\lambda}{K_1(1-a)}} \cdot \left\{ \left[K_1(1-a)(1+c) + \frac{\lambda(a+1)}{K_1(1-a)} + K_1(1-a) + \frac{\lambda}{K_1(1-a)} \right] u_{t-1} - \left[K_1(1-a)c + \frac{\lambda a}{K_1(1-a)} + K_1(1-a)(1+c) + \frac{\lambda(a+1)}{K_1(1-a)} \right] u_{t-2} + \left[K_1(1-a)c + \frac{\lambda a}{K_1(1-a)} \right] u_{t-3} - y_t + (a+1)y_{t-1} - ay_{t-2} \right\} \quad (3.2.26)$$

3.3 SENSITIVITY AND STABILITY

Suppose the actual process is described by

$$y_t = \frac{z^{-k} B^{\circ}}{1 + A^{\circ}} \cdot u_t + \frac{1 + D^{\circ}}{1 + C^{\circ}} \cdot w_t \quad (3.3.1)$$

while the derived control laws are based on the model parameters A,B,C and D which are not exactly equal to the actual process parameters.

The questions which arise are the following:

- a. Does the control law which minimize I_2 (being a generalization of the control law which minimizes I_1) ensure stability respectively for the system with a stationary disturbance model and the system with a non-stationary disturbance model?
- b. Does the control law which minimize I_3 ensure stability respectively for the system with a stationary disturbance model and the system with a non-stationary disturbance model?

The stability in each case is determined by the eigenvalues of the characteristic equation $P(z)$ where

$$y_t = \frac{Q(z)}{P(z)} \cdot \xi_t \quad (3.3.2)$$

is obtained by:

- a. Substituting the applicable control law into the system equation.
- b. Using, as before, the identity

$$z^{-k} \frac{1}{D} = 1 + D - N \frac{*}{k} (1 + C) \quad (3.3.3)$$

- c. Equating the actual process and model parameters and substituting the parameters for the specific system (stationary or non-stationary disturbance model) into the equation derived.

For small deviations of the model parameters, the modes associated with the respective characteristic equation derived may be excited. This is of importance if some of the modes are unstable or marginally stable, i.e. if eigenvalues of the characteristic equation lie on or outside the unit circle.

The detailed derivation in each case is shown in Annexure 7 to Appendix A.

3.3.1 Stability using I_2 on System with Stationary Disturbance Model

The characteristic equation $P(z)$ as derived in Annexure 7 to Appendix A is:

$$P(z) = (z-c)(z-a) \left(z - \frac{\lambda a}{\lambda + [k_1(1-a)]^2} \right) \quad (3.3.4)$$

where, as defined before:

$$a = \exp(-T_s/T_p) \quad , \quad (3.3.5)$$

$$c = \exp(-T_s/T_n) \quad , \quad (3.3.6)$$

$$k_1 = \frac{1}{VH + 1/R} \quad , \quad (3.3.7)$$

$$\text{and } T_p = T_n = \frac{MH}{VH + 1/R} \quad (3.3.8)$$

Defining the sampling period T_s equal to 60 seconds and using the parameter specifications as in Table 3.0.1, the following values are obtained:

$$T_p = T_n = 871 \text{ s} \quad (3.3.9)$$

$$K_1 = 0,0208 \text{ K/W} \quad (3.3.10)$$

$$a = c = 0,933 \quad (3.3.11)$$

Substituting these values into equation (3.3.4) gives:

$$P(z) = (z - 0,933)(z - 0,933)\left(z - \frac{0,933 \lambda}{\lambda + 1,917 e^{-6}}\right) \quad (3.3.12)$$

The zeros of this equation are:

$$z_1 = z_2 = 0,933 \quad (3.3.13)$$

$$0 < z_3 < 0,933 \text{ for } \lambda > 0 \quad (3.3.14)$$

Since all the poles are within the unit circle for all values of the cost function λ , the system should be stable even if small model deviations occur.

3.3.2 Stability using I_2 on System with Non-stationary Disturbance Model

The characteristic equation $P(z)$ as derived in Annexure 7 to Appendix A is:

$$P(z) = (z^2 - (1+c)z + c)(z-a)\left(z - \frac{\lambda a}{\lambda + [k_1(1-a)]^2}\right) \quad (3.3.15)$$

Substituting the values of the constants as defined in paragraph 3.3.1 into equation (3.3.15) gives:

$$P(z) = (z^2 - 1,933z + 0,933)(z - 0,933)\left(z - \frac{0,933 \lambda}{\lambda + 1,917 e^{-6}}\right) \quad (3.3.16)$$

The zeros of this equation are:

$$z_1 = 1, z_2 = 0,933 \quad (3.3.17)$$

$$z_3 = 0,933 \quad (3.3.18)$$

$$0 < z_4 < 0,933 \text{ for } \lambda > 0 \quad (3.3.19)$$

Since z_1 lies on the unit circle, the system is marginally stable. If model deviations cause z_1 to move outside the unit circle, an unstable mode may be excited.

3.3.3 Stability Using I_3 on System with Stationary Disturbance Model.

The characteristic equation $P(z)$ as derived in Annexure 7 to Appendix A is:

$$P(z) = (z-a)(z-c) \left(z^2 - \frac{(a+1)\lambda}{\frac{\lambda}{K_1(1-a)} + K_1(1-a)} \cdot z + \frac{\frac{\lambda a}{K_1(1-a)} - K_1(1-a)c}{\frac{\lambda a}{K_1(1-a)} - K_1(1-a)} \right) \quad (3.3.20)$$

Substituting the values of the constants as defined in paragraph 3.3.1 into equation (3.3.20) gives:

$$P(z) = (z - 0,933)(z - 0,933) \left(z^2 - \frac{1,933 \lambda}{7,8 \lambda + 1,394 e-3} \cdot z + \frac{670 \lambda - 1,300 e-3}{670 \lambda - 1,394 e-3} \right) \quad (3.3.21)$$

The zeros of this equation are:

$$z_1 = z_2 = 0,933 \quad (3.3.22)$$

$$0,933 < z_3 < 0,966 \quad \text{for } \lambda > 0 \quad (3.3.23)$$

$$0,966 < z_4 \leq 1 \quad \text{for } \lambda > 0 \quad (3.3.24)$$

Since z_4 lies on the unit circle when λ becomes infinitely large, the system becomes marginally stable in this case. However, since λ infinitely large corresponds to a situation of no control, it is not of any practical interest. Therefore, for finite values of λ , the system should be stable even if small model deviations occur.

3.3.4 Stability Using I_3 on System with Non-stationary Disturbance Model

The characteristic equation $P(z)$ as derived in Annexure 7 to Appendix A is:

$$P(z) = (z^2 - (1+c)z + c)(z-1)(z-a) \left(z - \frac{\frac{\lambda a}{K_1(1-a)} - K_1(1-a)}{\frac{\lambda}{K_1(1-a)} + K_1(1-a)} \right) \quad (3.3.25)$$

Substituting the values of the constants as defined in paragraph 3.3.1 into equation (3.3.25) gives:

$$P(z) = (z^2 - 1,933z + 0,933)(z-1)(z-0,933) \left(z - \frac{670\lambda - 1,394e-3}{718\lambda + 1,394e-3} \right) \quad (3.3.26)$$

The zeros of this equation are:

$$z_1 = 1, \quad z_2 = 0,933 \quad (3.3.27)$$

$$z_3 = 1 \quad (3.3.28)$$

$$z_4 = 1 \quad (3.3.29)$$

$$-1 \leq z_5 < 0,933 \quad \text{for } \lambda \geq 0 \quad (3.3.30)$$

Since z_1 , z_3 , z_4 and z_5 for $\lambda = 0$ lie on the unit circle, the system is marginally stable. If model deviations cause any of the poles to move outside the unit circle, unstable modes may be excited.

3.4 SUMMARY OF THEORETICAL DERIVATIONS

In paragraph 3.1 the process and disturbance models were derived from first principles respectively for the disturbance stationary (Equation (3.1.15)) and for the disturbance non-stationary (Equation (3.1.17)).

In paragraph 3.2.2 the general procedure for the derivation of the control laws based on the minimization of the respective cost functions was described. The optimal predictor used minimized the variance of the prediction error.

Applying the general procedure minimizing the respective cost functions, the general forms of the respective control laws were derived in paragraph 3.2.3. It was shown that the control law obtained by minimizing

$$I_1 = E \{y_t^2\} \quad (3.4.1)$$

was a special case of the control law obtained by minimizing

$$I_2 = \hat{y}_{t+k/t}^2 + \lambda u_t^2 \quad (3.4.2)$$

by equating λ to zero.

The other cost function minimized was

$$I_3 = \hat{y}_{t+k/t}^2 + \lambda (u_t - u_{t-1})^2 \quad (3.4.3)$$

Using the general forms of the respective control laws and the derived process and disturbance models, the specific forms of the respective control laws were obtained in paragraph 3.2.4. These two derived control laws will be evaluated by simulation in Chapter 5 and by experimentation in Chapter 6 for the disturbance respectively stationary and non-stationary.

In paragraph 3.3 a stability analysis was conducted to determine the stability of the system using the respective control laws. This was done by determining the poles of the system transfer function for the respective cases.

It was found that the system is:

- a. Asymptotically stable using I_2 and a stationary disturbance model.
- b. Marginally stable with one pole on the unit circle using I_2 and a non-stationary disturbance model.
- c. Asymptotically stable using I_3 and a stationary disturbance model.
- d. Marginally stable with three to four poles on the unit circle depending on the value of λ using I_3 and a non-stationary disturbance model. From this may be concluded that this system may be sensitive to model inaccuracies which may excite unstable modes.

The stability analyses will be used to interpret the results obtained by simulation and the results obtained by experimentation in Chapters 5, 6 and 7.

CHAPTER 4

SYSTEM CONFIGURATION AND DESIGN

4.0 SYSTEM OBJECTIVE

The objective of the designed system is to serve both as an experimental controller and as a computer simulator which allows flexible implementation and evaluation of stochastic control laws.

4.1 PHYSICAL ENVIRONMENT OF THE SYSTEM

In order to obtain a clear definition of the boundaries of the controller, the structure of the environment it interfaces to is defined in this paragraph.

Figure 4.1.1 shows the functional interfaces of the controller to its interface. The controller interfaces to two environments, namely:

- a. The operator environment by means of a man-machine interface.
- b. The controlled system environment by means of a data acquisition and control interface.

The operator operates the controller and defines the control parameters. The controller controls the output of the controlled system according to the installed control law and randomly disturbs the output of the controlled system according to the installed disturbance generation function.

Figure 4.1.2 shows the functional configuration of the controlled system. The functional elements of the controlled system are specified in terms of the input and output specifications of the functional elements of the controller in paragraph 4.3.

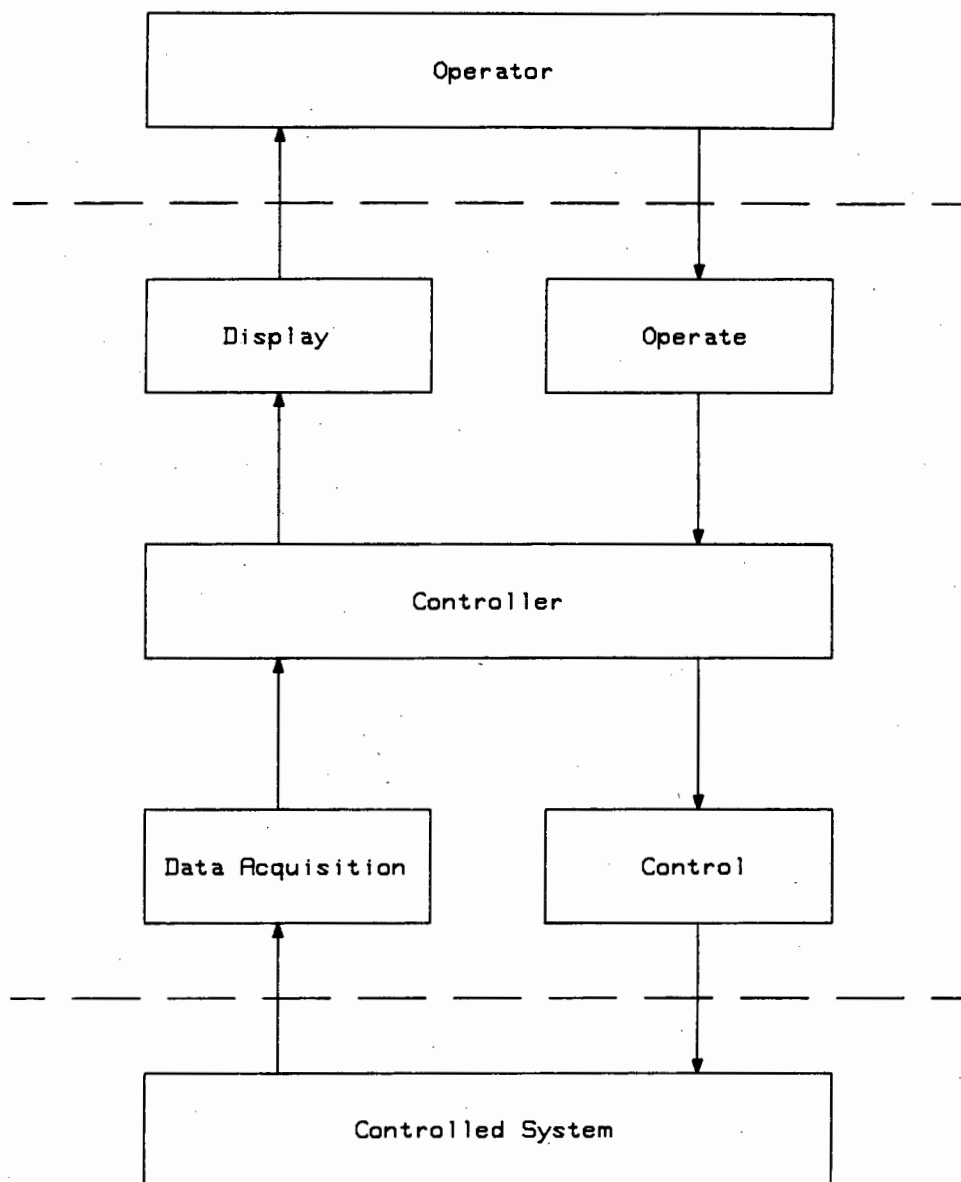


Figure 4.1.1. Functional Interfaces Between Controller and Environment

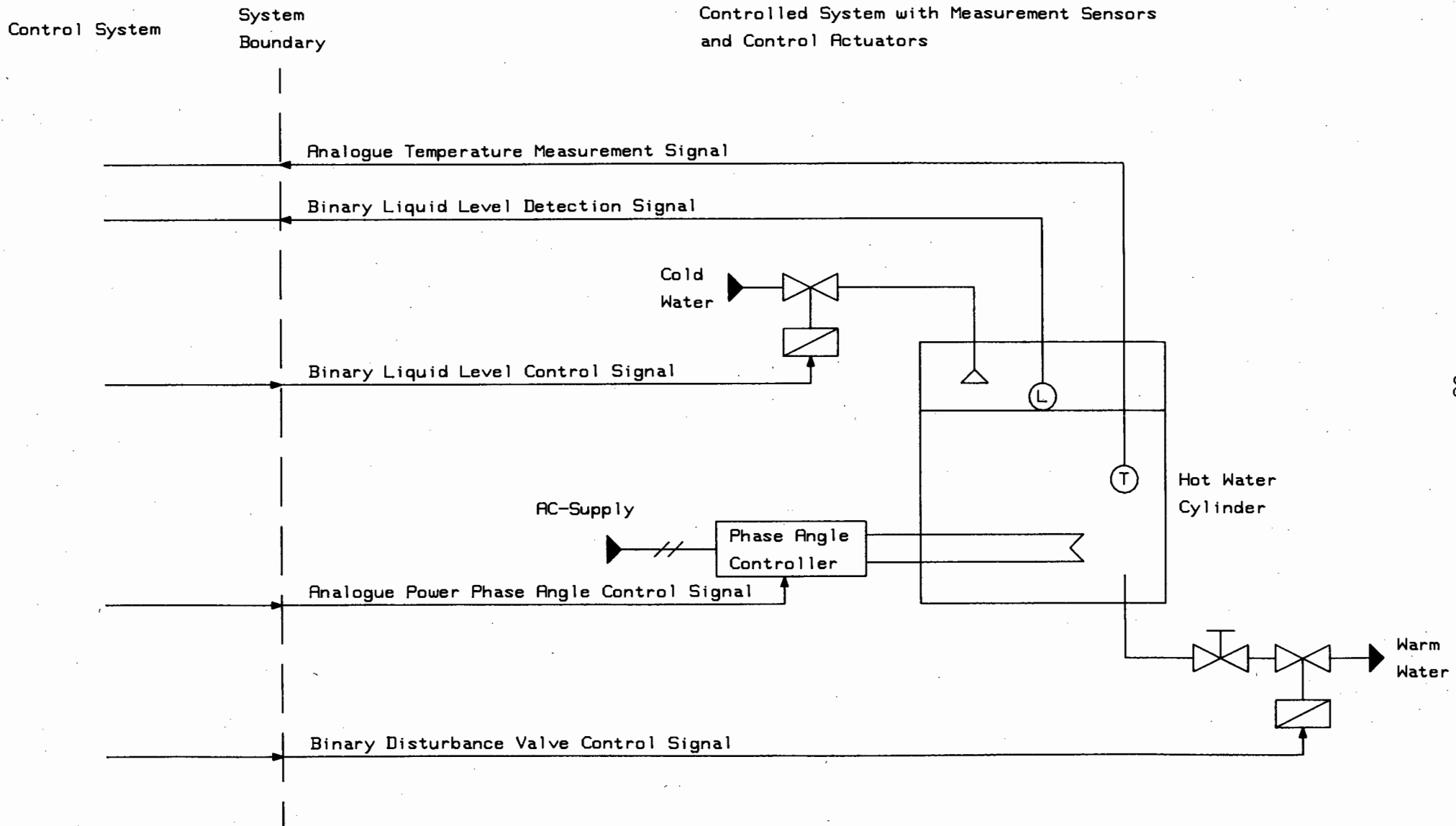


Figure 4.1.2. Functional Configuration of Controlled System

4.2 LIST OF SYSTEM FUNCTIONS

The following is a list of the system functions:

- a. Function 1 : Temperature measurement and A/D-conversion
- b. Function 2 : Liquid level control
- c. Function 3 : Disturbance valve actuation
- d. Function 4 : Power phase angle control
- e. Function 5 : Computer parallel I/O-interfacing
- f. Function 6 : Power supplies and earthing
- g. Function 7 : Control parameters initialisation
- h. Function 8 : Disturbance function computation
- i. Function 9 : Control algorithm computation
- j. Function 10 : Interrupt servicing
- k. Function 11 : Mass storage
- l. Function 12 : Man-machine interfacing
- m. Function 13 : Data processing

4.3 FUNCTIONAL DESCRIPTION

4.3.1 Function 1 : Temperature Measurement and A/D-Conversion

Description:

The temperature of the water in the hot water cylinder is sensed by a precision temperature sensor immersed in the water. The analogue temperature signal is converted to a digital form.

Activities:

Figure 4.3.1 shows the circuit diagram of this functional element.

Operating as a 2-terminal zener, the LM335 precision temperature sensor has a breakdown voltage directly proportional to absolute temperature at 10mV/K. The breakdown voltage is calibrated by RV1 as 2,730 V at the melting point of ice (273K).

To eliminate self heating errors, the LM335 is supplied with a temperature compensated stable current by the LM334 3-terminal adjustable current source. The current is programmed at 1,7 mA by R1.

The analogue temperature voltage signal is applied to the positive differential input of the ADC 0801 8-bit A/D-converter. A reference voltage of 2,730V corresponding to 0 °C is applied to the negative differential input of the ADC 0801.

A reference voltage of 0,500V corresponding to an analog input voltage range of 1,000 V is applied to the $V_{REF}/2$ - input of the ADC 0801. The analogue input voltage range is therefore 2,730V to 3,730V. This corresponds to an input temperature range of 0 °C to 100 °C.

The accurate and stable reference voltages are obtained by means of resistor networks containing multiturn trim pots RV2 and RV3 supplied by a stable precision voltage of 10,000V. This voltage is supplied by the RS283 10,000V 3-terminal voltage reference IC.

Figure 4.3.2 shows the analogue to digital conversion timing diagrams. The digital output has 8-bit resolution over the analogue input voltage range.

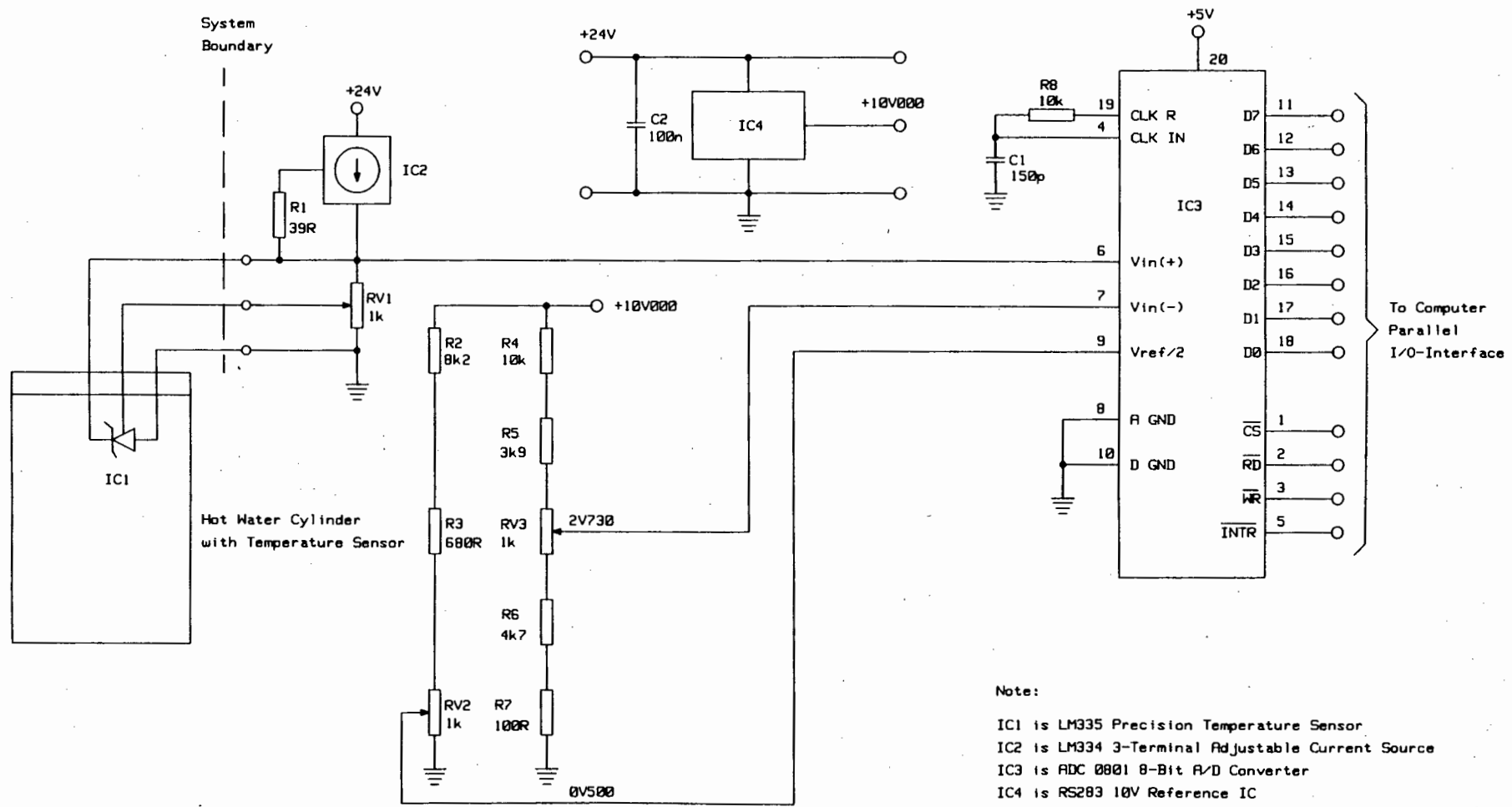


Figure 4.3.1. Precision Temperature Sensor and Analogue to Digital Converter

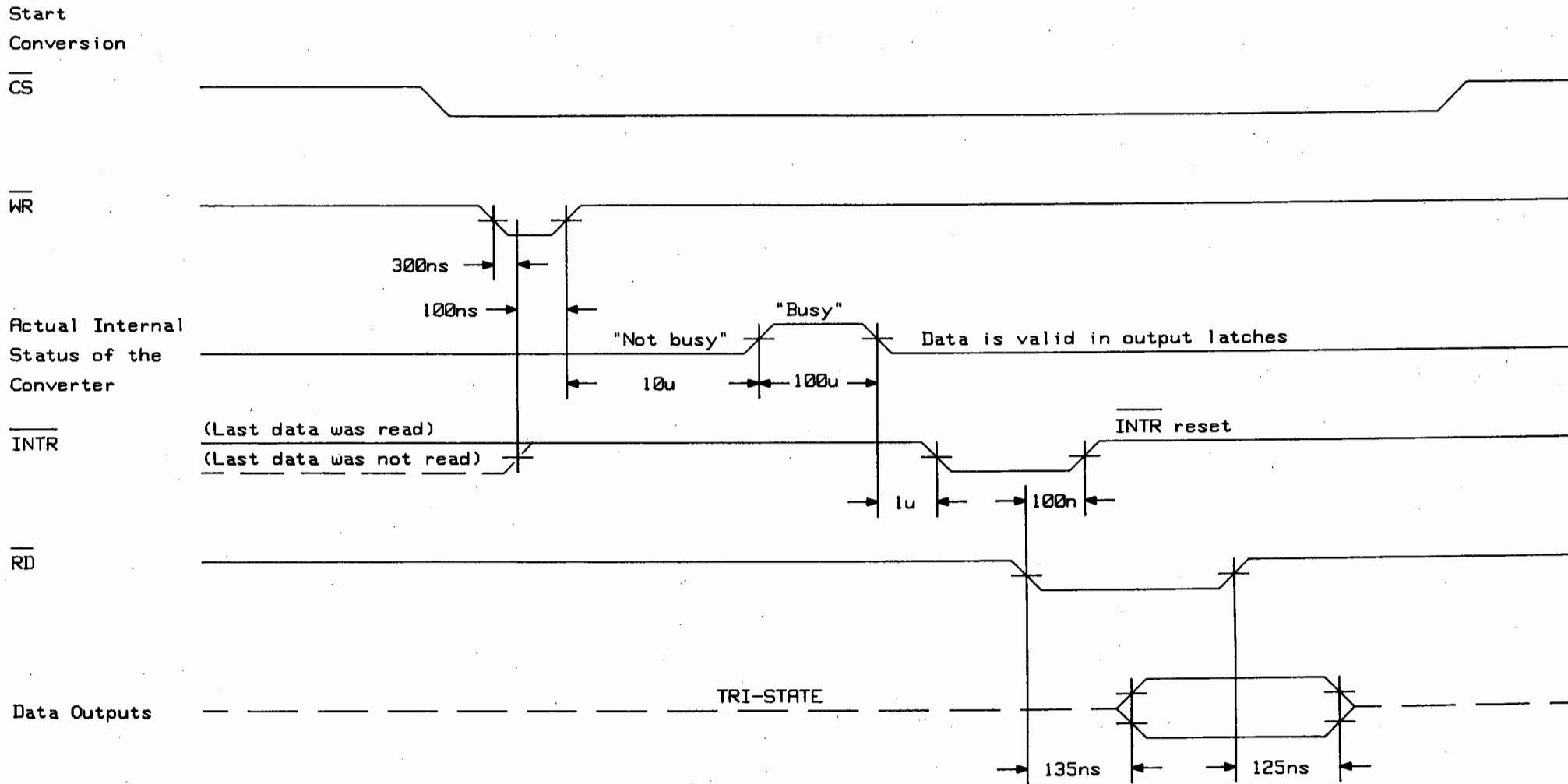


Figure 4.3.2. Analogue to Digital Conversion Timing Diagrams

Input Specifications:

Temperature measurement input range : 0°C to 100°C
LM 335 proportional constant : 10mV/K
LM 335 accuracy : $\pm 1^\circ\text{C}$

Output Specifications:

Digital resolution : 8 bits
Total conversion error : $\pm 1/4$ LSB
Conversion time : 100 micro-seconds

4.3.2 Function 2 : Liquid Level Control

Description:

The level of water in the water cylinder is sensed by a fluid detector. A solenoid valve is actuated when the water level drops below a specific value allowing cold water to flow into the cylinder thus maintaining the required level.

Activities:

Figure 4.3.3 shows the circuit diagram of this functional element.

A phone plug installed at a height corresponding to a 10ℓ volume of water in the cylinder is used as a probe. An ac-signal is applied between the probe and the metallic cylinder wall (signal earth) by the LM1830 fluid detector.

When the resistance between these nodes drops below the calibrated value of RV4, the fluid detector's output transistor is switched hard on. The value of RV4 is determined by the conductivity of the water in the cylinder which has a typical range of 20 to 60 K-ohm.

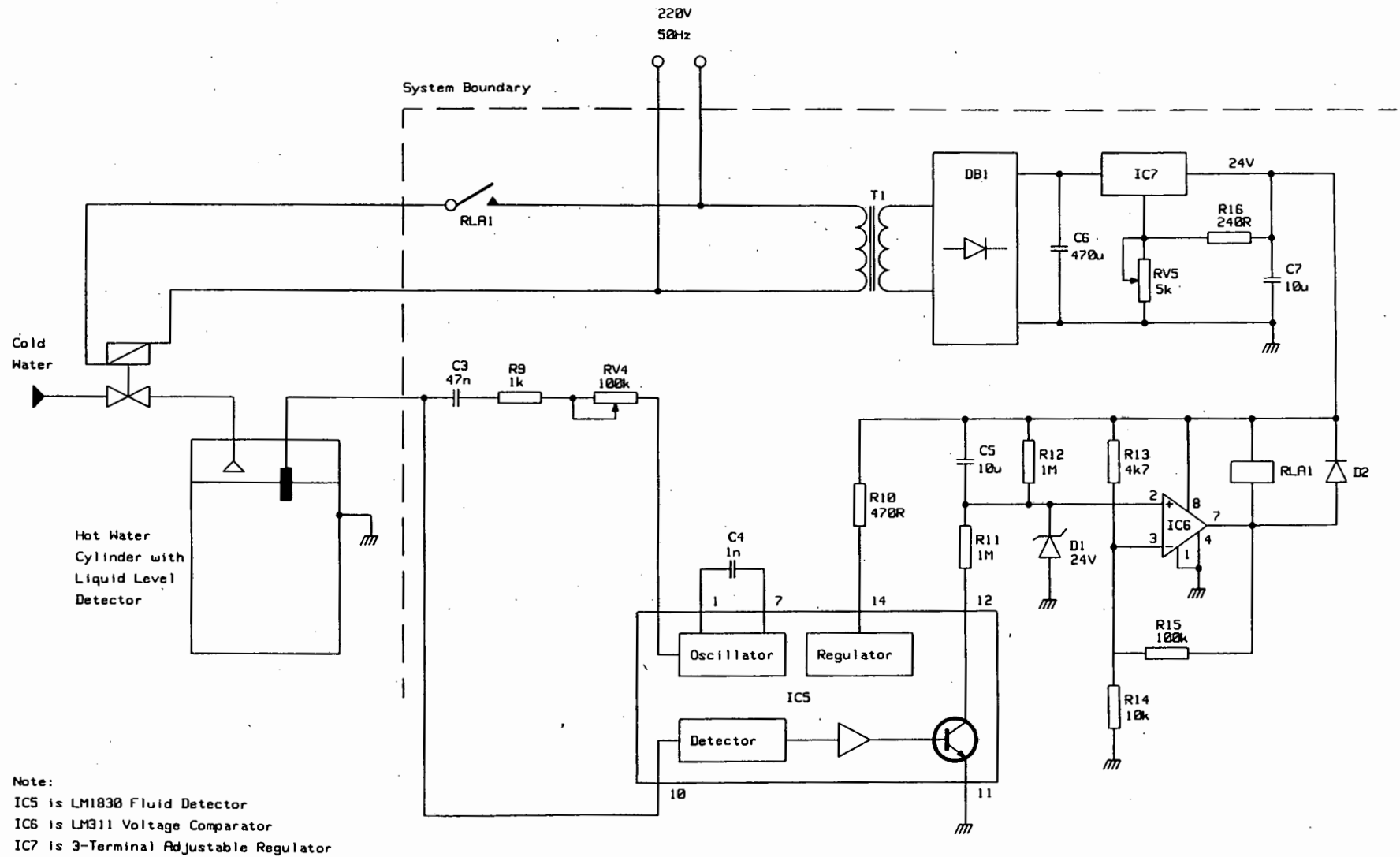


Figure 4.3.3. Liquid Level Controller

The collector of the output transistor is connected to a RC-network with a 10 second time constant. Approximately 6 seconds after the transistor switches on, the output of the LM311 voltage comparator goes low, energizing relay RL1.

With the relay energized, the relay contact closes and 220V 50Hz is applied to the solenoid valve causing it to open and water to flow into the cylinder.

When the probe becomes immersed in the water once again, the level detector's output transistor switches off. Approximately 4 seconds after the transistor switches off, the output of the voltage comparator goes high, de-energizing the relay causing the solenoid valve to shut.

The long RC-time constant and built-in hysteresis prevents jittering of the relay.

The electronics is supplied with a transformer isolated 24V dc-supply. This signal earth is isolated from the signal earth of the rest of the electronics for a reason explained in paragraph 4.3.6.

Input Specifications:

Resistance between probe and signal earth with:

- a. probe above water level : larger than 100k-ohm
- b. probe immersed in water : 20 to 40 k-ohm

Output Specifications:

Regulated volume of water in cylinder : 10ℓ

4.3.3 Function 3 : Disturbance Valve Actuation

Description:

The solenoid outlet valve is actuated by the computer generated disturbance function causing hot water to flow out of the cylinder according to the disturbance function. Inflowing cold water controlled by the liquid level controller replaces the outflowing hot water and causes the desired temperature disturbance characteristic.

Activities:

Figure 4.3.4 shows the circuit diagram of this functional element.

The output of the DM7406 inverter buffer is controlled by an output bit from the computer parallel input/output interface. With the input to the buffer high, relay RLA2 is energized and solenoid valve V2 is open. With the input to the buffer low, relay RLA2 is de-energized and solenoid valve V2 is shut.

Valve V1 is calibrated by hand before commencing an experiment to ensure an output flow-rate equal to V_{max} with valve V2 open.

Input Specifications:

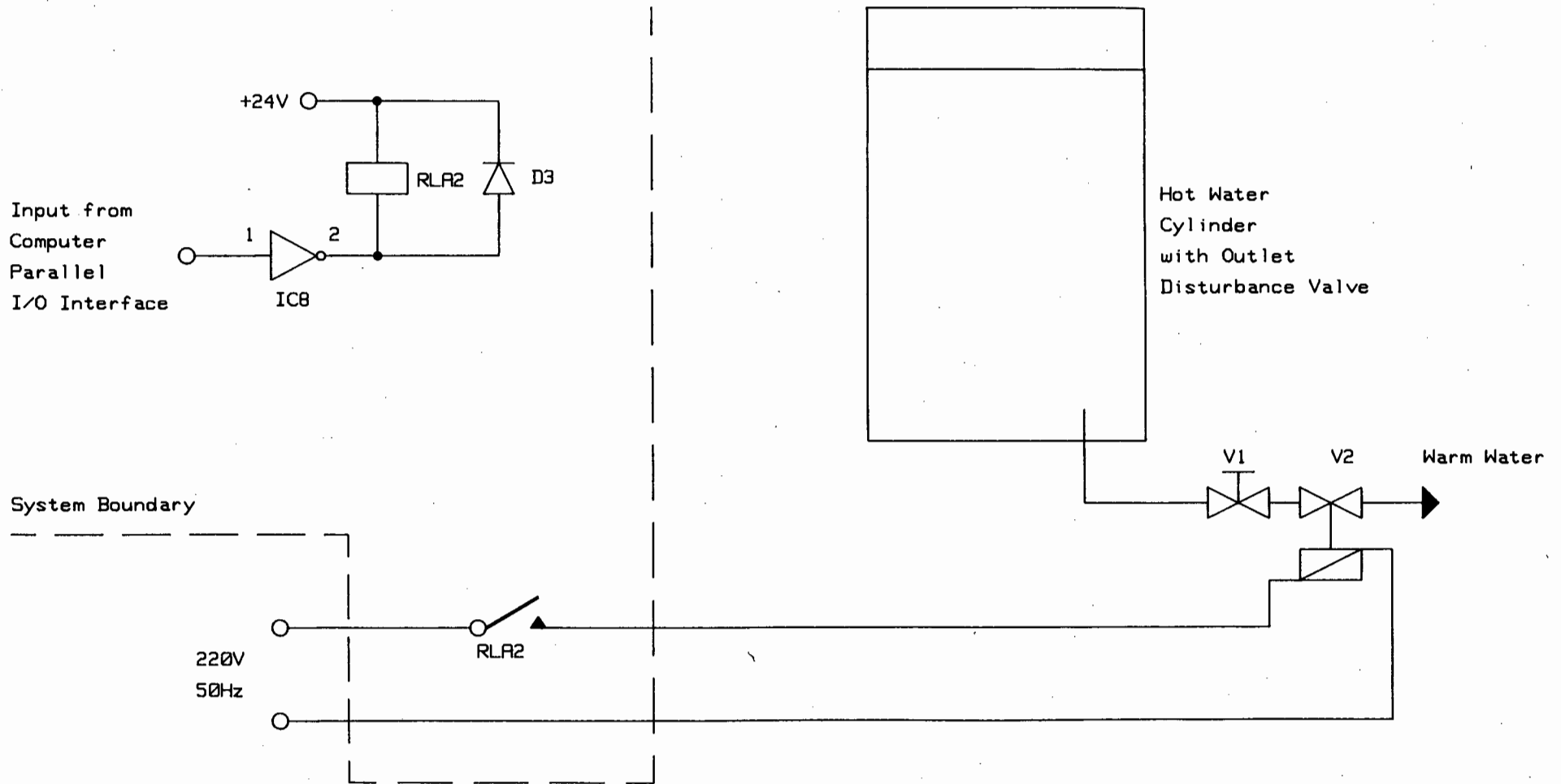
Disturbance valve on command : Input logical 1

Disturbance valve off command : Input logical 0

Output Specifications:

Supply to outlet solenoid valve with :

- a. Valve in shut state : 0V
- b. Valve in open state : 220V, 50Hz



Note:

IC8 is DM7406 Hex Inverter Buffers with Open-Collector High-Voltage Outputs

Figure 4.3.4. Disturbance Valve Actuator

4.3.4 Function 4 : Power Phase Angle Control

Description:

The phase angle 8-bit digital command signal between 0° and 175° at which each half wave of the sinusoidal ac-supply to the hot water cylinder heating element is to be triggered, is computed and supplied by the control computer as a function of the installed control law.

The phase angle controller converts and conditions the signal and switches the ac-supply to the heating element as a linear function of the input. The non-linear transformation from required average heating power to phase angle is described in paragraph 4.3.9.

Activities:

Figure 4.3.5 shows the circuit diagram of this functional element.

The 8-bit phase angle command signal from the controller is applied at the data inputs of the DAC 0800 8-bit D/A-converter. The precision 10,000V reference voltage provides a stable 1,786 mA full scale sink current to the I-terminal of the converter.

Multiturn trim pot RV6 calibrates the output of the inverter stage to be 0,00V with digital input 00H and 2,80V with digital input 0FFH. This signal is added to a 1,20V reference voltage calibrated by trim pot RV7 by the summing stage which then has an output voltage span of -1,20V to -4,00V corresponding to a digital input range of 00H to 0FFH.

The resultant signal is inverted by the third Op-Amp stage to provide an analogue signal with a voltage span of 1,20V to 4,00V corresponding to a digital input range of 00H to 0FFH. This signal serves as control input to the TLB3101 phase angle controller integrated circuit.

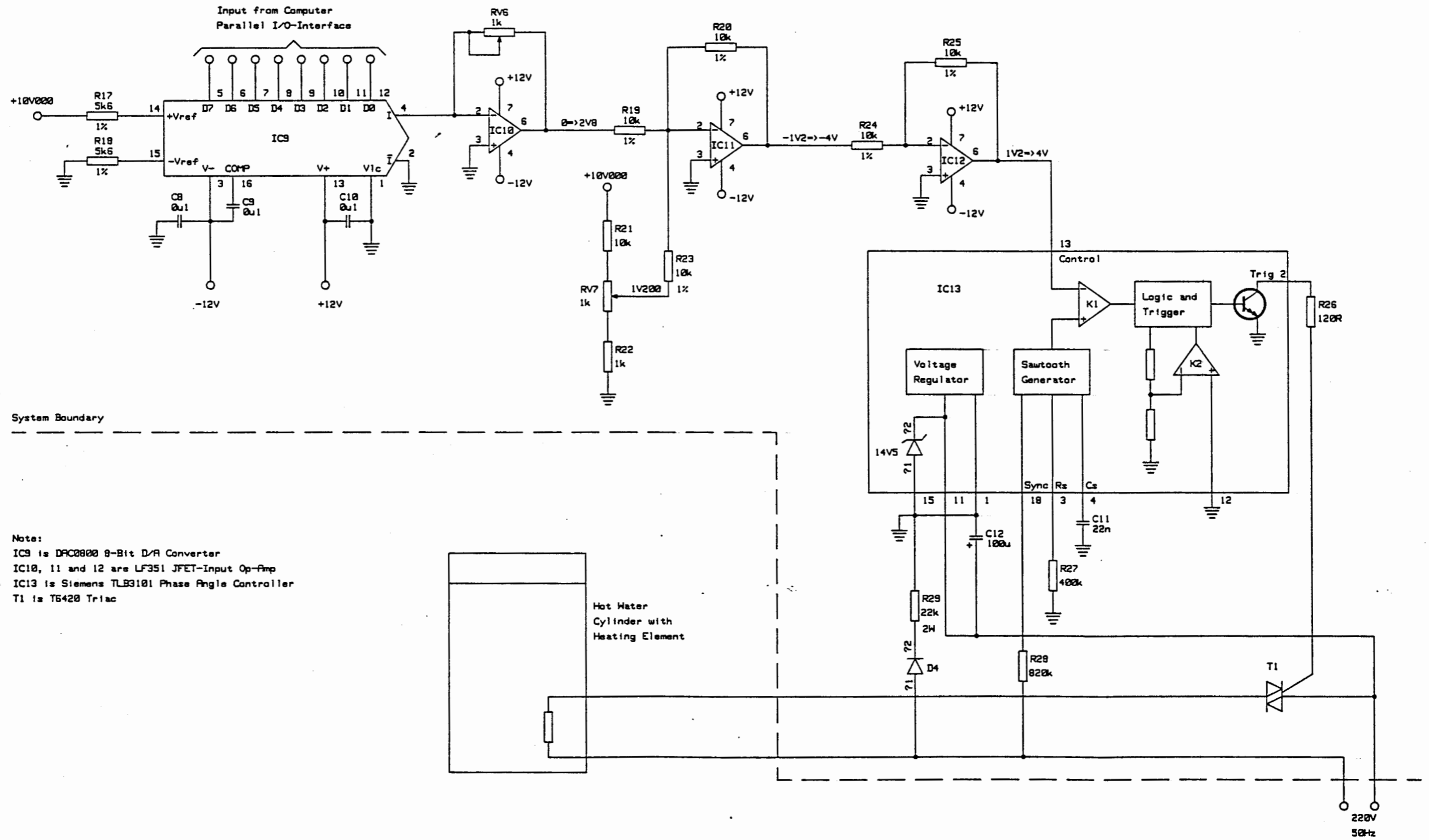


Figure 4.3.5. Power Phase Angle Controller

The phase angle controller controls the power supplied to the heating element by controlling the phase angle of each half wave of the sinusoidal ac-supply at which triac T1 is triggered. There is a linear relationship between the phase angle control voltage and the triggered phase angle as shown in Figure 4.3.6.

The phase angle controller's supply voltage of 10 to 20V is obtained directly from the 220V 50Hz power network by means of dropping resistor R29.

Input Specifications:

Digital input corresponding to minimum power : 0FFH
Digital input corresponding to maximum power : 00H
Full scale conversion error : + 1 LSB

Output Specifications:

Triggered phase angle corresponding to minimum power : 0 degrees
Triggered phase angle corresponding to maximum power : 175 degrees

4.3.5 Function 5: Computer Parallel I/O - Interfacing

Description:

The exchange of data and control information between the computer controller and the data acquisition and control actuator circuitry is accomplished by means of the parallel input/output interface.

Activities:

Figure 4.3.7 shows the circuit diagram of this functional element.

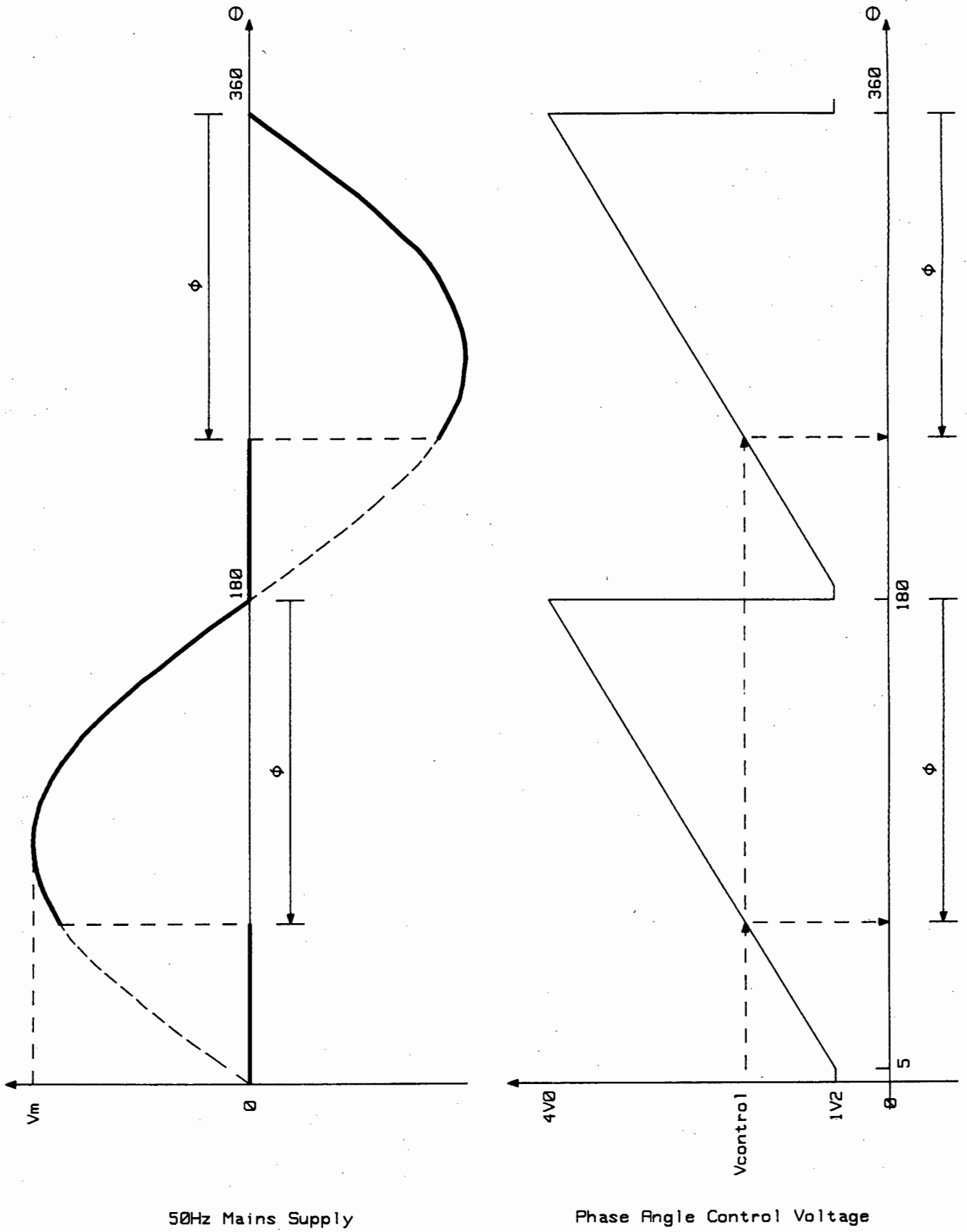


Figure 4.3.6. Relationship between Phase Angle Control Voltage and Triggered Phase Angle

The computer control and address buses are buffered by 74LS244 octal buffers. The address bus is buffered by 74LS245 octal bus transceivers.

Two Z80 - PIOs are used as parallel I/O - devices. The I/O - space is addressed only when both A7 and Not-IORQ are logical zero. The OR-gate isolates the computer and I/O data buses when this condition is not true.

IC19 is chip-enabled by the 74LS138 3 to 8 decoder when the following conditions are true:

A7 logical zero, Not-IORQ logical zero, A4 logical zero, A5 logical zero, A6 logical zero.

IC20 is chip-enabled by the 74LS138 when the following conditions are true:

A7 logical zero, Not-IORQ logical zero, A4 logical one, A5 logical zero, A6 logical zero.

IC19 port A is programmed for control mode with all eight bits programmed as outputs. This port is used to output the data byte to the power phase angle controller D/A - converter.

IC19 port A is programmed by the following instructions:

Instruction 1 : OUT 0000 1011, 11xx 1111

Instruction 2 : OUT 0000 1011, 0000 0000

The address byte of both instructions addresses the control register (bit 3) of port A (bit 2) of IC19 (bits 4,5 and 6). Bits 6 and 7 of the data byte of instruction 1 selects the control mode for port A. The data byte of instruction 2 selects all the bits of port A as output bits.

A data byte is output from IC19 port A by the following instruction:

OUT 0000 0011, byte

In this case bit 3 of the address byte addresses the data register of port A of IC19.

IC19 port B is programmed for control mode with all eight bits programmed as inputs. This port is used to input the data byte from the temperature sensor A/D - converter.

IC19 port B is programmed by the following instructions:

Instruction 1 : OUT 0000 1111, 11xx 1111

Instruction 2 : OUT 0000 1111, 1111 1111

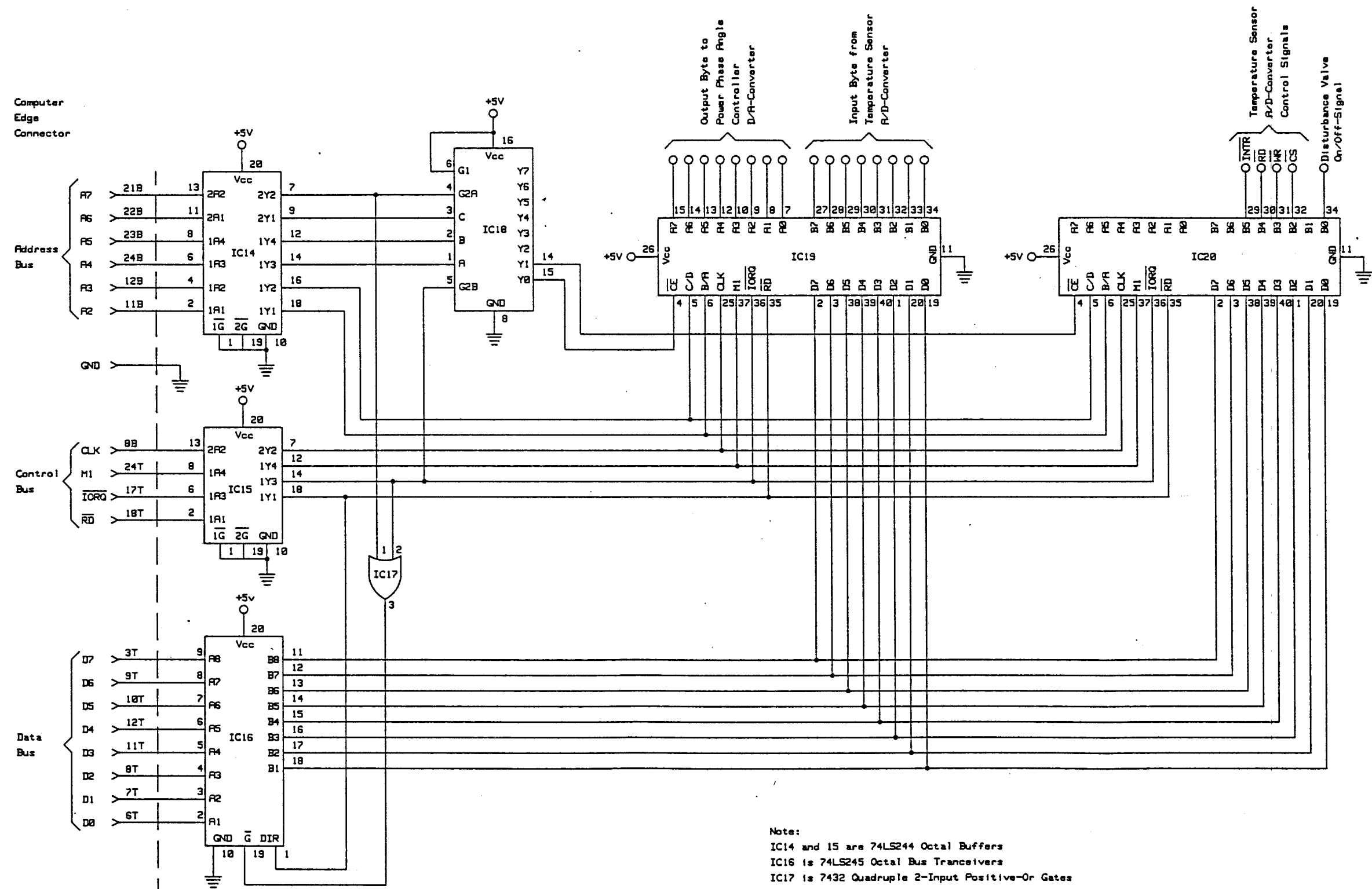
The address byte of both instructions addresses the control register (bit 3) of port B (bit 2) of IC19 (bits 4,5 and 6). Bits 6 and 7 of the data byte of instruction 1 selects the control mode for port B. The data byte of instruction 2 selects all the bits of port B as input bits.

A data byte is input to IC19 port B by the following instruction:

IN 0000 0111

In this case bit 3 of the address byte addresses the data register of port B of IC19.

IC20 port B is programmed for control mode. Bits 0,2,3 and 4 are programmed as output bits. Bit 5 is programmed as an input bit. Four of these bits are used to control the A/D - converter. The remaining bit is used to control the disturbance valve.



Note:
IC14 and 15 are 74LS244 Octal Buffers
IC16 is 74LS245 Octal Bus Transceivers
IC17 is 7432 Quadruple 2-Input Positive-Or Gates
IC18 is 74LS138 Decoder
IC19 and 20 are Z80-PIO MK3881

Figure 4.3.7. Computer Parallel Input/Output-Interface

IC20 port B is programmed by the following instructions:

Instruction 1 : OUT 0001 1111, 11xx 1111

Instruction 2 : OUT 0001 1111, 0010 0000

The address byte of both instructions addresses the control register (bit 3) of port B (bit 2) of IC20 (bits 4,5 and 6). Bits 6 and 7 of the data byte of instruction 1 selects the control mode for port B. The data byte of instruction 2 selects bit 5 as an input bit and all the others as output bits.

A data byte is output from IC20 port B by the following instruction:

OUT 0001 0111, byte

In this case bit 3 of the address byte addresses the data register of port B of IC20. Bit 5 of the input port remains in a high impedance state.

A data byte is input to IC20 port B by the following instruction:

IN 0001 0111

In this case only bit 5 has significance as programmed.

4.3.6 Function 6 : Power Supplies and Earthing

Description:

The dc-supplies for the electronic circuitry are provided by transformer-isolated linear voltage regulators. The following supplies are provided : +24V(2x), +5V, +12V, -12V.

All the circuits with the exception of the liquid level controller have a common signal earth. From Figure 4.3.5 can be seen that the signal earth voltage of the TLB3101 phase angle controller has a constant value equal to the supply voltage to the TLB3101 (approximately 20V) lower than the 220V 50Hz mains supply voltage w.r.t safety earth at all times. This also applies to the signal earth of the other electronics with the exception of the liquid level controller.

From Figure 4.3.3 can be seen that the signal earth voltage of the liquid level controller is the same as that of that of the hot water cylinder wall. Since the cylinder wall is connected to safety earth, the signal earth of the liquid level controller is transformer-isolated from the other signal earth to prevent earth leakage.

4.3.7 Function 7 : Control Parameters Inisialisation

Description:

The program "LOADER" inputs process and program control parameters from the keyboard, calculates coefficients used by the control algorithms and stores the data in the form of arrays on magnetic tape. To run the main program for simulation and experimentation, the process and program control parameters are loaded from magnetic tape into memory. The objective of this is to minimize the demands placed by the main program on the limited (48 Kbyte) read-write memory.

Activities:

The program "LOADER" calls three routines. The major portion of the task is done by subroutine "SR/DATADEF". Subroutine "SR/DECBIN" converts a decimal number to a 16-bit binary number. This routine is used to convert parameters

which will be used by the interrupt service machine code routine to a binary form. Subroutine "SR/TITLDEF" inputs a string-array which can be used for the later-identification of the simulation or experiment.

The listings of these programs appear in Annexure 1 to Appendix B.

4.3.8 Function 8 : Disturbance Function Computation

Description:

In the case of an experiment, the disturbance valve on/off - ratio is calculated by formulae respectively for stationary and non-stationary disturbances. In the case of a simulation, the actual temperature disturbance as a result of the mass flow corresponding to the calculated valve on/off - ratio is calculated as well.

Activities:

Figure 4.3.8 is a schematic presentation of the disturbance valve on-off cycle.

To approximate a continuous disturbance, the sampling period T_s (60 seconds) is broken down into two valve cycles with period T_{vv} (30 seconds) each. The valve on-time T_{von} per unit valve cycle is the actual random variable.

For a stationary disturbance, T_{von} has a pseudo-random probability density function symmetrical around $T_{vv}/2$. The difference between T_{von} and $T_{vv}/2$, ΔT_{von} , therefore has a probability density function which is symmetrical around zero as shown in Figure 4.3.8.

Valve V1 in Figure 4.3.4 is calibrated to allow a maximum flow rate of 0,021 kg/s. This corresponds to a power loss equal to the heating element rating for the system parameters with specifications as in Table 3.0.1. The nominal flow rate is therefore 0,011 kg/s. The width of the pseudo-random probability density function was chosen to be 0,0135 kg/s for all experiments and simulations thus allowing a large variance of the control input.

With the pseudo-random variable generated by the BASIC program's RND function, ΔT_{von} and T_{von} are calculated for each valve cycle. Using equation (3.1.16) and equating ΔV to $(\Delta T_{\text{von}} \times V_{\text{max}})/T_{\text{vv}}$, ξ_t is obtained. Using the disturbance component of equation (3.1.15), the stationary temperature disturbance e_t is calculated.

For a non-stationary disturbance, ΔT_{von} is obtained by adding a pseudo-random variable with a probability density function with a width of 3 seconds and symmetrical around zero to the previous value of ΔT_{von} . This is equivalent to the integration of ξ_t in equation (3.1.17) allowing ΔT_{von} to drift slowly.

ΔT_{von} is not allowed to drift outside the basic valve period boundaries. Using the disturbance component of equation (3.1.17), the non-stationary temperature disturbance e_t is calculated.

The program listing of the subroutine "DISTRROUTS" which calculates these disturbances appears in Annexure 2 to Appendix B.

4.3.9 Function 9 : Control Algorithm Computation

Description:

The main program computes the power output to the heating element at each sampling moment with present and past values of measured temperature and control input as inputs using the selected control algorithm.

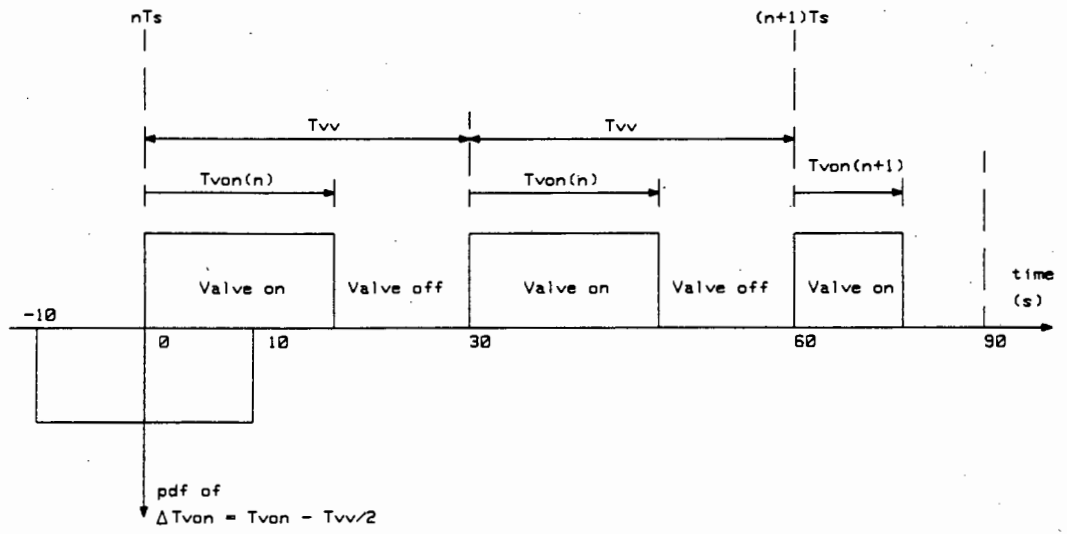


Figure 4.3.8. Schematic Presentation of Disturbance Valve On-Off Cycle with pdf of ΔT_{von} Superimposed

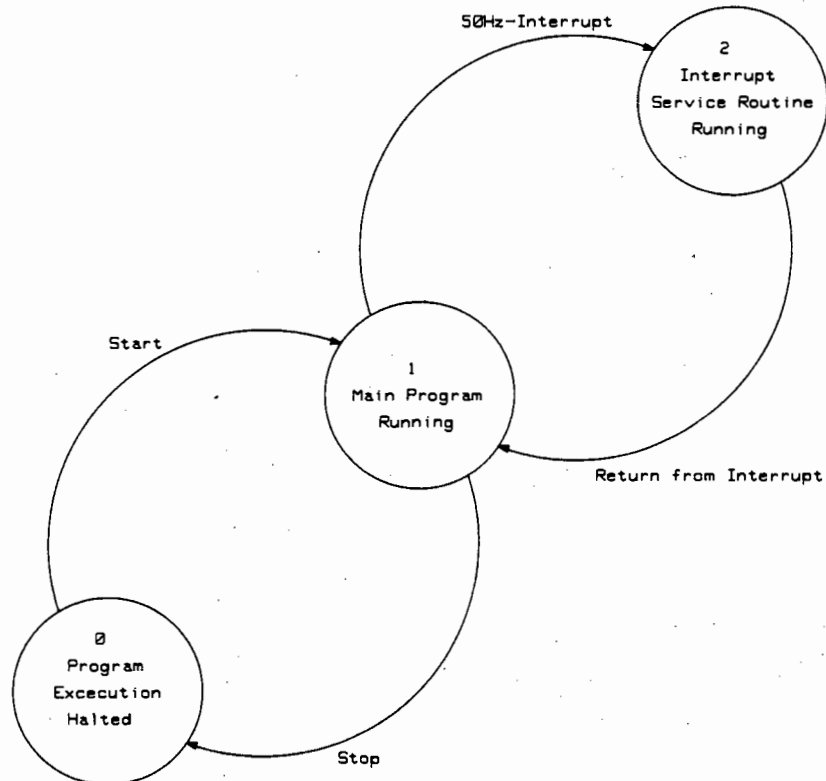


Figure 4.3.9. State Diagram of Program Execution

Activities:

Figure 4.3.9 shows a state diagram of program execution. The control algorithms are computed by the BASIC main program which is interrupted every 20 ms by the machine code interrupt service routine when conducting an experiment. The interrupt service routine is described in paragraph 4.3.10.

Figure 4.3.10 shows the flow diagram of the main program written in BASIC. A ZX-Spectrum home computer is used as control computer. A listing of "MAINPROG" appears in Annexure 3 to Appendix B.

The program allows the selection of the following options by the operator:

- a. simulation/experiment
- b. disturbance stationary/non-stationary
- c. control laws 1 and 2 or 3
- d. process model accurate/perturbed

The main program communicates with the interrupt driven machine code program which controls the temperature measurement and disturbance valve on/off-switching in the case of an experiment by means of parameter-passing to and from defined memory locations.

The computed required average power as output from the selected control algorithm is a non-linear function of the required power phase angle voltage which is the final output from the program when conducting an experiment.

The relationship between average heating power P_{ave} and phase angle ϕ (radians) is the following:

$$P_{ave} = \frac{2 \cdot P_{rating}}{\pi} \left[\frac{\phi}{2} + \frac{\sin 2(\pi - \phi)}{4} \right] \quad (4.3.1)$$

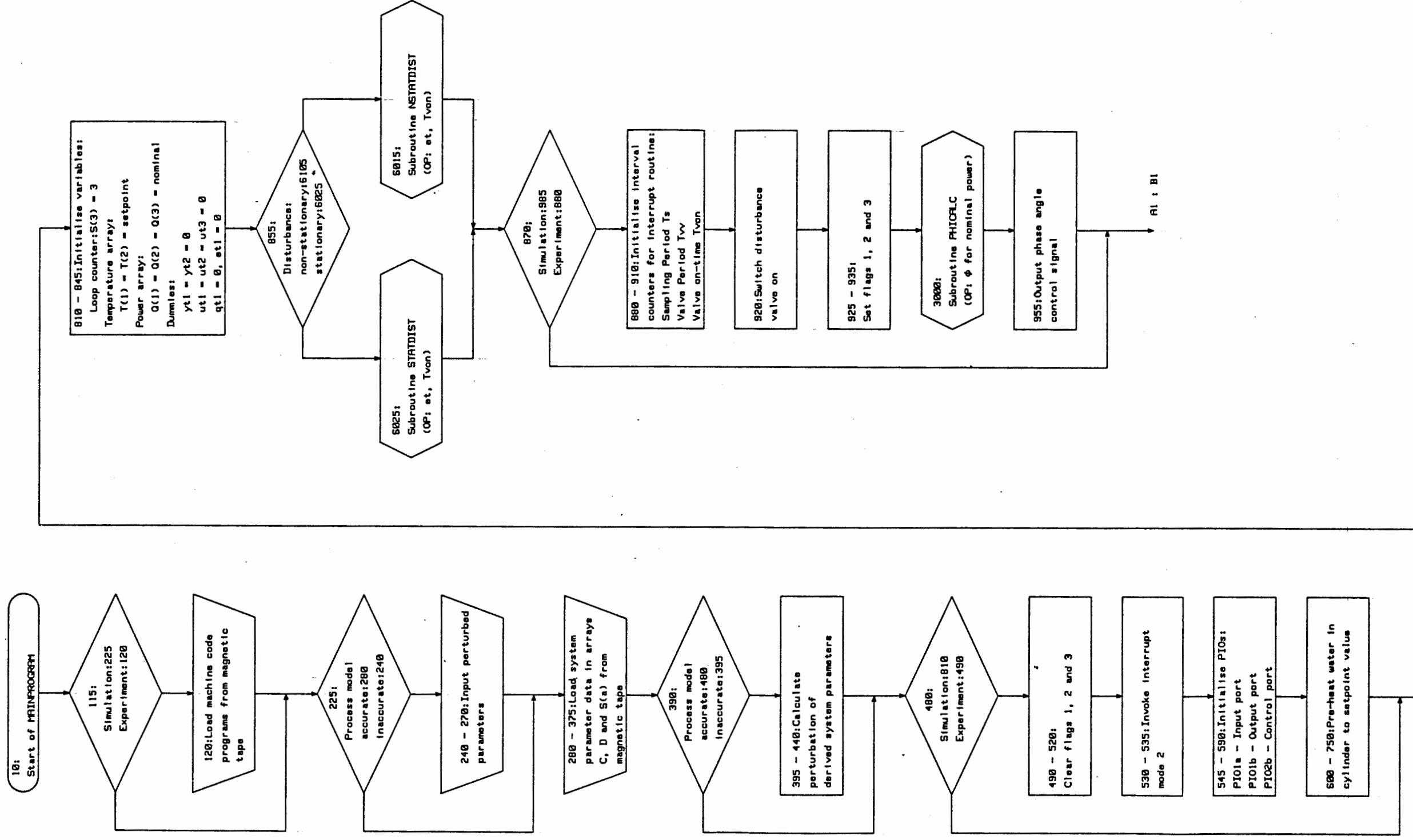


Figure 4.3.10. (page 1 of 2) Flow Diagram of Main Program

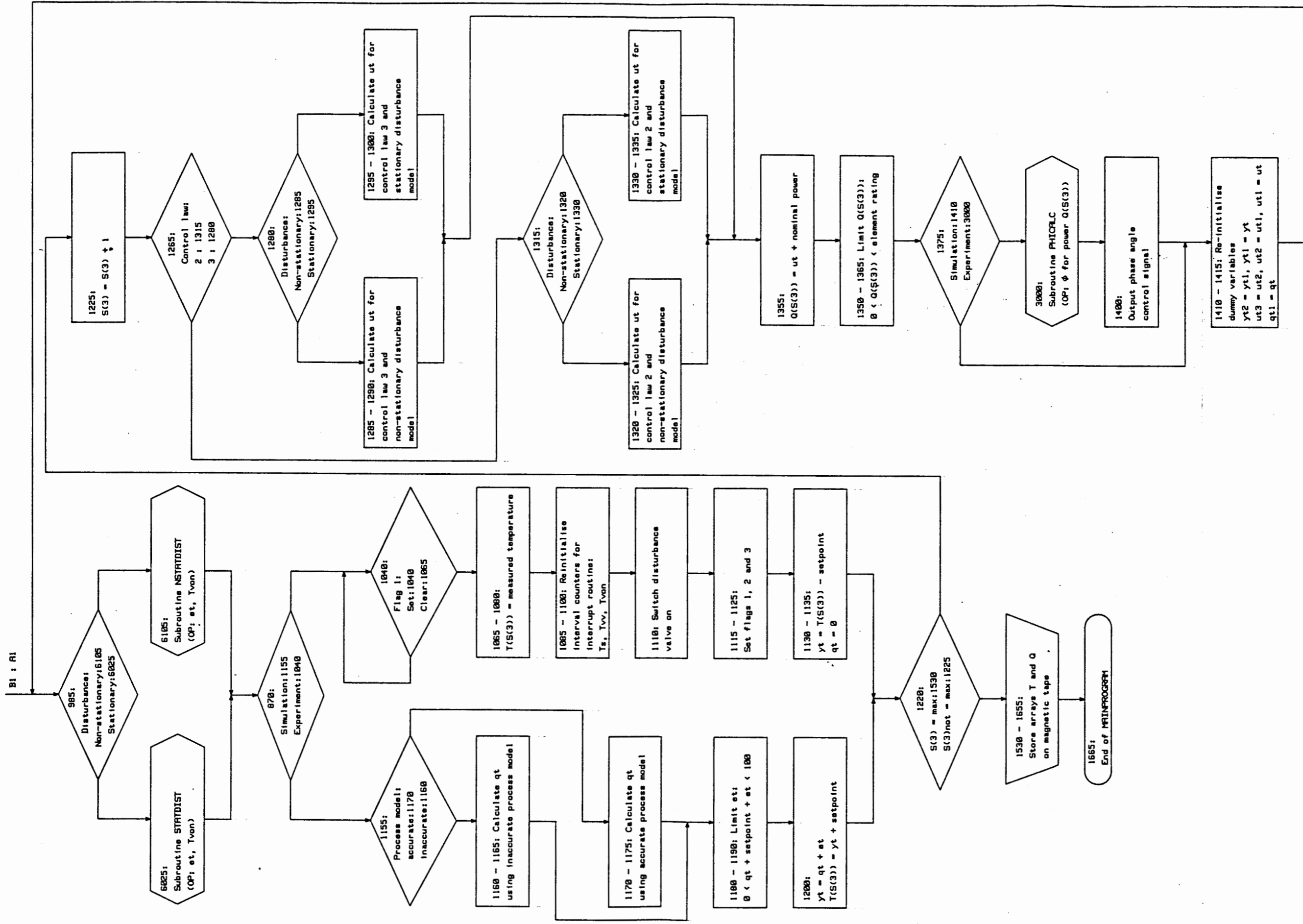


Figure 4.3.10. (page 2 of 2) Flow Diagram of Main Program

To solve for ϕ , the Newton-Raphson method is used. This is executed by subroutine "SR/PHICALC" a listing of which appears in Annexure 4 to Appendix B. The calculation is iterated until the accuracy of ϕ corresponds to a power accuracy of 1W.

Finally, the output voltage to the phase angle controller is obtained using the linear relationship between the control voltage and phase angle as shown in Figure 4.3.6.

The measured temperature and output power at all sampling points during the experiment or simulation are stored in arrays which are saved on magnetic tape after the completion of a simulation or experiment for data processing at a later stage.

4.3.10 Function 10 : Interrupt Servicing

Description:

The machine code interrupt service routine serves as real time counter for the timing of sampling periods, disturbance valve switching and as control routine for temperature measurement analogue to digital conversion during experiments. In addition it calls the keyboard read subroutine.

Activities:

Figure 4.3.11 shows a flow diagram of the interrupt service routine which interrupts the main program every 20 ms.

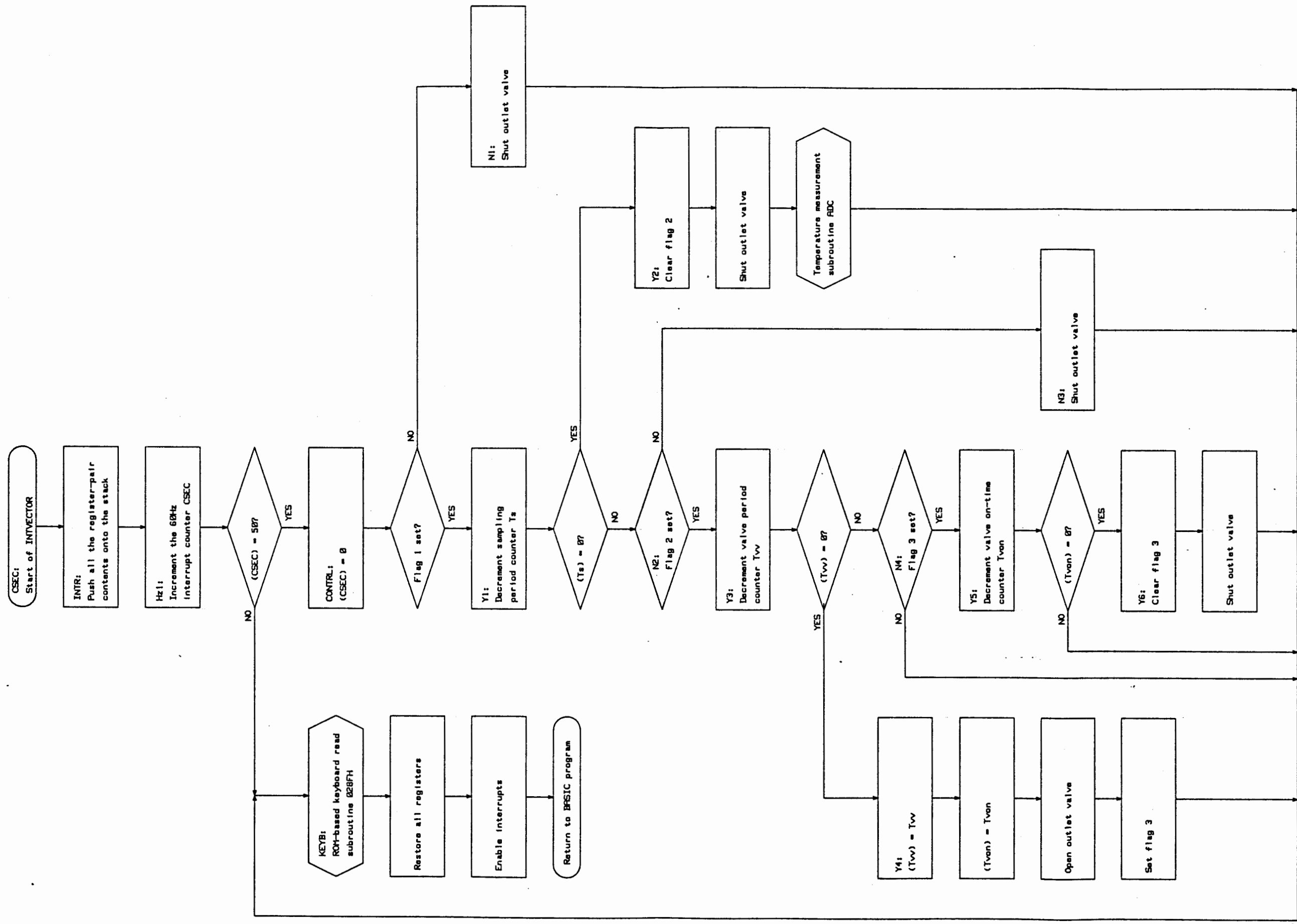


Figure 4.3.11. Flow Diagram of Interrupt Services Routine

The computer has a Z80 8-bit CPU. The interrupt service routine requires a 16-bit address vector since it is located at address E128H. Therefore the Z80 is programmed for interrupt mode 2 by calling routine "INTMODES" located at address 57601 using the "USR" function. The top of the address space for the BASIC programs is set to 57600 using the "CLEAR" statement before programs are loaded from magnetic tape into memory.

"INTMODES" programs the contents of the I-register of the CPU to be 31H. A 50Hz interrupt signal generated from line voltage is applied to the CPU. When an interrupt occurs, a 16 bit address of 31FFH is formed using the contents of the I-register as upper byte and the contents of the data bus (FFH, tri-state) as lower byte.

Using this address, a 16-bit interrupt vector is formed by using as lower byte the contents of memory 31FFH and as upper byte the contents of memory 3200H. These two memory locations fall in the ROM address space with the contents of 31FFH 28H and 3200H E1H respectively. The program therefore vectors to the interrupt service routine at address E128H.

The interrupt service routine updates the real time counters associated with the switching of the disturbance valve and the sampling period, controls the state of the disturbance valve, calls the temperature measurement subroutine "ADC" on sampling moments, sets/clears flags for communication with the main program and calls a ROM-based keyboard read routine.

"ADC" controls the temperature measurement A/D-conversion of 128 samples in quick succession and obtains the average value to minimize measurement noise. This occurs in approximately 25 ms. Figure 4.3.12 shows a flow diagram of this subroutine.

On completion of the interrupt service routine, the registers are restored, interrupts enabled and control returned to the BASIC main program.

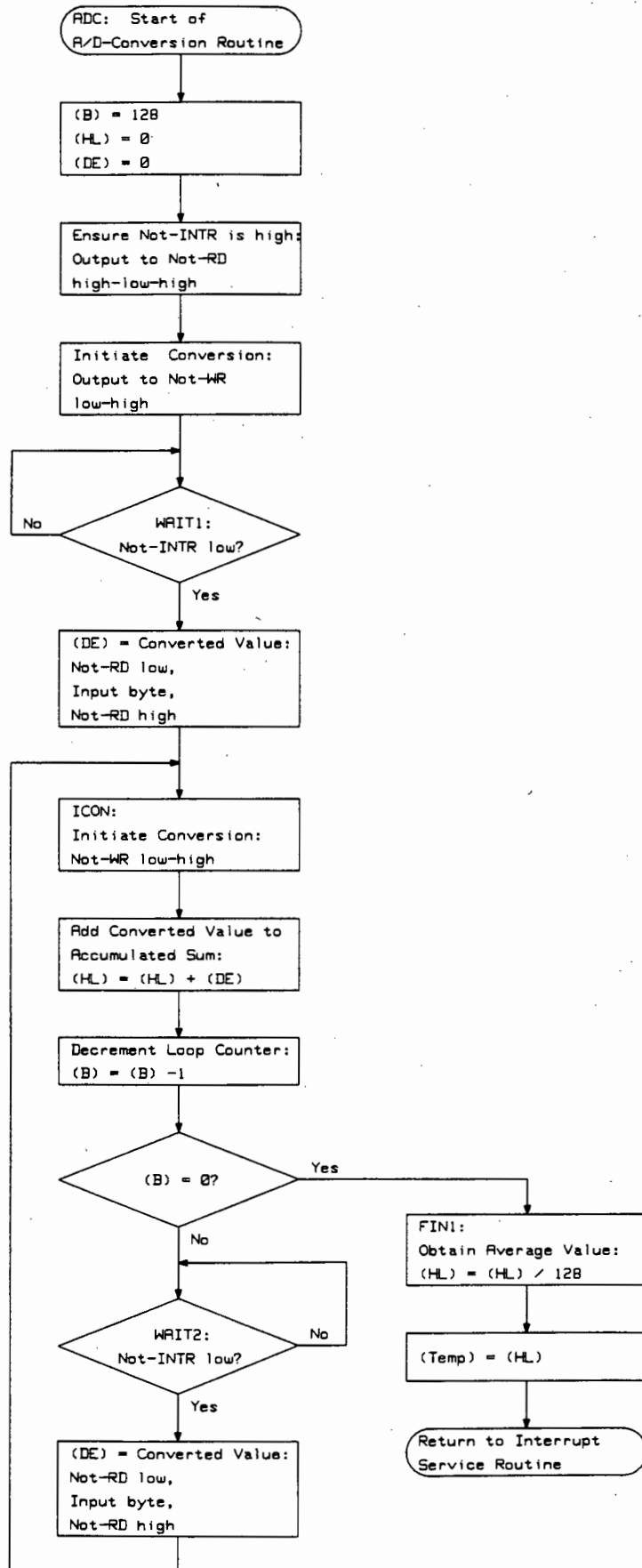


Figure 4.3.12. Flow Diagram of Machine Code A/D-Conversion Routine

Listings of "INTMODES", "INTVECTOR" and "ADC" appear in Annexure 5 to Appendix B.

4.3.11 Function 11 : Mass Storage

Description:

A magnetic tape recorder is used as mass storage medium for the storage of programs and data as described in the previous paragraphs.

4.3.12 Function 12 : Man-Machine Interfacing

Description:

The operator communicates with the controller by means of the computer's keyboard and the TV-display connected to the computer.

4.3.13 Function 13 : Data Processing

Description:

Following the completion of a simulation or an experiment, the measured temperature and control input data arrays stored on magnetic tape are reloaded into memory and processed by data processing programs to obtain the data in a compressed form which can be easily interpreted and analysed.

Activities:

Program "PLOTPACK" draws the input and output time responses on a graphic printer.

Program "STATPACK" computes and prints the mean and variances of the input and output.

Program "STATPACK2" computes and prints the autocorrelated values of the input and output.

Listings of these programs appear in Annexure 6 to Appendix B.

4.4 CONSTRUCTION

The physical construction of the system is briefly described in this paragraph.

The following system components are "off the shelf"- items:

- a. Computer
- b. Monochrome television receiver
- c. Graphic printer
- d. Tape recorder
- e. Heating cylinder
- f. Solenoid valves and piping

The computer parallel interface was built on Vero-board using wire-wrap interconnections. It was packaged in a plastic enclosure and plugged directly into the computer's edge connector.

The computer parallel interface connected by means of a flat ribbon cable to a DIL-socket inside a steel enclosure which housed the following circuitry:

- a. The A/D and D/A conversion plus signal conditioning circuitry.
- b. The precision voltage reference.
- c. The digital output buffer which actuates the disturbance valve relay.
- d. The liquid level control circuitry.
- e. The relays which control the supply to the solenoid valves.
- f. The dc-power supplies.

The circuits were built on Vero-board using soldered wire inter-connections and interfaced by means of shielded twisted pairs to the respective sensors and actuators.

The phase angle controller was packaged in a separate steel enclosure to minimize RF-interference. The circuit was built on Vero-board. The triac was mounted on a heat sink inside the enclosure.

Figure 4.4.1 shows a photograph of the electronic equipment. The computer parallel interface is to the left of the computer. The flat ribbon cable is connected to the enclosure to the top of the computer. The phase angle controller is to the right of the television receiver.

Figure 4.4.2 shows a photograph of the heating cylinder with associated cabling and piping.

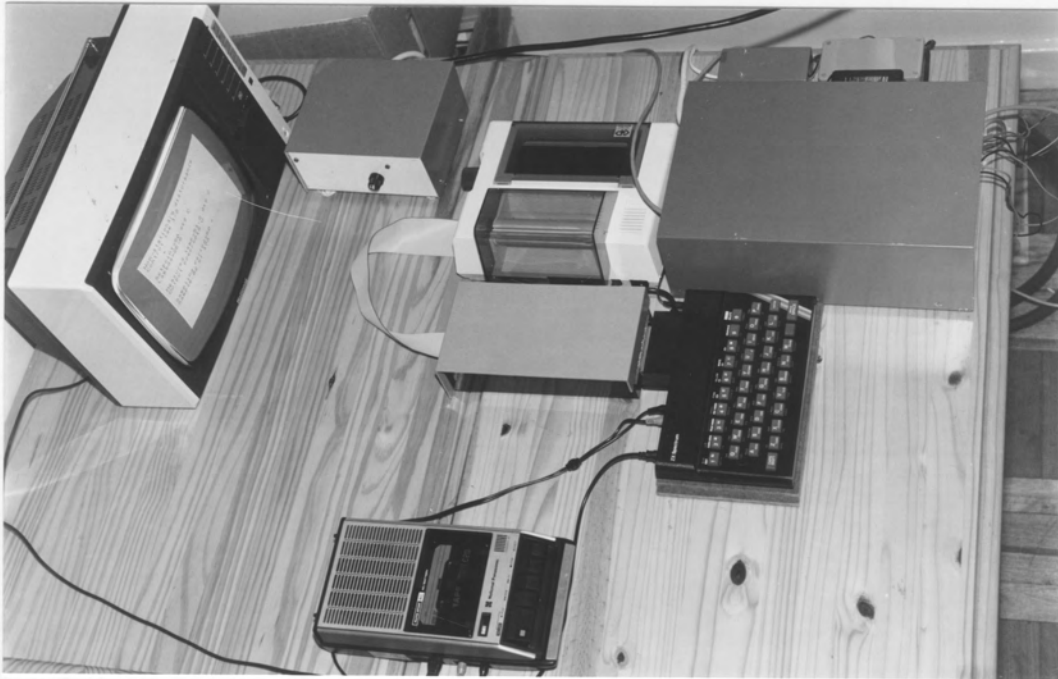


Figure 4.4.1 Photograph of the System Electronic Equipment



Figure 4.4.2 Photograph of the Heating Cylinder

CHAPTER 5

RESULTS OBTAINED BY SIMULATION

5.0 SCOPE

Having derived theoretically the control laws which respectively minimize cost functions 1, 2 and 3 (henceforth referred to as control laws 1, 2 and 3) in Chapter 3, the performance of the respective control laws was evaluated by computer simulation using the computer programs documented in Chapter 4.

The following aspects were evaluated :

- a. The quality of control provided respectively by control law 2 (being a general form of control law 1) and control law 3, given accurate process and disturbance models. Stationary as well as non-stationary disturbances were simulated. The results appear in paragraph 5.1.
- b. The quality of control provided respectively by control laws 2 and 3, given an accurate process model but an inaccurate disturbance model. The disturbance model in each case was inaccurate in the sense that when the simulated disturbance model was stationary, the actual simulated disturbance was non-stationary and vice versa. The results appear in paragraph 5.2.
- c. The quality of control provided respectively by control laws 2 and 3 given an accurate disturbance model but an inaccurate process model. The process model inaccuracy was realized by incorporating an arbitrary process model parameter error. The results appear in paragraph 5.3.

A summary of the results obtained by simulation is given in paragraph 5.4.

Each simulation was conducted over 2000 sampling points simulating a sampling period of 60 seconds. In total 60 simulation runs, each requiring approximately 30 minutes of computer processing time, were made.

The system parameters specified in Tabel 3.0.1 were used as model parameters. The width of the probability density function of the discrete stationary uncorrelated random sequence ξ_t was 0,0135 Kg/s for all the simulations.

5.1 RESULTS OBTAINED BY SIMULATION USING ACCURATE PROCESS AND DISTURBANCE MODELS

5.1.1 Time Responses Obtained

Figure 5.1.1 shows the input and output time responses obtained by simulation using cost function 2, a stationary disturbance (Figure 3.1.3) and disturbance model (Equation (3.2.21) and cost factor λ equal to 2×10^{-6} . The variance of input u is 87290 W^2 . Output y has a variance of $0,803 \text{ }^\circ\text{C}^2$ and a mean of $-0,009 \text{ }^\circ\text{C}$.

Regarding the interpretation of the sample autocorrelation function of output y shown in Figure 5.1.2, there are three types of sample autocorrelation functions, [6] namely :

- a. A function which dies down in a damped non-oscillatory exponential fashion.
- b. A function which dies down in a damped oscillatory exponential fashion.
- c. A function which dies down in a damped sine-wave fashion.

If the sample autocorrelation function of a time series dies away relatively quickly, the time series may be assumed to be stationary, implying that it has a constant mean. If the sample autocorrelation function of a time series dies away slowly, the time series may be assumed to be non-stationary, implying that it has a drifting mean.

The sample autocorrelation function shown in Figure 5.1.2 has a quick sinusoidal decay and the corresponding output time response shown in Figure 5.1.1 is therefore stationary.

Figure 5.1.3 shows the input and output time responses obtained by simulation using cost function 2, a non-stationary disturbance (Figure 3.1.4) and disturbance model (Equation (3.2.25)) and cost factor λ equal to 2×10^{-6} . The variance of input u is 380550 W^2 . Output y has a variance of $0,841 \text{ }^\circ\text{C}^2$ and a mean of $0,427 \text{ }^\circ\text{C}$.

The sample autocorrelation function shown in Figure 5.1.4 has a slow non-oscillatory exponential decay and the corresponding output time response shown in Figure 5.1.3 is therefore non-stationary.

Figure 5.1.5 shows the input and output time responses obtained by simulation using cost function 3, a stationary disturbance (Figure 3.1.3) and disturbance model (Equation (3.2.22)) and cost factor λ equal to 2×10^{-6} . The variance of input u is 169601 W^2 . Output y has a variance of $0,869 \text{ }^\circ\text{C}^2$ and a mean of $-0,017 \text{ }^\circ\text{C}$.

The sample autocorrelation function shown in Figure 5.1.6 has a quick sinusoidal decay and the corresponding output time response shown in Figure 5.1.5 is therefore stationary.

Figure 5.1.7 shows the input and output time responses obtained by simulation using cost function 3, a non-stationary disturbance (Figure 3.1.4) and disturbance model (Equation (3.2.26)) and cost factor λ equal to 2×10^{-6} .

The variance of input u is 392784 W^2 . Output y has a variance of $0,225 \text{ }^\circ\text{C}^2$ and a mean of $-0,001 \text{ }^\circ\text{C}$.

The sample autocorrelation function shown in Figure 5.1.8 has a slow sinusoidal decay and the corresponding output time response shown in Figure 5.1.7 is therefore non-stationary.

Table 5.1.1 tabulates the variance of input u and the variance and mean of output y obtained by simulation for λ equal to 2×10^{-6} using accurate process and disturbance models.

Table 5.1.2 tabulates the nature of the sample autocorrelation function and the output time response for the above cases.

The following observations can be made:

- a. When the disturbance acting on the system was non-stationary and modelled as such, control law 3 provided a better quality of control than did control law 2. Control law 2 provided an output y which had a relatively large mean error.
- b. When the disturbance acting on the system was stationary and modelled as such, control law 2 provided a marginally better quality of control than did control law 3.
- c. In all cases, the nature of the output time response obtained was the same as that of the disturbance and disturbance model.

Table 5.1.1 Variance of Input u and Variance and Mean of Output y Obtained by Simulation for λ Equal to 2×10^{-6} Using Accurate Process and Disturbance Models

Control Law	Nature of Disturbance and Disturbance Model	Variance of Input u (W^2)	Variance of Output y ($^{\circ}C^2$)	Mean of Output y ($^{\circ}C$)
2	Stationary	87290	0,803	-0,009
2	Non-Stationary	380550	0,841	0,427
3	Stationary	169601	0,869	-0,017
3	Non-Stationary	392784	0,255	-0,001

Table 5.1.2 Nature of the Sample Autocorrelation Function and the Output Time Series Obtained by Simulation for λ Equal to 2×10^{-6} Using Accurate Process and Disturbance Models

Control Law	Nature of Disturbance and Disturbance Model	Nature of Sample Autocorrelation Function	Nature of Output Time Response
2	Stationary	Quick sinusoidal decay	Stationary
2	Non-Stationary	Slow non-oscillatory exponential decay	Non-Stationary
3	Stationary	Quick sinusoidal decay	Stationary
3	Non-Stationary	Slow sinusoidal decay	Non-Stationary

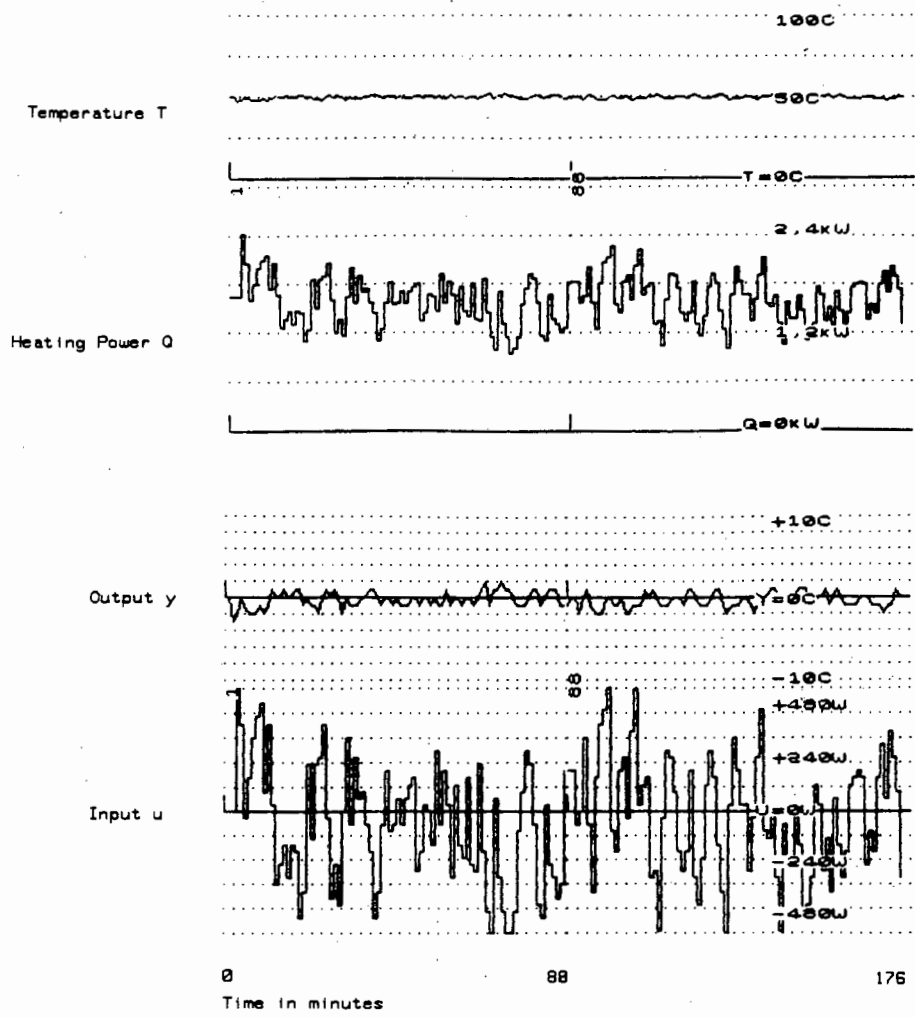


Figure 5.1.1. Input and Output Time Responses by Simulation using Cost Function 2, a Stationary Disturbance Model and with the actual Disturbance Stationary

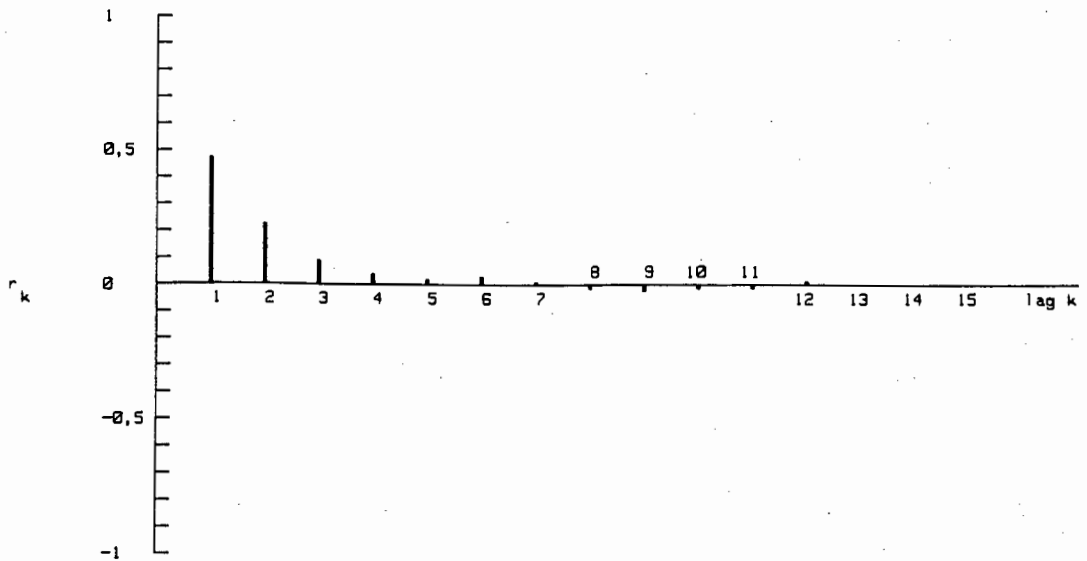


Figure 5.1.2. Sample Autocorrelation Function of the Output Time Response shown in the Figure above

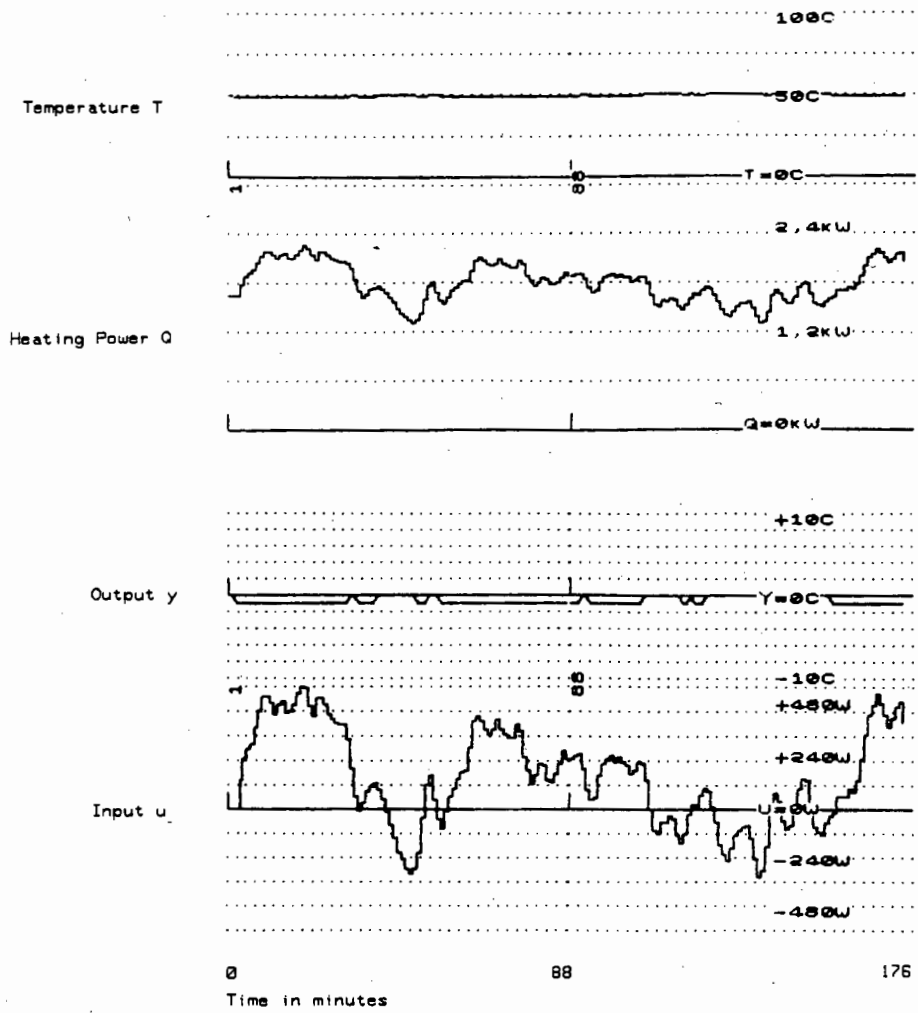


Figure 5.1.3. Input and Output Time Responses by Simulation using Cost Function 2, a Non-stationary Disturbance Model and with the actual Disturbance Non-stationary

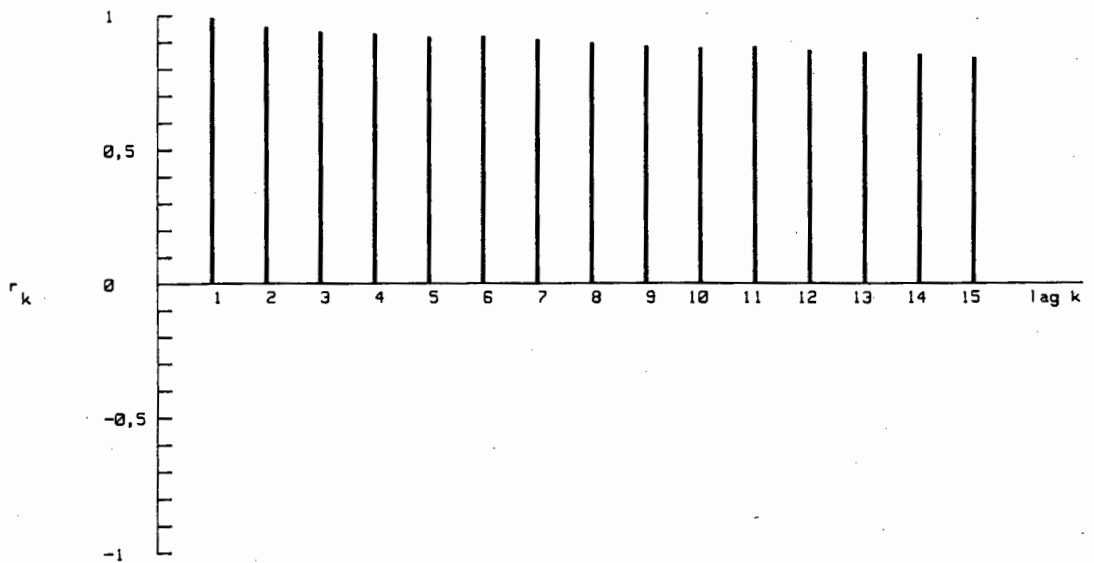


Figure 5.1.4. Sample Autocorrelation Function of the Output Time Response shown in the Figure above

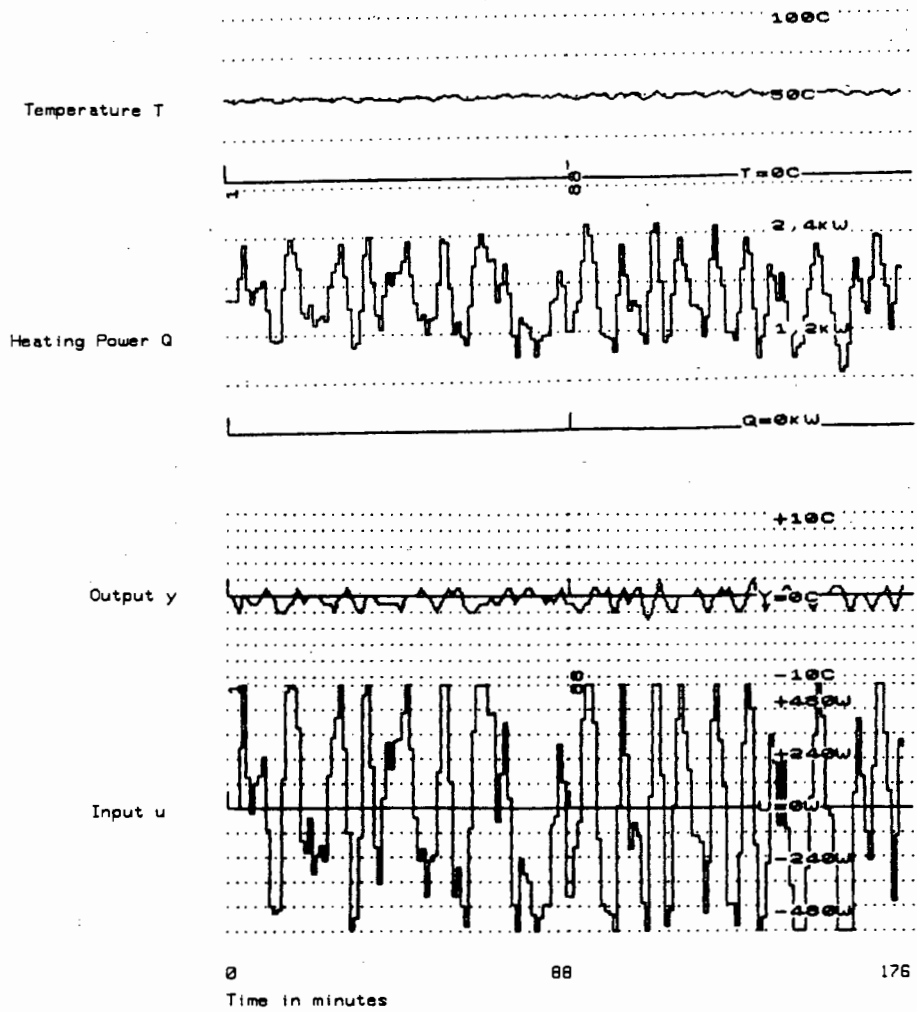


Figure 5.1.5. Input and Output Time Responses by Simulation using Cost Function 3, a Stationary Disturbance Model and with the actual Disturbance Stationary

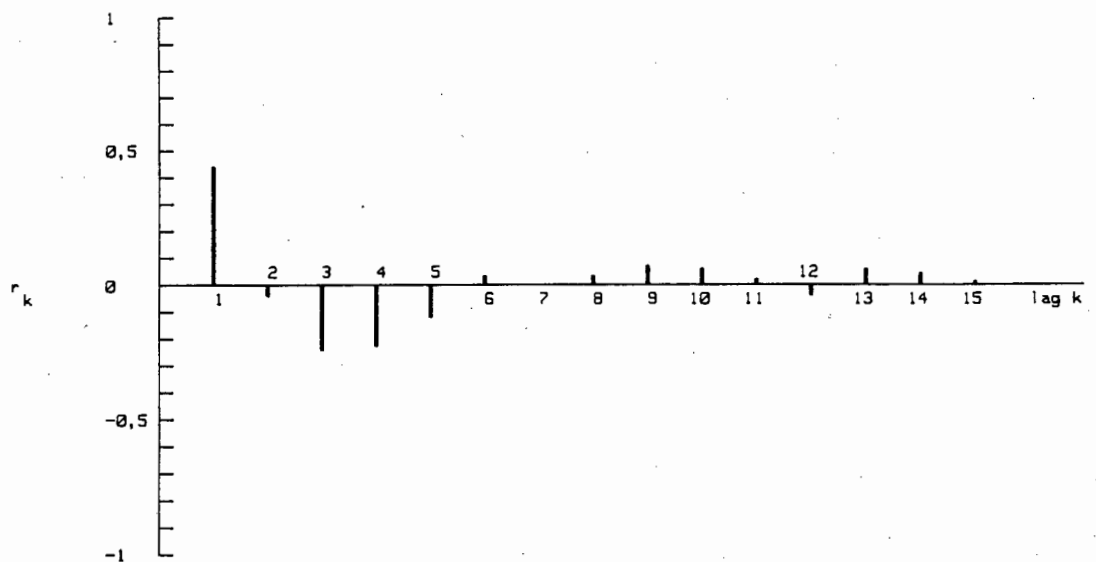


Figure 5.1.6. Sample Autocorrelation Function of the Output Time Response shown in the Figure above

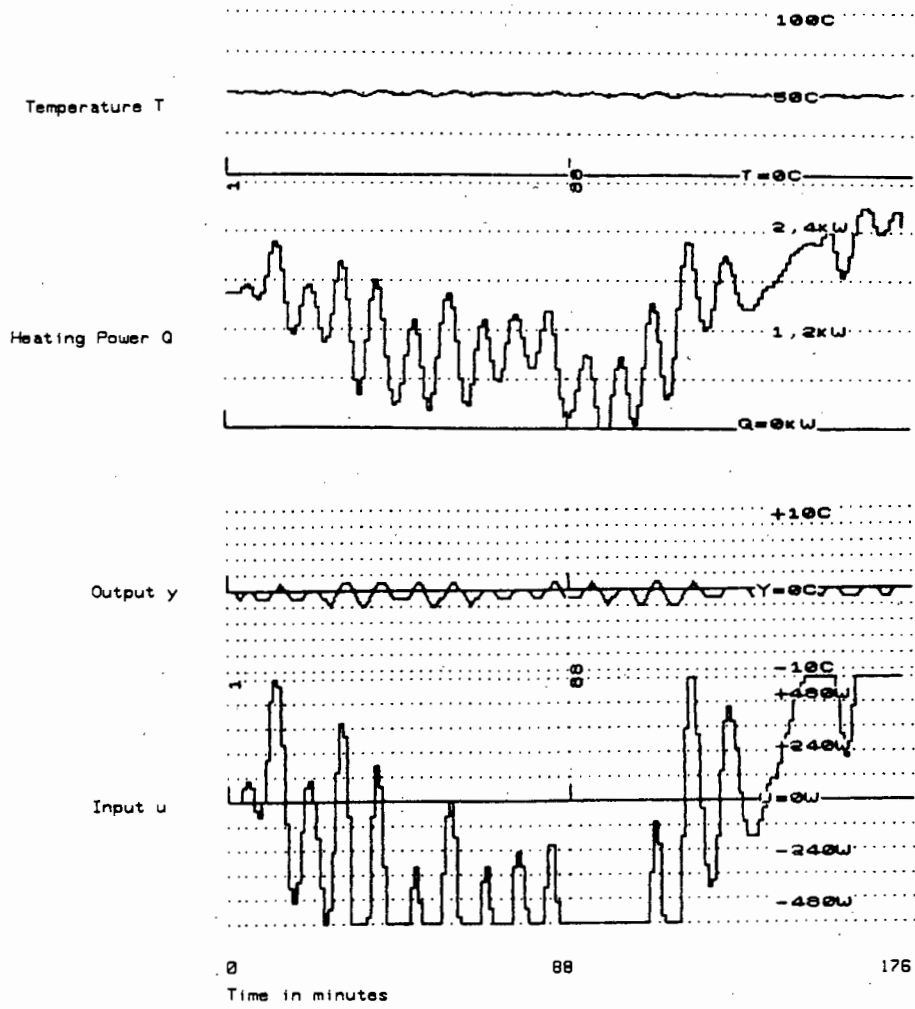


Figure 5.1.7. Input and Output Time Responses by Simulation using Cost Function 3, a Non-Stationary Disturbance Model and with the actual Disturbance Non-stationary

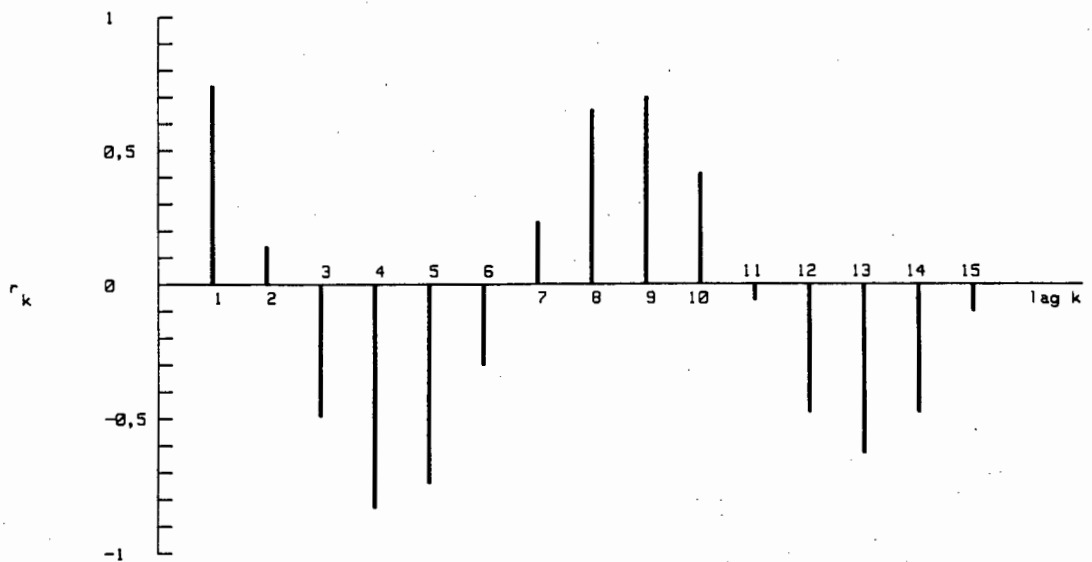


Figure 5.1.8. Sample Autocorrelation Function of the Output Time Response shown in the Figure above

5.1.2 Variance of Input u and Output y as a Function of Cost Factor λ

Figure 5.1.9 shows the variance of input u and output y as a function of cost factor λ obtained by simulation using cost function 2 and a stationary disturbance (Figure 3.1.3) and disturbance model (Equation (3.2.21)). This shows that the variance of control input u can be significantly reduced from the variance of input u obtained by using control law 1 (λ equal to zero) with only a small increase in the variance of output y by using a value for λ larger than zero. This agrees with the results obtained by Clarke et al [1].

Figure 5.1.10 shows the variance of input u and output y as a function of cost factor λ obtained by simulation using cost function 2 and a non-stationary disturbance (Figure 3.1.4) and disturbance model (Equation (3.2.25)). This shows that the variance of output y increases sharply with increasing values of λ larger than zero. This provides additional support to the claim that a controller designed to minimize control law 2 has difficulty to stabilise the output of a system subjected to a non-stationary disturbance. However, control law 1 (λ equal to zero) provides a small variance of output y .

Figure 5.1.11 shows the variance of input u and output y as a function of cost factor λ obtained by simulation using cost function 3 and a stationary disturbance (Figure 3.1.3) and disturbance model (Equation (3.2.22)). This shows that it is not possible to significantly reduce the variance of control input u without significantly increasing the variance of output y by using a value larger than zero for λ .

Figure 5.1.12 shows the variance of input u and output y as a function of cost factor λ obtained by simulation using cost function 3 and a non-stationary disturbance (Figure 3.1.4) and disturbance model (Equation (3.2.26)). Using a value for λ larger than zero in this case, does not reduce the variance of control input u . This is because control input u obtained by minimizing control law 3 has the inherent nature to drift. However, the rate of change of control input u is reduced with increasing values of λ . This may be of

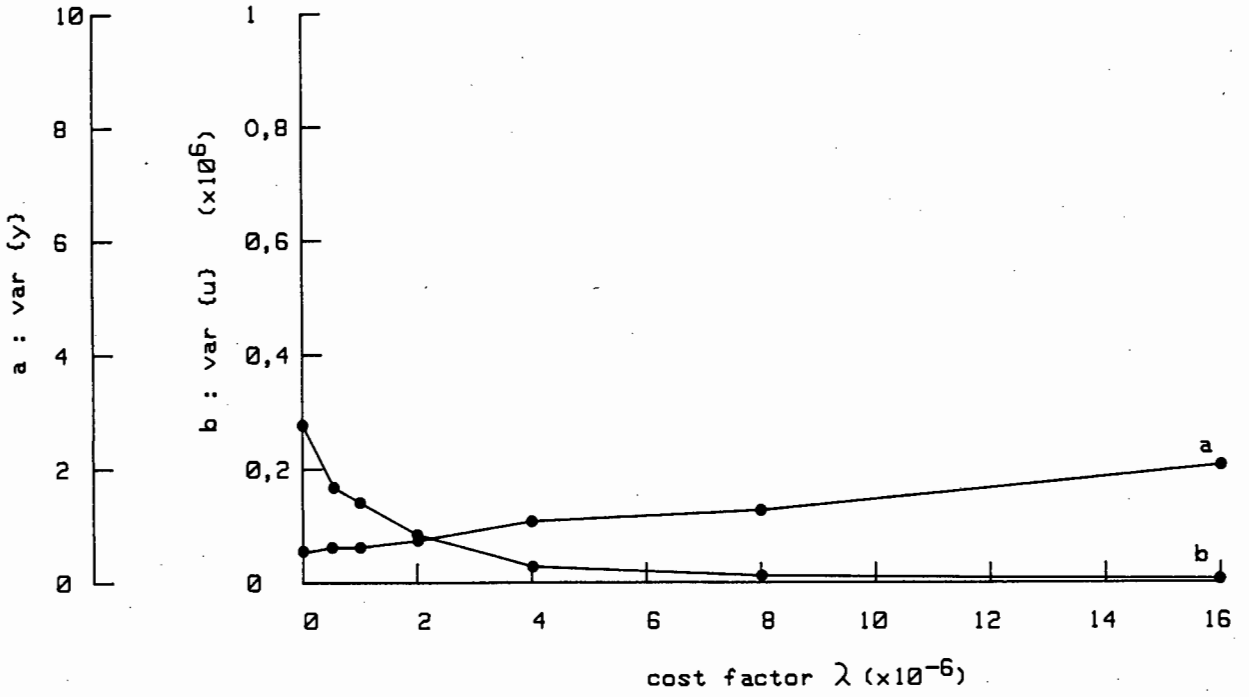


Figure 5.1.9. Control u and Output y Variances as a Function of the Cost Factor λ by Simulation using Cost Function 2, a Stationary Disturbance Model and with the actual Disturbance Stationary

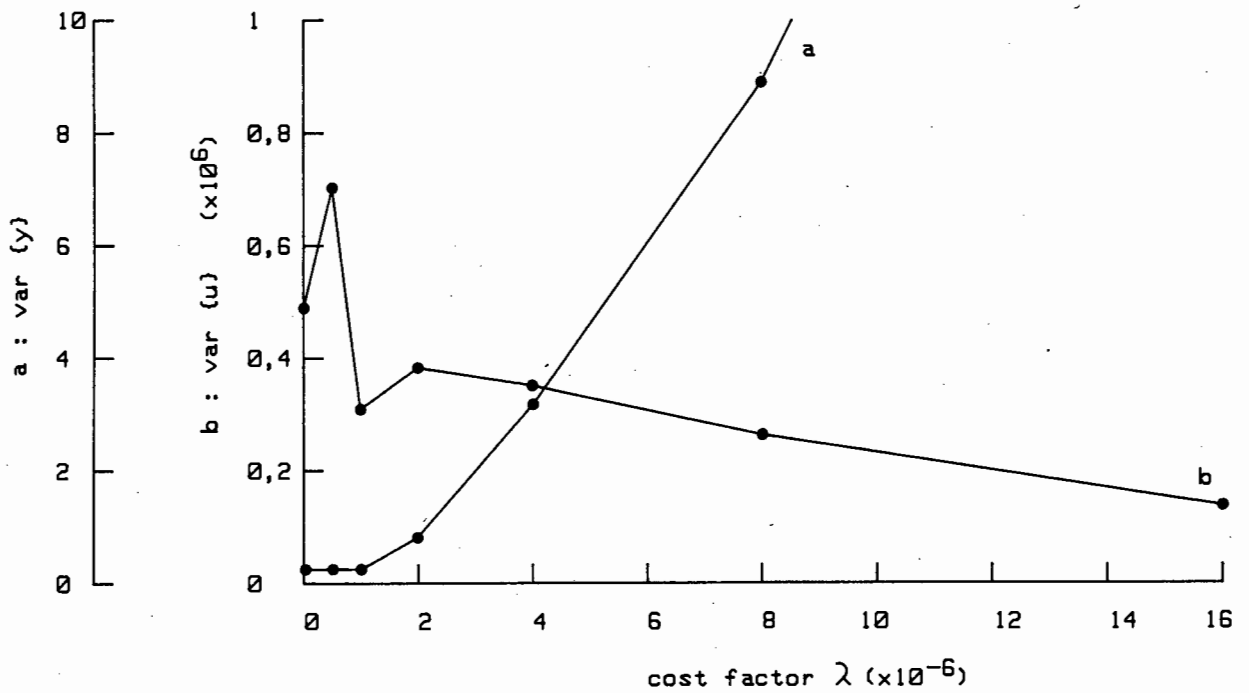


Figure 5.1.10. Control u and Output y Variances as a Function of the Cost Factor λ by Simulation using Cost Function 2, a Non-stationary Disturbance Model and with the actual Disturbance Non-stationary

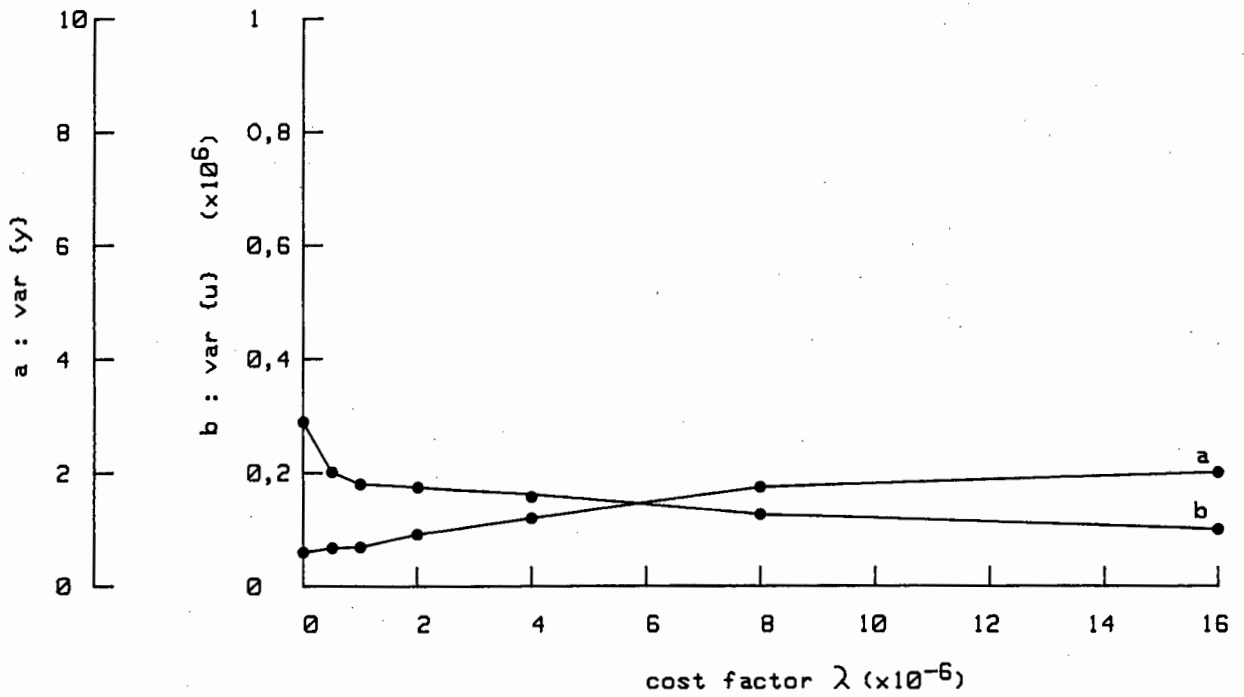


Figure 5.1.11. Control u and Output y Variances as a Function of the Cost Factor λ by Simulation using Cost Function 3, a Stationary Disturbance Model and with the actual Disturbance Stationary

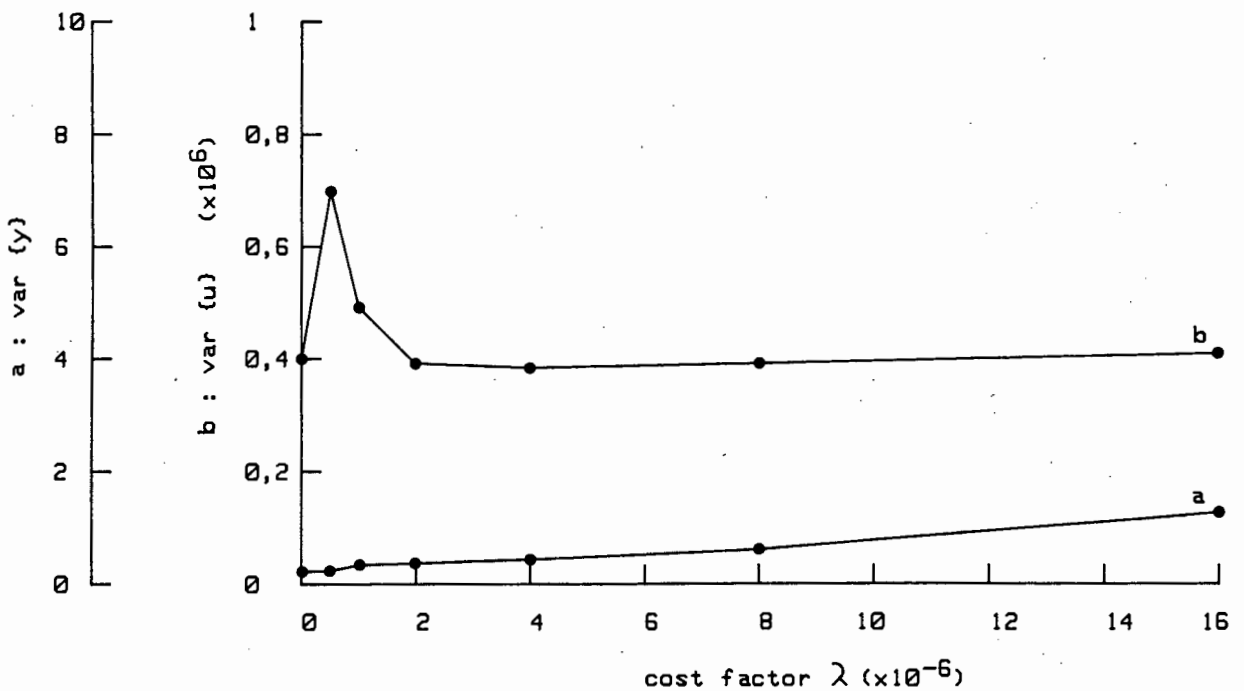


Figure 5.1.12. Control u and Output y Variances as a Function of the Cost Factor λ by Simulation using Cost Function 3, a Non-stationary Disturbance Model and with the actual Disturbance Non-stationary

importance when saturation of the control transducer with the corresponding introduction of non-linearities is likely to occur. A larger value for λ may reduce the chances of this happening.

5.2 RESULTS OBTAINED BY SIMULATION USING AN ACCURATE PROCESS MODEL BUT AN INACCURATE DISTURBANCE MODEL

5.2.1 Time Responses Obtained

As stated in paragraph 5.0, the disturbance model in each case was inaccurate in the sense that when the simulated disturbance model was stationary, the actual simulated disturbance was non-stationary and vice versa.

Figure 5.2.1 shows the input and output time responses obtained by simulation using cost function 2, a stationary disturbance model (Equation (3.2.21)) and cost factor λ equal to 2×10^{-6} while the actual disturbance was non-stationary (Figure 3.1.4). The variance of input u is 523989 W^2 . Output y has a variance of $5,242 \text{ }^\circ\text{C}^2$ and a mean of $-0,385 \text{ }^\circ\text{C}$.

The sample autocorrelation function shown in Figure 5.2.2 has a slow non-oscillatory exponential decay and the corresponding output time response shown in Figure 5.2.1 is therefore non-stationary.

Figure 5.2.3 shows the input and output time responses obtained by simulation using cost function 2, a non-stationary disturbance model (Equation (3.2.25)) and cost factor λ equal to 2×10^{-6} while the actual disturbance was stationary (Figure 3.1.3). The variance of input u is 159861 W^2 . Output y has a variance of $0,788 \text{ }^\circ\text{C}^2$ and a mean of $0,005 \text{ }^\circ\text{C}$.

The sample autocorrelation function shown in Figure 5.2.4 has a quick sinusoidal decay and the corresponding output time response shown in Figure 5.2.3 is therefore stationary.

Figure 5.2.5 shows the input and output time responses obtained by simulation using cost function 3, a stationary disturbance model (Equation (3.2.22)) and cost factor λ equal to 2×10^{-6} while the actual disturbance was non-stationary (Figure 3.1.4). The variance of input u is $477002 W^2$. Output y has a variance of $1,126 \text{ } ^\circ\text{C}^2$ and a mean of $0,499 \text{ } ^\circ\text{C}$.

The sample autocorrelation function shown in Figure 5.2.6 has a slow non-oscillatory exponential decay and the corresponding output time response shown in Figure 5.2.5 is therefore non-stationary.

Figure 5.2.7 shows the input and output time responses obtained by simulation using cost function 3, a non-stationary disturbance model (Equation (3.2.26)) and cost factor λ equal to 2×10^{-6} while the actual disturbance was stationary (Figure 3.1.3). The variance of input u is $782254 W^2$. Output y has a variance of $5,411 \text{ } ^\circ\text{C}^2$ and a mean of $-0,001 \text{ } ^\circ\text{C}$.

The sample autocorrelation function shown in Figure 5.2.8 has a slow sinusoidal decay and the corresponding output time response shown in Figure 5.2.7 is therefore non-stationary.

Table 5.2.1 tabulates the variance of input u and the variance and mean of output y obtained by simulation for λ equal to 2×10^{-6} using an accurate process model but inaccurate disturbance models.

Table 5.2.2 tabulates the nature of the sample autocorrelation function and the output time responses for the above cases.

The following observations can be made :

- a. When the disturbance acting on the system was non-stationary but modelled as stationary, control law 3 provided a better quality of control than did control law 2. Control law 2 provided a very poor quality of control in this case.

- b. When the disturbance acting on the system was stationary but modelled as non-stationary, control law 2 provided a better quality of control than did control law 3. Control law 3 provided a very poor quality of control in this case.

- c. Referring to Tables 5.1.2 and 5.2.2, the only case where the nature of the output time responses was not the same as that of the actual disturbance, was for the case when control law 3 and a non-stationary disturbance model was used while the actual disturbance was stationary. This may be interpreted as an inability on the part of this controller to stably control the system.

Table 5.2.1 Variance of Input u and Variance and Mean of Output y Obtained by Simulation for λ Equal to 2×10^{-6} Using an Accurate Process Model but with the Disturbance Model Inaccurate as Defined

Control Law	Nature of Disturbance Model	Nature of Actual Disturbance	Variance of Input u (W^2)	Variance of Output y ($^{\circ}C^2$)	Mean of Output y ($^{\circ}C$)
2	Stationary	Non-stationary	523989	5,242	-0,385
2	Non-Stationary	Stationary	159861	0,788	0,005
3	Stationary	Non-Stationary	477002	1,126	0,499
3	Non-Stationary	Stationary	782254	5,411	-0,001

Table 5.2.2 Nature of the Sample Autocorrelation Function and the Output Time Series Obtained by Simulation for λ Equal to 2×10^{-6} Using an Accurate Process Model but with the Disturbance Model Inaccurate as Defined

Control Law	Nature of Disturbance Model	Nature of Actual Disturbance	Nature of Sample Autocorrelation Function	Nature of Output Time Response
2	Stationary	Non-Stationary	Slow non-oscillatory exponential decay	Non-Stationary
2	Non-Stationary	Stationary	Quick sinusoidal decay	Stationary
3	Stationary	Non-Stationary	Slow non-oscillatory exponential decay	Non-Stationary
3	Non-Stationary	Stationary	Slow sinusoidal decay	Non-Stationary

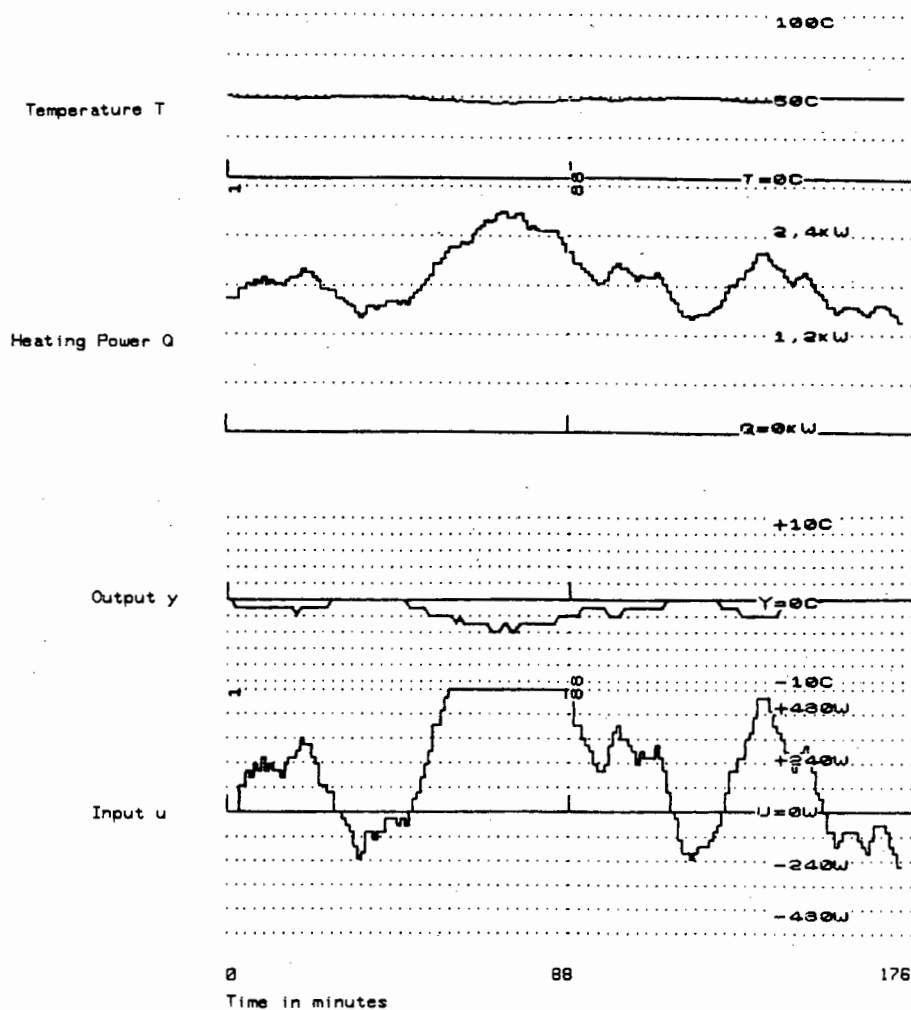


Figure 5.2.1. Input and Output Time Responses by Simulation using Cost Function 2, a Stationary Disturbance Model and with the actual Disturbance Non-stationary

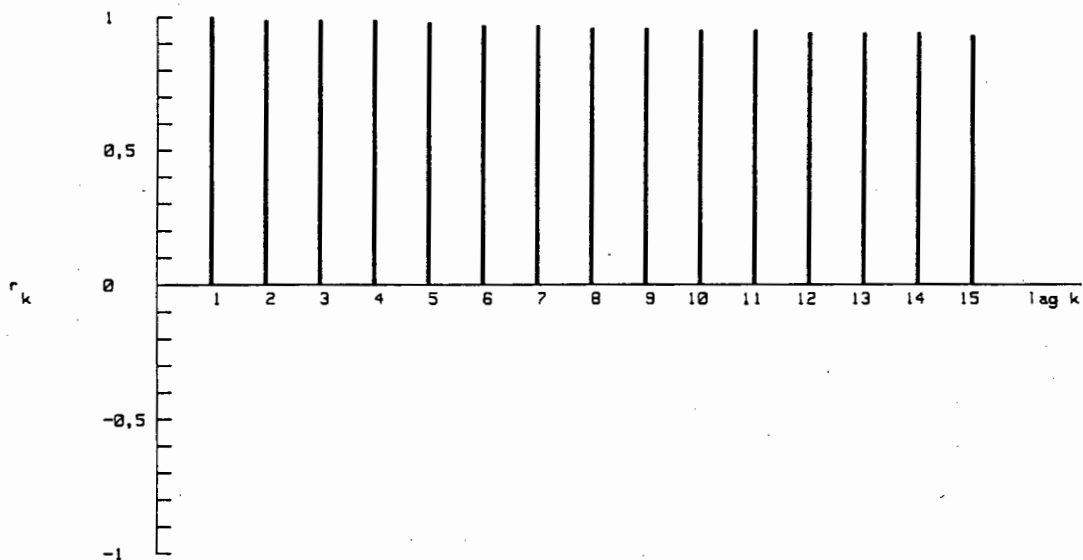


Figure 5.2.2. Sample Autocorrelation Function of the Output Time Response shown in the Figure above

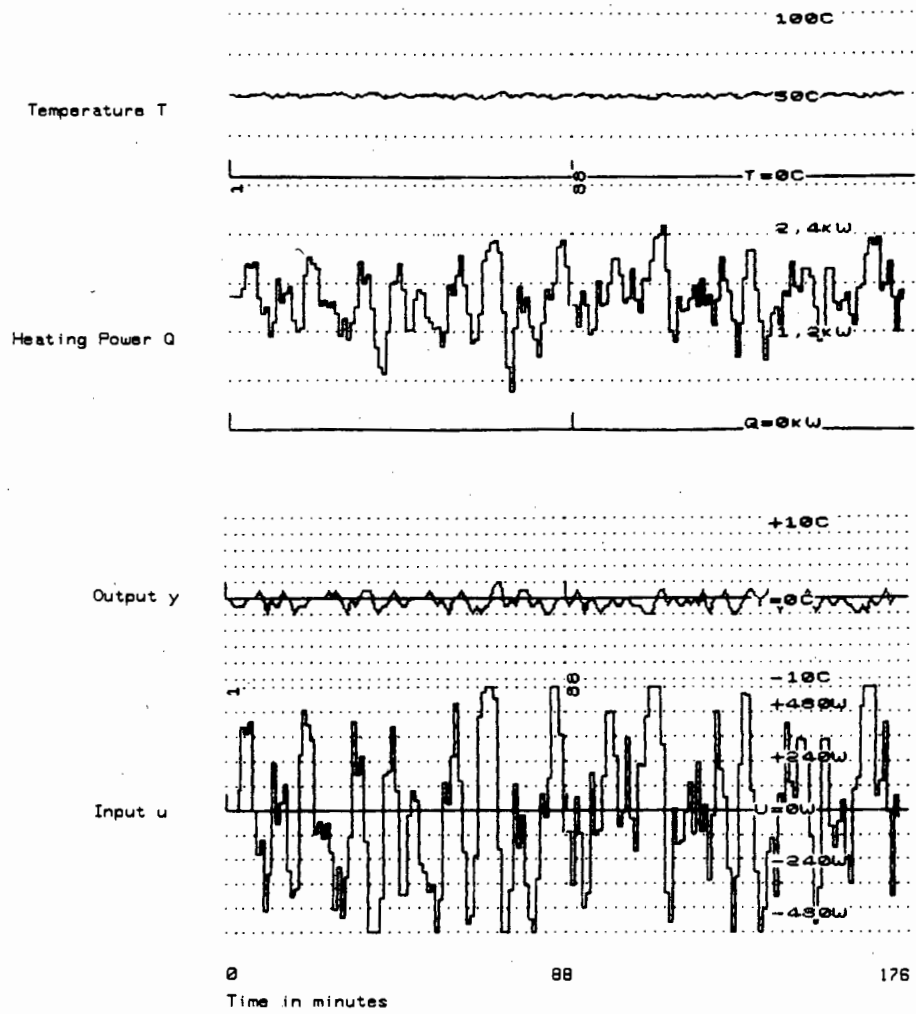


Figure 5.2.3. Input and Output Time Responses by Simulation using Cost Function 2, a Non-stationary Disturbance Model and with the actual Disturbance Stationary

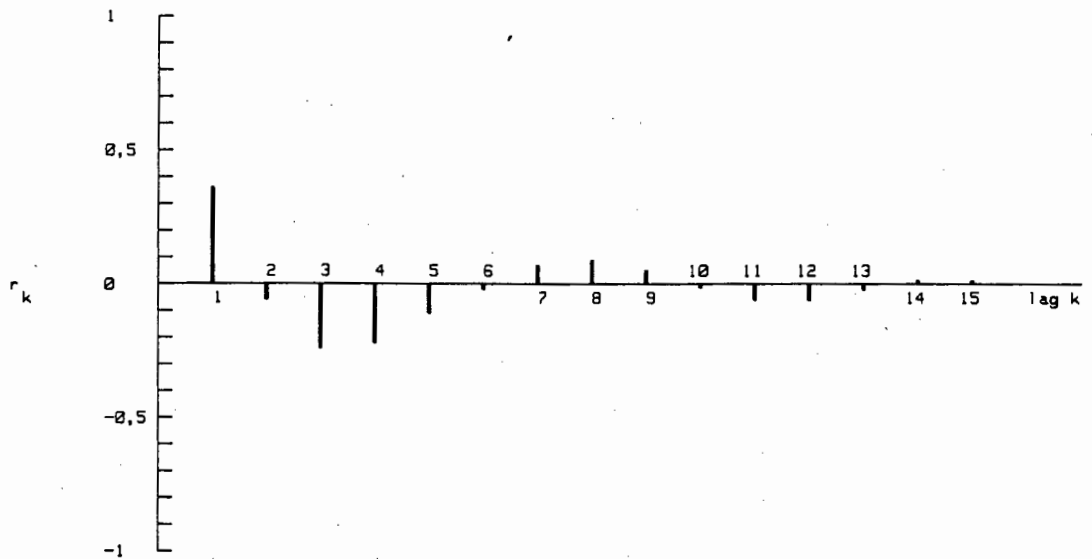


Figure 5.2.4. Sample Autocorrelation Function of the Output Time Response shown in the Figure above

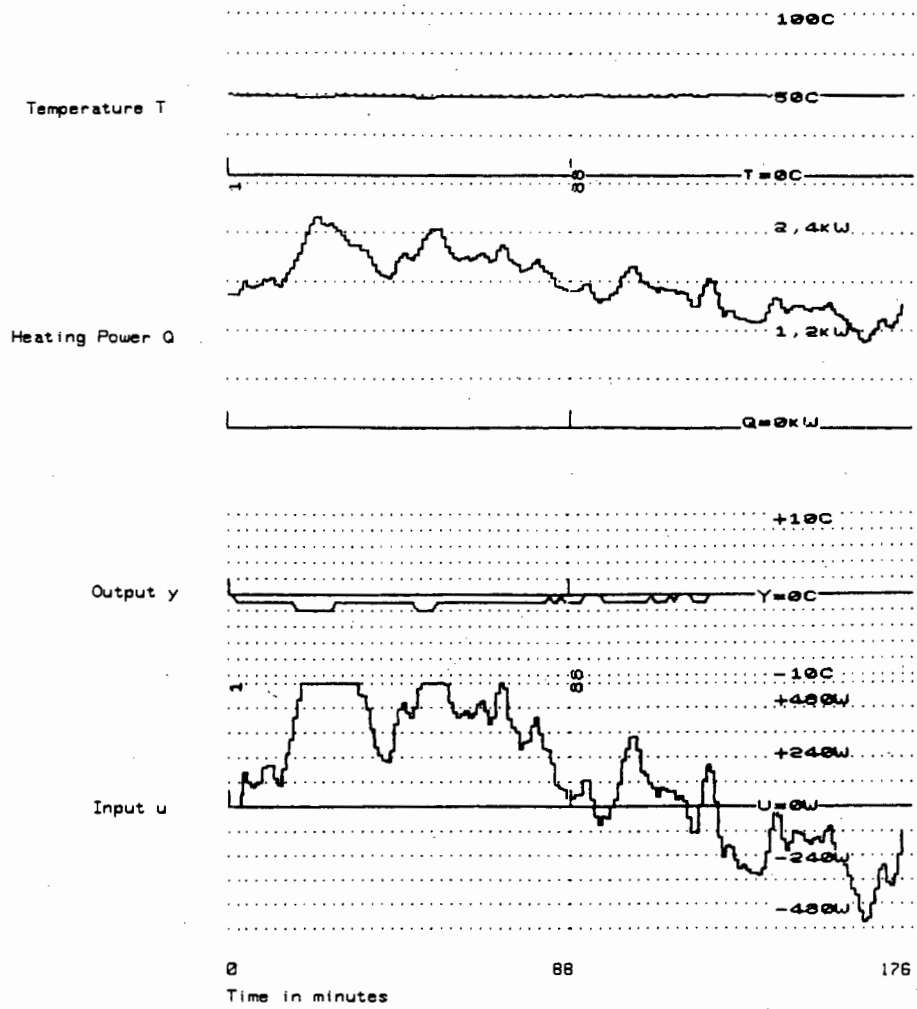


Figure 5.2.5. Input and Output Time Responses by Simulation using Cost Function 3, a Stationary Disturbance Model and with the actual Disturbance Non-stationary

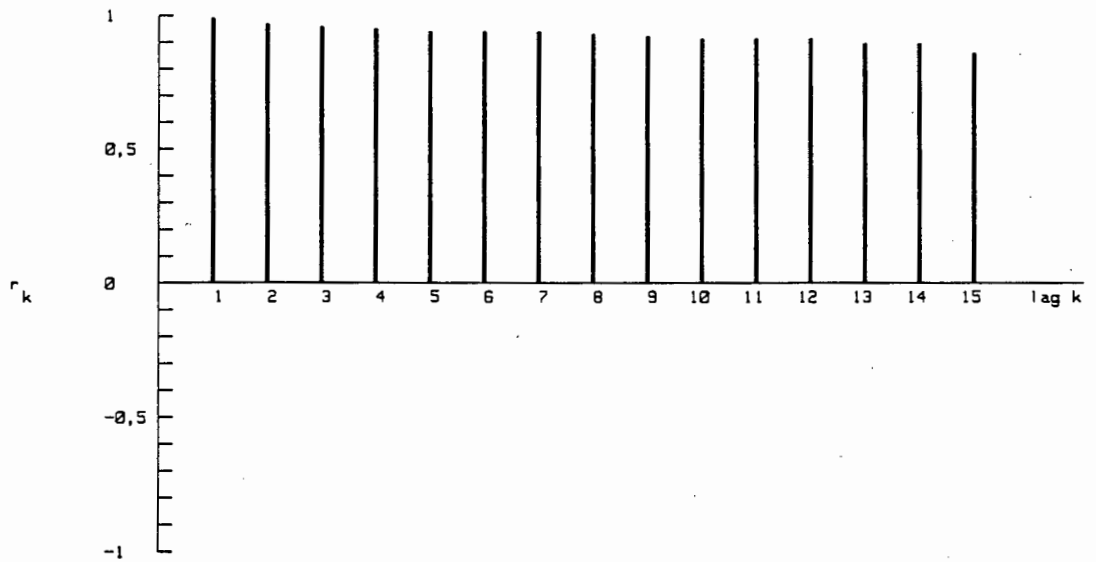


Figure 5.2.6. Sample Autocorrelation Function of the Output Time Response shown in the Figure above

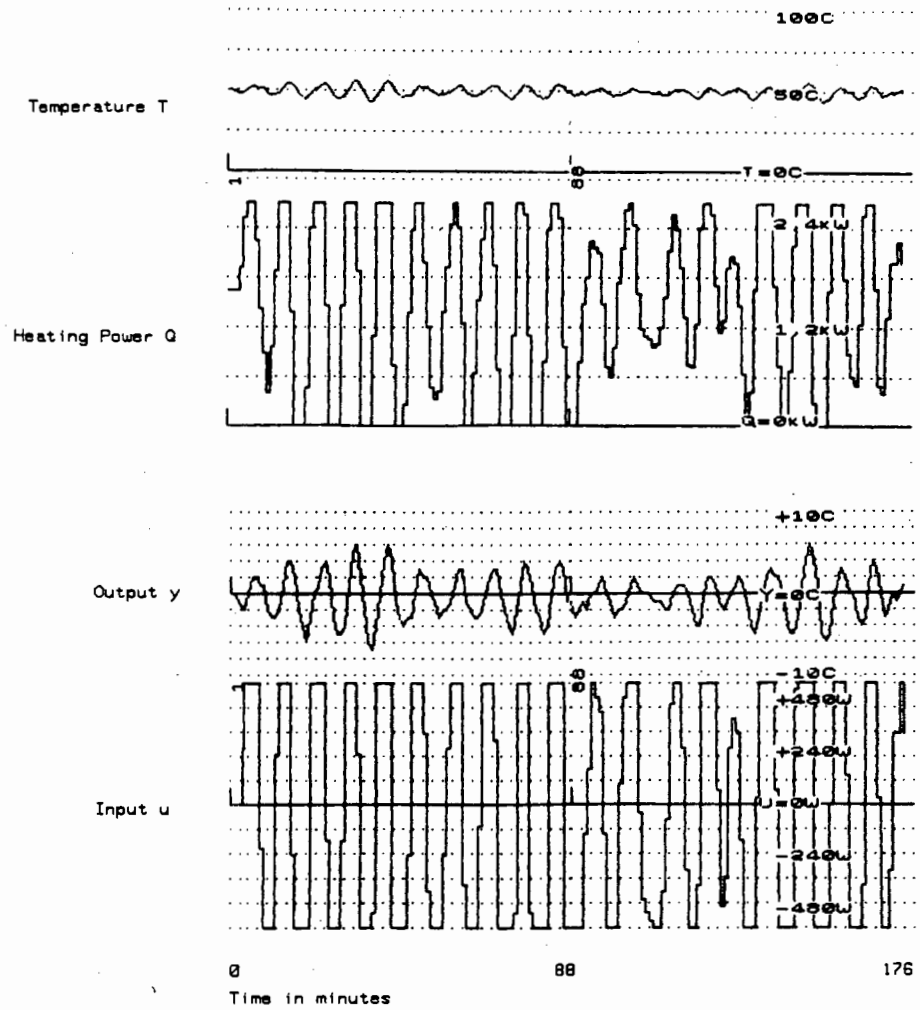


Figure 5.2.7. Input and Output Time Responses by Simulation using Cost Function 3, a Non-stationary Disturbance Model and with the actual Disturbance Stationary

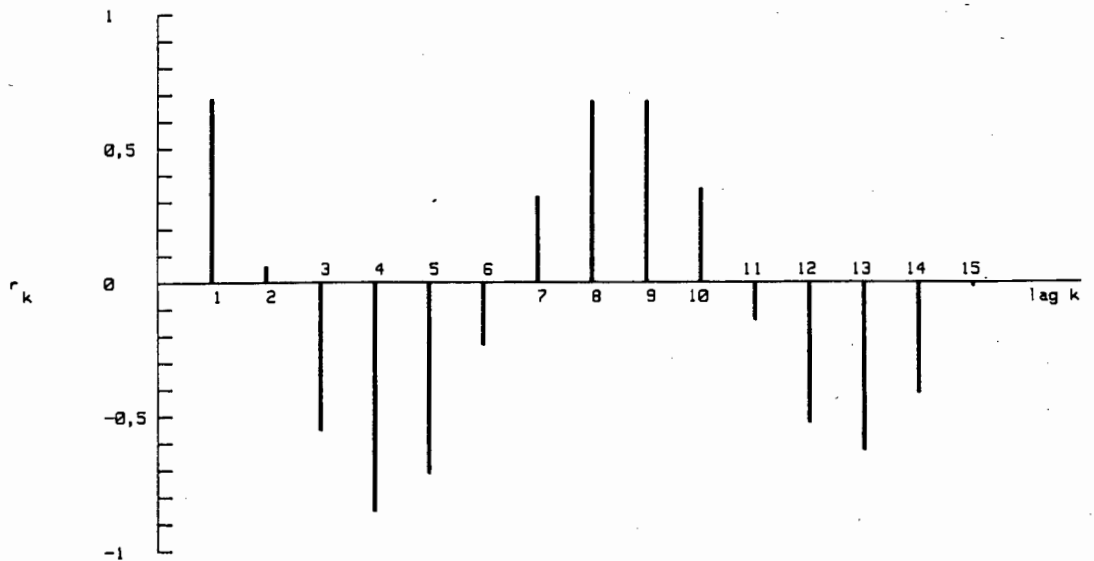


Figure 5.2.8. Sample Autocorrelation Function of the Output Time Response shown in the Figure above

5.2.2 Variance of Input u and Output y as a Function of Cost Factor λ

Figure 5.2.9 shows the variance of input u and output y as a function of cost factor λ obtained by simulation using cost function 2 and a stationary disturbance model (Equation (3.2.21)) while the disturbance was actually non-stationary (Figure 3.1.4). This shows that the variance of output y increases sharply with increasing values of λ . This is similar to the characteristics obtained using cost function 2 and a non-stationary disturbance and disturbance model shown in Figure 5.1.10.

Figure 5.2.10 shows the variance of input u and output y as a function of cost factor λ obtained by simulation using cost function 2 and a non-stationary disturbance model (Equation (3.2.25)) while the disturbance was actually stationary (Figure 3.1.3). The poor control quality provided by control law 1 (λ equal to zero), may be the result of non-linearities introduced by control element saturation.

Figure 5.2.11 shows the variance of input u and output y as a function of cost factor λ obtained by simulation using cost function 3 and a stationary disturbance model (Equation (3.2.22)) while the disturbance was actually non-stationary (Figure 3.1.4). This shows that the variance of the output y is relatively small and varies little over the range of values for λ shown.

Figure 5.2.12 shows the variance of input u and output y as a function of cost factor λ obtained by simulation using cost function 3 and a non-stationary disturbance model (Equation (3.2.26)) while the disturbance was actually stationary (Figure 3.1.3). This shows that the quality of control is extremely poor for all values of λ shown.

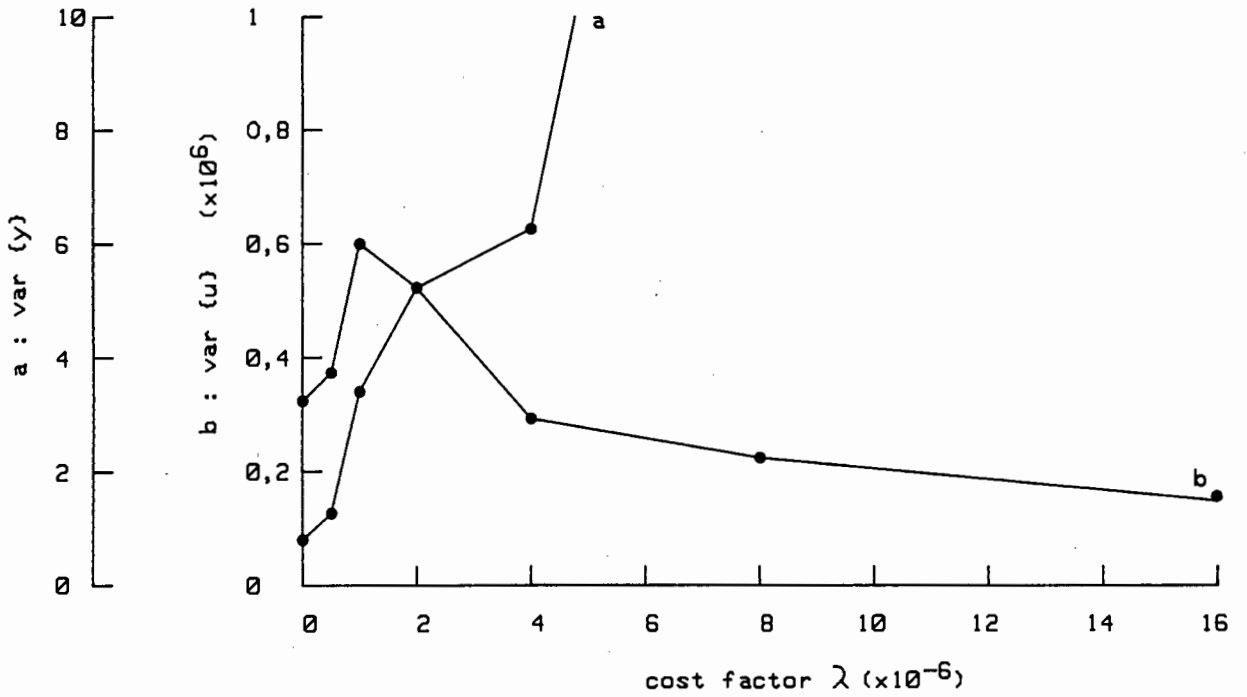


Figure 5.2.9. Control u and Output y Variances as a Function of the Cost Factor λ by Simulation using Cost Function 2, a Stationary Disturbance Model and with the actual Disturbance Non-stationary

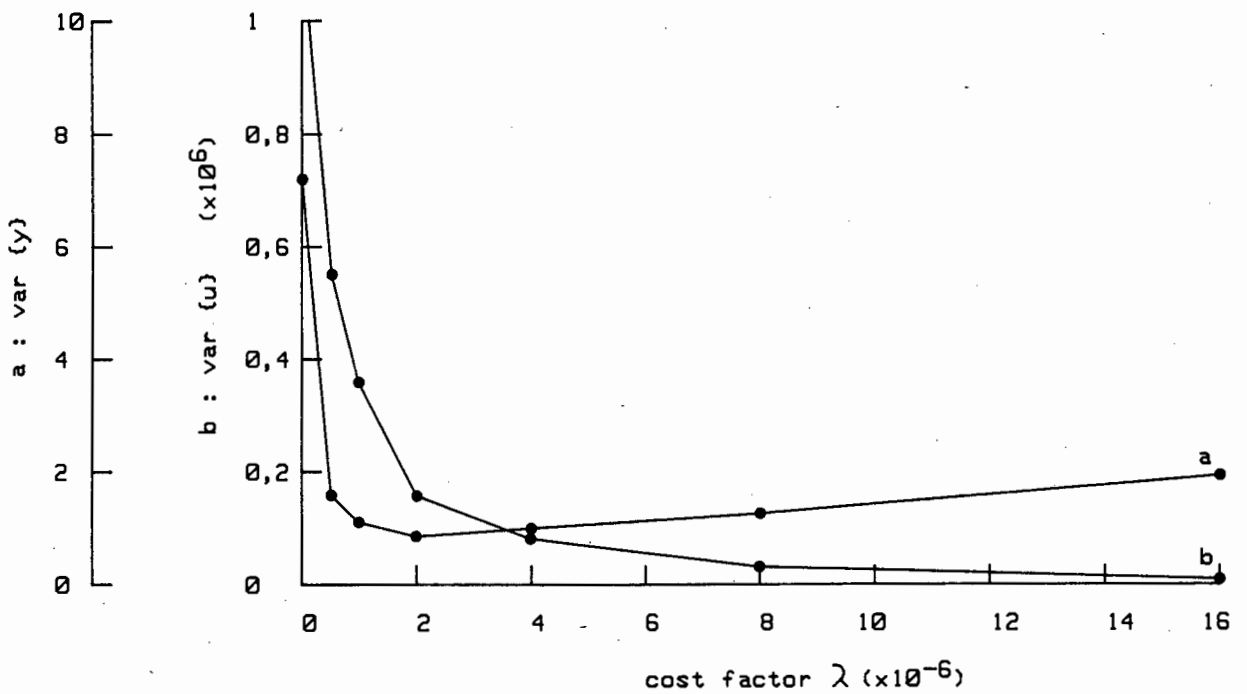


Figure 5.2.10. Control u and Output y Variances as a Function of the Cost Factor λ by Simulation using Cost Function 2, a Non-stationary Disturbance Model and with the actual Disturbance Stationary

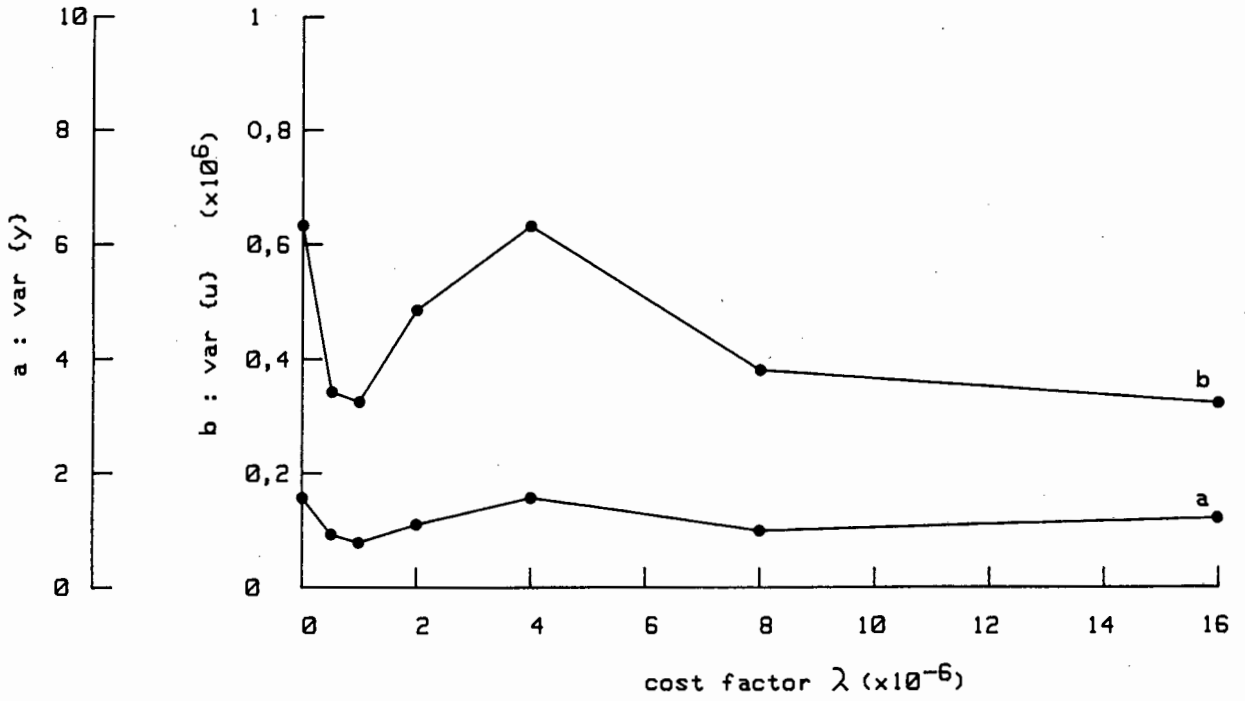


Figure 5.2.11. Control u and Output y Variances as a Function of the Cost Factor λ by Simulation using Cost Function 3, a Stationary Disturbance Model and with the actual Disturbance Non-stationary

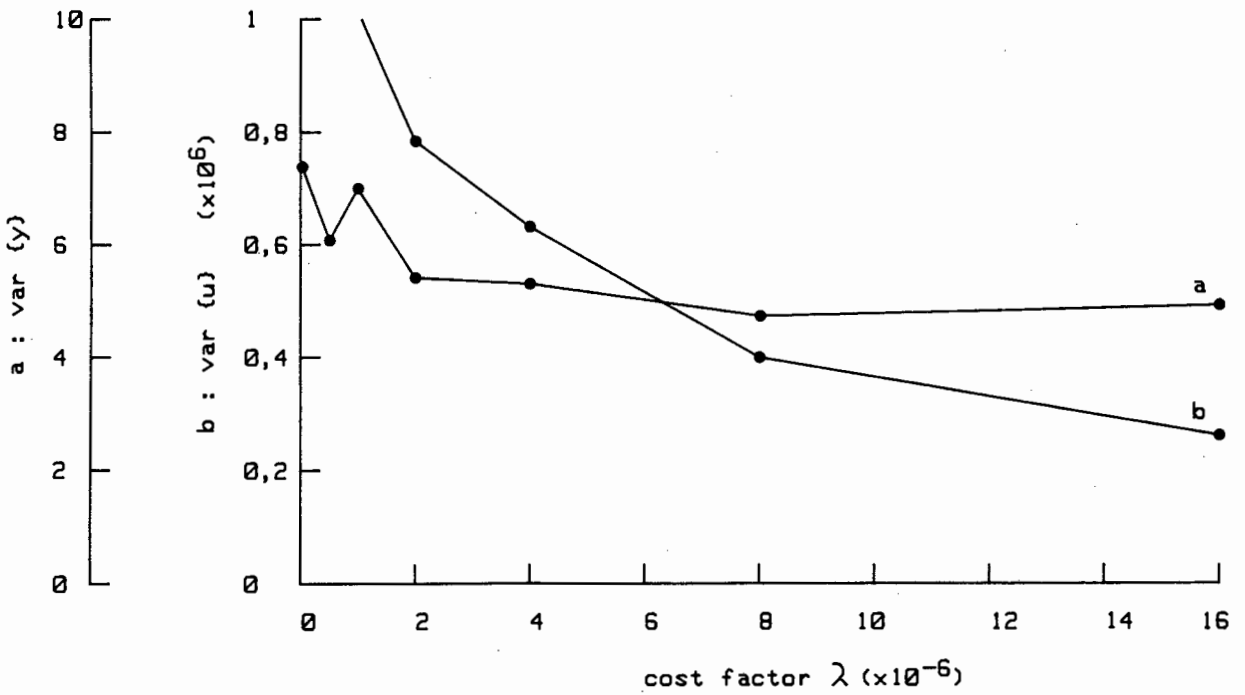


Figure 5.2.12. Control u and Output y Variances as a Function of the Cost Factor λ by Simulation using Cost Function 3, a Non-stationary Disturbance Model and with the actual Disturbance Stationary

5.3 RESULTS OBTAINED BY SIMULATION USING AN ACCURATE DISTURBANCE MODEL BUT AN INACCURATE PROCESS MODEL

The aim of doing these simulations was to evaluate the effect of an arbitrary process model inaccuracy in the quality of control provided by the respective controllers. The actual mass of water in the cylinder was simulated to be 13 kg instead of the model value of 10 kg.

Using control law 2 and a stationary disturbance (Figure 3.1.3) and disturbance model (Equation (3.2.21)), a variance of 100470 W^2 for input u and a variance of $0,924 \text{ }^\circ\text{C}^2$ and mean of $-0,009 \text{ }^\circ\text{C}$ for output y were obtained.

Using control law 2 and a non-stationary disturbance (Figure 3.1.4) and disturbance model (Equation (3.2.25)), a variance of 614980 W^2 for input u and a variance of $1,507 \text{ }^\circ\text{C}^2$ and mean of $-0,480 \text{ }^\circ\text{C}$ for output y were obtained.

Using control law 3 and a stationary disturbance (Figure 3.1.3) and disturbance model (Equation (3.2.22)), a variance of 219827 W^2 for input u and a variance of $1,009 \text{ }^\circ\text{C}^2$ and mean of $0,008 \text{ }^\circ\text{C}$ for output y were obtained.

Using control law 3 and a non-stationary disturbance (Figure 3.1.4) and disturbance model (Equation (3.2.26)), a variance of 586766 W^2 for input u and a variance of $0,524 \text{ }^\circ\text{C}^2$ and mean of $0,000 \text{ }^\circ\text{C}$ for output y were obtained.

Table 5.3.1 tabulates the percentage increase in the variance of input u and output y relative to the values obtained using accurate process and disturbance models (Table 5.1.1) as a result of the specified process model inaccuracy.

Table 5.3.1 Percentage Increase in the Variance of Input u and Output y Obtained by Simulation for λ Equal to 2×10^{-6} as a Result of the Specified Process Model Inaccuracy

($M_{\text{model}} = 10 \text{ Kg}$, $M_{\text{process}} = 13 \text{ Kg}$)

Control Law	Nature of Disturbance and Disturbance model	Percentage Increase in the Variance of Input u	Percentage Increase in the Variance of Output y
2	Stationary	15	15
2	Non-Stationary	62	79
3	Stationary	30	16
3	Non-Stationary	49	106

The variance of output y obtained using control law 2 and a stationary disturbance model has a small sensitivity to the process model inaccuracy. This can be explained by the stability analysis of this controller in paragraph 3.3.1. Since the corresponding characteristic equation has no eigenvalues on the unit circle, model perturbations can be expected to have a smaller effect on the variance of output y than it would have had if there were poles on the unit circle.

The variance of output y obtained using control law 2 and a non-stationary disturbance model has a relatively high sensitivity to the process model inaccuracy. This can be explained by the stability analysis of this controller in paragraph 3.3.2. Since the corresponding characteristic equation has an eigenvalue on the unit circle, the variance of output y can be expected to be sensitive to model perturbations.

The variance of output y obtained using control law 3 and a stationary disturbance model has a small sensitivity to the process model inaccuracy. This can be explained by the stability analysis of this controller in paragraph 3.3.3 which shows that the corresponding characteristic equation has no eigenvalues on the unit circle.

The variance of output y obtained using control law 3 and a non-stationary disturbance model has a high sensitivity to the process model inaccuracy. This can be explained by the stability analysis of the controller in paragraph 3.3.4 which shows that the corresponding characteristic equation has three eigenvalues on the unit circle.

5.4 SUMMARY OF RESULTS OBTAINED BY SIMULATION

The results obtained by simulation are summarized in the following paragraphs.

The following is a summary of the results obtained with the disturbance non-stationary and modelled as such:

- a. Table 5.1.1 shows that control law 3 provided a substantially better quality of control than did control law 2. Also compare Figure 5.1.10 and Figure 5.1.12.
- b. The relatively large output mean error obtained using control law 2 is attributed to the inability of the mean value of control input u to drift when control law 2 is used.
- c. Table 5.3.1 shows that the variance of output y obtained using control law 3 was very sensitive to the simulated process model inaccuracy.

The following is a summary of the results obtained with the disturbance stationary and modelled as such:

- a. Table 5.1.1 shows that control law 2 provided a marginally better quality of control than did control law 3. Also compare Figure 5.1.9 and Figure 5.1.11.
- b. Table 5.3.1 shows that the variance of output y in both cases was relatively insensitive to the simulated process model inaccuracy.

The following is a summary of the results obtained with the disturbance non-stationary but modelled as stationary:

- a. Table 5.2.1 shows that control law 3 provided a substantially better quality of control than did control law 2 which provided a very poor quality of control. Also compare Figure 5.2.9 and Figure 5.2.11.
- b. In both cases the mean error was relatively large.

The following is a summary of the results obtained with the disturbance stationary but modelled as non-stationary:

- a. Table 5.2.1 shows that control law 2 provided a substantially better quality of control than did control law 3 which provided a very poor quality of control. Also compare Figure 5.2.10 and Figure 5.2.12.
- b. In both cases the mean error was relatively small.
- c. Table 5.2.2 shows that output y using control law 3 was non-stationary although the disturbance was stationary. The fact that the output drifted while the disturbance was stationary may be interpreted as a sign of instability.

Comparing Figures 5.1.9 and 5.1.11 as well as Figures 5.1.10 and 5.1.12 shows that the variances of input u and output y using control law 3 are less dependant on cost factor λ than using control law 2. This is attributed to the ability of control input u using control law 3 to drift.

CHAPTER 6

RESULTS OBTAINED BY EXPERIMENTATION

6.0 SCOPE

In order to verify the results obtained by simulation and documented in Chapter 5, a number of experiments was conducted on the actual system.

The following aspects were evaluated :

- a. The quality of control provided respectively by control law 2 (being a general form of control law 1) and control law 3, given accurate process and disturbance models. Stationary as well as non-stationary disturbances were simulated. The results appear in paragraph 6.1.
- b. The quality of control provided respectively by control laws 2 and 3, given an accurate process model but an inaccurate disturbance model. As before, the disturbance model in each case was inaccurate in the sense that when the disturbance model was stationary, the actual disturbance was non-stationary and vice versa. The results appear in paragraph 6.2.

A summary of the results obtained by experimentation is given in paragraph 6.3

Each experiment was conducted over 173 sampling points with a sampling period of 60 seconds for a duration of 2 hours and 53 minutes per experiment. Eight experiments were conducted.

The system parameters specified in Table 3.0.1 were used as model parameters. The width of the probability density function of the discrete stationary uncorrelated random sequence ξ_t was 0,0135 kg/s for each experiment.

6.1 RESULTS OBTAINED BY EXPERIMENT USING ACCURATE PROCESS AND DISTURBANCE MODELS

Figure 6.1.1 shows the input and output time responses obtained by experiment using cost function 2, a stationary disturbance (Figure 3.1.3) and disturbance model (Equation (3.2.21)) and cost factor λ equal to 2×10^{-6} . The variance of input u is 83986 W^2 . Output y has a variance of $0,767 \text{ }^\circ\text{C}^2$ and a mean of $0,852 \text{ }^\circ\text{C}$.

The sample autocorrelation function shown in Figure 6.1.2 has a quick sinusoidal decay and the corresponding output time response shown in Figure 6.1.1 is therefore stationary.

Figure 6.1.3 shows the input and output time responses obtained by experiment using cost function 2, a non-stationary disturbance (Figure 3.1.4) and disturbance model (Equation (3.2.25)) and cost factor λ equal to 2×10^{-6} . The variance of input u is 304181 W^2 . Output y has a variance of $0,762 \text{ }^\circ\text{C}^2$ and a mean of $-0,457 \text{ }^\circ\text{C}$.

The sample autocorrelation function shown in Figure 6.1.4 has a slow non-oscillatory exponential decay and the corresponding output time response shown in Figure 6.1.3 is therefore non-stationary.

Figure 6.1.5 shows the input and output time responses obtained by experiment using cost function 3, a stationary disturbance (Figure 3.1.3) and disturbance model (Equation (3.2.22)) and cost factor λ equal to 2×10^{-6} . The variance of input u is 196860 W^2 . Output y has a variance of $0,856 \text{ }^\circ\text{C}^2$ and a mean of $0,183 \text{ }^\circ\text{C}$.

The sample autocorrelation function shown in Figure 6.1.6 has a relatively quick sinusoidal decay and the corresponding output time response shown in Figure 6.1.5 is therefore stationary.

Figure 6.1.7 shows the input and output time responses obtained by experiment using cost function 3, a non-stationary disturbance (Figure 3.1.4) and disturbance model (Equation (3.2.26)) and cost factor λ equal to 2×10^{-6} . The variance of input u is 814185 W^2 . Output y has a variance of $5,159 \text{ }^\circ\text{C}^2$ and a mean of $-0,775 \text{ }^\circ\text{C}$.

The sample autocorrelation function shown in Figure 6.1.8 has a slow sinusoidal decay and the corresponding output time response shown in Figure 6.1.7 is therefore non-stationary.

Table 6.1.1 tabulates the variance of input u and the variance and mean of output y obtained by experiment for λ equal to 2×10^{-6} using accurate process and disturbance models.

Table 6.1.2 tabulates the nature of the sample autocorrelation function and the output time response for the above cases.

The following observations can be made:

- a. When the disturbance acting on the system was non-stationary and modelled as such, control law 3 provided a very poor quality of control. Control law 2 provided an output y which had a relatively large mean error.
- b. When the disturbance acting on the system was stationary and modelled as such, control law 2 provided an output y that had a marginally smaller variance but a considerable larger mean error than provided by control law 3.
- c. In all the cases, the nature of the output time response obtained was the same as that of the disturbance and disturbance model.

Table 6.1.1 Variance of Input u and Variance and Mean of Output y Obtained by Experiment for λ Equal to 2×10^{-6} using Accurate Process and Disturbance Models

Control Law	Nature of Disturbance and Disturbance Model	Variance of Input u (W^2)	Variance of Output y ($^{\circ}C^2$)	Mean of Output y ($^{\circ}C$)
2	Stationary	83986	0,767	0,852
2	Non-Stationary	304181	0,762	-0,457
3	Stationary	196860	0,856	0,183
3	Non-Stationary	814185	5,159	-0,775

Table 6.1.2 Nature of the Sample Autocorrelation Function and the Output Time Series Obtained by Experiment for λ Equal to 2×10^{-6} Using Accurate Process and Disturbance Models

Control Law	Nature of Disturbance and Disturbance Model	Nature of Sample Autocorrelation Function	Nature of Output Time Response
2	Stationary	Quick sinusoidal decay	Stationary
2	Non-Stationary	Slow non-oscillatory exponential decay	Non-Stationary
3	Stationary	Quick sinusoidal decay	Stationary
3	Non-Stationary	Slow sinusoidal decay	Non-Stationary

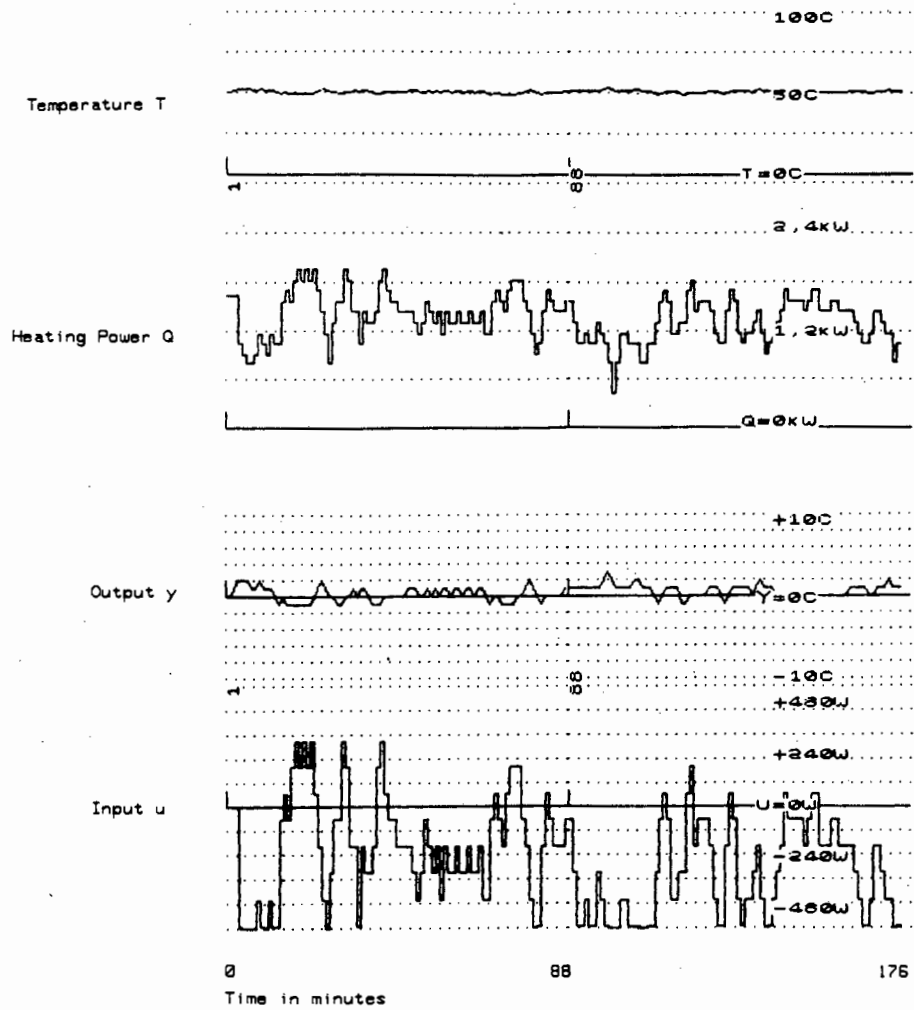


Figure 6.1.1. Input and Output Time Responses by Experiment using Cost Function 2, a Stationary Disturbance Model and with the actual Disturbance Stationary

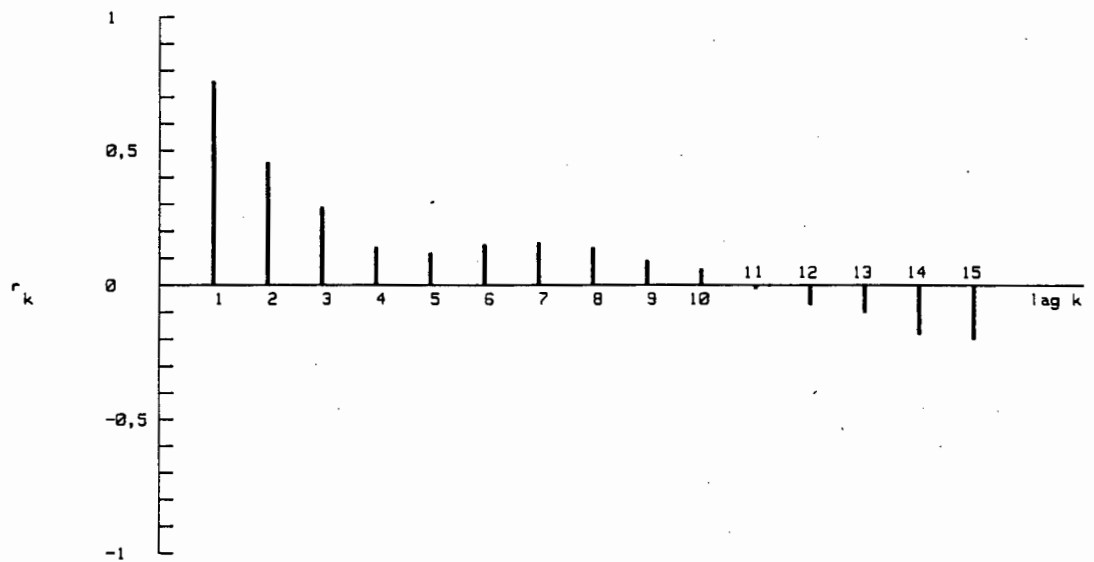


Figure 6.1.2. Sample Autocorrelation Function of the Output Time Response shown in the Figure above

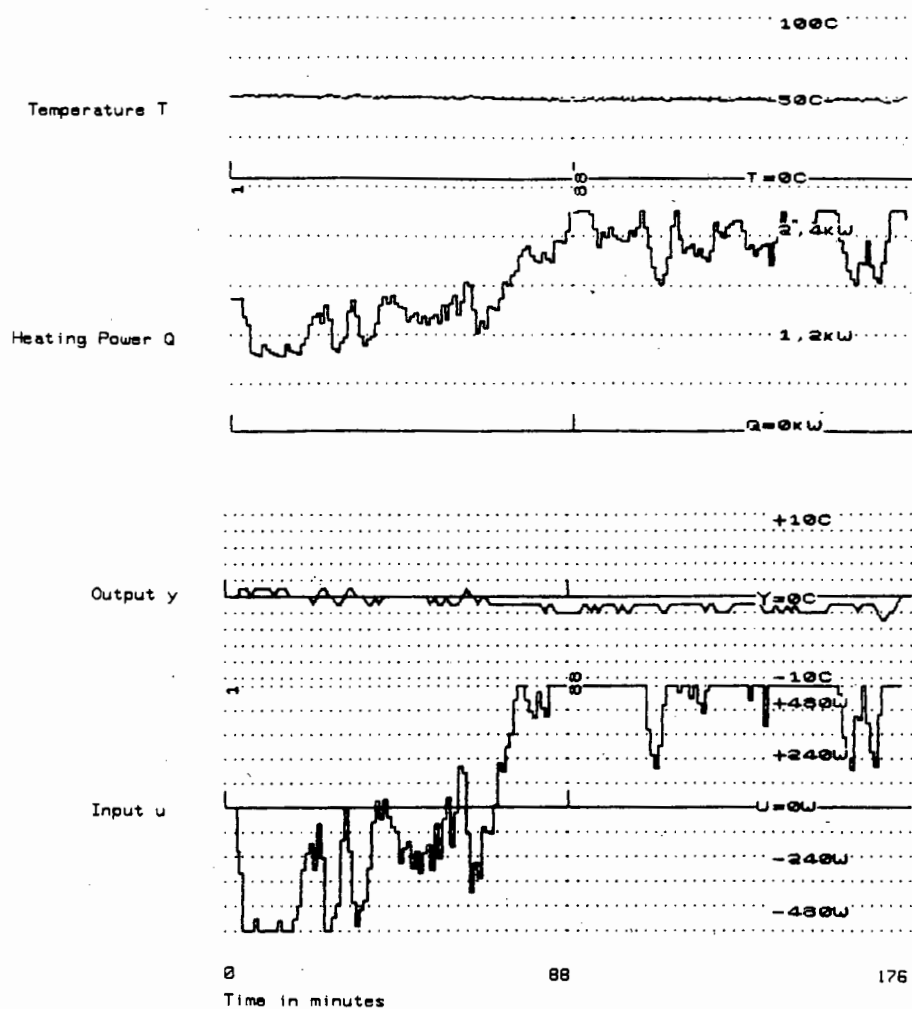


Figure 6.1.3. Input and Output Time Responses by Experiment using Cost Function 2, a Non-stationary Disturbance Model and with the actual Disturbance Non-stationary

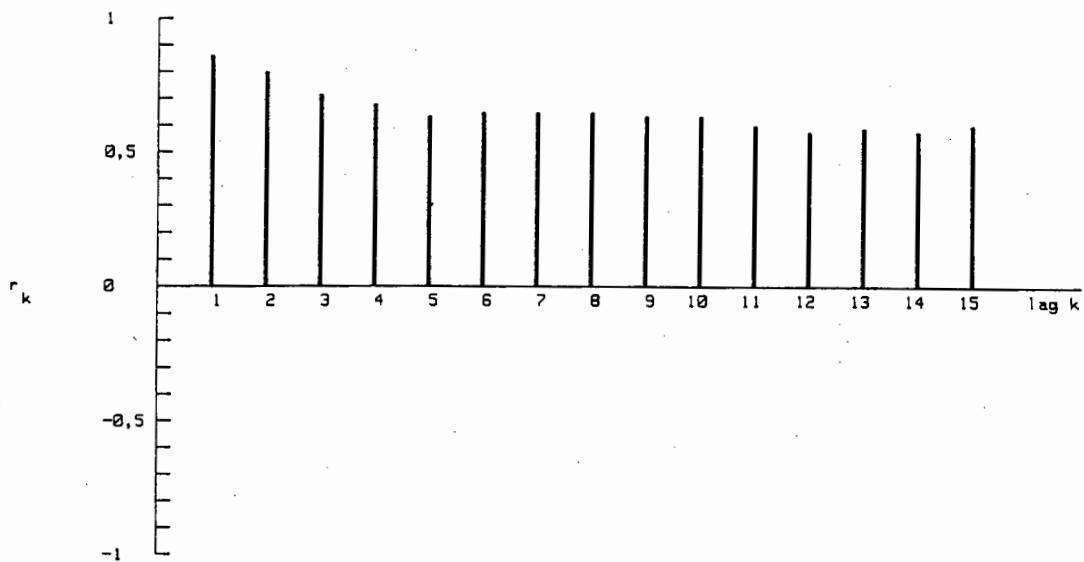


Figure 6.1.4. Sample Autocorrelation Function of the Output Time Response shown in the Figure above

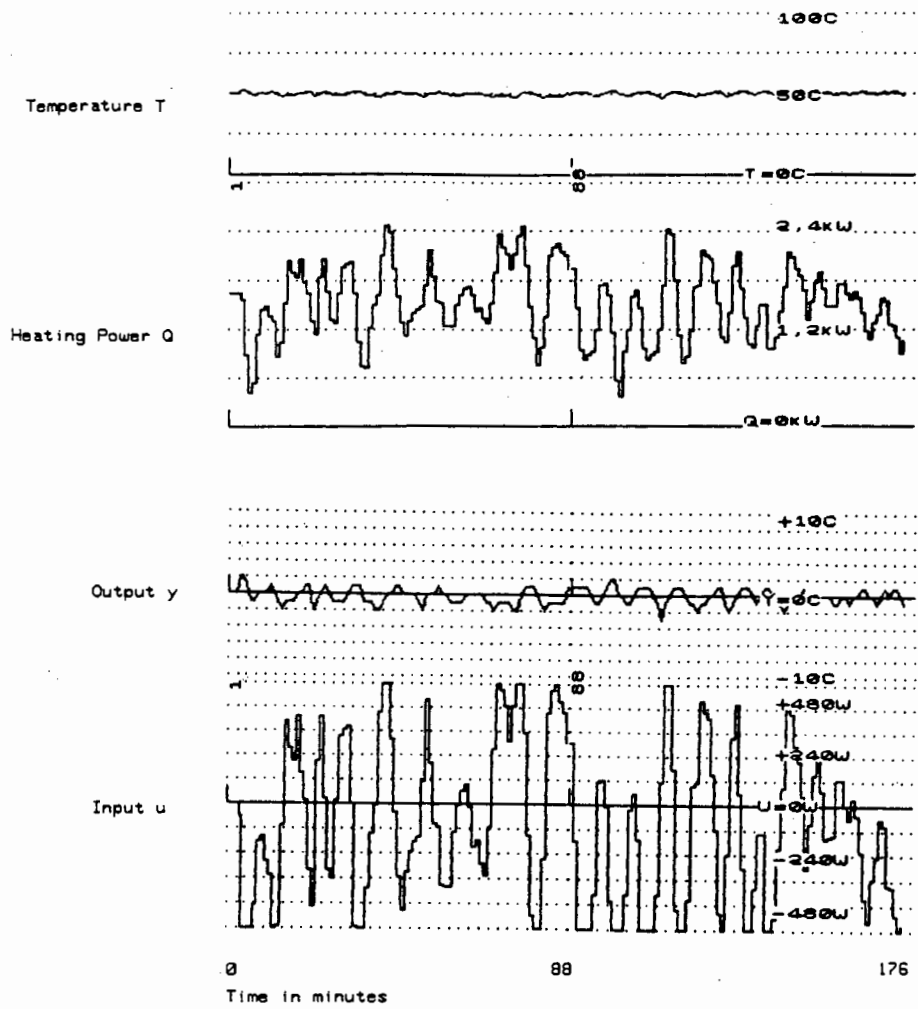


Figure 6.1.5. Input and Output Time Responses by Experiment using Cost Function 3, a Stationary Disturbance Model and with the actual Disturbance Stationary

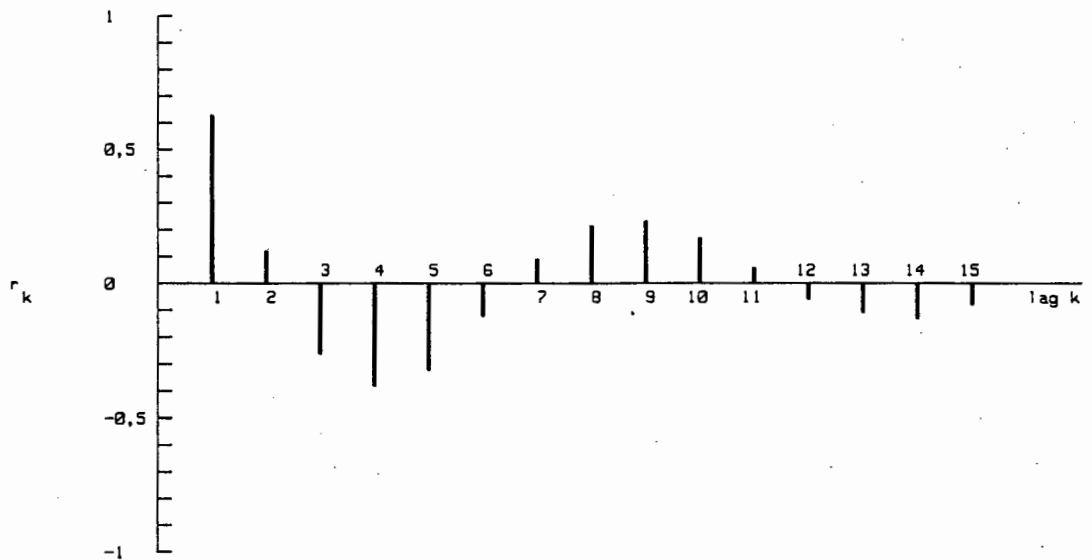


Figure 6.1.6. Sample Autocorrelation Function of the Output Time Response shown in the Figure above

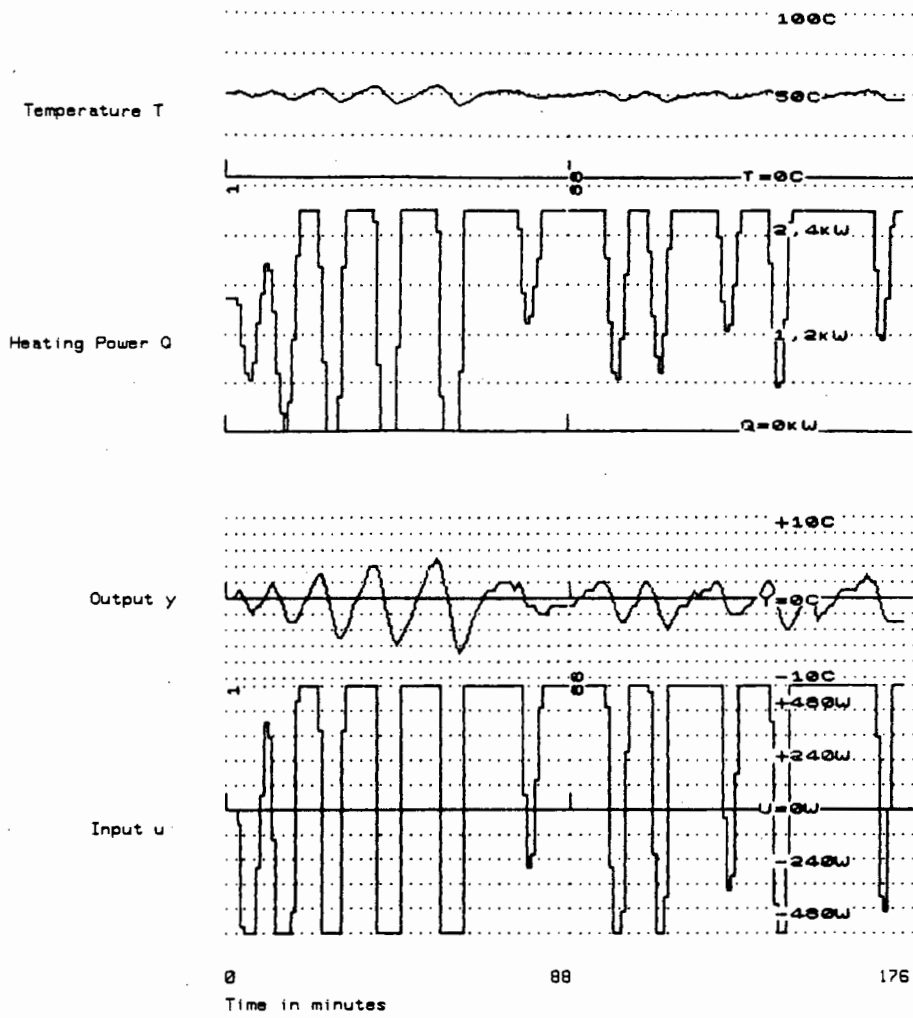


Figure 6.1.7. Input and Output Time Responses by Experiment using Cost Function 3, a Non-stationary Disturbance Model and with the actual Disturbance Non-stationary

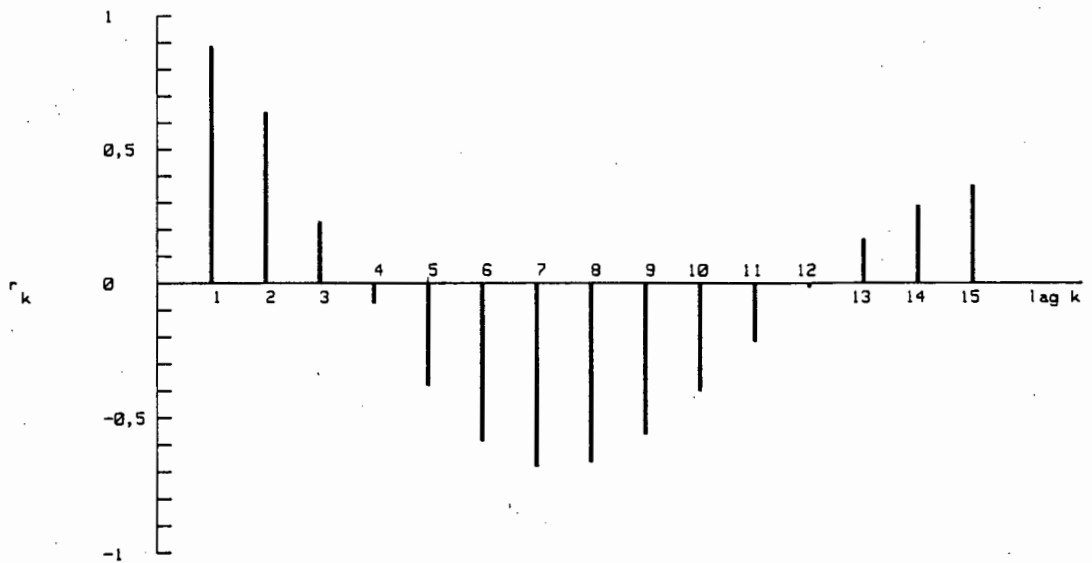


Figure 6.1.8. Sample Autocorrelation Function of the Output Time Response shown in the Figure above

6.2 RESULTS OBTAINED BY EXPERIMENT USING AN ACCURATE PROCESS MODEL BUT AN INACCURATE DISTURBANCE MODEL

As before, the disturbance model in each case was inaccurate in the sense that when the disturbance model was stationary, the actual disturbance was non-stationary and vice versa.

Figure 6.2.1 shows the input and output time responses obtained by experiment using cost function 2, a stationary disturbance model (Equation (3.2.21)) and cost factor λ equal to 2×10^{-6} while the actual disturbance was non-stationary (Figure 3.1.4). The variance of input u is 278358 W^2 . Output y has a variance of $3,062 \text{ }^\circ\text{C}^2$ and a mean of $-0,402^\circ\text{C}$.

The sample autocorrelation function shown in Figure 6.2.2 has a slow non-oscillatory exponential decay and the corresponding output time response shown in Figure 6.2.1 is therefore non-stationary.

Figure 6.2.3 shows the input and output time responses obtained by experiment using cost function 2, a non-stationary disturbance model (Equation (3.2.25)) and cost factor λ equal to 2×10^{-6} while the actual disturbance was stationary (Figure 3.1.3). The variance of input u is 214392 W^2 . Output y has a variance of $0,782 \text{ }^\circ\text{C}^2$ and a mean of $0,180 \text{ }^\circ\text{C}$.

The sample autocorrelation function shown in Figure 6.2.4 has a quick sinusoidal decay and the corresponding output time response shown in Figure 6.2.3 is therefore stationary.

Figure 6.2.5 shows the input and output time responses obtained by simulation using cost function 3, a stationary disturbance model (Equation (3.2.22)) and cost factor λ equal to 2×10^{-6} while the actual disturbance was non-stationary (Figure 3.1.4). The variance of input u is 117696 W^2 . Output y has a variance of $2,380 \text{ }^\circ\text{C}^2$ and a mean of $-2,315 \text{ }^\circ\text{C}$.

The sample autocorrelation function shown in Figure 6.2.6 has a slow non-oscillatory exponential decay and the corresponding output time response shown in Figure 6.2.5 is therefore non-stationary.

Figure 6.2.7 shows the input and output time responses obtained by experiment using cost function 3, a non-stationary disturbance model (Equation (3.2.26)) and cost factor λ equal to 2×10^{-6} while the actual disturbance was stationary (Figure 3.1.3). The variance of input u is 852640 W^2 . Output y has a variance of $4,202 \text{ }^\circ\text{C}^2$ and a mean of $-0,025 \text{ }^\circ\text{C}$.

The sample autocorrelation function shown in Figure 6.2.8 has a slow sinusoidal decay and the corresponding output time response shown in Figure 6.2.7 is therefore non-stationary.

Table 6.2.1 tabulates the variance of input u and the variance and mean of output y obtained by experiment for λ equal to 2×10^{-6} using an accurate process model but inaccurate disturbance models.

Table 6.2.2 tabulates the nature of the sample autocorrelation function and the output time response for the above cases.

The following observations can be made:

- a. When the disturbance acting on the system was non-stationary but modelled as stationary, control law 3 provided an output y with a smaller variance but with a larger mean error than provided by control law 2. However, from Figure 6.2.5 can be seen that the control element was saturated for most of the experiment with control law 3 without managing to cancel the effect of the disturbance. From this must be concluded that the disturbance flow rate was incorrectly calibrated before the experiment commenced and provided a load which exceeded the capacity of the control transducer. The data obtained from this experiment is therefore not conclusive.

- b. When the disturbance acting on the system was stationary but modelled as non-stationary, control law 2 provided a better quality of control than did control law 3. Control law 3 provided a very poor quality of control in this case.

- c. Referring to Tables 6.1.2 and 6.2.2, the only case where the nature of the output time response was not the same as that of the actual disturbance, was for the case when control law 3 and a non-stationary disturbance model was used while the actual disturbance was stationary. This may be interpreted as an inability on the part of this controller to stably control the system.

Table 6.2.1 Variance of Input u and Variance and Mean of Output y Obtained by Experiment for λ Equal to 2×10^{-6} Using an Accurate Process Model but with the Disturbance Model Inaccurate as Defined

Control Law	Nature of of Disturbance Model	Nature of Actual Disturbance	Variance of Input u (W^2)	Variance of Output y ($^{\circ}C^2$)	Mean of Output y ($^{\circ}C$)
2	Stationary	Non-Stationary	278358	3,062	-0,402
2	Non-Stationary	Stationary	214392	0,782	0,180
3	Stationary	Non-Stationary	117696	2,380	-2,315
3	Non-Stationary	Stationary	852640	4,202	-0,025

Table 6.2.2 Nature of the Sample Autocorrelation Function and the Output Time Series Obtained by Experiment for λ Equal to 2×10^{-6} Using an Accurate Process Model but with the Disturbance Model Inaccurate as Defined

Control Law	Nature of Disturbance Model	Nature of Actual Disturbance	Nature of Sample Autocorrelation Function	Nature of output Time Response
2	Stationary	Non-Stationary	Slow non-oscillatory exponential decay	Non-stationary
2	Non-Stationary	Stationary	Quick sinusoidal decay	Stationary
3	Stationary	Non-Stationary	Slow non-oscillatory exponential decay	Non-stationary
3	Non-Stationary	Stationary	Slow sinusoidal decay	Non-stationary

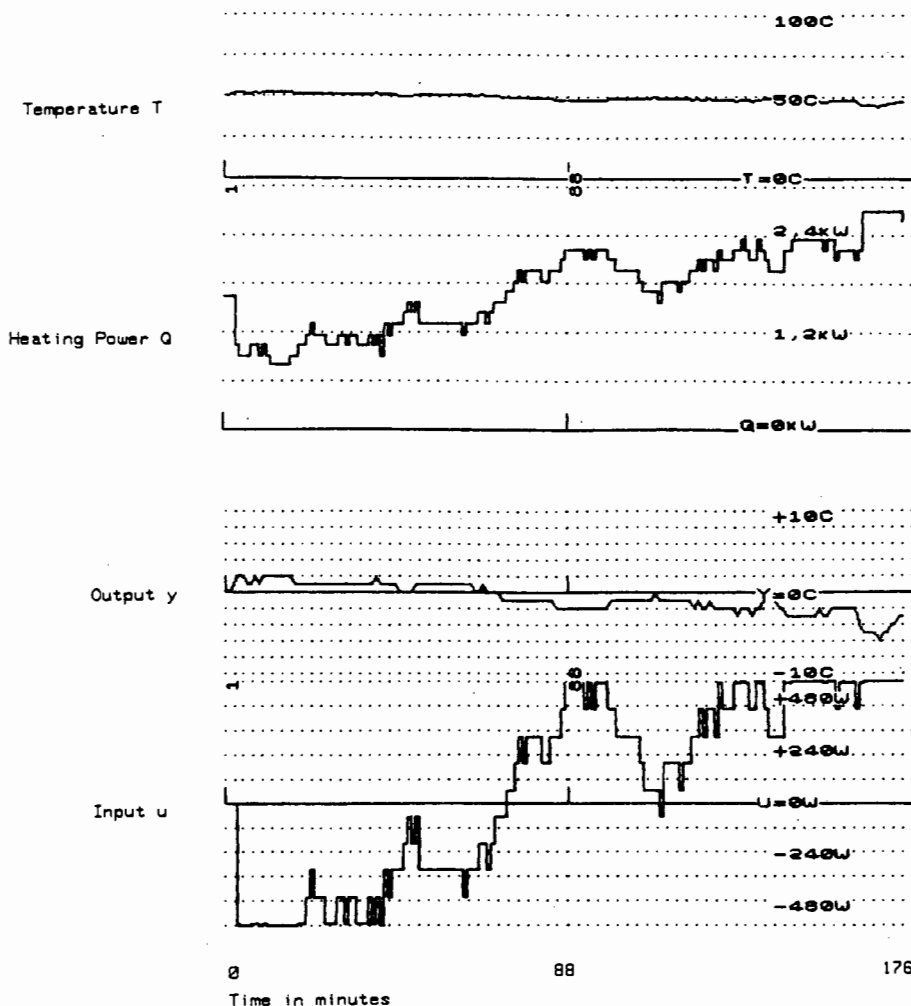


Figure 6.2.1. Input and Output Time Responses by Experiment using Cost Function 2, a Stationary Disturbance Model and with the actual Disturbance Non-stationary

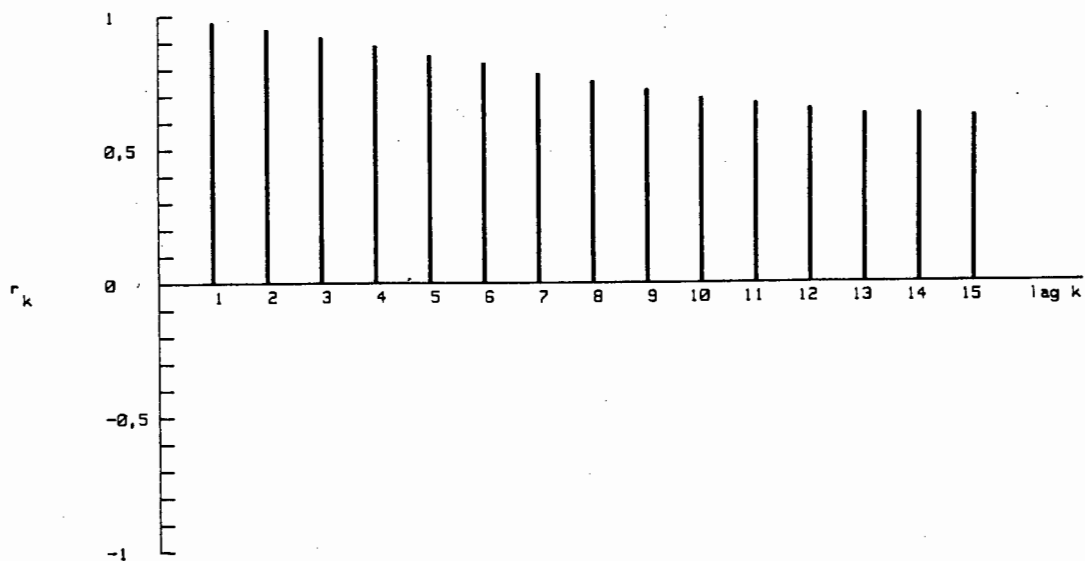


Figure 6.2.2. Sample Autocorrelation Function of the Output Time Response shown in the Figure above

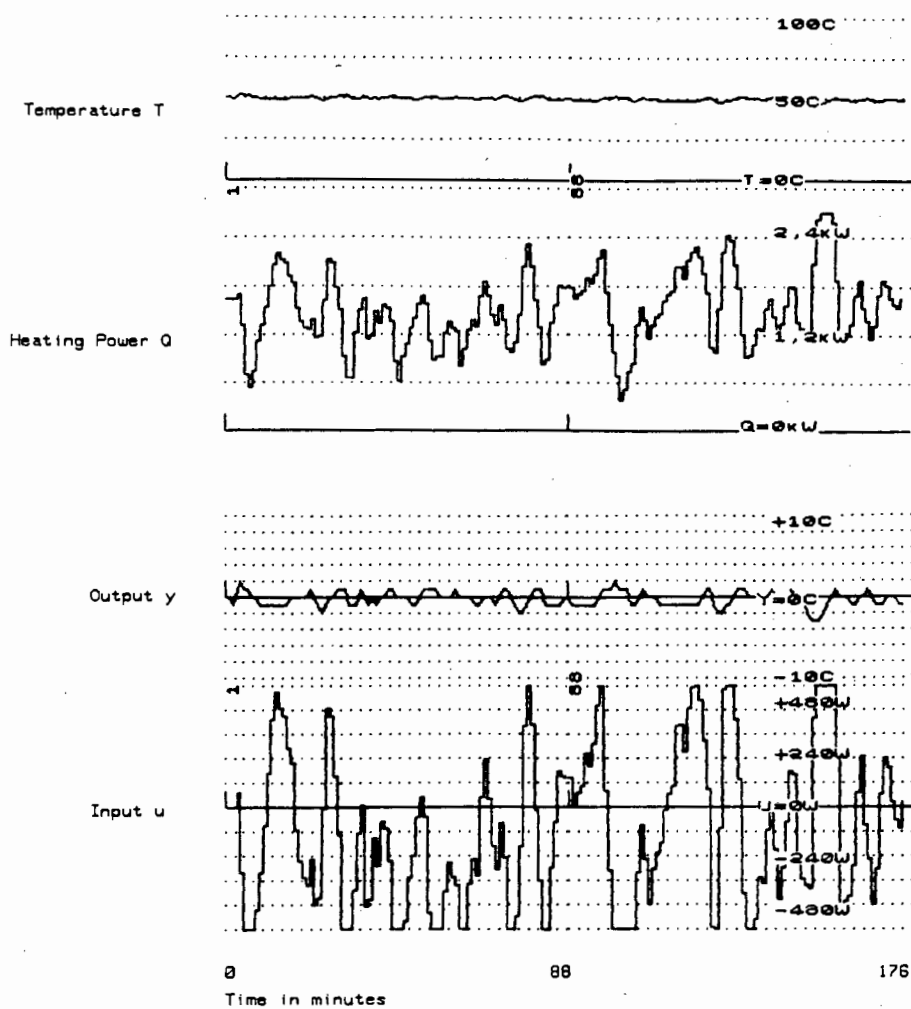


Figure 6.2.3. Input and Output Time Responses by Experiment using Cost Function 2, a Non-stationary Disturbance Model and with the actual Disturbance Stationary

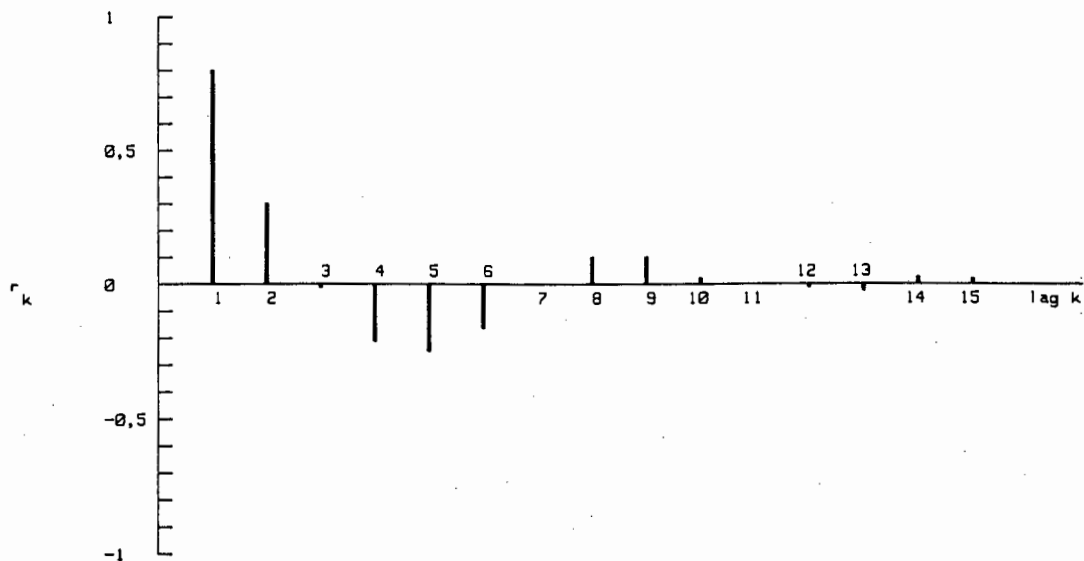


Figure 6.2.4. Sample Autocorrelation Function of the Output Time Response shown in the Figure above

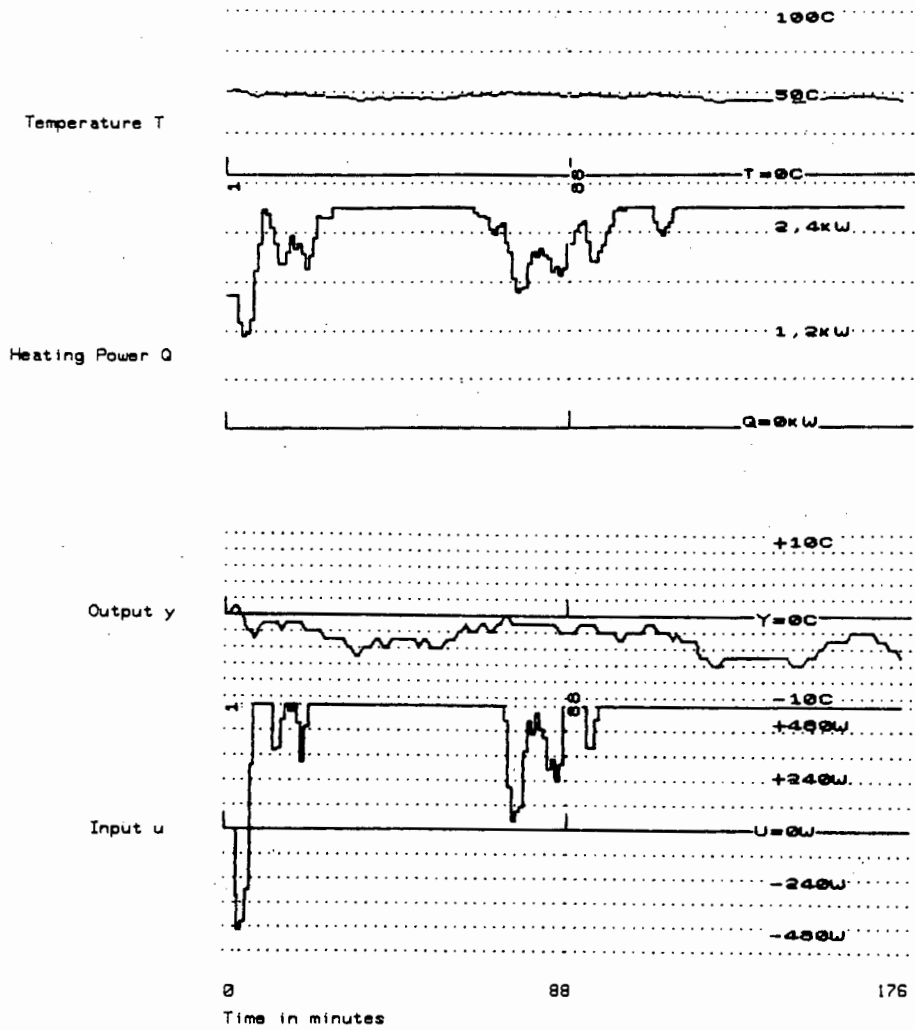


Figure 6.2.5. Input and Output Time Responses by Experiment using Cost Function 3, a Stationary Disturbance Model and with the actual Disturbance Non-stationary

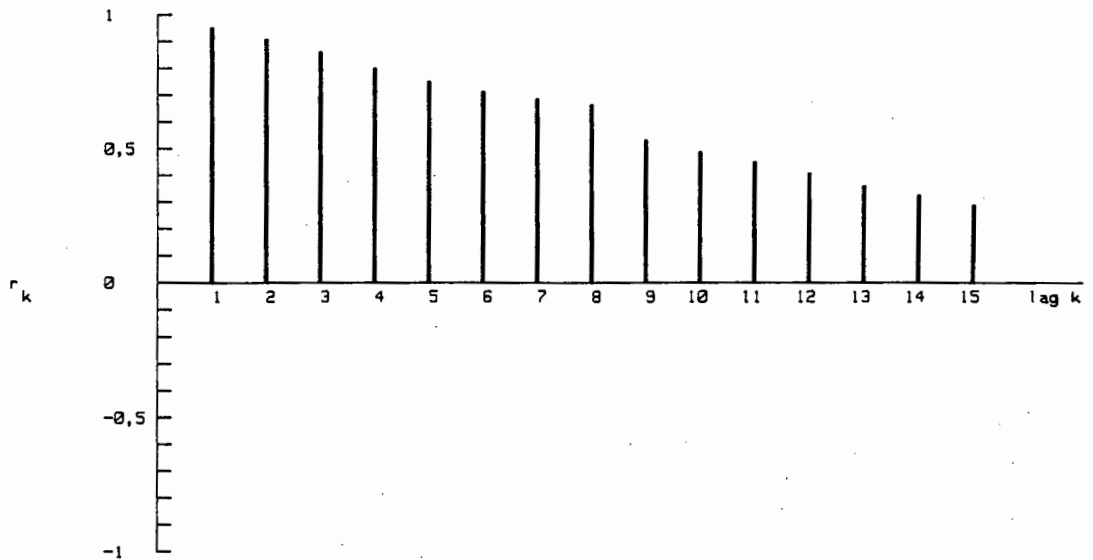


Figure 6.2.6. Sample Autocorrelation Function of the Output Time Response shown in the Figure above

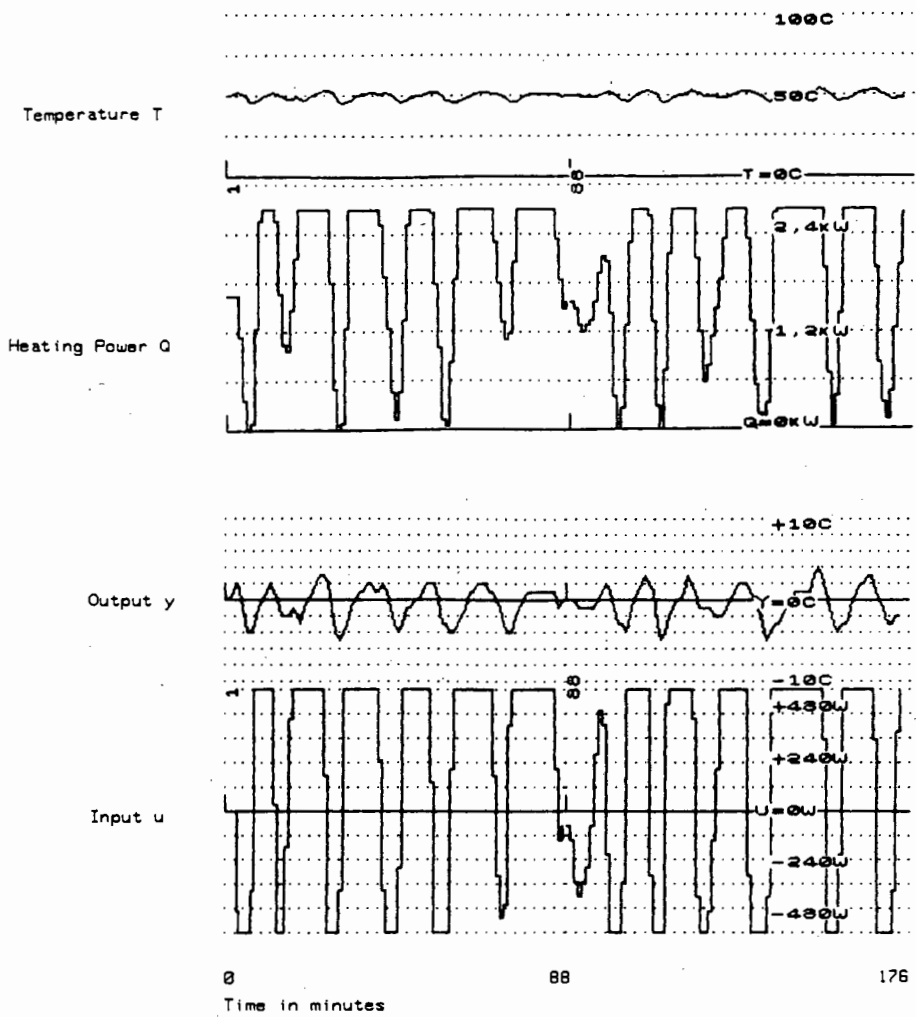


Figure 6.2.7. Input and Output Time Responses by Experiment using Cost Function 3, a Non-stationary Disturbance Model and with the actual Disturbance Stationary

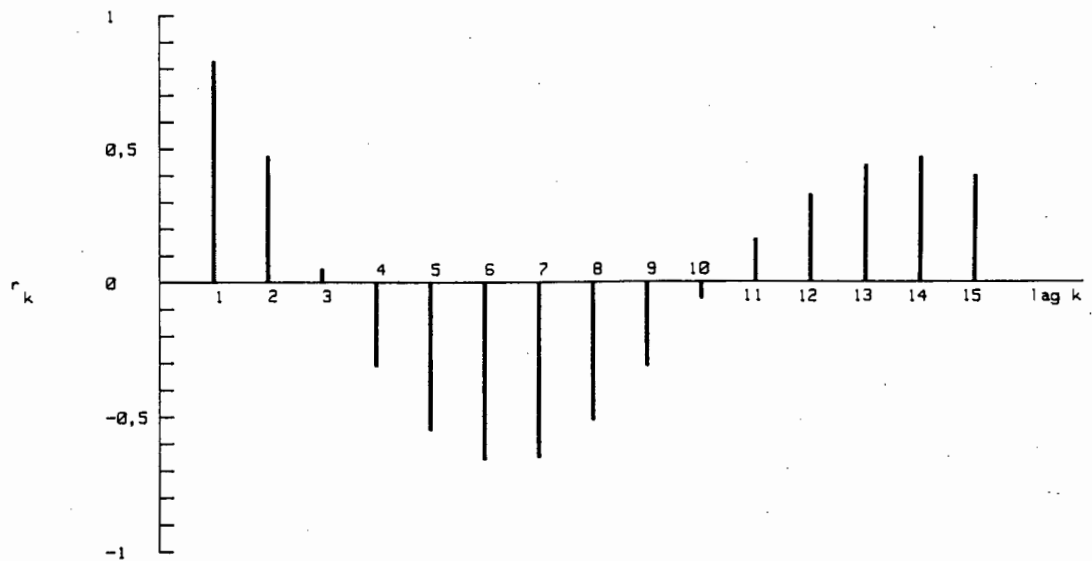


Figure 6.2.8. Sample Autocorrelation Function of the Output Time Response shown in the Figure above

6.3 SUMMARY OF RESULTS OBTAINED BY EXPERIMENTATION

In the following paragraphs the results obtained by experimentation are summarized.

The following is a summary of the results obtained with the disturbance non-stationary and modelled as such:

- a. Table 6.1.1 shows that control law 2 provided a substantially better quality of control than did control law 3 which provided a very poor quality of control.
- b. In both cases the output mean error was relatively large.

The following is a summary of the results obtained with the disturbance stationary and modelled as such:

- a. Table 6.1.1 shows that control law 2 provided an output y with a variance marginally smaller than provided by control law 3.
- b. The mean error of output y obtained using control law 3 was substantially smaller than obtained using control law 2 which provided a relatively large mean error.

The following is a summary of the results obtained with the disturbance non-stationary but modelled as stationary:

- a. Table 6.2.1 shows that the variance of output y obtained using control law 3 was marginally smaller than obtained using control law 2.
- b. From Figure 6.2.5 is concluded that the large mean error of output y obtained using control law 3 as shown in Table 6.2.1 is because of a flow-rate calibration error.

The following is a summary of the results obtained with the disturbance stationary but modelled as non-stationary:

- a. Table 6.2.1 shows that control law 2 provided a substantially better quality of control than did control law 3 which provided a very poor quality of control.
- b. In both cases the mean error was relatively small.
- c. Table 6.2.2 shows that output y obtained using control law 3 was non-stationary although the disturbance was stationary. The fact that the output drifted while the disturbance was stationary may be interpreted as a sign of instability.

In Chapter 7 the results obtained by experimentation are compared to the results obtained by simulation and interpreted before the final conclusions are made.

CHAPTER 7

CONCLUSION

7.0 COMPARISON BETWEEN RESULTS OBTAINED BY SIMULATION AND RESULTS OBTAINED BY EXPERIMENTATION

In the following paragraphs the results obtained by simulation are compared to the results obtained by experimentation. Where the results differ, the reasons for the differences as deduced from the data documented in Chapters 5 and 6 are presented.

7.0.1 Comparison of Results with the Disturbance and Disturbance Model Non-Stationary

Table 7.0.1 compares the results obtained by simulation with the results obtained by experimentation when the disturbance was non-stationary and modelled as such.

From Table 7.0.1 can be seen that there is good agreement between the results obtained by simulation and the results obtained by experimentation using control law 2.

Table 7.0.1 shows that there is a very large difference between the results obtained by simulation and the results obtained by experimentation using control law 3. The very poor quality of control obtained in the experiment with control law 3 is attributed to the high sensitivity of the control quality provided by control law 3 to process model inaccuracies as shown by simulation in Table 5.3.1 and supported by the stability analysis in paragraph 3.3.4. It is conceivable that the process model is slightly inaccurate since the complex dynamics of heat convection in the heating cylinder was not modelled.

Table 7.0.1 Comparison between Results Obtained by Simulation and Results Obtained by Experimentation with the Disturbance Non-Stationary and Modelled as such

Control Law	Experiment/ Simulation	Variance of Input u (W^2)	Variance of Output y ($^{\circ}C^2$)	Mean of Output y ($^{\circ}C$)
2	Simulation	380550	0,841	0,427
2	Experiment	304181	0,762	-0,457
3	Simulation	392784	0,255	-0,001
3	Experiment	814185	5,159	-0,775

7.0.2 Comparison of Results with the Disturbance and Disturbance Model Stationary

Table 7.0.2 compares the results obtained by simulation with the results obtained by experimentation when the disturbance was stationary and modelled as such.

Table 7.0.2 shows that the mean of output y obtained using control law 2 is considerable larger for the experiment then for the simulation. Since the mean value of control input u using control law 2 is unable to drift, it had difficulty to compensate for process model inaccuracies which presumably existed.

From Table 7.0.2 can be seen that there is good agreement between the results obtained by simulation and the results obtained by experimentation using control law 3.

Table 7.0.2 Comparison between Results Obtained by Simulation and Results Obtained by Experimentation with the Disturbance Stationary and Modelled as such

Control Law	Experiment/ Simulation	Variance of Input u (W ²)	Variance of Output y (°C ²)	Mean of Output y (°C)
2	Simulation	87290	0,803	-0,009
2	Experiment	83986	0,767	0,852
3	Simulation	169601	0,869	-0,017
3	Experiment	196860	0,856	0,183

7.0.3 Comparison of Results with the Disturbance Non-Stationary but with the Disturbance Model Stationary

Table 7.0.3 compares the results obtained by simulation with the results obtained by experimentation when the disturbance was non-stationary but modelled as stationary.

From Table 7.0.3 can be seen that using control law 2, the results obtained by simulation agrees reasonably well with the results obtained by experimentation.

Table 7.0.3 shows that using control law 2 there is a large difference between the mean of output y obtained by simulation and the mean of output y obtained by experimentation. The high value of the mean of output y obtained with the experiment is attributed to a flow-rate calibration error (paragraph 6.3 refers).

Table 7.0.3 Comparison between Results Obtained by Simulation and Results Obtained by Experimentation with the Disturbance Non-Stationary but Modelled as Stationary

Control Law	Experiment/ Simulation	Variance of Input u (W ²)	Variance of Output y (°C ²)	Mean of Output y (°C)
2	Simulation	523989	5,242	-0,385
2	Experiment	278358	3,062	-0,402
3	Simulation	477002	1,126	0,499
3	Experiment	117696	2,380	-2,315

7.0.4 Comparison of Results with the Disturbance Stationary but with the Disturbance Model Non-Stationary

Table 7.0.4 compares the results obtained by simulation with the results obtained by experimentation when the disturbance was stationary but modelled as non-stationary.

From Table 7.0.4 can be seen that for both control law 2 and control law 3 there is good agreement between the results obtained by simulation and the results obtained by experimentation.

Table 7.0.4 Comparison between Results Obtained by Simulation and Results Obtained by Experimentation with the Disturbance Stationary but Modelled as Non-Stationary

Control Law	Experiment/ Simulation	Variance of Input u (W ²)	Variance of Output y (°C ²)	Mean of Output y (°C)
2	Simulation	159861	0,788	0,005
2	Experiment	214392	0,782	0,180
3	Simulation	782254	5,411	-0,001
3	Experiment	852640	4,202	-0,025

7.1 CONSOLIDATION OF RESULTS

In order to be able to make clear conclusions, the primary results obtained are consolidated in the following paragraphs. The information is ordered in such a way as to make it possible to answer the following questions:

- a. Given that the system is subjected to a non-stationary disturbance, using which one of the cost functions

$$I = \hat{y}_{t+k/t}^2 + \lambda(u_t - u_{t-1})^2 \quad (7.1.1)$$

(Control law 3)

and

$$I = \hat{y}_{t+k/t}^2 + \lambda u_t^2 \quad (7.1.2)$$

(Control law 2)

provides the best quality of control?

- b. Given that the system is subjected to a stationary disturbance, using which one of the cost functions in equations (7.1.1) and (7.1.2) provides the best quality of control?
- c. Given that it is not known a priori whether the disturbance is stationary or non-stationary, the use of which one of the cost functions in equations (7.1.1) and (7.1.2) in combination with which disturbance model (stationary/non-stationary) is the best overall choice?

7.1.1 Primary Results Obtained for the Case where the Disturbance is Known A Priori to be Non-Stationary

The primary results are:

- a. Simulation shows that using control law 3 and a non-stationary disturbance model provides the best quality of control.
- b. Stability analysis, simulation as well as experimentation indicate that model inaccuracies can drastically deteriorate the quality of control obtained when using control law 3 and a non-stationary disturbance model.
- c. Using control law 2 causes a relatively large mean error.

7.1.2 Primary Results Obtained for the Case where the Disturbance is Known A Priori to be Stationary

The primary results are:

- a. Simulation shows that using control law 2 and a stationary disturbance model provides the best quality of control.
- b. Experimentation indicates that process model inaccuracies may result in a relatively large output mean error when control law 2 is used.

7.1.3 Primary results Obtained for the Case where it is Not Known A Priori whether the Disturbance is Stationary or Non-Stationary

The primary results are:

- a. Using control law 3 and a non-stationary disturbance model while the actual disturbance is stationary results in an extremely poor quality of control.

b. Using control law 2 and a stationary disturbance model while the actual disturbance is non-stationary results in an extremely poor quality of control.

c. Table 7.1.1 consolidates the performances of respectively

i. control law 2 and a non-stationary disturbance model

and

ii. control law 3 and a stationary disturbance model

using the results obtained by simulation.

Taking into account the behaviour of the variances of the input and output as a function of cost factor λ , control law 3 and a stationary disturbance model is the best overall combination.

Table 7.1.1 Comparison between Simulational Results Obtained Respectively by Control Law 2 and a Non-Stationary Disturbance Model and Control Law 3 and a Stationary Disturbance Model

Control Law	Actual Disturbance	Variance of Input u (w^2)	Variance of Output y ($^{\circ}C^2$)	Mean of Output y ($^{\circ}C$)	Variances of Input and Output as function of λ
2	Stationary	159861	0,788	0,005	Figure 5.2.10
3	Stationary	169601	0,869	-0,017	Figure 5.1.11
2	Non-Stationary	380550	0,841	0,427	Figure 5.1.10
3	Non-Stationary	477002	1,126	0,499	Figure 5.2.11

7.2 CONCLUSIONS

The final conclusions arrived at are the following:

- a. When the disturbance acting on the system is non-stationary and modelled as such, minimizing cost function

$$I_3 = \hat{y}_{t+k/t}^2 + \lambda(u_t - u_{t-1})^2$$

provides a better quality of control than obtained by minimizing cost function

$$I_2 = \hat{y}_{t+k/t}^2 + \lambda u_t^2$$

provided that the process and disturbance models are very accurate.

Model inaccuracies result in a serious degradation of control quality. Due consideration must therefore be taken of sensitivity aspects in the design of a controller based on the minimization of I_3 which must control a system subjected to a non-stationary disturbance.

It is not appropriate to use cost function I_2 in this case since the inability of the mean value of the control input to drift may result in large mean output errors.

- b. When the disturbance acting on the system is stationary and modelled as such, minimizing I_2 results in a marginally better quality of control than obtained minimizing I_3 . However, a process model inaccuracy may result in an output offset error since a controller based on the minimization of I_2 does not have integral

action. Using a controller based on the minimization of I_3 is advantageous in this case since the integral action of the controller will cancel the error.

- c. If the situation exists where the disturbance acting on the system at a given time is stationary but may become non-stationary after a period of time, using I_3 and a stationary disturbance model results in a controller which provides the best overall control quality for the system evaluated. Sensitivity to model inaccuracies is less of a problem in this case.

7.3 USE OF THE METHOD AND SUGGESTIONS FOR FURTHER WORK

As stated in paragraph 2.1, the facility of free programmability on modern microprocessor-based process control systems encourages, where justified by the problem, the implementation of more sophisticated control algorithms such as those evaluated by this thesis.

Implementing a recursive stochastic control algorithm on a digital computer is a relatively simple task as such. However, this must be accompanied by a relatively large amount of theoretical analysis, eg. identifying the process and disturbance dynamics, deciding on a control strategy, deriving the specific control law, conducting sensitivity analyses, evaluation by computer simulation, and so forth. The cost of doing this must be less than the production costs saved thanks to the implementation of the method in order to economically justify its use.

However, where large random disturbances are a serious problem and steps need to be taken to improve the control quality, the issue becomes one of defining the options available to the designer.

The approach taken as described by this dissertation is certainly one way of approaching the problem. A logical extension to the work described is minimizing the cost functions:

$$I = E\{y_{t+k}^2 + \lambda u_t^2\} \quad (7.3.1)$$

or

$$I = E\{y_{t+k}^2 + \lambda(u_t - u_{t-1})^2\} \quad (7.3.2)$$

which should provide more optimal control than obtained by the two cost functions evaluated.

An interesting adaptation of the method described could be to use an adaptive predictor rather than the optimal predictor used. Trigg and Leach [11] have developed a procedure for the adaptive control of a single exponential smoothing constant. The smoothing constant automatically becomes larger when the prediction error increases, reducing the memory of the predictor. After having settled down, the smoothing constant's value decreases again.

This predictor reacts much more quickly to the step function than does conventional, or nonadaptive, prediction. The performance of the predictor before and after a step change is the same as that of conventional prediction. This predictor may be particularly useful if the disturbance is non-stationary.

Chow [12] has developed an alternative adaptive prediction scheme. Van der Bij and Schuppen [9] have used adaptive Kalman filtering to predict railway power demand which is a stochastic variable. However, if these predictors contain non-linearities, difficulty may be encountered in using linear stochastic control theory to design and analyse the control algorithm.

Linear stochastic control theory can also be applied to multivariable systems. Work has been done by Dugard et al [10] in this regard.

Fuller [5] follows a different approach in the design of stochastic controllers. He shows that for the case when the disturbance is a highly smoothed white noise (which seems relevant in many practical circumstances), by applying Wiener filtering theory and adapting simplified models,

closed-form expressions for the optimal controller which approximates a conventional type such as proportional plus integral can sometimes be obtained.

It may be possible therefore to use a conventional type of controller and to use the theory to tune the controller to obtain the desired stability margin and control quality. However, in order to obtain the closed-form expressions, relatively complex algebraic manipulations and sensible approximations of transfer functions are required.

Finally, a literature survey on the subject of stochastic control theory indicates that comparatively little work has been done in this area, particularly w.r.t practical implementation, and there is therefore much scope for further work.

APPENDIX A : MATHEMATICAL PROOFS AND DERIVATIONS

- Annexure 1 : Proof that Integrating a Stationary Uncorrelated Random Sequence with Zero Mean Produces a Non-stationary Random Sequence
- 2 : Derivation of z-Transforms of Process and Disturbance Models
- 3 : Requirements for Transfer Function $H(z)$ to be Realizable
- 4 : General Derivation of the Control Laws which Respectively Minimize Cost Functions I_1 , I_2 and I_3
- 5 : Specific Derivation of the Control Laws which Respectively Minimize Cost Functions I_1 , I_2 and I_3 for the Disturbance Stationary
- 6 : Specific Derivation of the Control Laws which Respectively Minimize Cost Functions I_1 , I_2 and I_3 for the Disturbance Non-stationary
- 7 : Derivation of Characteristic Equations Respectively for Systems with Stationary and Non-stationary Disturbance Models and Control Laws which Respectively Minimize Cost Functions I_2 and I_3

PROOF THAT INTEGRATING A STATIONARY UNCORRELATED RANDOM SEQUENCE WITH ZERO MEAN PRODUCES A NON-STATIONARY RANDOM SEQUENCE

Consider a pseudo-random sequence with zero mean and finite variance σ_x^2 to be the input to a filter with transfer function $H(s)$.

The spectral density of the output y is

$$S_y(\omega) = \sigma_x^2 \cdot |H(\omega)|^2 \tag{A1.1}$$

where

$$y_n = ay_{n-1} + bx_n \tag{A1.2}$$

Taking the discrete Fourier-transforms gives:

$$Y(\omega) = ae^{-j\omega T_s} \cdot Y(\omega) + bX(\omega) \tag{A1.3}$$

where T_s is the sampling period.

It follows [8] then that

$$H(\omega) = \frac{Y(\omega)}{X(\omega)} = \frac{b}{1 - a \cdot \exp(-j\omega T_s)} \tag{A1.4}$$

and

$$S_y(\omega) = \frac{b^2 \sigma_x^2}{(1+a^2) - 2a \cos \omega T_s} \tag{A1.5}$$

With the auto-correlation function defined as

$$R_y(k) = \frac{T_s}{2\pi} \int_{-\pi/T_s}^{\pi/T_s} S_y(\omega) e^{j\omega k T_s} d\omega \quad (\text{A1.6})$$

it follows [8] that

$$R_y(k) = \frac{\sigma_x^2 b^2}{1-a^2} a^{-|k|} \quad (\text{A1.7})$$

The variance of the output signal is then given by:

$$\sigma_y^2 = R_y(0) = \frac{T_s}{\pi} \int_0^{\pi/T_s} S_y(\omega) d\omega = \frac{\sigma_x^2 b^2}{1-a^2} \quad (\text{A1.8})$$

The filter being considered is an integrator with s-domain transfer function

$$H(s) = \frac{1}{s} \quad (\text{A1.9})$$

and z-domain transfer function

$$H(z) = \frac{1}{1-z^{-1}} \quad (\text{A1.10})$$

which gives

$$y_n = y_{n-1} + x_n \quad (\text{A1.11})$$

and therefore $a = 1$ and $b = 1$

Using equation (A1.8), the variance of the output signal is found to be

$$\sigma_y^2 = R_y(0) = \frac{\sigma_x^2}{1-1} \quad (\text{A1.12})$$

which is infinite for a finite input variance and the output signal is therefore a non-stationary random sequence.

DERIVATION OF Z-TRANSFORMS OF PROCESS AND DISTURBANCE MODELS

Define for both systems the forward transfer function

$$G(s) = [1 - \exp(-sT_s)] \cdot \exp(-skT_s) \cdot \frac{K_1}{s(1 + sT_p)} \quad (\text{A2.1})$$

$$= G_1(s) \cdot G_2(s) \quad (\text{A2.2})$$

where

$$G_1(s) = [1 - \exp(-sT_s)] \cdot \exp(-skT_s) \quad (\text{A2.3})$$

and

$$G_2(s) = \frac{K_1}{s(1 + sT_p)} \quad (\text{A2.4})$$

With $z = \exp(sT_s)$,

$$G_1(z) = z^{-k}(1 - z^{-1}) \quad (\text{A2.5})$$

Expressing $G_2(s)$ in partial fractions gives:

$$G_2(s) = \frac{K_1}{s} - \frac{K_1 T_p}{1 + sT_p} \quad (\text{A2.6})$$

Using transform tables gives:

$$G_2(z) = \frac{K_1 z}{z-1} - \frac{K_1 z}{z - \exp(-T_s/T_p)} \quad (\text{A2.7})$$

$$= \frac{K_1 z (1 - \exp(-T_s/T_p))}{(z-1)(z - \exp(-T_s/T_p))} \quad (\text{A2.8})$$

$G(z) = G_1(z) \cdot G_2(z)$ is found to be

$$G(z) = \frac{K_1 [1 - \exp(-T_s/T_p)] \cdot z^{-(k+1)}}{1 - \exp(-T_s/T_p) z^{-1}} \quad (\text{A2.9})$$

The disturbance transfer function is given by

$$N(s) = \frac{T_n}{1 + sT_n} \quad (\text{A2.10})$$

and using transform tables gives

$$N(z) = \frac{1}{1 - \exp(-T_s/T_n) z^{-1}} \quad (\text{A2.11})$$

Using the derived z-domain transfer functions for the respective blocks, equations (3.1.16) and (3.1.17) are obtained.

REQUIREMENTS FOR TRANSFER FUNCTION H(z) TO BE REALIZABLE

Consider a system with transfer function

$$H(z) = \frac{y(z)}{u(z)} \tag{A3.1}$$

$$= \frac{a_{m+1} z^m + a_m z^{m-1} + \dots + a_1}{b_{n+1} z^n + b_n z^{n-1} + \dots + b_1} \tag{A3.2}$$

where Y(z) is the output from and U(z) is the input to the system.

In developing H(z) as a power series in z^{-1} , the coefficients of the series represent the values of the weighted sequence of the digital system. The coefficient of the z^{-k} term corresponds to the value of the weighted sequence at $t = kT_s$ after the input appeared at $t=0$.

For H(z) to be physically realizable, the power series of H(z) may not have any positive power in z. A positive power in z implies prediction of the input or the appearance of the output before the input has been applied and is therefore not physically realizable.

Therefore, for H(z) to be a physically realizable transfer function, the highest power of U(z) must be equal to or greater than the highest power of Y(z), i.e. $n \geq m$.

Factorize H(z) :

$$H(z) = \frac{\text{const } (z + c_1)(z + c_2) \dots (z + c_m)}{(z + d_1)(z + d_2) \dots (z + d_n)} \tag{A3.3}$$

with $n \geq m$ and m finite zeros and n finite poles.

Develop power series:

$$H(z) = \frac{\text{const} [z^m + e_1 z^{m-1} + \dots + e_m]}{z^n + f_1 z^{n-1} + \dots + f_n} \quad (\text{A3.4})$$

$$= \text{const. } z^{m-n} \frac{1 + e_1 z^{-1} + \dots + e_m z^{-m}}{1 + f_1 z^{-1} + \dots + f_n z^{-n}} \quad (\text{A3.5})$$

$$= z^{m-n} \frac{g_0 + g_1 z^{-1} + \dots + g_n z^{-n}}{1 + f_1 z^{-1} + \dots + f_n z^{-n}} \quad (\text{A3.6})$$

If $m = n$ then:

$$H(z) = \frac{g_0 + g_1 z^{-1} + \dots + g_n z^{-n}}{1 + f_1 z^{-1} + \dots + f_n z^{-n}} \quad (\text{A3.7})$$

The system of equation (3.2.12)

$$y_t = \frac{z^{-k} B(z^{-1})}{1 + A(z^{-1})} \cdot u_t(z^{-1}) + \frac{1 + D(z^{-1})}{1 + C(z^{-1})} \cdot \sum_t (z^{-1}) \quad (\text{A3.8})$$

with

$$\begin{aligned} A(z^{-1}) &= a_1 z^{-1} + a_2 z^{-2} + \dots + a_n z^{-n} \\ B(z^{-1}) &= b_0 + b_1 z^{-1} + \dots + b_n z^{-n} \\ C(z^{-1}) &= c_1 z^{-1} + c_2 z^{-2} + \dots + c_n z^{-n} \\ D(z^{-1}) &= d_1 z^{-1} + d_2 z^{-2} + \dots + d_n z^{-n} \end{aligned} \quad (\text{A3.9})$$

is therefore physically realizable since both

$$\frac{B(z^{-1})}{1 + A(z^{-1})} \quad \text{and} \quad \frac{1 + D(z^{-1})}{1 + C(z^{-1})}$$

have the same form as equation (A3.7).

GENERAL DERIVATION OF THE CONTROL LAWS WHICH RESPECTIVELY MINIMIZE COST FUNCTIONS I_1 , I_2 and I_3

The control laws which respectively minimize cost functions I_1 , I_2 and I_3 are derived using the general procedure described in paragraph 3.2.2.

It will be shown that the control law which minimizes I_1 is a special case of the control law which minimizes I_2 with cost factor λ equal to zero. The control law which minimizes I_2 is therefore derived first.

Derivation of Control Law which Minimizes Cost Function I_2

$$I_2 = \hat{y}_{t+k/t}^2 + \lambda u_t^2 \quad (A4.1)$$

where

$$\hat{y}_{t+k/t} = z^k N_k \sum_t + G u_t \quad (A4.2)$$

as derived in paragraph 3.2.2.

Differentiating I_2 wrt u_t and equating it to zero gives:

$$\frac{\partial I_2}{\partial u_t} = 0 = 2g (z^k N_k \sum_t + G u_t) + 2\lambda u_t \quad (A4.3)$$

The control law which minimizes I_2 is therefore:

$$u_t = - \frac{z^k N_k \sum_t}{G + \lambda/g} \quad (A4.4)$$

Substituting

$$\xi_t = \frac{y_t - z^{-k} G u_t}{N} \quad (\text{A4.5})$$

and

$$N = N_k^* + N_k \quad (\text{A4.6})$$

into equation A4.4 gives:

$$u_t = \frac{z^k N_k / N}{G N_k^* / N + \lambda / g_0} y_t \quad (\text{A4.7})$$

Using the identities

$$G = \frac{B}{1+A} \quad (\text{A4.8})$$

$$N = \frac{1+D}{1+C} \quad \text{and} \quad (\text{A4.9})$$

$$1+D = N_k^* (1+C) + z^{-k} D' \quad (\text{A4.10})$$

the finite-parameter form of the control law is found to be:

$$u_t = \frac{D'(1+A)}{B N_k^* (1+C) + \lambda / b_0 (1+A)(1+D)} y_t \quad (\text{A4.11})$$

Derivation of Control Law which Minimizes Cost Function I_1

$$I_1 = E \left\{ \frac{y^2}{t} \right\} \quad (\text{A4.12})$$

$$= E \left\{ \frac{\hat{y}^2}{t+k/t} \right\} \quad (\text{A4.13})$$

as shown in paragraph 3.2.2 where

$$\frac{\hat{y}}{t+k/t} = z^k N_k \xi_t + G u_t \quad \text{as before.}$$

Differentiating I_1 wrt u_t and equating it to zero gives:

$$\frac{\partial I_1}{\partial u_t} = 0 = 2E \left\{ z^k N_k \xi_t + G u_t \right\} \cdot g \quad (\text{A4.14})$$

The control law which minimizes I_1 is therefore:

$$u_t = - \frac{z^k N_k \xi_t}{G} \quad (\text{A4.15})$$

Since equation (A4.15) is a special case of equation (A4.4) with cost factor λ equal to zero, the infinite-parameter and finite-parameter forms of the control law can be obtained from equations (A4.7) and (A4.11) directly.

$$u_t = - \frac{z^k N_k / N}{G N_k^* / N} \cdot \frac{y}{t} \quad (\text{A4.16})$$

$$= - \frac{D'(1+A)}{B N_k^* (1+C)} \cdot \frac{y}{t} \quad (\text{A4.17})$$

Derivation of Control Law which Minimizes Cost Function I_3

$$I_3 = \hat{y}_{t+k/t}^2 + \lambda (u_t - u_{t-1})^2 \quad (\text{A4.18})$$

where

$$\hat{y}_{t+k/t} = z^k N_k \hat{\xi}_t + G u_t \quad \text{as before.}$$

Differentiating I_3 wrt $(u_t - u_{t-1})$ and equating it to zero gives:

$$\begin{aligned} \frac{\partial I_3}{\partial (u_t - u_{t-1})} = 0 = 2g_0 \left[z^k N_k \hat{\xi}_t + \frac{G}{1-z^{-1}} (u_t - u_{t-1}) \right] \\ + 2\lambda (u_t - u_{t-1}) \end{aligned} \quad (\text{A4.19})$$

The control law which minimizes I_3 is therefore:

$$u_t - u_{t-1} = - \frac{z^k N_k \hat{\xi}_t}{\frac{G}{1-z^{-1}} + \lambda/g_0} \quad (\text{A4.20})$$

Substituting

$$\hat{\xi}_t = \frac{y_t - z^{-k} G u_t}{N}$$

and

$$N = N_k^* + N_k$$

into equation (A4.20) gives:

$$u_t - u_{t-1} = - \frac{z^k N_k / N}{\frac{G}{1-z^{-1}} \cdot N_k^* / N + \lambda/g_0} \cdot y_t \quad (\text{A4.21})$$

Using the identities

$$G = \frac{B}{1+A}$$

$$N = \frac{1+D}{1+C} \quad \text{and}$$

$$1+D = N_k^* (1+C) + z^{-k} D'$$

the finite-parameter form of the control law is found to be:

$$u_t - u_{t-1} = - \frac{(1+A)(1-z^{-1})D'}{N_k^* B(1+C) + \lambda/b_0 (1+A)(1-z^{-1})(1+D)} \cdot y_t$$

(A4.22)

SPECIFIC DERIVATION OF THE CONTROL LAWS WHICH RESPECTIVELY MINIMIZE COST FUNCTIONS I_1 , I_2 and I_3 FOR THE DISTURBANCE STATIONARY

Repeating the discrete time presentation of the system subjected to a stationary disturbance with zero mean of equation (3.2.19).

$$y_t = \frac{K_1(1-a)z^{-1}}{1-az^{-1}} u_t + \frac{1}{1-cz^{-1}} \sum_t \quad (A5.1)$$

Using the general form of the equation (equation 3.2.12)

$$y_t = \frac{z^{-k}B}{1+A} u_t + \frac{1+D}{1+C} \sum_t \quad (A5.2)$$

define:

$$k = 1 \quad (A5.3)$$

$$A = -az^{-1} \quad (A5.4)$$

$$B = K_1(1-a) \quad (A5.5)$$

$$C = -cz^{-1} \quad (A5.6)$$

$$D = 0 \quad (A5.7)$$

Using the identity

$$1+D = N_k^* (1+C) + z^{-k} D' \quad (A5.8)$$

obtain

$$N_k^* = 1 + n_1 z^{-1} + \dots + n_{k-1} z^{-k+1} \quad (A5.9)$$

$$= 1 \quad \text{for } k=1 \quad (A5.10)$$

and

$$1 + 0 = 1(1 - cz^{-1}) + z^{-1}(d_0' + d_1'z^{-1} + \dots) \quad (A5.11)$$

Comparing coefficients:

$$z^0 : 1 = 1$$

$$z^{-1} : 0 = -c + d_0'$$

$$z^{-2} : 0 = d_1'$$

gives:

$$D' = d_0' = c \quad (A5.12)$$

The general form of the control law which minimizes I_2 (equation (3.2.15)) is:

$$u_t = - \frac{(1+A)D'}{N_k^* B(1+C) + \lambda/b_0 (1+A)(1+D)} y_t \quad (A5.13)$$

Substituting the predefined polynomials into equation (A5.13), the following specific form of the control law which minimizes I_2 for the disturbance stationary is obtained:

$$u_t = \frac{1}{k_1(1-a) + \frac{\lambda}{k_1(1-a)}} \cdot \left\{ \left[k_1(1-a) + \frac{\lambda a}{k_1(1-a)} \right] u_{t-1} - c y_t + a c y_{t-1} \right\} \quad (A5.14)$$

Equating cost factor λ in equation (A5.14) to zero, the specific form of the control law which minimizes I_1 for the disturbance stationary is found to be:

$$u_t = \frac{1}{K_1(1-a)} \cdot \left\{ K_1(1-a)cy_{t-1} - cy_t + acy_{t-1} \right\} \quad (A5.15)$$

The general form of the control law which minimizes I_3 (equation 3.2.16) is:

$$u_t - u_{t-1} = - \frac{(1+A)(1-z^{-1})D'}{N_k^* B(1+C) + \lambda/b_0 (1+A)(1-z^{-1})(1+D)} \cdot y_t \quad (A5.16)$$

Substituting the predefined polynomials into equation (A5.16), the following specific form of the control law which minimizes I_3 for the disturbance stationary is obtained:

$$u_t = \frac{1}{K_1(1-a) + \frac{\lambda}{K_1(1-a)}} \cdot \left\{ \left[K_1(1-a)c + \frac{\lambda(a+1)}{K_1(1-a)} + K_1(1-a) + \frac{\lambda}{K_1(1-a)} \right] \cdot u_{t-1} \right. \\ \left. - \left[\frac{\lambda a}{K_1(1-a)} + K_1(1-a)c + \frac{\lambda(a+1)}{K_1(1-a)} \right] \cdot u_{t-2} \right. \\ \left. + \frac{\lambda a}{K_1(1-a)} \cdot u_{t-3} - cy_t + (a+1)cy_{t-1} - acy_{t-2} \right\}$$

(A5.17)

SPECIFIC DERIVATION OF THE CONTROL LAWS WHICH RESPECTIVELY MINIMIZE COST FUNCTIONS I_1 , I_2 AND I_3 FOR THE DISTURBANCE NON-STATIONARY

Repeating the discrete time presentation of the system subjected to a non-stationary disturbance of equation (3.2.23):

$$y_t = \frac{K_1(1-a)z^{-1}}{1-az^{-1}} \cdot u_t + \frac{1}{1-z^{-1}} \cdot \frac{1}{1-cz^{-1}} \cdot s_t \quad (A6.1)$$

Using the general form of the equation (equation 3.2.12)

$$y_t = \frac{z^{-k}B}{1+A} \cdot u_t + \frac{1+D}{1+C} \cdot s_t \quad (A6.2)$$

define:

$$k = 1 \quad (A6.3)$$

$$A = -az^{-1} \quad (A6.4)$$

$$B = K_1(1-a) \quad (A6.5)$$

$$C = -(1+c)z^{-1} + cz^{-2} \quad (A6.6)$$

$$D = 0 \quad (A6.7)$$

Using the identity

$$1+D = N_k^* (1+C) + z^{-k} D' \quad (A6.8)$$

obtain

$$N_k^* = 1 + n_1 z^{-1} + \dots + n_{k-1} z^{-k+1} \quad (\text{A6.9})$$

$$= 1 \quad \text{for } k=1 \quad (\text{A6.10})$$

and

$$1 + 0 = 1 [1 - (1+c)z^{-1} + cz^{-2}] + z^{-1}(d'_0 + d'_1 z^{-1} + d'_2 z^{-2} + \dots) \quad (\text{A6.11})$$

Comparing coefficients:

$$z^0 : 1 = 1$$

$$z^{-1} : 0 = -(1+c) + d'_0$$

$$z^{-2} : 0 = c + d'_1$$

$$z^{-3} : 0 = d'_2$$

gives:

$$D' = d'_0 + d'_1 = (1+c) - c = 1 \quad (\text{A6.12})$$

The general form of the control law which minimizes I_2 (equation (3.2.15)) is:

$$u_t = - \frac{(1+A)D'}{N_k^* B(1+C) + \lambda/b_0 (1+A)(1+D)} \cdot \frac{y}{t} \quad (\text{A6.13})$$

Substituting the predefined polynomials into equation (A6.13), the following specific form of the control law which minimizes I_2 for the disturbance non-stationary is obtained:

$$u_t = \frac{1}{K_1(1-a) + \frac{\lambda}{K_1(1-a)}} \cdot \left\{ [K_1(1-a)(1+c)] u_{t-1} + \frac{\lambda a}{K_1(1-a)} u_{t-2} - K_1(1-a)c u_{t-2} - \frac{y}{t} + \frac{ay}{t-1} \right\} \quad (A6.14)$$

Equating cost factor λ in equation (A6.14) to zero, the specific form of the control law which minimizes I_1 for the disturbance non-stationary is found to be:

$$u_t = \frac{1}{K_1(1-a)} \cdot \left\{ K_1(1-a)(1+c) u_{t-1} - K_1(1-a)c u_{t-2} - \frac{y}{t} + \frac{ay}{t-1} \right\} \quad (A6.15)$$

The general form of the control law which minimizes I_3 (equation (3.2.16)) is:

$$u_t - u_{t-1} = - \frac{(1+A)(1-z^{-1})D'}{N_k^* B(1+C) + \lambda/b_0 (1+A)(1-z^{-1})(1+D)} \cdot \frac{y}{t} \quad (A6.16)$$

Substituting the predefined polynomials into equation (A6.16), the following specific form of the control law which minimizes I_3 for the disturbance non-stationary is obtained:

$$\begin{aligned}
 u_t = & \frac{1}{k_i(1-a) + \frac{\lambda}{k_i(1-a)}} \cdot \left\{ \left[k_i(1-a)c + \frac{\lambda(a+1)}{k_i(1-a)} + k_i(1-a) + \frac{\lambda}{k_i(1-a)} \right] u_{t-1} \right. \\
 & - \left[\frac{\lambda a}{k_i(1-a)} + k_i(1-a) \cdot c + \frac{\lambda(a+1)}{k_i(1-a)} \right] u_{t-2} \\
 & \left. + \frac{\lambda a}{k_i(1-a)} \cdot u_{t-3} - cy_t + (a+1)cy_{t-1} - acy_{t-2} \right\}
 \end{aligned}$$

(A6.17)

DERIVATION OF CHARACTERISTIC EQUATIONS RESPECTIVELY FOR SYSTEMS WITH STATIONARY AND NON-STATIONARY DISTURBANCE MODELS AND CONTROL LAWS WHICH RESPECTIVELY MINIMIZE COST FUNCTIONS I_2 AND I_3

Given the actual system description

$$y_t = \frac{z^{-k} B^0}{1+A^0} \cdot u_t + \frac{1+D^0}{1+C^0} \cdot \xi_t \quad (A7.1)$$

while the derived control laws are based on the model parameters A,B,C and D which are not exactly equal to the actual process parameters.

The system characteristic equation $P(z)$ is obtained by writing the system equation in the form

$$y_t = \frac{Q(z)}{P(z)} \cdot \xi_t \quad (A7.2)$$

System with Stationary Disturbance Model and Control Law which Minimizes Cost function I_2

Substituting the control law which minimizes cost function I_2

$$u_t = \frac{(1+A)D'}{N_k^* B(1+C) + \lambda/b_0 (1+A)(1+D)} \cdot y_t \quad (A7.3)$$

and the identity

$$D' = z^k(1+D) - z^k N_k^* (1+C) \quad (A7.4)$$

into equation (A7.1) gives:

$$P(z) = (1+C^{\circ}) \left\{ (1+C) N_k^* [B(1+A^{\circ}) - B^{\circ}(1+A)] + (1+A)(1+D) \left[\frac{\lambda}{b_0} (1+A^{\circ}) + B^{\circ} \right] \right\} \quad (A7.5)$$

Equating $A = A^{\circ}$, $B = B^{\circ}$, $C = C^{\circ}$ and $D = D^{\circ}$ gives:

$$P(z) = (1+C^{\circ})(1+A^{\circ})(1+D^{\circ}) \left[\frac{\lambda}{b_0} (1+A^{\circ}) + B^{\circ} \right] \quad (A7.6)$$

As shown in Annexure 5 to Appendix A, the parameters for the disturbance model stationary are:

$$k = 1 \quad (A7.7)$$

$$A = -az^{-1} \quad (A7.8)$$

$$B = K_1(1-a) \quad (A7.9)$$

$$C = -cz^{-1} \quad (A7.10)$$

$$D = 0 \quad (A7.11)$$

$$D^1 = c \quad (A7.12)$$

Substituting these parameters into equation (A7.6) gives:

$$P(z) = (z-c)(z-a) \left(z - \frac{\lambda a}{\lambda + [K_1(1-a)]^2} \right) \quad (A7.13)$$

System with Non-stationary Disturbance Model and Control Law which Minimizes Cost function I_2

As shown in Annexure 6 to Appendix A, the parameters for the disturbance model non-stationary are:

$$k = 1 \quad (A7.14)$$

$$A = -az^{-1} \quad (A7.15)$$

$$B = K_1(1-a) \quad (A7.16)$$

$$C = -(1+c)z^{-1} + cz^{-2} \quad (A7.17)$$

$$D = 0 \quad (A7.18)$$

$$D^1 = 1 \quad (A7.19)$$

Substituting these parameters into equation (A7.6) gives:

$$P(z) = (z^2 - (1+c)z + c)(z-a) \left(z - \frac{\lambda a}{\lambda + [k_1(1-a)]^2} \right) \quad (A7.20)$$

System with Stationary Disturbance Model and Control Law which Minimizes Cost Function I_3

Substituting the control law which minimizes cost function I_3

$$u_t = - \frac{(1+A)D'}{N_k^* B(1+C) + \lambda/b_0 (1+A)(1-z^{-1})(1+D)} y_t$$

and the identity

$$D' = z^k(1+D) - z^k N_k^* (1+C) \quad (A7.21)$$

into equation (A7.1) gives:

$$P(z) = (1+C^{\circ}) \left\{ (1+C) N_k^* [B(1+A^{\circ}) - B^{\circ}(1+A)(1-z^{-1})] \right. \\ \left. + (1+A)(1-z^{-1})(1+D) [\lambda/b_0 (1+A^{\circ}) + B^{\circ}] \right\} \quad (A7.22)$$

Equating $A = A^0$, $B = B^0$, $C = C^0$ and $D = D^0$ gives:

$$P(z) = (1+C^0) \left\{ (1+C^0) N_k^* z^{-k} B^0 (1+A^0) + (1+A^0)(1-z^{-1})(1+D^0) \left[\lambda/b_0 (1+A^0) + B^0 \right] \right\} \quad (A7.23)$$

Substituting the parameters for the disturbance model stationary as in equations (A7.7) to (A7.12) into equation (A7.23) gives:

$$P(z) = (z-a)(z-c) \left(z^2 - \frac{\lambda(a+1)}{\frac{\lambda}{k_1(1-a)} + k_1(1-a)} z + \frac{\frac{\lambda a}{k_1(1-a)} - k_1(1-a)c}{\frac{\lambda a}{k_1(1-a)} - k_1(1-a)} \right) \quad (A7.24)$$

System with Non-stationary Disturbance Model and Control Law which Minimizes Cost Function I_3

Substituting the parameters for the disturbance model non-stationary as in equations (A7.14) to (A7.19) into equation (A7.23) gives:

$$P(z) = (z^2 - (1+c)z + c)(z-1)(z-a) \left(z - \frac{\frac{\lambda a}{k_1(1-a)} - k_1(1-a)}{\frac{\lambda}{k_1(1-a)} + k_1(1-a)} \right) \quad (A7.25)$$

APPENDIX B : PROGRAM LISTINGS

- Annexure 1 : Listings of "LOADER", "SR/DATADEF", "SR/DECBIN"
and "SR/TITLDEF"
- 2 : Listing of "DISTRUTS"
- 3 : Listing of "MAINPROG"
- 4 : Listing of "SR/PHICALC"
- 5 : Listing of "INTMODES", "INTVECTOR" and "ADC"
- 6 : Listing of "PLOTPACK", "STATPACK" and "STATPACK2"

**Annexure 1 to
Appendix B**

LISTINGS OF "LOADER", "SR/DATADEF", "SR/DECBIN" and "SR/TITLEDEF"

```

10 REM **LOADER**
15 REM *Tape 1.3b-000/007*
20 REM *Purpose-
Conduct SR/DATADEF & save (.)
T$,C,D & S(a)
25 REM *OP-(.) T$,C,D & S(a)
30 REM *Subroutines called-
35 REM SR/DATADEF(3900)
40 REM SR/DECBIN(3300)
45 REM SR/TITLDEF(3600)
50 REM
55 REM *Def SR-addresses
60 LET DATADEF=3900
65 LET DECBIN=3300
70 LET TITLDEF=3600
75 REM
80 REM *Go SR/DATADEF
85 GO SUB DATADEF
90 REM
95 REM *Save arrays
100 CLS
105 PRINT AT 9,1;
"Remove program cassette";
PRINT AT 10,1;
"(Press CONT when done)"
110 IF INKEY$="c" OR INKEY$="C"
THEN GO TO 120
115 GO TO 110
120 CLS
125 INPUT "Storage code=?";Z$
130 PRINT AT 9,1;
"Save (.) T$,C,D & S(a)"
135 PRINT AT 11,1;
"1) Remove earphone socket"
140 PRINT AT 12,1;
"2) Position data tape & press
RECORD"
145 PRINT AT 14,1;
"3) Press KB PB as prompted"
150 LET Y$="T$"+Z$;
SAVE Y$ DATA T$( )
155 LET Y$="C"+Z$;
SAVE Y$ DATA C( )
160 LET Y$="D"+Z$;
SAVE Y$ DATA D( )
165 LET Y$="S(a)" +Z$;
SAVE Y$ DATA S( )
170 REM
175 REM *Verify (.)T$,C,D&S(a)
180 CLS
185 PRINT AT 9,1;
"Verify (.) T$,C,D & S(a)"
190 PRINT AT 11,1;
"1) Insert earphone socket"
195 PRINT AT 12,1;
"2) Rewind to start of series"
200 LET Y$="T$"+Z$;
VERIFY Y$ DATA T$( )
205 LET Y$="C"+Z$;
VERIFY Y$ DATA C( )
210 LET Y$="D"+Z$;
VERIFY Y$ DATA D( )
215 LET Y$="S(a)" +Z$;
VERIFY Y$ DATA S( )
220 REM
225 CLS : PRINT AT 9,1;
"Remove data tape"
230 PRINT AT 11,1;
"Loading completed"
235 REM
240 REM *Done
245 STOP

```

```

3300 REM **SR/DECBIN**
3305 REM *Tape 1.3a-015/019*
3310 REM *Purpose-
Convert a decimal
number DEC to a 16-bit
binary number with 2
bytes,hibyte & lobyte*
3315 REM *IP-DEC (0<=DEC<=65025)
3320 REM *OP-hibyte, lobyte*
3325 REM *Var-;b$,c$,
A$(.),A(.),i*
3330 REM
3335 REM *Convert DEC to string
number c$-
3340 LET c$=""
3345 LET delta=INT (DEC/2)
3350 LET romeo=DEC-2*delta
3355 IF romeo=0 THEN LET b$="0"
3360 IF romeo=1 THEN LET b$="1"
3365 LET c$=b$+c$
3370 IF delta=0 THEN GO TO 3390
3375 LET DEC=delta
GO TO 3345
3385 REM *Calc numeric value
A(.) of string number-
3390 DIM A$(16): DIM A(16)
3395 REM *Initialise A(.)-
3400 FOR i=1 TO 16
3405 LET A(i)=0
3410 NEXT i
3415 LET bravo=LEN c$: LET A$=c$
3420 FOR i=1 TO bravo
3425 LET A(i)=VAL A$(bravo+1-i)
3430 NEXT i
3435 REM *Calc lower and higher
bytes of A(.)-
3440 LET lobyte=0: LET hibyte=0
3445 FOR i=1 TO 8
3450 LET lobyte=lobyte+2*(i-1)*
A(i)
3455 LET hibyte=hibyte+2*(i-1)*
A(i+8)
3460 NEXT i
3465 RETURN
3600 REM **SR/TITLDEF**
3605 REM *Tape 1.3a-030/034*
3610 REM *Purpose-Inputs string
description of the
control function U(t)
from the user*
3615 REM *IP (from operator)-
String data for
T$(.)*
3620 REM *OP-T$(.)*
3625 REM *Var-i,j,s$
3630 REM
3635 REM *Dimension T$(.)-
3640 CLS : PRINT AT 9,2;
"Input no. of lines (21 max)
in title of U(t)":
INPUT NSTRING:
DIM T$(NSTRING,30): CLS
3645 REM *Load T$(.)-
3650 FOR i=1 TO NSTRING
3655 PRINT AT 21,5;
"Input title line";i: INPUT s$:
LET T$(i)=s$: CLS
3660 FOR j=1 TO i
3665 PRINT AT j,1;T$(j)
3670 NEXT j
3675 NEXT i
3680 RETURN

```

```
39900 REM **SR/DATADef**
39904 REM *Tape 1.39-090/105*
39908 REM *Purpose-
a) IP data for fixed constants
  array D(1)
b) IP+calc data for the system
  data constants array C(1)
c) IP data for the program status
  control array S(1)
39912 REM *Var-i,j
39916 REM *SR called-
      TITLDEF & DECBIN
39920 REM
39924 REM *Input string description of U(i)-
39928 GO SUB TITLDEF
39932 REM **Define system constants which remain unchanged throughout the experiment & store as D(1)-
39936 REM *Dim D(1)-
39940 DIM D(7)
39944 REM *Specific heat capacity H(U/kg.K) for water
39948 LET H=4190: LET D(1)=H
39952 REM *Mass M(kg) of water in cylinder-
39956 LET M=10: LET D(2)=M
39960 REM *Thermal resistance R (K/W) between water and ambient-
39964 LET R=.5: LET D(3)=R
39968 REM *Ambient temp Te(C)-
39972 CLS : PRINT AT 9,1;
      "Input ambient temp Te (deg C):"
      : INPUT Te: CLS : LET D(4)=Te
39976 REM *Cold inlet water temp Ti(C)-
39980 PRINT AT 9,2;
      "Input cold inlet water temp Ti (deg C):" : INPUT Ti: CLS :
      LET D(5)=Ti
39984 REM *OP flow-rate Umax (kg/s) with the OP v/v open-
39988 PRINT AT 9,2;
      "Input output flow-rate Umax (kg/s) with the output valve open:" : INPUT Umax: CLS : LET
      D(6)=Umax
39992 REM *Power rating(W) of heater element-
39996 LET Prating=2700: LET D(7)=Prating
4000 REM **Define system constants which may change during the experiment and load array C(1) with these as well as the constants already defined-
```

```
4004 REM *Define the number of different value BPS (called data sets these constants will assume during the experiment-
4008 PRINT AT 9,1;
      "Input the number of different values BPS (called data-sets) the system constants will assume during the experiment:" :
      INPUT BPS: CLS
4012 REM **Load C(1)-
4016 REM *Dim C(1)-
4020 DIM C(BPS,40)
4024 REM *****
4028 FOR J=1 TO BPS
4032 REM
4036 REM **Input sampling data-
4040 REM *Input no. of samples NsplBp in data-set j-
4044 PRINT AT 9,2;
      "Input the number of samples NsplBp using data-set ";j;" of ";BPS: INPUT NsplBp: CLS :
      LET C(j,1)=INT (NsplBp)
4048 REM *IP the sampling period Ts(s) for data-set j-
4052 PRINT AT 9,1;
      "Input the sampling period Ts(s) for data-set ";j;" of ";BPS:
      PRINT AT 12,4;
      "(0<=Ts<=65535)": INPUT Ts: CLS
      : IF Ts<0 THEN LET Ts=0
4056 IF Ts>65535 THEN LET
      Ts=65535
4060 LET C(j,2)=INT (Ts)
```

```

4064 REM #Convert Ts to 16-bit
      number-
4068 LET DEC=Ts: GO SUB DECBIN
4072 LET T$LB=lobyte:
      LET T$HB=hbyte:
      LET C(J,3)=T$LB:
      LET C(J,4)=T$HB
4076 REM
4080 REM **IP disturbance con=
      trol data-
4084 REM #Initialize-
      Calc TVV for each C(J,5)
4088 REM #30s<=TVV<=45s, Ts/TVV
      is an integer
4092 LET i=1
4096 IF C(J,2)/i<=45 AND
      C(J,2)/i>=30 THEN LET
      TVV=INT (C(J,2)/i):
      LET C(J,5)=TVV: GO TO 4104
4100 LET i=i+1: GO TO 4096
4104 REM
4108 REM #Convert TVV to 16-bit
      number-
4112 LET DEC=TVV: GO SUB DECBIN
4116 LET TVV$LB=lobyte:
      LET TVV$HB=hbyte:
      LET C(J,6)=TVV$LB:
      LET C(J,7)=TVV$HB
4120 REM #IP the nominal flow-
      rate U(kg/s) for data-set j-
4124 PRINT AT 9,1;
      "Input the nominal flow-rate U
      (kg/s) for data-set ";j;" of ";
      BPS: PRINT AT 13,4;
      "(0<=U<=Umax)";: PRINT AT 14,4;
      "Umax= ";Umax;" kg/s": INPUT U:
      IF U>Umax THEN LET U=Umax
4128 IF U<0 THEN LET U=0
4132 LET C(J,9)=U: CLS
4136 REM #Input the width DELU
      of the white noise flow-rate
      pdf-
4140 REM #Calc max allowable
      value DELUmax for DELU-
4144 IF 2*(Umax-U)<=2*U THEN LET
      DELUmax=2*(Umax-U)
4148 IF 2*U<=2*(Umax-U) THEN LET
      DELUmax=2*U
4152 PRINT AT 9,1;
      "Input the width DELU(kg/s) of
      the white noise flow-rate pdf
      which is symmetrical around U
      for data-set ";j;" of ";BPS:
      PRINT AT 14,7;
      "DELU<=";DELUmax;" kg/s":
      INPUT DELU
4156 IF DELU>DELUmax THEN
      LET DELU=DELUmax
4160 LET C(J,10)=DELU: CLS
4164 REM
4168 REM **IP model constants-
4172 REM #IP the setpoint temp
      Tt(C) for data-set j-
4176 PRINT AT 9,1;
      "Input the setpoint temperature
      Tt(deg C) for data-set ";j;" of
      ";BPS: PRINT AT 13,5; "(0<=Tt
      <=100)": INPUT Tt
4180 IF Tt>100 THEN LET Tt=100
4184 IF Tt<0 THEN LET Tt=0
4188 LET C(J,11)=Tt: CLS
4192 REM #IP control cost-func=
      tion factor LAMBDA-
4196 PRINT AT 9,2;
      "Input control cost-function
      factor LAMBDA for data-set
      ";j;" of ";BPS: INPUT LAMBDA:
      LET C(J,16)=LAMBDA: CLS
4200 REM #IP power Qh to heater-
4204 LET Qh=U*H*(Tt-Ti)+
      (Tt-Te)/R: LET C(J,17)=Qh
4208 REM #Calc system constant
      K1(K/W)-
4212 LET K1=1/(U*H+1/R):
      LET C(J,18)=K1
4216 REM #Calc system constant
      K2(K/kg)-
4220 LET K2=(Tt-Ti)/M:
      LET C(J,19)=K2
4224 REM #Calc system constant
      Tn(s)-
4228 LET Tn=M*H/(U*H+1/R):
      LET C(J,20)=Tn
4232 REM #Calc system constant
      Tp(s)-
4236 LET Tp=M*H/(U*H+1/R):
      LET C(J,21)=Tp
4240 REM #Calc system constant
      ALPHA-
4244 LET ALPHA=1/EXP (Ts/Tp):
      LET C(J,22)=ALPHA
4248 REM #Calc system constant
      GAMMA-
4252 LET GAMMA=1/EXP (Ts/Tn):
      LET C(J,23)=GAMMA
4256 REM
4260 REM **Calculate control
      function constants-
4264 REM
4268 REM #c16=C(J,37) (dum var)
4272 LET c16=K1*(1-ALPHA):
      LET C(J,37)=c16
4276 REM #c1=C(J,24)-
4280 LET C(J,24)=1/(c16+LAMBDA/
      c16)
4284 REM #c2=C(J,25)-
4288 LET C(J,25)=c16*GAMMA+
      LAMBDA*ALPHA/c16
4292 REM #c3=-C(J,23) as defined
4296 REM #c4=C(J,26)-
4300 LET C(J,26)=ALPHA*GAMMA
4304 REM #c5=C(J,27)-
4308 LET C(J,27)=c16*(GAMMA+1)+
      LAMBDA*(ALPHA+2)/c16
4312 REM #c6=C(J,28)-
4316 LET C(J,28)=-(LAMBDA*
      (2+ALPHA+1)/c16+c16*GAMMA)
4320 REM #c7=C(J,29)-
4324 LET C(J,29)=LAMBDA*ALPHA/
      c16
4328 REM #c8=C(J,30)-
4332 LET C(J,30)=(ALPHA+1)*
      GAMMA
4336 REM #c9=-C(J,26) as defined
4340 REM #c10=C(J,31)-
4344 LET C(J,31)=c16*(1+GAMMA)+
      LAMBDA*ALPHA/c16
4348 REM #c11=C(J,32)-
4352 LET C(J,32)=-c16*GAMMA
4356 REM #c12=C(J,33)-
4360 LET C(J,33)=c16*(2+GAMMA)+
      LAMBDA*(ALPHA+2)/c16
4364 REM #c13=C(J,34)-
4368 LET C(J,34)=-c16*(2*GAMMA+
      1)+LAMBDA*(2*ALPHA+1)/c16
4372 REM #c14=C(J,35)-
4376 LET C(J,35)=c16*GAMMA+
      LAMBDA*ALPHA/c16
4380 REM #c15=C(J,36)-
4384 LET C(J,36)=ALPHA+1
4388 NEXT J
4392 REM
4396 REM *****
4400 REM **Define program status
      control variables-
4404 REM #Dimension S(.)-
4408 DIM S(4)
4412 REM #Calculate the total
      number of samples for the exper=
      iment NSAMPLE as the sum of all
      BPS values of NsplBp-
4416 LET NSAMPLE=0
4420 FOR i=1 TO BPS
4424 LET NSAMPLE=NSAMPLE+C(i,1)
4428 NEXT i
4432 LET S(1)=NSAMPLE
4436 REM #Save the number of
      data-sets used in the experiment
4440 LET S(2)=BPS
4444 REM #Initialize the number
      of samples completed NCOMPL-
4448 LET NCOMPL=0: LET S(3)=NCOMPL
4452 REM #Save the number of
      lines NSTRING in the title of
      U(t)-
4456 LET S(4)=NSTRING
4460 REM #Finish
4464 RETURN

```

LISTING OF "DISTROUNTS"

```
6000 REM **DISTROUNTS**
6005 REM *Tape 1.3a-040/046*
6010 REM *Consists of-
6)SR/STATDIST (line 6025)
6)SR/NSTATDIST (line 6105)
6015 REM *Purpose-Generates
random variables for both stat &
non-stat dist & both experiment
(Tvon) & simulation(et)
6020 REM
6025 REM **SR/STATDIST**
6030 REM *Purpose-Generates stat
rand dist var for both
experiment(Tvon) &
simulation (et)
6035 REM *IP-Data-set j,S(.),
C(.),Umax
6040 REM *OP-Tvon(v/v on-time)&
et(simulated temp dist
6045 REM
6050 LET rnd=RND
6055 REM *Calc delTvon-
6060 LET delTvon=(rnd-.5)*
C(j,5)*C(j,10)/Umax
6065 REM *Calc Tvon-
6070 LET Tvon=C(j,9)*C(j,5)/
Umax+delTvon
6075 REM Calc zetat-
6080 LET zetat=C(j,19)*C(j,2)*
Umax*delTvon/C(j,5)
6085 LET et=C(j,23)*et1+zetat
6090 LET et1=et
6095 RETURN
6100 REM
6105 REM **SR/NSTATDIST**
6110 REM *Purpose-As SR/STATDIST
but for non-stat dist
6115 REM *IP&OP-As SR/STATDIST
6120 REM
6125 LET rnd=RND
6130 REM *Calc delTvonact
6135 LET delTvonact=delTvonact1
+(rnd-.5)*3
6140 IF delTvonact>C(j,5)-
C(j,9)*C(j,5)/Umax THEN LET
delTvonact=.9*(C(j,5)-C(j,9)*
C(j,5)/Umax)
6145 IF delTvonact<-C(j,9)*
C(j,5)/Umax THEN LET delTvonact
=.9*(-C(j,9)*C(j,5)/Umax)
6150 LET delTvonact1=delTvonact
6155 REM *Calc Tvon-
6160 LET Tvon=C(j,9)*C(j,5)/
Umax+delTvonact
6165 REM *Calc zetat
6170 LET zetat=C(j,19)*C(j,2)*
Umax*delTvonact/C(j,5)
6175 REM *Calc et
6180 LET et=C(j,23)*et1+zetat
6185 LET et1=et
6190 RETURN
```

LISTING OF "MAINPROG"

```

10 REM **MAINPROG**
15 REM *Tape 1.3b-035/054*
20 REM *Purpose-
Conduct stochastic control simu=
lation/experiment of 1st order
linear system subject to statio=
ary/non-stationary disturbance
Using control law 1&2/3.
25 REM *IP-(.)C,D & S(a)
30 REM *OP-(.)Q,T & S(b)
35 REM *Subroutines called-
40 REM SR/DECBIN (3300)
45 REM SR/PHICALC (3000)
50 REM SR/STATDIST (6025) or
SR/NSTATDIST (6105)
55 REM *Machine code routines
called for experiment-
60 REM INTVECTORC & IM1/IM2
65 REM
70 REM **Select options-
75 REM
80 REM *Sim or exp?
85 CLS : PRINT AT 9,1;
"Simulation? (y/n)"
90 IF INKEY$="y" OR INKEY$="Y"
THEN LET SIM=1: GO TO 125
95 IF INKEY$="n" OR INKEY$="N"
THEN LET SIM=0: GO TO 125
100 GO TO 90
105 REM
110 REM *Load machine code pro=
grams if exp-
115 IF SIM=1 THEN GO TO 125
120 CLS : PRINT AT 9,1;
"Load machine code": PRINT AT
10,1;"(↑ CONT when loaded)":
STOP
125 REM *Stat or non-stat dist
model?
130 PAUSE 100: CLS :
PRINT AT 9,1;
"Stat(y)/Non-stat(n) dist
model?"
135 IF INKEY$="y" OR INKEY$="Y"
THEN LET DIST=1: GO TO 155
140 IF INKEY$="n" OR INKEY$="N"
THEN LET DIST=0: GO TO 155
145 GO TO 135
150 REM
155 REM *Real dist stat or
non-stat?
160 PAUSE 100: CLS :
PRINT AT 9,1;
"Real dist Stat(y)/Non-stat(n)?
165 IF INKEY$="y" OR INKEY$="Y"
THEN LET DISTR=1: GO TO 185
170 IF INKEY$="n" OR INKEY$="N"
THEN LET DISTR=0: GO TO 185
175 GO TO 165
180 REM
185 REM *Control law 1&2/3?
190 PAUSE 100: CLS :
PRINT AT 9,1;
"Control law 1&2(y)/3(n)?"
195 IF INKEY$="y" OR INKEY$="Y"
THEN LET CNTLAW=2: GO TO 215
200 IF INKEY$="n" OR INKEY$="N"
THEN LET CNTLAW=3: GO TO 215
205 GO TO 195
210 REM
215 REM *Perturbation required
for sensitivity anal?
220 CLS : PRINT AT 9,1;
"Parameters perturbed(p)/
correct(c)?"
225 IF INKEY$="p" OR INKEY$="P"
THEN LET PERT=1: GO TO 240
230 IF INKEY$="c" OR INKEY$="C"
THEN LET PERT=0: GO TO 280
235 GO TO 225
240 REM *Input correct values
of perturbed params
245 REM
250 DIM P(9)
255 CLS : INPUT
"Correct m (kg)=?";P(1): CLS
260 INPUT "Correct V(kg/s)=?";
P(2): CLS
265 INPUT "Correct R(K/W)=?";
P(3): CLS
270 INPUT "Correct Ti(deg C)=?"
P(4): CLS
275 REM

```

```

280 REM *Def SR-addresses
285 LET DECBIN=3300
290 LET PHICALC=3000
295 LET STATDIST=6025
300 LET NSTATDIST=6105
305 REM
310 REM *Load (.)C,D & S(a)-
315 CLS : PRINT AT 9,1;
"Remove program tape"
320 PRINT AT 10,1;
"(Press CONT when done)"
325 IF INKEY$="c" OR INKEY$="C"
THEN GO TO 335
330 GO TO 325
335 CLS :
INPUT "Storage code=?";Z$
340 CLS : PRINT AT 9,1;
"Load (.) C,D & S(a)"
345 PRINT AT 11,1;
"1) Insert & rewind data tape
to start of series"
350 PRINT AT 13,1;
"2) Press PLAY"
355 LET Y$="C"+Z$:
LOAD Y$ DATA C()
360 LET Y$="D"+Z$:
LOAD Y$ DATA D()
365 LET Y$="S(a)+Z$:
LOAD Y$ DATA S()
370 CLS : PRINT AT 9,1;
"Stop & leave data tape in"
375 PAUSE 150
380 REM
385 REM *If params perturbed
calc correct values
390 IF PERT=0 THEN GO TO 450
395 REM *Calc correct K2
400 LET P(5)=(C(1,1)-P(4))/
P(1)
405 REM *Calc correct K1
410 LET P(6)=1/(P(2)*D(1)+1/
P(3))
415 REM *Calc correct Tp & Tn
420 LET P(7)=P(1)*D(1)/
(P(2)*D(1)+1/P(3))
425 REM *Calc correct ALPHA &
GAMMA
430 LET P(8)=1/EXP (C(1,2)/
P(7))
435 REM *Calc correct
K1.(1-ALPHA)
440 LET P(9)=P(6)*(1-P(8))
445 REM
450 REM *Allocate D(.) names-
LET H=D(1): LET M=D(2):
LET R=D(3): LET Te=D(4):
LET Ti=D(5): LET Vmax=D(6):
LET Prating=D(7)
455
460 CLS
465 REM
470 REM **Init condition if exp
475 REM
480 IF SIM=1 THEN GO TO 765
485 REM
490 REM *Zero flags-
495 LET Flag1=0
500 POKE 60001,Flag1
505 LET Flag2=0
510 POKE 60002,Flag2
515 LET Flag3=0
520 POKE 60003,Flag3
525 REM
530 REM *Invoke IM2-
535 LET USR=USR 57601
540 REM
545 REM *Program PIO's-
550 REM
555 REM *PIO1a OP-port-
560 OUT 11,207: OUT 11,0:
LET PIO1a=3
565 REM *OP zero power-
570 OUT PIO1a,255
575 REM *PIO1b IP-port-
580 OUT 15,207: OUT 15,255
585 REM *PIO2b Control-port-
590 OUT 31,207: OUT 31,32:
LET PIO2b=23
595 REM

```

```

800 REM **Pre-heating-
805 REM
810 CLS : PRINT AT 9,1;
"Pre-heating required? (y/n)"
815 IF INKEY$="n" OR INKEY$="N"
THEN GO TO 750
820 IF INKEY$="y" OR INKEY$="Y"
THEN GO TO 635
825 GO TO 615
830 REM
835 REM *Pre-heating required
840 CLS : INPUT
"Pre-heating power (W) ?";Pave;
CLS
845 GO SUB PHICALC
850 OUT PIO1a,Pwrbyte
855 REM
860 REM *Display temp each 5s-
865 REM *Init timer CTsHb&Lb-
870 LET CTsHb=0: LET CTsLb=5:
POKE 60004,CTsHb:
POKE 60005,CTsLb
875 REM *Activate timer-
880 LET Flag1=1:
POKE 60001,Flag1
885 REM *Re-init PIO1a
890 OUT 11,207: OUT 11,0:
OUT PIO1a,Pwrbyte
895 REM *Display temp & power-
900 PRINT AT 18,1;
"(t s to stop)"
905 IF INKEY$="s" OR INKEY$="S"
THEN GO TO 610
910 IF PEEK 60001=0 THEN LET
TEMP=PEEK 60014*1/2.55: CLS :
PRINT AT 9,1;"Temperature=";
INT TEMP;" deg C": PRINT AT
10,1;"Power=";Pave;" W":
GO TO 730
915 GO TO 685
920 REM *Limit power if req-
925 IF TEMP>C(1,11)+3 THEN
GO TO 750
930 REM *Safety limit
935 IF TEMP>C(1,11)+5 THEN
GO TO 750
940 GO TO 665
945 REM
950 REM *End pre-heating,@W out
955 OUT PIO1a,255: CLS
960 REM
965 REM *Sample-class j calc
init-
970 DIM L(S(2)): DIM H(S(2))
975 LET l=1
980 FOR i=1 TO S(2)
985 LET h=C(i,1)+l-1
990 LET L(i)=l: LET H(i)=h
995 LET l=h+1
1000 NEXT i
1005 REM
1010 REM **Initialization-
1015 REM
1020 REM *Init j & S(3)-
1025 LET j=1: LET S(3)=3
1030 REM *Dimension (.) Q & T-
1035 DIM Q(S(1)): DIM T(S(1))
1040 REM *Init variables-
1045 LET T(1)=C(1,11): LET qt2=0
LET T(2)=C(1,11): LET qt1=0
LET Q(1)=C(1,17): LET ut3=0
LET Q(2)=C(1,17): LET ut2=0
LET Q(3)=C(1,17): LET ut1=0
LET qt1=0: LET et1=0:
LET delTvonact1=0
1050 REM *Activate real
disturbance
1055 IF DISTR=1 THEN GO SUB
STATDIST
1060 IF DISTR=0 THEN GO SUB
NSTATDIST
1065 REM **Init counters & flags
and OP-power if exp-
1070 IF SIM=1 THEN GO TO 960
1075 REM *Convert Tvon to hex-
LET DEC=INT Tvon
1080 GO SUB DECBIN
LET TvoHb=hbyte:
LET TvoLb=lbyte
1085 REM *Load counters-
1090 LET CTsHb=C(1,4):
LET CTsLb=C(1,3):
LET CTvvhb=C(1,7):
LET CTvvlb=C(1,6):
LET CTvoHb=TvoHb:
LET CTvoLb=TvoLb:
LET TvvHb=C(1,7):
LET TvvLb=C(1,6)
1095 POKE 60004,CTsHb:
POKE 60005,CTsLb:
POKE 60006,CTvvhb:
POKE 60007,CTvvlb:
POKE 60008,CTvoHb:
POKE 60009,CTvoLb:
1100 POKE 60010,TvvHb:
POKE 60011,TvvLb:
POKE 60012,TvoHb:
POKE 60013,TvoLb

```

```

1105 REM *Switch dist v/v on-
1110 OUT PIO2b,BIN 11111101
1115 REM *Set flags-
1120 LET Flag3=1: LET Flag2=1:
    LET Flag1=1
1135 POKE 60003,Flag3:
    POKE 60002,Flag2:
    POKE 60001,Flag1
1130 REM *Calc qt-
1135 LET qt=T(S(3))-C(j,11):
    LET qt=0
1140 GO TO 1205
1145 REM *Sim-
1150 REM *Calc qt-
1155 IF PERT=0 THEN GO TO 1175
1160 REM *For perturbed params-
1165 LET qt=P(8)*qt1+P(9)*ut1:
    GO TO 1180
1170 REM *Params not perturbed-
1175 LET qt=C(j,22)*qt1+C(j,37)*
    ut1
1180 REM *Limit qt if necessary-
1185 IF qt+C(j,11)+et>100 THEN
    LET et=100-qt-C(j,11)
1190 IF qt+C(j,11)+et<0 THEN LET
    et=-qt-C(j,11)
1195 REM *Calc qt,round-off to
    one dec & calc T(S(3))
1200 LET qt=qt+et:
    LET qt=INT(qt*10+.5)/10:
    LET T(S(3))=qt+C(j,11)
1205 REM *End of qt & T(S(3))
    calc/measurement-
1210 REM
1215 REM **Program j-count con=
    trol-
1220 IF S(3)=S(1) THEN GO TO
    1530
1225 LET S(3)=S(3)+1
1230 IF S(3)<=H(j) AND S(3)>=
    L(j) THEN GO TO 1245
1235 IF j=S(2) THEN LET j=0
1240 LET j=j+1: GO TO 1230
1245 REM *End of j-count control
1250 REM
1255 REM **Calc control qt-
1260 REM
1265 IF CNTLAW=2 THEN GO TO 1310
1270 REM
1275 REM *Control law 3-
1280 IF DIST=1 THEN GO TO 1295
1285 REM *Non-stationary dist-
1290 LET ut=C(j,24)*C(j,33)*
    ut1+C(j,34)*ut2+C(j,35)*
    ut3-qt+C(j,36)*ut1-C(j,22)*
    yt2: GO TO 1340
1295 REM *Stationary dist-
1300 LET ut=C(j,24)*C(j,27)*
    ut1+C(j,28)*ut2+C(j,29)*
    ut3-C(j,23)*yt+C(j,30)*yt1-
    C(j,26)*yt2: GO TO 1340
1305 REM
1310 REM *Control law 1&2-
1315 IF DIST=1 THEN GO TO 1330
1320 REM *Non-stationary dist-
1325 LET ut=C(j,24)*C(j,31)*
    ut1+C(j,32)*ut2-qt+C(j,22)*
    yt1: GO TO 1340
1330 REM *Stationary dist-
1335 LET ut=C(j,24)*C(j,25)*
    ut1-C(j,23)*yt+C(j,26)*yt1
1340 REM *End of qt-calc
1345 REM
1350 REM *Limit Q(S(3)) if
    necessary-
1355 LET Q(S(3))=
    INT(ut+C(j,17))
1360 IF ut+C(j,17)>Prating THEN
    LET Q(S(3))=Prating
1365 IF ut+C(j,17)<0 THEN LET
    Q(S(3))=0
1370 REM *Output power-
1375 IF SIM=1 THEN GO TO 1405
1380 LET Pave=Q(S(3))
1385 GO SUB PHICALC
1390 REM *Re-init PIOs
1395 OUT 11,207: OUT 11,0:
    OUT 15,207: OUT 15,255:
    OUT 31,207: OUT 31,32
1400 OUT PIO1a,Pwrbyte
1405 REM
1410 REM *Re-init dummy-var
1415 LET yt2=yt1: LET yt1=yt:
    LET ut3=ut2: LET ut2=ut1:
    LET ut1=ut: LET qt1=qt
1420 REM
1425 REM **Display data-
1430 REM
1435 REM *Display after every
    sample for exp and
    after every 10 samples
    for sim-
1440 IF NOT (SIM=0 OR (SIM=1
    AND S(3)/10=INT(S(3)/10)))
    THEN GO TO 970
1445 CLS
1450 IF DIST=1 THEN PRINT AT 1,1
    ;"Stationary disturbance"
1455 IF DIST=0 THEN PRINT AT 1,1
    ;"Non-stationary disturbance"
1460 IF CNTLAW=2 THEN PRINT AT
    2,1;"Control law 1/2"
1465 IF CNTLAW=3 THEN PRINT AT
    2,1;"Control law 3"
1470 PRINT AT 5,1:
    "Setpoint=";C(j,11);" deg C"
1475 PRINT AT 6,1:
    "Lambda=";C(j,16)
1480 PRINT AT 9,1:
    "Measured temp=";T(S(3)-1);
    " deg C"
1485 PRINT AT 10,1:
    "Output power=";Q(S(3));" W"
1490 PRINT AT 13,1:
    "Sampling period=";C(j,2);" s"
1495 PRINT AT 14,1:
    "Sample ";S(3);" of ";S(1)
1500 REM *End of display
1505 REM
1510 REM *Go to start of main
    loop-
1515 GO TO 970
1520 REM
1525 REM
1530 REM **End of run-
1535 REM
1540 REM *OP zero power-
1545 IF SIM=1 THEN GO TO 1560
1550 OUT PIO1a,255
1555 REM
1560 REM *Save (.) S(b),Q & T-
1565 CLS : PRINT AT 9,1:
    "Save (.)S(b),Q & T"
1570 PRINT AT 11,1:
    "1) Remove earphone socket"
1575 PRINT AT 12,1:
    "2) Note count & press RECORD"
1580 PRINT AT 13,1:
    "Press KB PB as prompted"
1585 LET Y$="S(b)+Z$:
    SAVE Y$ DATA S()
    LET Y$="Q"+Z$:
    SAVE Y$ DATA Q()
    LET Y$="T"+Z$:
    SAVE Y$ DATA T()
1590 REM
1600 REM *Verify (.)S(b),Q & T-
1605 CLS
1610 PRINT AT 9,1:
    "Verify (.)S(b),Q & T"
1620 PRINT AT 11,1:
    "1) Insert earphone socket"
1625 PRINT AT 12,1:
    "2) Rewind to previous count"
1630 PRINT AT 13,1:
    "3) Press PLAY"
1635 LET Y$="S(b)+Z$:
    VERIFY Y$ DATA S()
    LET Y$="Q"+Z$:
    VERIFY Y$ DATA Q()
    LET Y$="T"+Z$:
    VERIFY Y$ DATA T()
1640 REM
1645 CLS : PRINT AT 9,1:
    "Remove & store data tape"
1650 PRINT AT 11,1:
    "End of run"
1655 STOP

```

LISTING OF "SR/PHICALC"

```
3000 REM *SR/PHICALC*
3005 REM *Type 1.3a-000/007*
3010 REM *Purpose-
      1) Calc and OP byte to DAC
         for phase control for
         required Pave
      2) Enable interrupt
3015 REM *IP-Prating,Pave
3020 REM *OP-Pwrbyte (to port 3
      (OP-port for dac)*
3025 REM *SR called-SR/ENABLE
3030 REM *Var-PHI,Psol,Uadc
3035 REM
3040 REM *Limit Pave if req-
3045 IF Pave>Prating THEN
      LET Pave=Prating
3050 IF Pave<0 THEN LET Pave=0
3055 REM *Newton-Raphson-
3060 REM *Initialise PHI-
3065 LET PHI=PI/2
3070 REM *Calc new PHI-
3075 LET PHI=PHI+
      (SIN (2*(PI-PHI))+2*PHI-
      4*PI/5000*Pave)/
      (2*COS (2*(PI-PHI))-2)
3080 REM *Calc Psol for new PHI-
3085 LET Psol=5000/PI*
      (.5*PHI+.25*
      SIN (2*(PI-PHI)))
3090 REM *Psol close to Pave?
3095 IF ABS (Pave-Psol)>1
      THEN GO TO 3075
3100 REM *175<=PHI<=180 ?
      Yes,let Uadc=1.2
3105 IF PHI<PI AND
      PHI>175/180*PI THEN
      LET Uadc=1.2
3110 IF PHI<PI AND
      PHI>175/180*PI THEN
      GO TO 3130
3115 REM *Calc Uadc-
3120 LET Uadc=4-2.8/175*PHI/PI*
      180
3125 REM *Calc Pwrbyte-
3130 LET Pwrbyte=INT (255/2.8*
      Uadc-1.2*255/2.8+.5)
3145 RETURN
```

LISTINGS OF "INTMODES", "INTVECTOR" AND "ADC"

```

00010 ;**INTVECTOR1**
00020 ;Tape 1.5a-036
00030 ;Another version of this text
00040 ;file but without comment
00050 ;statements is stored as
00060 ;INTVECTOR2 on Tape 1.5a
00070 ;The code is stored as
00080 ;INTVECTOR0 on Tape 1.5a
00090 ;
00100 ;Interrupt vector=57640=E128H
00110 ;PIO-ports defined in subroutine
00120 ;ADC
00130 ;
00140 ;Origin of code address 576400
00150 ;or E128H
00160 ORG 576400
00170 ;
00180 ;50-Hz counter CSEC address
00190 CSEC EQU 600000
00200 ;
00210 ;50-Hz loop
00220 ;Save registers on stack
00230 INTR PUSH AF
00240 PUSH HL
00250 PUSH BC
00260 PUSH DE
00270 ;1-Hz clock (50-Hz counter)
00280 HZ1 LD A,(CSEC)
00290 INC A
00300 LD (CSEC),A
00310 CP 50
00320 JP Z,CONTRL
00330 ;Call keyboard read routine
00340 KEYB CALL 02BFH
00350 ;Restore registers
00360 POP DE
00370 POP BC
00380 POP HL
00390 POP AF
00400 ;Enable interrupts
00410 EI
00420 ;Return
00430 RET
00440
00450
00460
00470 ;Temperature measurement and
00480 ;disturbance w/v control routine
00490 ;Entry once/second
00500 ;
00510 ;Flags addresses
00520 flag1 EQU CSEC+1
00540 flag2 EQU flag1+1
00550 flag3 EQU flag2+1
00560 ;
00570 ;Counters addresses
00580 CTsHb EQU flag3+1
00590 CTsLb EQU CTsHb+1
00600 CTvwHb EQU CTsLb+1
00610 CTvwLb EQU CTvwHb+1
00620 CTvohb EQU CTvwLb+1
00630 CTvolb EQU CTvohb+1
00640 ;
00650 ;Next sampling period (Counters)
00660 ;addresses
00670 Tvvhb EQU CTvolb+1
00680 TvvLb EQU Tvvhb+1
00690 TvoHb EQU TvvLb+1
00700 TvoLb EQU TvoHb+1
00710 ;
00720 ;PIOs' addresses
00730 PIO1B EQU 70
00740 PIO2B EQU 230
00750 ;
00760 ;
00770 ;Reset 50-Hz counter
00780 CONTRL LD A,0
00790 LD (CSEC),A
00800 ;

```

```

00000 ;**INTVECTOR2*
00010 ;Tape 1.5a-050
00020
00030
00040
00050
00060
00070
00080 ORG 576400
00090
00100
00110 CSEC EQU 600000
00120
00130
00140
00150 INTR PUSH AF
00160 PUSH HL
00170 PUSH BC
00180 PUSH DE
00190
00200 HZ1 LD A,(CSEC)
00210 INC A
00220 LD (CSEC),A
00230 CP 50
00240 JP Z,CONTRL
00250
00260 KEYB CALL 02BFH
00270
00280 POP DE
00290 POP BC
00300 POP HL
00310 POP AF
00320
00330 EI
00340
00350 RET
00360
00370
00380
00390
00400
00410
00420
00430
00440
00450
00460
00470
00480
00490
00500 flag1 EQU CSEC+1
00510 flag2 EQU flag1+1
00520 flag3 EQU flag2+1
00530
00540 CTsHb EQU flag3+1
00550 CTsLb EQU CTsHb+1
00560 CTvwHb EQU CTsLb+1
00570 CTvwLb EQU CTvwHb+1
00580 CTvohb EQU CTvwLb+1
00590 CTvolb EQU CTvohb+1
00600
00610 Tvvhb EQU CTvolb+1
00620 TvvLb EQU Tvvhb+1
00630 TvoHb EQU TvvLb+1
00640 TvoLb EQU TvoHb+1
00650
00660
00670
00680
00690
00700
00710
00720
00730 PIO1B EQU 70
00740 PIO2B EQU 230
00750
00760
00770
00780 CONTRL LD A,0
00790 LD (CSEC),A
00800

```

```

00810 ;Check if flag1 is set
00820 LD A,(flag1)
00830 CP 1
00840 JP NZ,N1
00850 ;
00860 ;Yes, flag1 is set
00870 ;Decrement Counter Ts
00880 Y1 LD A,(CTsHb)
00890 LD B,A
00900 LD A,(CTsLb)
00910 LD C,A
00920 DEC BC
00930 LD A,B
00940 LD (CTsHb),A
00950 LD A,C
00960 LD (CTsLb),A
00970 ;Check if decremented value of
00980 ;Counter Ts=0
00990 LD A,C
01000 OR B
01010 JP Z,Y2
01020 ;
01030 ;No,Counter Ts not= 0
01040 ;Check if flag2 is set
01050 N2 LD A,(flag2)
01060 CP 1
01070 JP NZ,N3
01080 ;
01090 ;Yes, flag2 is set
01100 ;Decrement Counter Tw
01110 Y3 LD A,(CTvHb)
01120 LD B,A
01130 LD A,(CTvLb)
01140 LD C,A
01150 DEC BC
01160 LD A,B
01170 LD (CTvHb),A
01180 LD A,C
01190 LD (CTvLb),A
01200 ;Check if decremented value of
01210 ;Counter Tw=0
01220 LD A,C
01230 OR B
01240 JP Z,Y4
01250 ;
01260 ;No, Counter Tw not= 0
01270 ;Check if flag3 is set
01280 N4 LD A,(flag3)
01290 CP 1
01300 JP NZ,N5
01310 ;
01320 ;
01330 ;
01340 ;
01350 ;
01360 ;Yes, flag3 is set
01370 ;Decrement Counter Tvon
01380 Y5 LD A,(CTvohb)
01390 LD B,A
01400 LD A,(CTvolb)
01410 LD C,A
01420 DEC BC
01430 LD A,B
01440 LD (CTvohb),A
01450 LD A,C
01460 LD (CTvolb),A
01470 ;Check if decremented value of
01480 ;Counter Tvon=0
01490 LD A,C
01500 OR B
01510 JP NZ,N6
01520 ;
01530 ;Yes, Counter Tvon=0
01540 ;Clear flag3
01550 Y6 LD A,0
01560 LD (flag3),A
01570 ;Switch w/v off
01580 LD A,11111100B
01590 OUT (PI02B),A
01600 ;Finish
01610 JP FINISH
01620 ;
01630 ;No, flag1 is not set
01640 ;Switch w/v off
01650 N1 LD A,11111100B
01660 OUT (PI02B),A
01670 ;Finish
01680 JP FINISH
01690 ;

E146 00810
E146 3A61EA 00820 LD A,(flag1)
E149 FE01 00830 CP 1
E148 C2ACE1 00840 JP NZ,N1
E14E 00850
E14E 00860
E14E 3A64EA 00880 Y1 LD A,(CTsHb)
E151 47 00890 LD B,A
E152 3A65EA 00900 LD A,(CTsLb)
E155 4F 00910 LD C,A
E156 06 00920 DEC BC
E157 78 00930 LD A,B
E158 3264EA 00940 LD (CTsHb),A
E158 79 00950 LD A,C
E15C 3265EA 00960 LD (CTsLb),A
E15F 00970
E15F 00980
E15F 79 00990 LD A,C
E160 B0 01000 OR B
E161 C8B3E1 01010 JP Z,Y2
E164 01020
E164 01030
E164 3A62EA 01050 N2 LD A,(flag2)
E167 FE01 01060 CP 1
E169 C2C2E1 01070 JP NZ,N3
E16C 01080
E16C 01090
E16C 3A66EA 01110 Y3 LD A,(CTvHb)
E16F 47 01120 LD B,A
E170 3A67EA 01130 LD A,(CTvLb)
E173 4F 01140 LD C,A
E174 06 01150 DEC BC
E175 78 01160 LD A,B
E176 3266EA 01170 LD (CTvHb),A
E179 79 01180 LD A,C
E17A 3267EA 01190 LD (CTvLb),A
E17D 01200
E17D 01210
E17D 79 01220 LD A,C
E17E B0 01230 OR B
E17F C8C9E1 01240 JP Z,Y4
E182 01250
E182 01260
E182 3A63EA 01280 N4 LD A,(flag3)
E185 FE01 01290 CP 1
E187 C2E0E1 01300 JP NZ,N5
E18A 01310
E18A 01320
E18A 01330
E18A 01340
E18A 01350
E18A 3A68EA 01380 Y5 LD A,(CTvohb)
E18D 47 01390 LD B,A
E18E 3A69EA 01400 LD A,(CTvolb)
E191 4F 01410 LD C,A
E192 06 01420 DEC BC
E193 78 01430 LD A,B
E194 3268EA 01440 LD (CTvohb),A
E197 79 01450 LD A,C
E198 3269EA 01460 LD (CTvolb),A
E19B 01470
E19B 01480
E19B 79 01490 LD A,C
E19C B0 01500 OR B
E19D C2F0E1 01510 JP NZ,N6
E1A0 01520
E1A0 01530
E1A0 3E00 01550 Y6 LD A,0
E1A2 3263EA 01560 LD (flag3),A
E1A5 01570
E1A5 3EFC 01580 LD A,11111100B
E1A7 D317 01590 OUT (PI02B),A
E1A9 01600
E1A9 C3F3E1 01610 JP FINISH
E1AC 01620
E1AC 01630
E1AC 01640
E1AC 3EFC 01650 N1 LD A,11111100B
E1AE D317 01660 OUT (PI02B),A
E1B0 01670
E1B0 C3F3E1 01680 JP FINISH
E1B3 01690

```

```

01700 ;Yes, Counter Ts=0
01710 ;Clear flag1
01720 Y2 LD A,0
01730 LD (flag1),A
01740 ;Switch v/v off
01750 LD A,11111100B
01760 OUT (PIO2B),A
01770 ;Measure and store temperature
01780 CALL ADC
01790 ;Finish
01800 JP FINISH
01810 ;
01820 ;No, flag2 is not set
01830 ;Switch v/v off
01840 N3 LD A,11111100B
01850 OUT (PIO2B),A
01860 ;Finish
01870 JP FINISH
01880 ;
01890 ;Yes, Counter Twv=0
01900 ;Reload counter Twv with Twv
01910 Y4 LD A,(TwvHb)
01920 LD (CTvHb),A
01930 LD A,(TwvLb)
01940 LD (CTvLb),A
01950 ;Reload counter Twon with Twon
01960 LD A,(TvoHb)
01970 LD (CTvoHb),A
01980 LD A,(TvoLb)
01990 LD (CTvoLb),A
02000 ;Switch v/v on
02010 LD A,11111101B
02020 OUT (PIO2B),A
02030 ;Set flag3
02040 LD A,1
02050 LD (flag3),A
02060 ;Finish
02070 JP FINISH
02080 ;
02090 ;No, flag3 is not set
02100 ;Finish
02110 N5 JP FINISH
02120 ;
02130 ;No, Counter Twon not= 0
02140 ;Finish
02150 N6 JP FINISH
02160 ;
02170 ;Return to 50-HZ loop
02180 FINISH JP KEYB
02190 ;
02200 ;
02210 ;
02220 ;
02230 ;
02240 ;*****
02250 ;** ADC **
02260 ;TEMPERATURE MEASUREMENT SR
02270 ;Converts 128 analog values to
02280 ;8-bit bytes in succession and
02290 ;calculates the average
02300 ;
02310 ;Temperature storage byte
02320 ;"Temp" address
02330 Temp EQU Tvolb+1
02340 ;
02350 ;PIO1 port B: 8-bit converted
02360 ; temperature value
02370 ;PIO2 port B control bits:
02380 ; Outputs B0 & B1 low for v/v
02390 ; shut, B0 high and B1 low for
02400 ; v/v open
02410 ; Output B2: Not-CS
02420 ; Output B3: Not-UR
02430 ; Output B4: Not-RD
02440 ; Input B5: Not-INTR
02450 ; Outputs B6,B7 not used,leave
02460 ; high
02470 ;
02480 ;Initialize counter reg B
02490 ADC LD B,128
02500 ;Initialize sum reg pair HL
02510 LD HL,0
02520 ;Initialize reg pair DE
02530 LD DE,0
02540 ;

```

```

E1B3 01700
E1B3 3E00 01720 Y2 LD A,0
E1B5 3261EA 01730 LD (flag1),A
E1B8 01740
E1B8 3EFC 01750 LD A,11111100
E1BA 0317 01760 OUT (PIO2B),A
E1BC 01770
E1BC 0DF6E1 01780 CALL ADC
E1BF 01790
E1BF 03F3E1 01800 JP FINISH
E1C2 01810
E1C2 01820
E1C2 01830
E1C2 3EFC 01840 N3 LD A,11111100
E1C4 0317 01850 OUT (PIO2B),A
E1C6 01860
E1C6 03F3E1 01870 JP FINISH
E1C9 01880
E1C9 01890
E1C9 3A6AEA 01910 Y4 LD A,(TwvHb)
E1CC 3266EA 01920 LD (CTvHb),A
E1CF 3A68EA 01930 LD A,(TwvLb)
E1D2 3267EA 01940 LD (CTvLb),A
E1D5 01950
E1D5 3A6CEA 01960 LD A,(TvoHb)
E1D8 3268EA 01970 LD (CTvoHb),A
E1DB 3A6DEA 01980 LD A,(TvoLb)
E1DE 3269EA 01990 LD (CTvoLb),A
E1E1 02000
E1E1 3EFC 02010 LD A,11111101
E1E3 0317 02020 OUT (PIO2B),A
E1E5 02030
E1E5 3E01 02040 LD A,1
E1E7 3263EA 02050 LD (flag3),A
E1EA 02060
E1EA 03F3E1 02070 JP FINISH
E1ED 02080
E1ED 02090
E1ED 02100
E1ED 03F3E1 02110 N5 JP FINISH
E1F0 02120
E1F0 02130
E1F0 02140
E1F0 03F3E1 02150 N6 JP FINISH
E1F3 02160
E1F3 02170
E1F3 0338E1 02180 FINISH JP KEYB
E1F6 02190
E1F6 02200
E1F6 02220
E1F6 02250 ;** ADC **
E1F6 02260
E1F6 02270
E1F6 02330 Temp EQU Tvolb+1
E1F6 02340
E1F6 02350
E1F6 0680 02490 ADC LD B,128
E1F8 02500
E1F8 210000 02510 LD HL,0
E1FB 02520
E1FB 110000 02530 LD DE,0
E1FE 02540

```

```

02550 ;Let Not-OS stay low
02560 ;Let Not-RO go hi-lo-hi to
02570 ;ensure Not-INTR high
02580 ;Not-RO hi
02590 LD A,11111000B
02600 OUT (PI02B),A
02610 ;Not-RO lo
02620 LD A,11101000B
02630 OUT (PI02B),A
02640 ;Not-RO hi
02650 LD A,11111000B
02660 OUT (PI02B),A
02670 ;
02680 ;Initiate conversion
02690 ;Not-UR lo
02700 LD A,11110000B
02710 OUT (PI02B),A
02720 ;Not-UR hi
02730 LD A,11111000B
02740 OUT (PI02B),A
02750 ;
02760 ;Wait for conversion to end
02770 WAIT1 IN A,(PI02B)
02780 BIT 5,A
02790 JR NZ,WAIT1
02800 ;
02810 ;Latch and load converted value
02820 ;into reg pair 2 (DE)
02830 ;Latch
02840 ;Not-RO lo
02850 LD A,11101000B
02860 OUT (PI02B),A
02870 ;Read value
02880 IN A,(PI01B)
02890 LD E,A
02900 ;Not-RO hi
02910 LD A,11111000B
02920 OUT (PI02B),A
02930 ;
02940 ;
02950 ;
02960 ;
02970 ;Initiate conversion
02980 ;Not-UR lo
02990 ICON LD A,11110000B
03000 OUT (PI02B),A
03010 ;Not-UR hi
03020 LD A,11111000B
03030 OUT (PI02B),A
03040 ;
03050 ;Sum reg pair HL and reg pair DE
03060 ADD HL,DE
03070 ;Check if counter in reg B is 0
03080 DEC B
03090 LD A,0
03100 CP B
03110 ;If (reg B)=0 jump to FIN1
03120 JR Z,FIN1
03130 ;
03140 ;No, (reg B) not= 0
03150 ;Wait for conversion to finish
03160 WAIT2 IN A,(PI02B)
03170 BIT 5,A
03180 JR NZ,WAIT2
03190 ;
E1FE 02550
E1FE 3EF8 02590 LD A,11111000
E200 0317 02600 OUT (PI02B),A
E202 02610
E202 3EE8 02620 LD A,11101000
E204 0317 02630 OUT (PI02B),A
E206 02640
E206 3EF8 02650 LD A,11111000
E208 0317 02660 OUT (PI02B),A
E20A 02670
E20A 02680
E20A 02690
E20A 3EF0 02700 LD A,11110000
E20C 0317 02710 OUT (PI02B),A
E20E 02720
E20E 3EF8 02730 LD A,11111000
E210 0317 02740 OUT (PI02B),A
E212 02750
E212 02760
E212 0617 02770 WAIT1 IN A,(PI02B)
E214 086F 02780 BIT 5,A
E216 20FA 02790 JR NZ,WAIT1
E218 02800
E218 02810
E218 3EE8 02850 LD A,11101000
E21A 0317 02860 OUT (PI02B),A
E21C 02870
E21C 0607 02880 IN A,(PI01B)
E21E 5F 02890 LD E,A
E21F 02900
E21F 3EF8 02910 LD A,11111000
E221 0317 02920 OUT (PI02B),A
E223 02930
E223 02940
E223 02950
E223 3EF0 02990 ICON LD A,11110000
E225 0317 03000 OUT (PI02B),A
E227 03010
E227 3EF8 03020 LD A,11111000
E229 0317 03030 OUT (PI02B),A
E22B 03040
E22B 03050
E22B 19 03060 ADD HL,DE
E22C 03070
E22C 05 03080 DEC B
E220 3E00 03090 LD A,0
E22F 68 03100 CP B
E230 03110
E230 2813 03120 JR Z,FIN1
E232 03130
E232 03140
E232 03150
E232 0817 03160 WAIT2 IN A,(PI02B)
E234 086F 03170 BIT 5,A
E236 20FA 03180 JR NZ,WAIT2
E238 03190

```

```

03200 ;Latch and load converted value
03210 ;into reg pair 2 (DE)
03220 ;Latch
03230 ;Not-RD lo
03240 LD A,11101000B
03250 OUT (PI02B),A
03260 ;Read value
03270 IN A,(PI01B)
03280 LD E,A
03290 ;Not-RD hi
03300 LD A,11111000B
03310 OUT (PI02B),A
03320 ;
03330 ;Jump to ICON
03340 JR ICON
03350 ;
03360 ;Yes, (reg B)=0
03370 ;Calculate average of sum in
03380 ;reg pair 1 (HL)
03390 ;Average=(HL)/128
03400 ;Devision by 128 equivalent to
03410 ;shifting (HL) 7 bits to the
03420 ;right
03430 ;
03440 ;Manipulate lower byte
03450 FINI LD A,L
03460 ;Clear lower 7 bits
03470 AND 10000000B
03480 ;Shift 7 bits to the right
03490 LD B,7
03500 SHIFT SAL A
03510 DJNZ SHIFT
03520 ;Store intermediate result in
03530 ;reg C
03540 LD C,A
03550 ;Manipulate higher byte
03560 LD A,H
03570 ;Clear MSB
03580 AND 01111111B
03590 ;Shift 1 bit to the left
03600 SLA A
03610 ;Logical OR manipulated hi and
03620 ;lo bytes
03630 OR C
03640 ;
03650 ;Save temperature
03660 LD (TEMP),A
03670 ;Return
03680 RET
03690 ;*****
03700 ;
03710 ;End of source code
03720 END

```

```

E238 03200
E238 03210
E238 3EE8 03240 LD A,11101000
E23A 0317 03250 OUT (PI02B),A
E23C 03260
E23C 0B07 03270 IN A,(PI01B)
E23E 5F 03280 LD E,A
E23F 3EF8 03290 LD A,11111000
E241 0317 03300 OUT (PI02B),A
E243 03320
E243 03330
E243 180E 03340 JR ICON
E245 03350
E245 03360
E245 70 03450 FINI LD A,L
E246 03460
E246 E620 03470 AND 10000000B
E248 03480
E248 0607 03490 LD B,7
E24A 0B3F 03500 SHIFT SAL A
E24C 10FC 03510 DJNZ SHIFT
E24E 03520
E24E 03530
E24E 4F 03540 LD C,A
E24F 03550
E24F 70 03560 LD A,H
E250 03570
E250 E67F 03580 AND 01111111B
E252 03590
E252 0B27 03600 SLA A
E254 03610
E254 03620
E254 61 03630 OR C
E255 03640
E255 03650
E255 326EEA 03660 LD (TEMP),A
E258 03670
E258 09 03680 RET
E259 03690
E259 03700
E259 03720 END

```

00000 TOTAL ERRORS

```

ADC E1F6
CONTRL E141
CSEC EA60
CTSHB EA64
CTSLB EA65
CTV0HB EA68
CTV0LB EA69
CTV0VHB EA66
CTV0VLB EA67
FINI E245
FINISH E1F8
FLAG1 EA61
FLAG2 EA62
FLAG3 EA63
H21 E12C
ICON E223
INTR E138
KEYB E138
N1 E1AC
N2 E164
N3 E1C2
N4 E182
N5 E1ED
N6 E1F0
PI01B 0007
PI02B 0017
SHIFT E24A
TEMP EA6E
TV0HB EA6C
TV0LB EA6D
TV0VHB EA6A
TV0VLB EA6B
WAIT1 E212
WAIT2 E232
Y1 E14E
Y2 E163
Y3 E16C
Y4 E1C9
Y5 E16A
Y6 E1A0

```

```
00010 ;**INTMODES1**
00020 ;Tape 1.5a-065
00030 ;Another version of this text
00040 ;file but without comment
00050 ;statements is stored as
00060 ;INTMODES2 on Tape 1.5a
00070 ;The code is stored as
00080 ;INTMODES3 on Tape 1.5a
00090 ;
00100 ;Purpose:
00110 ;Either set IM2 and load I-reg
00120 ;or set IM1
00130 ;To initialize IM2:
00140 ;"LET usr=USR 57601"
00150 ;To initialize IM1:
00160 ;"LET usr=USR 57612"
00170 ;
00180 ;Origin of code address 57601
00190 ;or 0E110H
00200 ;      ORG 57601
00210 ;
00220 ;Initialize IM2
00230 ;(I)=31H; (databus)=0FFH
00240 ;(31FFH)=28H (ROM)
00250 ;(3200H)=0E1H (ROM)
00260 ;Interrupt service routine at
00270 ;0E128H=57640
00280 IM2   DI
00290       PUSH AF
00300       LD   A,31H
00310       LD   I,A
00320       IM  2
00330       POP AF
00340       EI
00350       RET
00360 ;
00370 ;Initialize IM1
00380 IM1   IM  1
00390       RET
00400 ;
00410       END
00420
```

```
0000          00010 ;**INTMODES2**
0000          00020 ;Tape 1.5a-072
0000          00090 ;
0000          00200 ;      ORG 57601
E101          00210 ;
E101 F3      00280 IM2   DI
E102 F5      00290       PUSH AF
E103 3E31    00300       LD   A,31H
E105 ED47    00310       LD   I,A
E107 ED5E    00320       IM  2
E109 F1      00330       POP AF
E10A F6      00340       EI
E10B C9      00350       RET
E10C         00360 ;
E10C ED56    00380 IM1   IM  1
E10E C9      00390       RET
E10F         00400 ;
E10F         00410       END
```

00000 TOTAL ERRORS

```
IM1          E10C
IM2          E101
```

LISTINGS OF "PLOTPACK", "STATPACK" AND "STATPACK 2"

```

00000> REM **PLOTPACK**
00000 REM *Tape 1.3a-055/077*
00004 REM *Purpose-
Plot Q(t) & T(t) &/or U(t) & Y(t) on
request (screen &/or hard-copy)
00000 REM *Input- I, J, K, L, M, N, O, P, Q, R, S, T, U
00000 REM *Output- plots
00010 REM *Approx 13,5K code
00012 REM *Arrays Used- L(.) & H(.)
00014 REM *Range-
00016 REM *Temperature = 100 (deg C)
00018 REM *Power = 3000 (W)
00020 REM
00020 REM *Data-poking of UDG for
subscripts
00020 REM
00024 REM STORE 5194
00024 FOR i=0 TO 7
00026 READ row
00028 POKE USR "A"+i,row
00030 NEXT i
00034 FOR i=0 TO 7
00036 READ row
00038 POKE USR "B"+i,row
00040 NEXT i
00042 FOR i=0 TO 7
00044 READ row
00046 POKE USR "C"+i,row
00048 NEXT i
00052 FOR i=0 TO 7
00054 READ row
00056 POKE USR "D"+i,row
00058 NEXT i
00062 FOR i=0 TO 7
00064 READ row
00066 POKE USR "E"+i,row
00068 NEXT i
00072 FOR i=0 TO 7
00074 READ row
00076 POKE USR "F"+i,row
00078 NEXT i
00082 FOR i=0 TO 7
00084 READ row
00086 POKE USR "G"+i,row
00088 NEXT i
00092 FOR i=0 TO 7
00094 READ row
00096 POKE USR "H"+i,row
00098 NEXT i
00102 FOR i=0 TO 7
00104 READ row
00106 POKE USR "I"+i,row
00108 NEXT i
00112 FOR i=0 TO 7
00114 READ row
00116 POKE USR "J"+i,row
00118 NEXT i
00122 FOR i=0 TO 7
00124 READ row
00126 POKE USR "K"+i,row
00128 NEXT i
00132 FOR i=0 TO 7
00134 READ row
00136 POKE USR "L"+i,row
00138 NEXT i
00142 FOR i=0 TO 7
00144 READ row
00146 POKE USR "M"+i,row
00148 NEXT i
00152 FOR i=0 TO 7
00154 READ row
00156 POKE USR "N"+i,row
00158 NEXT i
00162 FOR i=0 TO 7
00164 READ row
00166 POKE USR "O"+i,row
00168 NEXT i
00172 FOR i=0 TO 7
00174 READ row
00176 POKE USR "P"+i,row
00178 NEXT i

```

```

5194 FOR i=0 TO 7
5196 READ row
5198 POKE USR "Q"+i,row
5200 NEXT i
5204 FOR i=0 TO 7
5206 READ row
5208 POKE USR "R"+i,row
5210 NEXT i
5214 FOR i=0 TO 7
5216 READ row
5218 POKE USR "S"+i,row
5220 NEXT i
5224 FOR i=0 TO 7
5226 READ row
5228 POKE USR "T"+i,row
5230 NEXT i
5234 FOR i=0 TO 7
5236 READ row
5238 POKE USR "U"+i,row
5240 NEXT i
5244 DATA 0,0,0,100,00,0,0,0
5246 DATA 0,0,0,00,00,100,04,04,0
5248 DATA 0,0,0,00,00,00,00,00,0
5250 DATA 0,0,0,00,00,00,74,74,00,0
5252 DATA 0,40,40,00,04,100,00,
5254
5256 DATA 0,46,74,74,74,74,50,0
5258 DATA 0,00,74,74,74,74,40,0
5260 DATA 0,00,00,04,10,10,0,0
5262 DATA 0,00,74,74,74,74,50,0
5264 DATA 0,10,00,00,00,00,00,0
5266 DATA 0,00,00,00,74,70,00,0
5268 DATA 0,00,00,00,00,00,00,0
5270 DATA 0,00,0,100,0,0,0,0
5272 DATA 0,00,04,04,04,04,0,0
5274 DATA 0,1,0,4,240,4,0,1
5276 DATA 0,104,16,40,00,0,0,0
5278 DATA 0,00,04,40,04,04,104,0
5280
5282 DATA 0,40,40,40,40,40,40,0
5284 DATA 0,16,16,16,16,16,16,
5286
5288 DATA 0,16,16,16,16,16,16,0
5290 REM
5292 REM ***start main routine
5294 REM
5296 CLS
5298 PRINT AT 9,1;
5300 "Is plot1 (Q&T) required?"
5302 "(y/n)"
5304 IF INKEY$="n" OR INKEY$="N"
5306 THEN GO TO 5264
5308 IF INKEY$="y" OR INKEY$="Y"
5310 THEN GO TO 5256
5312 GO TO 5246
5314 REM
5316 REM *Go plotting-parameters
5318 input routine
5320 GO SUB 5298
5322 REM
5324 REM *Go Q&T plotting-
5326 control routine
5328 GO SUB 5356
5330 CLS
5332 PAUSE 150
5334 PRINT AT 9,1;
5336 "Is plot2 (U&Y) required?"
5338 "(y/n)"
5340 IF INKEY$="n" OR INKEY$="N"
5342 THEN GO TO 5292
5344 IF INKEY$="y" OR INKEY$="Y"
5346 THEN GO TO 5280
5348 GO TO 5270
5350 REM
5352 REM *Go plotting-parameters
5354 input routine
5356 GO SUB 5298
5358 REM *Go U&Y plotting-
5360 control routine
5362 GO SUB 5420
5364 REM
5366 REM ***End main routine
5368 REM
5370 CLS : RETURN
5372 REM
5374 REM *Plotting-parameters
5376 input routine
5378 CLS
5380 PAUSE 150
5382 REM
5384 REM *Go Sample-class ini-
5386 tialization routine
5388 GO SUB 5926
5390 PRINT AT 9,1;
5392 "Is a hard-copy required?"
5394 "(y/n)"

```

```

5310 IF INKEY$="n" OR INKEY$="N" THEN GO TO 5316
5312 IF INKEY$="y" OR INKEY$="Y" THEN GO TO 5316
5314 GO TO 5310
5316 LET SWHC=1: GO TO 5320
5320 LET SWHC=0
5322 CLS
5324 IF SWHC=1 THEN PRINT AT 14,1;"(250 samples=A4)"
5326 PRINT AT 9,1;
"Input 1st sampling point
SP1stPlot to be plotted"
5328 PRINT AT 11,1;"( )";
"<<SP1stPlot(=);S(G)-1)"
5330 INPUT SP1stPlot
5332 IF SP1stPlot<1 OR
SP1stPlot>S(G)-1 THEN
GO TO 5328
5334 CLS
5336 IF SWHC=1 THEN PRINT AT 14,1;"(250 samples=A4)"
5338 PRINT AT 9,1;
"Input last sampling point
SPlastPlot to be plotted"
5340 PRINT AT 11,1;"( )";SP1stPlot
"<<SPlastPlot(=);S(G)"
5342 INPUT SPlastPlot
5344 IF SPlastPlot<=SP1stPlot
OR SPlastPlot>S(G) THEN GO TO
5340
5346 REM
5348 REM *End plotting-params
input routine
5350 RETURN
5352 REM
5354 REM *start Q&T plotting
control-routine
5356 REM
5358 LET Page=1
5360 LET Plot1=SP1stPlot
5362 LET Plotf=87+8*SP1stPlot
5364 CLS
5366 PRINT AT 9,1;
"Are graphs of setpoint Tt and
nom input power required on
plot1? (It takes longer)
(y/n)"
5368 IF INKEY$="n" OR INKEY$="N"
THEN LET SWSP=0: GO TO 5376
5370 IF INKEY$="y" OR INKEY$="Y"
THEN LET SWSP=1: GO TO 5376
5372 GO TO 5368
5374 REM
5376 REM *Go Q&T page-plotting
routine
5378 GO SUB 5474
5380 PRINT AT 0,8;"Sample ";
Plot1
5382 PRINT AT 21,8;"Sample ";
Plotf
5384 PRINT AT 10,8;"to cont"
IF Plotf<=SPlastPlot THEN
PRINT AT 21,8;"Sample ";
Plotf
5386 IF Plotf>SPlastPlot THEN
PRINT AT 21,8;"Sample ";
SPlastPlot
5388 IF INKEY$<>"c" AND INKEY$
<>"C" THEN GO TO 5388
5390 IF SWHC=0 THEN GO TO 5400
5392 REM
5394 REM *Go Q&T page-plotting
routine
5396 GO SUB 5474
5398 IF SWHC=1 THEN PRINT AT
0,18;Plot1: COPY
5400 LET Page=Page+1
5402 LET Plot1=Plotf
5404 LET Plotf=87+(Page-1)*87+
SP1stPlot
5406 IF i<SPlastPlot THEN GO TO
5378
5408 REM
5410 REM *End Q&T plotting
control routine
5412 RETURN
5414 REM
5416 REM *U&Y plotting control
routine
5418 REM
5420 LET Page=1
5422 LET Plot1=SP1stPlot
5424 LET Plotf=87+8*SP1stPlot
5426 REM
5428 REM *Go U&Y page-plotting
routine
5430 GO SUB 5672
5432 PRINT AT 0,8;"Sample ";
Plot1

```

```

5434 PRINT AT 21,8;"Sample ";
Plotf
5436 PRINT AT 10,9;"to cont"
5438 IF Plotf<=SPlastPlot THEN
PRINT AT 21,8;"Sample ";
Plotf
5440 IF Plotf>SPlastPlot THEN
PRINT AT 21,8;"Sample ";
SPlastPlot
5442 IF INKEY$<>"c" AND INKEY$
<>"C" THEN GO TO 5442
5444 IF SWHC=0 THEN GO TO 5454
5446 REM
5448 REM *Go U&Y page-plotting
routine
5450 GO SUB 5672
5452 IF SWHC=1 THEN PRINT AT
0,18;Plot1: COPY
5454 LET Page=Page+1
5456 LET Plot1=Plotf
5458 LET Plotf=87+(Page-1)*87+
SP1stPlot
5460 IF i<SPlastPlot THEN GO TO
5430
5462 CLS
5464 REM
5466 REM *End U&Y plotting
control-routine
5468 RETURN
5470 REM
5472 REM *Q&T page-plotting
routine
5474 CLS
5476 REM
5478 REM *Plot grid
5480 REM
5482 PLOT 0,175: DRAW 0,-175
5484 PLOT 0,175: DRAW 10,0
5486 PLOT 155,175: DRAW 0,-175
5488 PLOT 155,175: DRAW 10,0
5490 FOR k=30 TO 150 STEP 30
5492 FOR i=175 TO 0 STEP -5
5494 PLOT k,i
5496 NEXT i
5498 NEXT k
5500 FOR k=180 TO 255 STEP 35
5502 FOR i=175 TO 0 STEP -5
5504 PLOT k,i
5506 NEXT i
5508 NEXT k
5510 REM
5512 REM *Plot 0
5514 REM
5516 PLOT INT ((10*(Plot1)+10)/20),
175
5518 FOR i=Plot1+1 TO Plotf
5520 DRAW 0,-2
5522 DRAW INT ((10*(i)+10)/20)-
INT ((10*(i-1)+10)/20),0
5524 IF i=SPlastPlot THEN GO TO
5534
5526 NEXT i
5528 REM
5530 REM *Plot T
5532 REM
5534 PLOT 155+INT T(Plot1),175
5536 FOR i=Plot1+1 TO Plotf
5538 DRAW INT T(i)-INT T(i-1),
-2
5540 IF i=SPlastPlot THEN GO TO
5544
5542 NEXT i
5544 IF SWSP=0 THEN GO TO 5606
5546 REM
5548 REM *Plot setpoint and nom
0 if required
5550 REM
5552 LET yax=175
5554 LET DSno=1
5556 FOR i=Plot1 TO Plotf
5558 LET Sampleno=i
5560 REM
5562 REM *Go sample-class
routine
5564 GO SUB 5952
5566 PLOT 155+INT C(DSno,11),yax
5568 IF INT C(DSno,11)=100 THEN
DRAW -1,0
5570 DRAW 0,-1: DRAW 0,1
5572 DRAW 1,0
5574 DRAW 0,-1: DRAW 0,1
5576 DRAW -2,0
5578 DRAW 0,-1: DRAW 0,1
5580 PLOT INT ((C(DSno,17)+10)/
20),yax
5582 IF INT ((C(DSno,17)+10)/20)
=0 THEN DRAW 1,0

```

```

55004 DRAW 0,-1: DRAW 0,1
55006 DRAW 1,0
55008 DRAW 0,-1: DRAW 0,1
55010 DRAW 1,0
55012 DRAW 0,-1: DRAW 0,1
55014 LET YBX=YAX-2
55016 IF I=SPlastPlot THEN GO TO 5505
55018 NEXT I
55020 REM
55022 REM *Print subscripts on all even pages
55024 REM
55026 IF INT (Page/2) <> Page/2 THEN GO TO 5504
55028 PRINT AT 11,0,"0"
55030 PRINT AT 11,10,"1"
55032 PRINT AT 12,0,"#"
55034 PRINT AT 12,10,"#"
55036 PRINT AT 13,0,"0"
55038 PRINT AT 13,7,"1"
55040 PRINT AT 13,15,"16"
55042 PRINT AT 13,18,"19"
55044 PRINT AT 13,21,"20"
55046 PRINT AT 14,0,"x"
55048 PRINT AT 14,7,"x"
55050 PRINT AT 14,15,"x"
55052 PRINT AT 14,18,"x"
55054 PRINT AT 14,21,"0"
55056 PRINT AT 15,0,"0"
55058 PRINT AT 15,7,"20"
55060 PRINT AT 15,15,"4"
55062 PRINT AT 15,21,"0"
55064 PRINT AT 16,7,"x"
55066 PRINT AT 16,15,"x"
55068 PRINT AT 16,21,"0"
55070 PRINT AT 17,7,"0"
55072 PRINT AT 17,15,"0"
55074 REM
55076 REM **End Q&T page plotting routine
55078 RETURN
55080 REM
55082 REM **U&Y page-plotting routine
55084 REM
55086 CLS
55088 REM
55090 REM *Plot grid
55092 REM
55094 PLOT 75,175: DRAW 0,-175
55096 PLOT 75,175: DRAW 10,0
55098 PLOT 205,175: DRAW 0,-175
55100 PLOT 205,175: DRAW 10,0
55102 FOR K=0 TO 60 STEP 15
55104 FOR I=175 TO 0 STEP -5
55106 PLOT K,I
55108 NEXT I
55110 NEXT K
55112 FOR K=90 TO 150 STEP 15
55114 FOR I=175 TO 0 STEP -5
55116 PLOT K,I
55118 NEXT I
55120 NEXT K
55122 FOR K=215 TO 255 STEP 10
55124 FOR I=175 TO 0 STEP -5
55126 PLOT K,I
55128 NEXT I
55130 NEXT K
55132 REM

```

```

5730 REM *Plot U&Y
5732 REM
5734 LET Sampleno=Plot1
5736 LET DSno=1
5738 REM
5740 REM *Go Sample-class routine
5742 GO SUB 5952
5744 LET Ordinate1=0(Plot1)-C(DSno,17)
5746 LET Ordinate1=INT ((Ordinate1+4)/8)
5748 IF Ordinate1>75 THEN LET Ordinate1=75
5750 IF Ordinate1<-75 THEN LET Ordinate1=-75
5752 PLOT 75+Ordinate1,175
5754 FOR I=Plot1+1 TO Plotf
5756 DRAW 0,-2
5758 LET Sampleno=i
5760 REM
5762 REM *Go Sample-class routine
5764 GO SUB 5952
5766 LET Ordinate2=0(i)-C(DSno,17)
5768 LET Ordinate2=INT ((Ordinate2+4)/8)
5770 IF Ordinate2>75 THEN LET Ordinate2=75
5772 IF Ordinate2<-75 THEN LET Ordinate2=-75
5774 DRAW Ordinate2-Ordinate1,0
5776 LET Ordinate1=Ordinate2
5778 IF I=SPlastPlot THEN GO TO 5788
5780 NEXT I
5782 REM
5784 REM *Plot T
5786 REM
5788 LET Sampleno=Plot1
5790 REM
5792 REM *Go Sample-class routine
5794 GO SUB 5952
5796 LET Ordinate1=T(Plot1)-C(DSno,11)
5798 LET Ordinate1=5*INT Ordinate1
5800 IF Ordinate1>50 THEN LET Ordinate1=50
5802 IF Ordinate1<-50 THEN LET Ordinate1=-50
5804 PLOT 205+Ordinate1,175
5806 LET DSno=1
5808 FOR I=Plot1+1 TO Plotf
5810 LET Sampleno=i
5812 REM
5814 REM *Go Sample-class routine
5816 GO SUB 5952
5818 LET Ordinate2=T(i)-C(DSno,11)
5820 LET Ordinate2=5*INT Ordinate2
5822 IF Ordinate2>50 THEN LET Ordinate2=50
5824 IF Ordinate2<-50 THEN LET Ordinate2=-50
5826 DRAW Ordinate2-Ordinate1,-2
5828 LET Ordinate1=Ordinate2
5830 IF I=SPlastPlot THEN GO TO 5840
5832 NEXT I
5834 REM

```



```
10 REM **STATPACK2**
15 REM *Tape 1.3a-
20 REM *Purpose- Calc mean &
  variance of ut & yt
25 REM *IP-(.)C,S(b),Q & T
30 REM *OP-Printed mean & var
  of ut & yt
35 REM
40 REM *Load (.)C,S(b),Q & T-
45 CLS
50 INPUT "Storage code=?";Z$
55 PRINT AT 9,1;
  "Load (.)C,S(b),Q & T"
60 PRINT AT 11,1;
  "1) Insert & rewind data-tape
  to start of series"
65 PRINT AT 13,1;
  "2) Press PLAY"
70 LET Y$="C"+Z$;
  LOAD Y$ DATA C()
75 LET Y$="S(b)" +Z$;
  LOAD Y$ DATA S()
80 LET Y$="Q"+Z$;
  LOAD Y$ DATA Q()
65 LET Y$="T"+Z$;
  LOAD Y$ DATA T()
90 CLS
95 REM
100 REM *Input data-
105 INPUT
  "No of ut autocor shifts=?";
  kut: LET kut=INT kut:
  DIM U(kut+1)
110 INPUT
  "No of yt autocor shifts=?";
  kyt: LET kyt=INT kyt:
  DIM Y(kyt+1)
115 REM
120 REM *Calc mean of ut &
  mean of yt-
125 LET sumut=0: LET sumyt=0
130 FOR i=1 TO S(3)
135 LET sumut=sumut+Q(i)-
  C(1,17):
  LET sumyt=sumyt+T(i)-
  C(1,11)
140 NEXT i
145 LET meanut=sumut/S(3):
  LET meanyt=sumyt/S(3)
150 REM
```

```
155 REM *Calc auto-correllation
  of ut-
160 FOR j=0 TO kut
165 CLS : PRINT AT 9,5;"ja=";j:
  PRINT AT 11,5;Z$
165 LET sum=0
170 FOR i=1 TO S(3)
175 LET wa=Q(i)-C(1,17)-meanut:
  LET wb=Q(i+j)-C(1,17)-meanut:
  LET sum=sum+wa*wb
180 IF i+j=S(3) THEN GO TO 195
190 NEXT i
195 LET U(j+1)=sum/(S(3)-1-j)
200 NEXT j
205 REM
210 REM *Calc auto-correllation
  of yt-
215 FOR j=0 TO kyt
217 CLS : PRINT AT 9,5;"jb=";j:
  PRINT AT 11,5;Z$
220 LET sum=0
225 FOR i=1 TO S(3)
230 LET wa=T(i)-C(1,11)-meanyt:
  LET wb=T(i+j)-C(1,11)-meanyt:
  LET sum=sum+wa*wb
235 IF i+j=S(3) THEN GO TO 250
245 NEXT i
250 LET Y(j+1)=sum/(S(3)-1-j)
255 NEXT j
260 CLS
265 REM
270 REM *Print results-
275 LPRINT Z$;":": LPRINT
280 LPRINT "mean of ut=";meanut
285 LPRINT "mean of yt=";meanyt
290 LPRINT
295 LPRINT "Auto-correllation:"
300 LPRINT "K";TAB 5;"ut";
  TAB 20;"yt"
305 LET kmax=0
310 IF kut>kmax THEN LET kmax=
  kut
315 IF kyt>kmax THEN LET kmax=
  kyt
320 FOR i=1 TO kmax+1
325 IF kut+1<i THEN LPRINT i-1;
  TAB 18;Y(i): GO TO 340
330 IF kyt+1<i THEN LPRINT i-1;
  TAB 3;U(i): GO TO 340
335 LPRINT i-1;TAB 3;U(i);
  TAB 18;Y(i)
340 NEXT i
345 LPRINT : LPRINT : LPRINT
350 CLS
355 RUN
```

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