

Patterns of mobility, and the effect of mobility on viral suppression and retention among postpartum women living with HIV in South Africa

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Dissertation Abstract

Introduction: In South Africa postpartum women have been shown to be at high risk of disengagement from HIV care and postpartum mobility may be related to disruptions in care. This study aimed to describe patterns of mobility, and explore associations with viral suppression and retention in the postpartum period.

Methods: This study used data from a prospective cohort study that enrolled women who initiated life-long antiretroviral therapy (ART) during their pregnancy in Gugulethu, Cape Town (March 2013 - June 2014), and an additional follow-up study at approximately 4 years postpartum. Patterns of self-reported mobility between delivery and the 4 year measurement visit were examined. Log-binomial models were used to explore the association between mobility (moving in the 3, 6 or 12 months prior to the study visit) and i) viral suppression (viral load (VL) ≤ 50 and ≤ 1000 copies/mL measured at the 12 month and 4 year measurement visit) and ii) retention in care (based on routine medical record data at approximately 12 months and 4 years postpartum).

Results: Among the 353 women in this analysis, 98 (28%) reported having ever moved between delivery and 4 years postpartum. Mobility was more likely to occur soon after delivery with 50% of the moves occurring within the first year following delivery; the most common reason for moving being to live with and receive support from family (44%). Moving within 3 months of the viral load measurement at 12 months postpartum was associated with having a VL ≤ 50 copies/mL (aRR=1.61, 95% CI: 1.17-2.21). Moving in any window prior to the 12 month or 4 year postpartum viral load was not associated with viral suppression. Retention in care at both 12 months and 4 years postpartum was not associated with mobility.

Conclusions: These results demonstrate that movement following delivery is a common occurrence among postpartum women, but this movement did not seem to disrupt engagement in HIV care. There is a need for further research to understand the impact of this movement on postpartum women's viral suppression and retention in care, as well as on ways to support continued engagement in HIV care after delivery.

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PART A: PROTOCOL

Protocol Synopsis

Background: Consistent retention in HIV care, specifically in the postpartum period, is a significant challenge in South Africa [1,2]. Postpartum women living with HIV have been consistently shown to be at high risk of disengagement from HIV care, particularly right after delivery [2,3]. One reason that postpartum women have a high risk of disengagement from care could be due to mobility. In South Africa, postpartum women often move after delivery to seek help with the baby from their family, as well as to return to work [1,4]. This type of mobility may introduce challenges to continued engagement with HIV care and optimal antiretroviral therapy (ART) adherence. However, research on the topic of postpartum mobility and its impact on HIV care engagement and treatment outcomes.

Methods: This proposed research will be a secondary data analysis of data drawn from a prospective cohort study, the Maternal and Child Health Antiretroviral Therapy study (MCH-ART, HREC REF 451/2012) and a follow-up cross-sectional study in the same cohort, the Long-term Adherence and Care Engagement study (LACE, HREC REF 866/2016) conducted at a primary healthcare facility in Gugulethu, Cape Town, South Africa.

The main objectives of this research are to (i) describe postpartum movement patterns, reasons for this movement among women in the MCH-ART and LACE studies, and to (ii) investigate the impact postpartum mobility has on viral suppression and retention in care, respectively.

Ethical Considerations: The MCH-ART and LACE studies received ethical approval from the University of Cape Town Human Research Ethics Committee and the Columbia University Institutional Review Board. As this study is secondary data analysis, there will be no direct contact with the participants of the primary studies. An anonymised dataset will be provided for analysis.

Outcomes: The evidence gained from this proposed research will contribute to the limited literature on postpartum women's mobility and how their mobility effects HIV treatment engagement and outcomes.

Introduction

Background

Population mobility has been identified as a key driver in the spread of HIV in sub-Saharan Africa [5]. Mobility may be associated with HIV acquisition, with reports showing higher HIV prevalence among mobile populations [6–9]. In South Africa population mobility is a frequent occurrence, with most mobility being classified as internal migration characterized by movement within South Africa between rural and urban areas [8]. More recently, there has been growing concern surrounding the impact of frequent mobility and movement on engagement in HIV care [1].

Access to antiretroviral therapy (ART) in South Africa has increased significantly over the past decade, with the country housing the world’s largest ART program [10]. Specifically, the increased uptake of ART during pregnancy for women living with HIV has helped reduce mother-to-child transmission (MTCT) and improve maternal health outcomes [11]. These potential benefits of ART use during pregnancy and the postpartum period can be undermined by poor adherence and disengagement from HIV care, which could result in the increased risk of drug resistance, treatment failure, failure to achieve viral suppression, and subsequently, MTCT, maternal morbidity and mortality [12,13]. Despite the importance of remaining adherent to ART and engagement with HIV care, many women living with HIV are not retained in care or adherent to ART.

In South Africa, consistent retention in HIV care, specifically in the postpartum period, is a significant challenge [1,2]. Postpartum women living with HIV have been consistently shown to be at high risk of disengagement from HIV care, particularly right after delivery [2,3]. One reason that postpartum women have a high risk of disengagement from care could be due to mobility following delivery. In South Africa, postpartum women are highly likely to move after delivery to seek care and help with the baby from their family, as well as to return to work [1,4]. This type of mobility may introduce many challenges to continued engagement with HIV care and optimal ART adherence.

These findings emphasize the need for a better understanding of postpartum mobility and its impact on the gains of continuous ART adherence and engagement in care. However, research on

the topic of postpartum mobility and its impact on retention in care and ART adherence is scarce despite it being a significant cause for concern.

Background for proposed dissertation

The proposed dissertation will be a secondary analysis of data collected from the Maternal and Child Health Antiretroviral Therapy (MCH-ART) study, a prospective cohort study conducted at a primary healthcare facility in Gugulethu, Cape Town, that took place between March 2013 and June 2014. The study focussed on evaluating two strategies for delivering HIV care to postpartum women living with HIV and their HIV exposed infants [14]. A follow-up to the MCH-ART study, the Long-term Adherence and Care Engagement (LACE) study, examined long-term adherence and engagement in care among the MCH-ART study participants approximately 4 years postpartum. This proposed dissertation will focus on the aspect of mobility and movement in the postpartum period and the associations that exist between mobility and viral suppression and retention in HIV care.

Study Rationale

Postpartum retention in care in South Africa is a significant challenge, with numerous studies reporting that disengagement from HIV care occurs more frequently during the postpartum period in comparison to antenatal care (ANC) [2,15]. Mobility has been identified as a possible risk factor for care disengagement in postpartum women living with HIV [1]. In South Africa, postpartum women often move after delivery to receive support and care from their family members or caregivers [1,2]. Postpartum mobility therefore presents a challenge to continued HIV care access with potential impacts on viral suppression and retention in care.

Research focusing on postpartum mobility and its effects on viral suppression and engagement with HIV care in South Africa is scarce. Majority of mobility related research focusses on mobility as a risk factor for HIV acquisition and its influence on access to HIV care [7]. There is a need to understand the patterns of mobility among postpartum women living with HIV and the associations that exist between mobility and engagement in HIV care. However, these data have not previously been described in our setting.

Literature Review

Introduction

Increased access to and uptake of ART during pregnancy for women living with HIV in recent years has helped reduce MTCT and improve maternal health outcomes [16]. Despite this accomplishment and the importance of remaining adherent to ART, postpartum women have been shown to be at high risk of disengagement from HIV care [2,17]. A factor that influences this disengagement that has been identified in research is mobility that commonly takes place following delivery of the baby [1,17]. This type of mobility may introduce many challenges to continued engagement with HIV care and optimal ART adherence. Based on these findings, this dissertation aims to investigate the impact of mobility during the postpartum period on viral suppression and retention in HIV care among postpartum women living with HIV. To inform this research, this literature review aims to evaluate existing literature on the influence of mobility on ART adherence and engagement with care.

Methods

Search Strategy

The literature search was done electronically to identify relevant literature focussed on mobility or mobile populations living with HIV, their experiences regarding mobility and their engagement with HIV care and ART adherence. The search was conducted on PubMed and Google Scholar. The search strategy included combinations of the search terms and their synonyms that are presented in Box 1. Studies were only included in the review if population mobility or some aspect of mobility such as clinic transfers or movement between clinics was evaluated, and the outcome of interest was a measure used to assess HIV care engagement or ART adherence.

Box 1: Literature review search strategy terms

HIV:	Human immunodeficiency virus
Mobility:	Migrant, migration, migrate, relocate, relocation, travel
Engagement:	Disengagement, lost to follow-up, retention, retained, non-retention
Adherence:	Adherence, non-adherence, compliance, non-compliance, viral load, viral suppression
Postpartum:	Maternal, perinatal, postnatal

Results

The impact of mobility on HIV care cascade outcomes

Delays in HIV diagnosis and accessing or seeking care

In South Africa mobility is a frequent occurrence with movement between rural and urban areas or between provinces being the most common type of mobility in the country [18]. Individuals living with HIV's interaction with HIV care is likely to reflect their timing and patterns of movement [7,19]. A review on literature that focussed on migrants and their interaction with HIV care showed that migrants and mobile populations were more likely to enter into the healthcare system and HIV care later than non-migrants [7]. Research has shown that regular movement disrupts opportunities for HIV care access [17]. Mobile populations are therefore likely to experience delays in HIV diagnosis and treatment initiation, which could have negative effects on HIV treatment as prevention outcomes.

Mobility's effect on engagement with and retention in HIV care

Once they have been linked to HIV care, mobile populations also face many barriers to being retained in care. Regular travel makes it difficult for mobile individuals to remain enrolled in HIV care at a specific clinic, and also impacts their ability to access medication [7,17]. Mobile individuals are therefore more likely to be lost to follow-up (LTFU) than non-mobile individuals. A study on adult patients initiating ART in Lesotho reported that one year following ART initiation, migrant patients had a 6.69-fold increased rate of being LTFU in comparison to non-migrant patients [20].

Reengagement with HIV care following LTFU due to mobility or clinic transfer has also been shown to be suboptimal in current literature, with Hickey and colleagues [21] reporting that for patients who transferred out or were LTFU, the cumulative incidence of reengagement with care was 14% at 3 months following LTFU and 60% at 6 months following LTFU. These findings suggest that mobility plays a role in disrupting HIV care engagement, as well as hampers reengagement with care.

Mobility is also associated with and facilitates many of the barriers that hinder or delay engagement with HIV care. These barriers included fear of HIV-related stigma from the public at

the new location and clinic, unfamiliarity with the new environment and structural factors such as the long distance to the clinic and the cost of transportation [6,7,17]. While mobility can influence many barriers to care, some studies have also made evident that an interplay between mobility and barriers to HIV care engagement exists, with some barriers also prompting mobility. It has been shown that traveling for care and transfer to different clinics was sometimes influenced by the desire to avoid stigma in one's hometown as well as to seek anonymity in terms of receiving HIV care [6,16,22].

Furthermore, mobile populations also have different health-seeking behaviours with some studies reporting that mobile individuals are more likely to seek care only once they experienced symptoms or felt unwell [7]. More research on mobile populations and how they interact with HIV care is therefore necessary in order to better understand their behaviour in terms of HIV care engagement.

Effect of mobility on ART adherence

Disruptions to ART and treatment adherence were also common among individuals who would regularly travel. Numerous studies found that individuals that were mobile would report poor medication adherence behaviours when travelling [20,23–25]. This suboptimal treatment adherence can result in poor treatment outcomes [26]. A study conducted in East Africa looking at treatment outcomes between permanent residents and non-residents found that non-residents were less likely to be virally suppressed in comparison to residents (64% versus 84% virally suppressed) [24]. Similarly, a study conducted among isiZulu speaking adults living with HIV in South Africa reported that 19% of in-migrants and 26% of out-migrants were virally suppressed on the date at which they migrated into or out of the community in comparison to approximately 50% of non-migrants being virally suppressed [23].

The main barriers to complete ART adherence expressed by individuals were fear of medication side-effects while travelling, delaying taking of medication until they had reached their destination, and stigma-related fear of disclosure of HIV status when taking medication in public and around family members [6,7,19,27]. Taylor and colleagues [6] also identified interruptions in adherence due to a limited medication supply as a dominant barrier to adherence, especially during longer

journeys. This research suggests that barriers created by mobility and travel could negatively impact ART adherence, which could lead to poor treatment outcomes. This emphasizes the need for HIV care to consider mobile populations in order to address issues of ART adherence and HIV care engagement.

Mobility and its impact on HIV care cascade outcomes for postpartum women

Many studies that have evaluated possible reasons for the high risk of disengagement from HIV care in postpartum women have shown that an important factor that impacts engagement with care is mobility [28–30]. However, studies that focussed specifically on postpartum women’s mobility were scarce, with only three studies being identified, one of which focussed on motivations for postpartum mobility while the other two focussed on movement between clinics in terms of linkage to care and LTFU.

Motivations for postpartum mobility and engagement with HIV care

Clouse and colleagues [1] sought to explore South African peripartum women’s mobility in an effort to understand timing and motivations of travel. In line with the results of earlier studies evaluating postpartum engagement in care, they found that nearly all of their participants planned to travel after delivery of the baby [1]. The main motivations for this travel was to visit family to seek care and help with the baby, or to return to work in Johannesburg if they were employed [1]. The majority of participants also expressed their intention to continue HIV care following delivery, however care for the infant was often emphasized over care for the mother [1]. Of those participants who travelled, few had planned to seek care at the new location they would be travelling to [1]. This is a cause for concern as continuous engagement in HIV care and optimal treatment adherence is necessary to decrease the risk of HIV transmission and MTCT through breastfeeding, treatment failure and subsequent poor health outcomes.

Mobility in the form of transfer of care or lost to follow up

Another reason for high postpartum mobility is the clinic transfer process that occurs after delivery of the baby. In South Africa, women living with HIV who have not started ART are placed on treatment during their pregnancy in integrated clinics that provide both HIV care and ANC [13]. However, following delivery women are required to transfer their HIV care and link to general

ART clinics [3]. This transfer process presents challenges to retaining women in HIV care and results in women being LTFU. Two studies in South Africa have shown that linkage to care for postpartum women in South Africa during this transfer process is poor, with a significant proportion of women not linking to care at all, or those that do link not being retained long-term [3,16].

Challenges of measuring retention and adherence in mobile populations

Mobility also presents a challenge for measuring patient retention in care and adherence. Movement between clinics is difficult to track in South Africa as health facilities are often not linked through electronic medical records [16]. Therefore, it is a challenge to determine whether a patient has completely disengaged from care or has sought care at a different clinic [31]. A study on mobility and clinic switching among postpartum women conducted in South Africa showed evidence of 38% of women thought to be LTFU continuing HIV care at other clinics either within the same province as the original clinic or in other provinces in South Africa [16]. Therefore, estimates of LTFU may not represent true disengagement from care, and instead represents patient drop-out from a specific clinic.

It is therefore important to account for patient mobility and movement between clinics as failure to do so could result in the under- or over-estimation of retention in care estimates. Fox and colleagues [22], comparing retention in care from the clinic perspective (what most studies report) to retention from the national perspective (which accounts for movement between clinics) in South Africa, found that retention was considerably higher when evaluated from a national perspective in comparison to a clinic perspective. This research suggests that retention and LTFU estimates taken from the perspective of a clinic, and which fails to account for patient movement between clinics, underestimates retention in care.

Conclusion and Gaps in Research

Based on the studies discussed in this literature review, mobility may lead to delays in seeking care and HIV diagnosis which increases the risk of HIV transmission and poor health outcomes. Studies have also shown that mobility negatively impacts engagement with and retention in HIV care, as well as interferes with optimal adherence to ART. Despite these findings, research on the

impact of mobility on HIV care engagement and ART adherence is scarce and few studies were identified that focussed on postpartum mobility and its effects of postpartum engagement with care. This is a cause for concern as consistent retention in HIV care is a significant challenge in South Africa and postpartum women are at high risk of disengaging from care [1,2]. This literature review's findings emphasize the need for more research on postpartum mobility and its impact on postpartum treatment adherence and engagement in care.

Given the findings in this review, this proposed research aims to evaluate postpartum women's patterns of mobility and investigate the associations that may exist between mobility and viral suppression and retention in care. The evidence gained from this research will contribute to the literature on postpartum women's mobility and how their mobility effects HIV treatment engagement and outcomes.

Study aims and objectives

Study aim

The aim of this research is to describe postpartum movement patterns and to investigate whether mobility is associated with viral suppression and retention in HIV care among postpartum women in the LACE study.

Objectives

1. To describe the movement patterns of postpartum women in the LACE study from delivery to approximately 4 years postpartum.
2. To investigate the association between mobility and viral load (VL) at two different follow-up points in the LACE study, at 12 months and approximately 4 years postpartum.
3. To investigate the association between mobility and retention in care using routine data from the LACE study, at 12 months and approximately 4 years postpartum.

Methodology

Study Design

This study will be a secondary data analysis of data from a prospective cohort study (the MCH-ART study HREC REF 451/2012) and a follow-up cross-sectional study in the same cohort (The LACE study HREC REF 866/2016) conducted at a primary healthcare facility in Gugulethu, Cape Town, South Africa. Data for this thesis will be drawn only from the MCH-ART study and the LACE study and no additional data will be collected.

The MCH-ART study

The Maternal and Child Health Antiretroviral Therapy (MCH-ART) study was a multi-phase prospective cohort study situated at the Gugulethu Midwife Obstetric Unit (MOU) in Cape Town, South Africa [14]. The study enrolled participants from March 2013 to June 2014 and followed up these participants for 18 months [14]. This study aimed to evaluate two strategies for delivering HIV care and treatment services to postpartum women living with HIV who initiated ART during pregnancy, and their HIV exposed infants [14].

The LACE study

The Long-term Adherence and Care Engagement (LACE) study was an additional cross-sectional study conducted following the completion of the MCH-ART study. The study aimed to examine the long-term adherence and care engagement among MCH-ART study participants [32]. Participants who were enrolled in the postpartum cohort of the MCH-ART study were requested to return for one additional study visit that would take place between 36 and 60 months postpartum [32].

Study Population

The analysis will be restricted to postpartum women living with HIV who initiated lifelong ART during their pregnancy and were enrolled in both the MCH-ART and LACE studies.

Research Setting

The primary studies collected data from a cohort of women based at a large antenatal clinic in Gugulethu, Cape Town, South Africa. Gugulethu is an area characterized by high levels of poverty,

unemployment and HIV [33]. ART and health services are provided free of charge in local public-sector health care facilities [33]. All pregnant women living with HIV have been eligible to be on lifelong ART irrespective of their clinical stage or CD4 count since 2013 [34]. ANC coverage in this setting is high at 95% [33]. Women living with HIV who are pregnant are provided with integrated ANC and ART services and are then required to transfer to general ART clinics for postpartum care.

Data Collection

This secondary analysis will be using data collected during the study measurement visits carried out in the MCH-ART and LACE studies. These measurement visits consisted of VL testing and the administration of questionnaires (Appendix A) to collect demographic information [14]. In the LACE study, maternal movement tables (Appendix B) were used to collect data on participants' mobility patterns and reasons for this mobility [32]. Specifically, the maternal relocation table which described movement after the delivery of the baby will be used in this secondary analysis. Additional data was also drawn from routine medical records obtained from the Western Cape Department of Health's Provincial Health Data Centre (PHDC). Using the provincial unique patient identifier, the PHDC is able to link participants healthcare access across all public facilities in the Western Cape [35]. These records will provide data on ART initiation and follow up in routine care for all women who were enrolled in the MCH-ART study [14].

Measurements

Mobility

Population mobility has been identified as a key risk factor for HIV infection [8]. Mobility is also increasingly being recognized as a potential barrier to HIV care [19]. There is great variation in the definitions for mobility in current HIV literature. This is due to mobility being a broad term encompassing many aspects of population movement [19]. Definitions include permanent migration, temporary mobility, frequent and repetitive migration, cross-border migration and internal migration [19,36]. This variation in definitions of mobility in literature has led to inconsistent findings about mobility as a risk factor for HIV [8,19].

Broadly, in this study mobility will be based on women's self-report of movement to new locations following delivery. Mobility as an exposure will be analysed as a binary variable, as either having moved within 3, 6 or 12 months of the measurement visit or having not moved during this time period. Among women who move, movement will also be characterised as movement into or out of the home area (Gugulethu), as well as movement within and out of the Western Cape.

Adherence and viral load

There are many methods that exist in the literature for measuring and defining treatment adherence, however there is currently no gold standard measure of adherence [37]. VL is often used as a proxy measure for treatment adherence and is considered a standard measure for treatment success [37]. While VL is commonly used as a proxy measure for adherence, it can be influenced by multiple factors other than adherence such as treatment resistance [38]. Nonetheless treatment success is often determined by a patient's VL [38]. In this analysis VL will be used as a proxy measure for adherence. The outcome of viral suppression will be defined as VL thresholds of ≤ 50 copies/mL and ≤ 1000 copies/mL based on routine guidelines [13].

Retention in care

As with adherence there are various methods that can be used to measure retention in care. Timely linkage to and retention in care is imperative for the success of HIV treatment, as it allows for access to ART [39]. Adequate access to ART and HIV care is essential to attain a high level of adherence to achieve and sustain viral suppression [39]. Despite the recognized importance of retention in care for treatment success in the literature, no gold standard measure currently exists [39].

For this study, a cross-sectional definition of retention in care will be used. Retention will be defined as at least one contact with routine HIV care during a specific window of time [40]. In this secondary analysis, retention in care at 12 months postpartum was measured using routinely collected medical records and defined as evidence of an HIV-related clinical contact for the period 9-18 months postpartum. We will also measure retention at the LACE visit, approximately 4 years postpartum.

Data Management and Analysis Plan

Data Management

As per the MCH-ART and LACE study protocols, data that was collected was entered into a password-protected Microsoft Access database. Database files stored on the University of Cape Town (UCT) firewall protected network drive. Anonymous participant identification numbers were used, except for provincial data, with no participant names or identifiers being attached to participant data. For provincial data, identifiers had to be collected in order to link the routine data. Once linked the identifiers were removed and the data is now identified using only the unique participant identifier. Anonymised data for analysis will be shared as an encrypted file using the UCT file sender or on a password protected external hard drive.

Descriptive Analysis

All statistical analyses will be done in Stata 15 (Stata Corporation, College Station, Texas). Initial exploration of all variables will be done to identify missing data and any patterns related to missing data. Descriptive statistics will be used to describe the demographic and clinical characteristics of the study participants. This analysis will include means and standard deviations, frequencies and proportions or medians with interquartile ranges with chi-squared tests, Fisher's exact tests, rank sum tests or t-tests, as will be appropriate for the variables of interest.

Patterns of Mobility

Data collected on the maternal movement of the postpartum women in the study will be analysed to detect any patterns of movement for our first objective. To describe the patterns of mobility in the study, descriptive statistics summarising different aspects of participant mobility will be used. This will include: number of times moved, the area of movement, timing of movement, and reasons for moving. Movement into and out of the host clinic's area (Gugulethu), as well as movement within and out of the province (Western Cape) will also be considered.

The Effect of Mobility on Viral Suppression and Retention in Care

For the second objective, the primary outcome of interest is viral suppression which will be defined as viral thresholds of ≤ 50 copies/mL and ≤ 1000 copies/mL. Log-binomial regression models will be used to identify predictors of viral suppression for both thresholds, with variables being

included as predictors if they are considered to be associated with viral suppression based on previous literature within the limits of the data that has been collected, as well as the bivariate analysis. Log-binomial regression models will be run to identify predictors at each time point, 12 months and 4 years postpartum, to assess the associations that exist for each time point separately. In particular, the association between mobility in the past 3, 6 and 12 months before the measurement visit and VL will be explored.

The third objective's primary outcome of interest is retention in care, which is defined as at least one contact with routine HIV care at approximately 12 months postpartum, as well as retention at the LACE visit approximately 4 years postpartum. The included participants in this analysis will be restricted to a subset of women who did not report leaving the province (Western Cape). The reason for this is that the routine data used to measure retention is only available from facilities in the Western Cape. Variables will be included as predictors in the model based on previous literature within the limits of the data that has been collected, and the bivariate analysis. In particular, the association between mobility in the past 3, 6 and 12 months before the measurement visit and retention will be explored.

For these analyses, risk ratios and adjusted risk ratios with 95% Confidence Intervals (CI) will be reported. Statistical significance for these exploratory analyses will be set at an α -level of 0.10 to be suggestive of a significant effect that warrants further study.

Ethical considerations

The MCH-ART and LACE studies received ethical approval from the University of Cape Town Human Research Ethics Committee and the Columbia University Institutional Review Board (Appendix C and D). As this study is secondary data analysis, there will be no direct contact with the participants of the primary studies.

Consent

All women enrolled in the primary studies completed written informed consent which included consent to review their routine medical records and consent to be contacted for future research

(Appendix F). No further informed consent will be required for this research as it is secondary analysis.

Risks

As this is secondary analysis, there are no direct risks for this study as there is no direct contact with the primary study's participants. Nonetheless, a possible risk in this research is a breach of confidentiality, however many measures will be taken to ensure that study participants will remain anonymous during the study period.

Benefits

As this research is secondary analysis, there are no direct benefits to the primary study's participants. However, this study aims to contribute to the limited research on mobility and postpartum women's engagement with HIV care. This study aims to further understanding and knowledge of patterns of mobility among postpartum women living with HIV and the influence of mobility on postpartum engagement in HIV care.

Confidentiality

For this secondary analysis there will be no contact with the primary study's participants, however efforts will be made to protect participant confidentiality at all times during the study process. No participant identification information will be attached to participant data, and anonymous participant identification numbers will be used for the analysis.

Timeframe

Table 1: Timeframe for study activities

	Feb 2020	Mar 2020	Apr 2020	May 2020	June 2020
Protocol submission					
Data Analysis					
Manuscript Write-up					
Dissertation submission					

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PART B: MANUSCRIPT

Patterns of mobility, and the effect of mobility on viral suppression and retention among postpartum women living with HIV in South Africa

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The article meets the requirements set out in the Instructions for Authors for the Journal of the International AIDS Society (JIAS). As per the MPH dissertation guidelines, co-authors and their contributions are noted in the acknowledgments section of this dissertation. The JIAS Instructions for Authors are included in Appendix I of the dissertation.

Abstract

Introduction: In South Africa postpartum women have been shown to be at high risk of disengagement from HIV care and postpartum mobility may be related to disruptions in care. This study aimed to describe patterns of mobility, and explore associations with viral suppression and retention in the postpartum period.

Methods: This study used data from a prospective cohort study that enrolled women who initiated life-long antiretroviral therapy (ART) during their pregnancy in Gugulethu, Cape Town (March 2013 - June 2014), and an additional follow-up study at approximately 4 years postpartum. Patterns of self-reported mobility between delivery and the 4 year measurement visit were examined. Log-binomial models were used to explore the association between mobility (moving in the 3, 6 or 12 months prior to the study visit) and i) viral suppression (viral load (VL) ≤ 50 and ≤ 1000 copies/mL measured at the 12 month and 4 year measurement visit) and ii) retention in care (based on routine medical record data at approximately 12 months and 4 years postpartum).

Results: Among the 353 women in this analysis, 98 (28%) reported having ever moved between delivery and 4 years postpartum. Mobility was more likely to occur soon after delivery with 50% of the moves occurring within the first year following delivery; the most common reason for moving being to live with and receive support from family (44%). At 12 months postpartum, women who moved within 3, 6 or 12 months of the viral load measurement were more likely to be virally suppressed. Moving in any window prior to the 4 year postpartum viral load was not associated with viral suppression. Retention in care at both 12 months and 4 years postpartum was not associated with mobility.

Conclusions: These results demonstrate that movement following delivery is a common occurrence among postpartum women, but this movement did not seem to disrupt engagement in HIV care. There is a need for further research to understand the impact of this movement on postpartum women's viral suppression and retention in care, as well as on ways to support continued engagement in HIV care after delivery.

Introduction

Population mobility has been identified as a key driver in the spread of HIV in sub-Saharan Africa and studies have shown higher HIV prevalence among mobile populations [1–5]. More recently, there has been growing concern surrounding the impact of movement on engagement in HIV care [6–8]. In South Africa population mobility is a common occurrence, with most mobility being classified as internal migration characterized by movement between rural and urban areas within South Africa [5].

Increased access to and uptake of antiretroviral therapy (ART) during pregnancy for women living with HIV in recent years has helped reduce mother-to-child transmission and improve maternal health outcomes [8]. Despite this accomplishment and the importance of remaining adherent to ART, postpartum women are at high risk of disengagement from care, specifically following delivery [6,9–11]. Mobility could be one reason for postpartum women’s disengagement from care following delivery. In South Africa, women often move after delivery to seek care and help with the baby from their family, as well as to return to work [2,6,12,13]. Additionally, women are required to transfer their HIV care and link to a general ART clinic after delivery and this has been shown to present a significant challenge to retaining women in care [6,10]. These types of mobility may introduce many challenges to continued engagement with care and optimal ART adherence. Despite these findings, research focusing on postpartum mobility and its effects on viral suppression and retention in care is scarce. Most mobility related research focusses on movement as a risk factor for HIV acquisition and its influence on access to HIV care, with little focus on postpartum women’s experiences of mobility and HIV care.

To address this gap in the literature, we examined patterns of movement and engagement with HIV care up to 4 years postpartum. The objectives were (i) to describe movement patterns of postpartum women from delivery to approximately 4 years postpartum and (ii) to explore whether movement was associated with viral suppression and retention in care at approximately 12 months and 4 years postpartum.

Methods

Study design and setting

This is a secondary data analysis of women living with HIV who initiated lifelong ART during their pregnancy and were enrolled in both the Maternal and Child Health Antiretroviral Therapy (MCH-ART) and the follow-up Long-term Adherence and Care Engagement (LACE) studies [14,15]. The MCH-ART study aimed to evaluate two strategies for delivering HIV care and treatment services to postpartum women and their HIV-exposed infants [14]. The LACE study was an additional follow-up visit which aimed to examine long-term adherence and care engagement among MCH-ART study participants [15].

These studies were conducted at a primary healthcare facility in Gugulethu, Cape Town, South Africa. Gugulethu is an area characterized by high levels of poverty, unemployment and HIV [16]. Antenatal care (ANC) coverage in this setting is high at 95% [16]. ART and health services are provided free of charge in local public-sector care facilities. Since 2013, all pregnant women living with HIV are eligible to be on lifelong ART irrespective of their clinical stage or CD4 count [17]. Pregnant women living with HIV are provided with integrated ANC and ART services and are then required to transfer to general ART clinics after delivery for postpartum care.

Data sources

This analysis used data collected during the study measurement visits conducted throughout the MCH-ART and LACE studies. The MCH-ART study enrolled pregnant women who presented for ANC at the integrated clinic from March 2013 to June 2014 and followed-up these women for 18 months. For the LACE study, participants who were enrolled in the postpartum cohort of the MCH-ART study were requested to return for one additional study visit that would take place between 36 and 60 months postpartum. The sample at baseline for the MCH-ART study was 471 women. The proportion of the baseline sample was 87% at 12 months postpartum (n=411) and 75% at approximately 4 years postpartum (n=353).

The measurement visits were conducted separate from routine care and consisted of viral load (VL) testing and the administration of questionnaires to collect demographic information.

Additionally, in the LACE study maternal movement tables were used to collect self-report of participants' mobility following delivery.

Additional data was drawn from routine medical records obtained from the Western Cape Department of Health's Provincial Health Data Centre [18]. These records provided data on ART initiation and follow-up in routine care for all women enrolled in the MCH-ART study. The provincial unique patient identifier makes it possible to link participants' healthcare access across all public facilities in the Western Cape.

Measurements

The main constructs that this analysis sought to measure were mobility, viral suppression, and retention in HIV care. Mobility was based on women's self-report of movement to a new location following delivery. All movement after delivery was described. The primary exposure was analyzed as a binary variable, defined as either having moved or not in windows of 3, 6 or 12 months prior to the outcome measurement.

Outcome measures:

Outcomes were assessed at approximately 12 months postpartum (the primary MCH-ART timepoint) and approximately 4 years postpartum (at the LACE visit). VL and retention in HIV care were assessed. Viral suppression was defined as VL thresholds of ≤ 50 and ≤ 1000 copies/mL based on routine guidelines [19]. Retention in care at 12 months postpartum was defined as evidence of an HIV-related clinical contact for the period 9-18 months postpartum. Retention in care was also measured at the LACE visit at approximately 4 years postpartum.

Data analysis

All data analyses were done in Stata 15 (Stata Corporation, College Station, Texas). Descriptive statistics were used to describe the demographic and clinical characteristics of study participants. This analysis used means and standard deviations (SD), frequencies and proportions or medians and interquartile ranges (IQR), with chi-squared tests, Fisher's exact tests, t-tests or rank sum tests where appropriate for the variables of interest.

Patterns of postpartum movement were described using descriptive statistics summarizing different aspects of participant mobility, including frequency and timing of movement, reasons for movement, and area of movement.

Log-binomial regression models were used to examine the association between mobility which occurred in the past 3, 6 or 12 months and each outcome, viral suppression and retention in care, respectively. Inclusion of covariates in the models followed a stepwise model building approach and was based on findings in previous literature (within the limits of the data that has been collected) and if they reached $p < 0.10$ in the bivariate analysis. Covariates that were included in models based on previous literature included age, education level, timing of HIV diagnosis and baseline CD4 count [3,9,20,21]. Models were run to identify predictors at approximately 12 months and 4 years postpartum, to assess the associations that exist for each time point separately. The retention outcome was limited to women who did not report leaving the province (Western Cape) due to the routine data only being available for facilities in the Western Cape. Design effect was included in the models to control for any influence the MCH-ART study could have on the outcomes of interest. Design effect was defined as a binary variable where women were allocated to either the MCH-ART intervention arm or the control arm. For these analyses, unadjusted and adjusted risk ratios with 95% Confidence Intervals (CI) are presented. Associations below an α -level of 0.10 were also considered in this exploratory analysis to be indicative of an effect that warrants further study.

Ethics

The MCH-ART and LACE studies received ethical approval from the University of Cape Town Human Research Ethics Committee and the Columbia University Institutional Review Board. Ethical approval for this secondary analysis was obtained from the University of Cape Town Human Research Ethics Committee. All women included in this analysis completed written informed consent for study participation which included consent to review and link their routine medical records.

Results

Overall, 353 women were eligible and included in the analysis (Table 1). Their mean age was 32.57 years at LACE enrolment (approximately 4 years postpartum). At both MCH-ART (ANC) and LACE enrolment, approximately 24% of women completed secondary school and 37% were married or cohabiting. Over 95% of women had disclosed their HIV status to at least one person, therefore it was not included in the analysis.

Patterns of mobility

There were 98 women (28%) who reported having ever moved between delivery and the LACE study visit, with a total number of 125 moves occurring (Table 2). The median number of moves was 1 (IQR: 1-2), with 50% of the moves occurring within the first year following delivery. The most common reasons for moving were to live with and receive support from family (44%) or live with their partner (19%).

Women who moved at least once were more likely to have had lower socioeconomic status (SES) (33% versus 24%) and to be living in informal housing (61% versus 48%) when they enrolled in the MCH-ART study, compared to women who did not move (Table 1). Women who ever moved were slightly younger in age than those who did not move. At LACE enrolment (approximately 4 years postpartum), women who had ever moved were less likely to be employed (36% versus 49%) and were more likely to be living in formal housing (60% versus 49%) compared to women who did not move.

Table 1. Description of 353 postpartum women living with HIV at approximately 4 years postpartum. All women initiated lifelong ART during pregnancy. The table is grouped by whether women reported moving during the postpartum period or not.

	Ever Moved	Not Moved	Total	p-value
Number of participants	98 (28)	255 (72)	353 (100)	
Baseline Characteristics (at MCH-ART enrolment)				
Mean Age (in years)	27.88 (4.59)	28.94 (5.71)	28.64 (5.50)	0.103
Education				0.177
Completed secondary school	19 (19)	67 (26)	86 (24)	
Less than secondary school	79 (81)	188 (74)	267 (76)	
Employment Status				0.315
Employed	35 (36)	106 (42)	141 (40)	
Not employed	63 (64)	149 (58)	212 (60)	
Socioeconomic Status				0.019
Lowest	32 (33)	62 (24)	94 (27)	
Moderate	40 (41)	84 (33)	124 (35)	
Highest	26 (27)	109 (43)	135 (38)	
Relationship				0.359
Married/cohabiting	34 (35)	102 (40)	136 (39)	
Not married/cohabiting	64 (65)	153 (60)	217 (62)	
Housing				0.020
Formal	38 (39)	134 (53)	172 (49)	
Informal	60 (61)	121 (48)	181 (51)	
Gravidity (Median (IQR))	1 (1-2)	1 (1-2)	1 (1-2)	0.137
Diagnosed with HIV in this pregnancy	58 (59)	136 (53)	194 (55)	0.322
Postpartum Characteristics (at LACE enrolment)				
Mean Age (in years)	31.84 (4.68)	32.85 (5.77)	32.57 (5.50)	0.120
Education				0.591
Completed secondary school	22 (23)	64 (25.)	86 (24)	
Less than secondary school	76 (78)	190 (75)	266 (76)	
Employment Status				0.021
Employed	35 (36)	126 (49)	161 (46)	
Not employed	63 (64)	129 (51)	192 (54)	
Socioeconomic Status				0.195
Lowest	38 (39)	77 (30)	115 (33)	
Moderate	31 (32)	79 (31)	110 (31)	
Highest	29 (30)	99 (39)	128 (36)	
Relationship				0.304
Married/cohabiting	33 (34)	101 (40)	134 (38)	
Not married/cohabiting	65 (66)	154 (60)	219 (62)	
Housing				0.069
Formal	59 (60)	126 (49)	185 (52)	
Informal	39 (40)	129 (51)	168 (48)	
Gravidity (Median (IQR))	2 (2-3)	3 (2-3)	2 (2-3)	0.478
Currently pregnant	7 (7)	12 (5)	19 (5)	0.473

Table 2. Descriptive statistics of the mobility patterns of 98 postpartum women living with HIV who initiated ART during pregnancy who had reported moving at least once after delivery.

Variable	Ever Moved
Number of women who ever moved	98 (78)
Total number of moves	125 (100)
Number of times moved (median and IQR)	1 (1-2)
Number of times moved	
1	76 (61)
2	18 (14)
3	3 (2)
4	1 (1)
Area of movement	
Moved into Gugulethu	11 (9)
Moved out of Gugulethu	19 (15)
Moved within the Western Cape	55 (44)
Moved out of the Western Cape	40 (32)
Time of movement	
1 year postpartum	62 (50)
2 years postpartum	31 (25)
3 years postpartum	24 (19)
4 years postpartum	8 (6)
Relocation Reason	
Family	55 (44)
Employment	4 (3)
Partner	24 (19)
Moved to own house	7 (6)
Transferred HIV care	1 (1)
Unclear	34 (27)

Mobility and viral suppression

VL measures were available for 349 women (98%) out of the 353 women in the study at both approximately 12 months and 4 years postpartum. Of these women, 66% were virally suppressed at 12 months postpartum, and 56% were virally suppressed at 4 years postpartum. The association between mobility in the 3, 6 and 12 months prior to the VL measurement, and viral suppression was assessed (Table 3 and 4). Bivariate associations are reported in Supplementary Table 2 and 3. The MCH-ART trial arm did not alter any of the associations for the VL outcomes.

12 months postpartum:

At 12 months postpartum, women who had moved within 3, 6 or 12 months of the VL measurement were more likely to be virally suppressed ($VL \leq 50$ and $VL \leq 1000$ copies/mL)

compared to women who had not moved. In bivariate analyses, those who were virally suppressed were slightly more likely to move within 3, 6 and 12 months of the VL measurement compared to those who were not virally suppressed (7% versus 4%, 8% versus 6%, 12% versus 9% respectively), however this difference was not statistically significant (Supplementary Table 2). Increasing age and having a higher CD4 cell count at MCH-ART study enrolment were both associated with having a $VL \leq 50$ and $VL \leq 1000$ copies/mL (Table 3). Being diagnosed with HIV in the current pregnancy was consistently associated with viral suppression. Having moderate SES increased the likelihood of having a $VL \leq 50$ (aRR=1.41, 95% CI: 1.13-1.78) and $VL \leq 1000$ copies/mL (aRR=1.20, 95% CI: 1.00-1.44) compared to low SES (Table 3).

4 years postpartum:

Mobility in any window prior to the 4 year postpartum VL was not associated with viral suppression in bivariate analyses (Supplementary Table 2 and 3) or multivariable models (Table 4). Those who were virally suppressed were less likely to have moved in the preceding 12 months compared to those who were not virally suppressed (6% versus 12%). However, this association did not persist in multivariable models and no association was observed between moving in the past 3 or 6 months and viral suppression. Women who were currently pregnant were more likely to have a $VL \leq 50$ (aRR= 1.40, 95% CI: 1.07-1.83) and $VL \leq 1000$ copies/mL (aRR=1.41, 95% CI: 1.09-1.81). Being employed increased the likelihood of having a $VL \leq 50$ (aRR=1.24, 95% CI: 1.03-1.48) and $VL \leq 1000$ copies/mL (aRR=1.17, 95% CI: 1.00-1.36). In the univariate model, increasing age increased the likelihood of having a $VL \leq 50$ copies/mL (RR=1.02, 95% CI: 1.00-1.03), however this association did not persist in the multivariable model.

Table 3. Log binomial regression model among 349 postpartum women living with HIV who initiated ART during pregnancy, predicting A) VL \leq 50 copies/mL at approximately 12 months postpartum, and B) VL \leq 1000 copies/mL at approximately 12 months postpartum. This table is grouped by whether movement occurred 3 months, 6 months or 12 months prior to the viral load visit. Unadjusted (RR) and adjusted (aRR) risk ratios are presented with 95% confidence intervals (CI).

	Moved within 3 months				Moved within 6 months				Moved within 12 months			
	Unadjusted		Adjusted		Unadjusted		Adjusted		Unadjusted		Adjusted	
	RR	95% CI	aRR	95% CI	RR	95% CI	aRR	95% CI	RR	95% CI	aRR	95% CI
(A) VL \leq50 copies/mL												
Moved	1.20	0.94 – 1.54	1.80	1.28 – 2.52	1.09	0.85 – 1.41	1.33	1.02 – 1.74	1.13	0.92 – 1.38	1.35	1.04 – 1.75
Age	1.03	1.01 – 1.04	1.02	1.01 – 1.04	1.03	1.01 – 1.04	1.03	1.01 – 1.04	1.03	1.01 – 1.04	1.03	1.01 – 1.04
Diagnosed with HIV in this pregnancy	1.14	0.98 – 1.34	1.14	1.00 – 1.29	1.14	0.98 – 1.34	1.14	0.99 – 1.30	1.14	0.98 – 1.34	1.16	1.02 – 1.33
Married/cohabiting	1.14	0.98 – 1.32	1.06	0.90 – 1.23	1.14	0.98 – 1.32	1.04	0.88 – 1.22	1.14	0.98 – 1.32	0.99	0.84 – 1.17
Socioeconomic Status (Low)	1	(ref)	1	(ref)	1	(ref)	1	(ref)	1	(ref)	1	(ref)
Moderate	1.43	1.14 – 1.79	1.48	1.15 – 1.90	1.43	1.14 – 1.79	1.41	1.13 – 1.78	1.43	1.14 – 1.79	1.43	1.13 – 1.80
High	1.32	1.05 – 1.67	1.31	1.02 – 1.69	1.32	1.05 – 1.67	1.25	0.99 – 1.58	1.32	1.05 – 1.67	1.26	0.99 – 1.59
CD4 count at baseline (<200)	1	(ref)	1	(ref)	1	(ref)	1	(ref)	1	(ref)	1	(ref)
200-350	1.13	0.88 – 1.45	1.10	0.88 – 1.38	1.13	0.88 – 1.45	1.16	0.90 – 1.49	1.13	0.88 – 1.45	1.26	0.95 – 1.66
350-500	1.01	0.76 – 1.33	1.02	0.80 – 1.29	1.01	0.76 – 1.33	1.07	0.82 – 1.39	1.01	0.76 – 1.33	1.16	0.87 – 1.53
>500	1.17	0.91 – 1.52	1.36	1.09 – 1.70	1.17	0.91 – 1.52	1.40	1.08 – 1.80	1.17	0.91 – 1.52	1.48	1.12 – 1.96
Design effect (intervention vs control arm)	1.28	1.10 – 1.50	1.27	1.09 – 1.48	1.28	1.10 – 1.50	1.26	1.08 – 1.48	1.28	1.10 – 1.50	1.28	1.08 – 1.50
(B) VL \leq1000 copies/mL												
Moved	1.22	1.03 – 1.44	1.74	1.32 – 2.29	1.14	0.95 – 1.37	1.32	1.07 – 1.62	1.15	0.99 – 1.34	1.37	1.10 – 1.71
Age	1.02	1.01 – 1.03	1.01	1.00 – 1.02	1.02	1.01 – 1.03	1.02	1.01 – 1.03	1.02	1.01 – 1.03	1.02	1.01 – 1.03
Diagnosed with HIV in this pregnancy	1.15	1.02 – 1.31	1.12	1.00 – 1.25	1.15	1.02 – 1.31	1.10	0.98 – 1.24	1.15	1.02 – 1.31	1.13	1.01 – 1.27
Married/cohabiting	1.13	1.00 – 1.28	0.99	0.88 – 1.13	1.13	1.00 – 1.28	1.03	0.91 – 1.17	1.13	1.00 – 1.28	1.00	0.88 – 1.14
Socioeconomic Status (Low)	1	(ref)	1	(ref)	1	(ref)	1	(ref)	1	(ref)	1	(ref)
Moderate	1.26	1.05 – 1.50	1.28	1.05 – 1.55	1.26	1.05 – 1.50	1.20	1.00 – 1.44	1.26	1.05 – 1.50	1.20	0.99 – 1.44
High	1.18	0.99 – 1.42	1.11	0.93 – 1.34	1.18	0.99 – 1.42	1.06	0.89 – 1.26	1.18	0.99 – 1.42	1.05	0.88 – 1.26
CD4 count at baseline (<200)	1	(ref)	1	(ref)	1	(ref)	1	(ref)	1	(ref)	1	(ref)
200-350	1.12	0.90 – 1.39	1.19	0.98 – 1.43	1.12	0.90 – 1.39	1.19	0.96 – 1.49	1.12	0.90 – 1.39	1.30	1.02 – 1.65
350-500	1.02	0.80 – 1.30	1.14	0.92 – 1.40	1.02	0.80 – 1.30	1.11	0.88 – 1.39	1.02	0.80 – 1.30	1.20	0.95 – 1.53
>500	1.24	1.01 – 1.53	1.45	1.17 – 1.79	1.24	1.01 – 1.53	1.45	1.14 – 1.85	1.24	1.01 – 1.53	1.56	1.19 – 2.04
Design effect (intervention vs control arm)	1.13	0.99 – 1.28	1.17	1.03 – 1.33	1.13	0.99 – 1.28	1.15	1.01 – 1.31	1.13	0.99 – 1.28	1.16	1.01 – 1.32

Table 4. Log binomial regression model among 349 postpartum women living with HIV who initiated ART during pregnancy, predicting A) VL \leq 50 copies/mL at approximately 4 years postpartum, and B) VL \leq 1000 copies/mL at approximately 4 years postpartum. This table is grouped by whether movement occurred 3 months, 6 months or 12 months prior to the viral load visit. Unadjusted (RR) and adjusted (aRR) risk ratios are presented with 95% confidence intervals (CI).

	Moved within 3 months				Moved within 6 months				Moved within 12 months			
	Unadjusted		Adjusted		Unadjusted		Adjusted		Unadjusted		Adjusted	
	RR	95% CI	aRR	95% CI	RR	95% CI	aRR	95% CI	RR	95% CI	aRR	95% CI
(A) VL \leq50 copies/mL												
Moved	0.83	0.43 – 1.59	0.89	0.45 – 1.74	0.97	0.60 – 1.58	1.09	0.67 – 1.77	0.67	0.42 – 1.05	0.72	0.45 – 1.14
Age	1.02	1.00 – 1.03	1.01	0.99 – 1.03	1.02	1.00 – 1.03	1.01	0.99 – 1.03	1.02	1.00 – 1.03	1.01	0.99 – 1.03
Married/cohabiting	1.28	1.07 – 1.53	1.20	0.99 – 1.45	1.28	1.07 – 1.53	1.20	0.99 – 1.45	1.28	1.07 – 1.53	1.21	1.00 – 1.46
Employed	1.21	1.01 – 1.45	1.24	1.03 – 1.48	1.21	1.01 – 1.45	1.24	1.03 – 1.48	1.21	1.01 – 1.45	1.23	1.03 – 1.47
Currently pregnant	1.40	1.07 – 1.83	1.39	1.06 – 1.82	1.40	1.07 – 1.83	1.40	1.07 – 1.83	1.40	1.07 – 1.83	1.34	1.01 – 1.79
Design effect (intervention vs control arm)	0.91	0.76 – 1.09	0.90	0.76 – 1.08	0.91	0.76 – 1.09	0.90	0.75 – 1.07	0.91	0.76 – 1.09	0.93	0.78 – 1.11
(B) VL \leq1000 copies/mL												
Moved	1.14	0.79 – 1.64	1.26	0.85 – 1.87	1.15	0.84 – 1.57	1.29	0.93 – 1.80	0.87	0.63 – 1.19	0.94	0.68 – 1.29
Age	1.01	0.99 – 1.02	1.01	0.99 – 1.02	1.01	0.99 – 1.02	1.01	0.99 – 1.02	1.01	0.99 – 1.02	1.01	0.99 – 1.02
Married/cohabiting	1.24	1.07 – 1.43	1.15	0.97 – 1.36	1.24	1.07 – 1.43	1.16	0.98 – 1.37	1.24	1.07 – 1.43	1.15	0.97 – 1.35
Employed	1.15	0.99 – 1.33	1.17	0.99 – 1.36	1.15	0.99 – 1.33	1.17	1.00 – 1.36	1.15	0.99 – 1.33	1.17	1.00 – 1.37
Currently pregnant	1.35	1.10 – 1.65	1.41	1.09 – 1.81	1.35	1.10 – 1.65	1.41	1.09 – 1.81	1.35	1.10 – 1.65	1.38	1.08 – 1.78
Design effect (intervention vs control arm)	0.93	0.80 – 1.09	0.97	0.83 – 1.12	0.93	0.80 – 1.09	0.96	0.83 – 1.12	0.93	0.80 – 1.09	0.96	0.83 – 1.11

Mobility and retention in HIV care

The retention analysis was restricted to 315 women who did not report leaving the Western Cape. Of these women, 79% and 68% were retained in care at 12 months and 4 years postpartum, respectively. Retention in care at both 12 months and 4 years postpartum was not associated with having moved within 3, 6 or 12 months of the measurement visit (Table 5). The MCH-ART trial arm did not alter any of the associations for the retention in care outcome. Moderate SES was associated with increased likelihood of being retained in care in comparison to low SES for the 12 months (aRR=1.23, 95% CI: 1.04-1.45) and 4 years (aRR=1.25, 95% CI: 1.02-1.52) postpartum models, while the association with high SES (aRR=1.38, 95% CI: 1.13-1.67) was only statistically significant at 4 years postpartum. Age (aRR=1.01, 95% CI: 1.00-1.02) was associated with being retained in care at 12 months postpartum, while being married (aRR=1.20, 95% CI: 1.08-1.32) increased the likelihood of being retained in care at 12 months postpartum. Being currently pregnant (aRR=1.52, 95% CI: 1.18-1.97) increased the likelihood of being retained in care at 4 years postpartum. Having a higher CD4 count at baseline decreased the likelihood of being retained at 4 years postpartum in comparison to a CD4 count of <200, however this association was only statistically significant for a CD4 count of >500 (aRR=0.79, 95% CI: 0.64-0.99).

Table 5. Log binomial regression model among 315 postpartum women living with HIV who initiated ART during pregnancy, predicting A) retention in care at approximately 12 months postpartum, and B) retention in care at approximately 4 years postpartum (Restricted to women who did not report moving out of the Western Cape). This table is grouped by whether movement occurred 3 months, 6 months or 12 months prior to the viral load visit. Unadjusted (RR) and adjusted (aRR) risk ratios are presented with 95% confidence intervals (CI).

	Moved within 3 months				Moved within 6 months				Moved within 12 months			
	Unadjusted		Adjusted		Unadjusted		Adjusted		Unadjusted		Adjusted	
	RR	95% CI	aRR	95% CI	RR	95% CI	aRR	95% CI	RR	95% CI	aRR	95% CI
(A) Retained at 12 months postpartum												
Moved	1.09	0.88 – 1.34	1.10	0.94 – 1.28	1.06	0.86 – 1.29	1.09	0.94 – 1.26	1.11	0.95 – 1.30	1.12	0.99 – 1.28
Age	1.01	0.99 – 1.02	1.01	1.00 – 1.02	1.01	0.99 – 1.02	1.01	1.00 – 1.02	1.01	0.99 – 1.02	1.01	1.00 – 1.02
Married/cohabiting	1.17	1.05 – 1.30	1.20	1.08 – 1.32	1.17	1.05 – 1.30	1.20	1.08 – 1.32	1.17	1.05 – 1.30	1.20	1.09 – 1.33
Socioeconomic Status (Low)	1	(ref)	1	(ref)	1	(ref)	1	(ref)	1	(ref)	1	(ref)
Moderate	1.19	1.01 – 1.39	1.23	1.04 – 1.45	1.19	1.01 – 1.39	1.23	1.04 – 1.45	1.19	1.01 – 1.39	1.24	1.04 – 1.47
High	1.09	0.92 – 1.28	1.18	0.99 – 1.39	1.09	0.92 – 1.28	1.17	0.99 – 1.39	1.09	0.92 – 1.28	1.71	0.99 – 1.39
CD4 count at baseline (<200)	1	(ref)	-	-	1	(ref)	-	-	1	(ref)	-	-
200-350	0.98	0.83 – 1.16	-	-	0.98	0.83 – 1.16	-	-	0.98	0.83 – 1.16	-	-
350-500	0.99	0.84 – 1.18	-	-	0.99	0.84 – 1.18	-	-	0.99	0.84 – 1.18	-	-
>500	0.90	0.74 – 1.08	-	-	0.90	0.74 – 1.08	-	-	0.90	0.74 – 1.08	-	-
Design effect (intervention vs control arm)	1.17	1.04 – 1.31	1.20	1.09 – 1.33	1.17	1.04 – 1.31	1.20	1.09 – 1.32	1.17	1.04 – 1.31	1.20	1.09 – 1.32
(B) Retained at 4 years postpartum												
Moved	0.59	0.20 – 1.72	0.57	0.20 – 1.67	0.82	0.45 – 1.47	0.83	0.47 – 1.47	0.88	0.61 – 1.27	0.84	0.58 – 1.21
Age	0.99	0.99 – 1.01	-	-	0.99	0.99 – 1.01	-	-	0.99	0.99 – 1.01	-	-
Married/cohabiting	1.06	0.91 – 1.23	-	-	1.06	0.91 – 1.23	-	-	1.06	0.91 – 1.23	-	-
Currently pregnant	1.35	1.13 – 1.60	1.54	1.20 – 1.98	1.35	1.13 – 1.60	1.52	1.18 – 1.97	1.35	1.13 – 1.60	1.53	1.21 – 1.94
Socioeconomic Status (Low)	1	(ref)	1	(ref)	1	(ref)	1	(ref)	1	(ref)	1	(ref)
Moderate	1.22	0.99 – 1.51	1.27	1.04 – 1.54	1.22	0.99 – 1.51	1.25	1.02 – 1.52	1.22	0.99 – 1.51	1.23	1.02 – 1.49
High	1.30	1.06 – 1.58	1.39	1.14 – 1.69	1.30	1.06 – 1.58	1.38	1.13 – 1.67	1.30	1.06 – 1.58	1.34	1.11 – 1.62
CD4 count at baseline (<200)	1	(ref)	1	(ref)	1	(ref)	1	(ref)	1	(ref)	1	(ref)
200-350	0.99	0.82 – 1.19	1.08	0.88 – 1.32	0.99	0.82 – 1.19	1.08	0.88 – 1.33	0.99	0.82 – 1.19	1.09	0.89 – 1.33
350-500	0.83	0.66 – 1.04	0.90	0.81 – 1.13	0.83	0.66 – 1.04	0.90	0.71 – 1.14	0.83	0.66 – 1.04	0.89	0.71 – 1.13
>500	0.75	0.59 – 0.95	0.79	0.63 – 0.98	0.75	0.59 – 0.95	0.79	0.64 – 0.99	0.75	0.59 – 0.95	0.79	0.64 – 0.98
Design effect (intervention vs control arm)	1.02	0.88 – 1.19	1.03	0.88 – 1.19	1.02	0.88 – 1.19	1.03	0.88 – 1.19	1.02	0.88 – 1.19	1.03	0.89 – 1.20

Discussion

This study describes the mobility patterns and HIV treatment outcomes of postpartum women who initiated lifelong ART during their pregnancy and were followed for up to 4 years postpartum. Overall, movement was common and mostly occurred in the first 12 months postpartum, with 28% of women reporting having moved to a different location at least once during the postpartum period. This analysis suggests that movement was not associated with viral suppression or retention in care at 4 years postpartum. At 12 months postpartum, women who had moved within 3, 6 or 12 months of the VL measurement were more likely to be virally suppressed compared to women who had not moved. No associations between mobility and VL at 4 years, or retention at either time point, were observed. These findings highlight high levels of viraemia and non-retention at both 12 months and 4 years postpartum, however mobility does not appear to be a key determinant of this specifically at 4 years postpartum.

Our finding that movement following delivery is a common occurrence among women living with HIV supports previous studies that have shown mobility in the postpartum period, mostly occurring right after the delivery of the baby [2,6–8,13,22]. Clouse and colleagues' [6] study among South African peripartum women found that nearly all of their participants planned to travel after delivery. Our findings suggest a key reason for movement is to seek care and help with the baby from family, which is consistent with the findings of previous studies on postpartum mobility and loss to follow up (LTFU) [6,13,22].

Despite the concerning levels of viraemia at 12 months and 4 years postpartum (34% and 44%, respectively), moving does not appear to be associated with worse viral load outcomes in our study. The only significant association was that movement within 3, 6 or 12 months of the VL measurement at 12 months postpartum increased the likelihood of being suppressed. The observed difference in proportions were however very low (7%, 8% and 12% mobility in the suppressed versus 4%, 6% and 9% in the unsuppressed). In contrast, previous studies have shown movement to be associated with suboptimal treatment adherence and poor treatment outcomes including being less likely to be suppressed [3,21,23–26]. One explanation may be the focus on different populations, with these studies focusing on migrant populations who mostly travelled for work.

There were great variations in the definitions for mobility used in these studies, with mobility being defined as either permanent or temporary migration, cross-border migration, and frequent migration. This variation in definitions of mobility in literature has been shown to contribute to the inconsistent findings on mobility and its impact on HIV treatment outcomes [5,21].

The association between mobility and viral suppression at 12 months postpartum may be linked to the main reported reason for moving which was to seek help with care for the baby from family. While some studies have shown this to be a hindrance to adequate engagement with HIV care, family support has also been shown to be an important facilitator [20,27]. Support from the family has been shown to be an enabler of ART adherence, mainly by helping women with domestic tasks and caring for the baby thereby making it possible for them to attend their clinic appointments [20,27]. We hypothesize that women who moved for support with the child may have also received social support to remain in HIV care.

High levels of non-retention at 12 months and 4 years postpartum (21% versus 32%) were observed in this analysis. Despite these findings, movement in the postpartum period was not associated with retention in care for our participants. Nonetheless, these high levels of non-retention reflect previous observations that women are at high risk of disengagement from care particularly following delivery [13,20,22,28,29]. Studies on retention among postpartum women have shown that moving may increase the risk of disengagement from care [9,13,28,30,31]. Although mobility was not associated with poor retention in care in this study, the high levels of non-retention found warrants further effort to ensure continuity of care for mobile populations.

Previous literature on postpartum women's engagement with HIV care has shown that following delivery mothers often emphasize care for the infant over care for the mother, overlooking the importance of continuous care for themselves [6,7]. Pregnancy and ANC has are important points for entry and re-entry into HIV services [32–34]. Our findings showed that women who were pregnant at 4 years postpartum were more likely to be virally suppressed and retained in care. This adds to previous findings that women are more likely to be adherent to ART and retained in care throughout pregnancy in order to protect their baby but then discontinuing care in the postpartum period [7]. Another predictor of viral suppression in this study was older age. This supports the

extensive evidence that younger women have an increased risk of poor treatment outcomes [9,10,20,31].

When interpreting these findings, it is important to consider several limitations. The main limitation of these results is that all data collected on the primary exposure (mobility) was self-reported by study participants. Participants had to report their mobility over the 4 year period following delivery, therefore this data may be susceptible to recall bias and could have led to errors in recalling movement and the timing thereof. Analyses using different windows of having moved within 3, 6 and 12 months of the outcome measurement was done in an attempt to address some of the bias that could have arisen. While these women were recruited from a large primary care clinic in Cape Town and these findings may be generalizable to similar settings, they do not necessarily reflect the mobility and HIV care experiences of women in other areas in South Africa or sub-Saharan Africa. It is important to note that the retention data was limited to women who did not move out of the Western Cape. This data was drawn from routine medical records obtained from the Western Cape Department of Health's Provincial Health Data Centre, which is only able to link participants' healthcare access across public facilities in the Western Cape [18]. Therefore, estimates of LTFU may not represent true disengagement from care and could result in an underestimation of retention, specifically for women who are required to transfer care in the postpartum period.

This study contributes to the scarce literature on postpartum women's mobility and how it effects their HIV care engagement and treatment outcomes. It is important to provide more insight on the role that postpartum mobility plays in terms of engagement with care, as consistent retention in care is a significant challenge in South Africa, specifically for postpartum women [6]. Continuous engagement in care for postpartum women is of great importance as HIV requires lifelong treatment and high treatment adherence in order to prevent treatment failure [16,35]. These findings show that movement following delivery is common, but this did not seem to disrupt engagement in HIV care. This study's findings emphasize the need for further research on postpartum mobility and its impact on women's treatment outcomes and retention in care in order for healthcare facilities to adequately consider movement and adapt care for this population.

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Supporting Information

Additional supplementary tables may be found in the appendix section of this article (Appendix G).

Table 1. Bivariate statistics of covariates of 353 postpartum women living with HIV who initiated ART during pregnancy. This table is grouped by whether they had moved within 6 months of the viral load visit or not during the postpartum period at approximately 12 months and 4 years following delivery. The characteristics were measured at baseline and 4 years postpartum for the two groups, respectively.

Table 2. Bivariate statistics of covariates of 349 postpartum women living with HIV who initiated ART during pregnancy. This table is grouped by whether they were virally suppressed (VL \leq 50 copies/mL) or not during the postpartum period at approximately 12 months and 4 years following delivery.

Table 3. Bivariate statistics of covariates of 349 postpartum women living with HIV who initiated ART during pregnancy. This table is grouped by whether they were virally suppressed (VL \leq 1000 copies/mL) or not during the postpartum period at approximately 12 months and 4 years following delivery.

Table 4. Bivariate statistics of covariates of 315 postpartum women living with HIV who initiated ART during pregnancy (Restricted to women who did not report moving out of the Western Cape). This table is grouped by whether they were retained in HIV care or not during the postpartum period at approximately 12 months and 4 years following delivery.

PART C: APPENDICES

Appendix A: Demographic and Medical History Questionnaire (LACE Study)

LACE: Demographics & Medical History
V3.0 30 June 2017

PID: L - _____ - ____

		Visit Date: ___/___/___
1.	Ingaba ufudukile kwelinye ikhaya emva kokuba sithethile nawe? Have you moved to a different home since we last spoke to you?	Hayi/No=0 Ewe/Yes =1
2.	Ingaba uyitshintshile inombolo yakho yomnxeba emva kokuba sithethile nawe? Have you changed your cell phone number(s) since we last spoke to you?	Hayi/No=0 Ewe/Yes =1
3.	Ingaba ukhona omnye umntu esingaqhagamshelana naye ukuba sifuna wena malunga nombaba ongxamisekileyo? Is there anyone else that we can contact if we are looking for you in the event of an emergency?	Hayi/No=0 Ewe/Yes =1
Mbuzi-mibuzo – Nceda ukhumbule ukuhlaziya inkcukacha zaye wonke umthathi-nxaxheba Interviewer - Please remember to update locator information for ALL participants		
Ngoku sizokubuzisa umibuzo embalwa: We are now going to ask you a few questions:		
4.	Leliphi ibanga eliphezulu oligqibileyo esikolweni/ ezifundweni zakho? What is the highest level of schooling/education that you have completed?	Inqanaba/Grade: _____ Ibanga/Standard: _____ Imfundo ephezulu/ Postsecondary: _____
5.	Ingaba uyafunda okanye uyasebenza ngoku? Are you currently working and or studying?	Hayi/No = 0 → SKIP to Q7 Ewe/Yes = 1
6.	Ukuba ewe, yeyiphi kwezi zilandelayo ekuchaza ngocono? Khetha ibenye kuphela If yes, which of one the following best describes what you do? Choose one only	Uphangela isigxina = 1 Employed full-time Uphangela manqapha-nqapha=2 Employed part-time Umsebenzi onjengokuthengisa endlini/esitalatweni = 3 Informal job/hawker Uhamba isikolo/ungumfundi = 4 Attending school/learner Ufunda kwiziko lemfundo enomsila = 5 Attending tertiary education facility
7.	Ngowuphi UNDOQO ongenisa imali endlini yakho? Khetha ibenye kuphela What is the MAJOR source of income for your household? Choose one only	Akekho = 0 None Umsebenzi osisigxina = 1 Full-time employment Umsebenzi wamanqapha-nqapha = 2 Part-time employment Umsebenzi ozenzela wona = 3 Informal employment

		<p>Isibonelelo sokukhubazeka = 4 Disability grant</p> <p>Isibonelelo sentlalontle = 5 Social grant</p> <p>Pension = 6 Esobudala</p> <p>Esinye isibonelelo = 7,: ____ Other grant Cacisa uhlobo/specify type: _____</p> <p>Enye = 8 Other Cacisa/specify: _____</p> <p>Andazi = 9 Don't know</p>												
8.	<p>Uhlala kwikhaya elinjani? What kind of home do you live in?</p>	<p>Ityotyombe/uhlaliso olungahlelwanga = 1 Shack/informal dwelling</p> <p>Indlu yesitena = 2 Formal house</p> <p>Iflethi/indlu kamasipala = 3 Flat/council home</p> <p>Enye = 4 Other Cacisa/specify: _____</p>												
9.	<p>Ingaba indlu yakho inazo ezinto zilandelayo: Funda uze uphendule malunga nazo zonke Does your house have the following?: Read and answer for all</p>	<table border="1"> <tr> <td>a. Indlu yangasese engaphakathi A toilet inside</td> <td>Hayi/No=0 Ewe/Yes =1</td> </tr> <tr> <td>b. Amanzi empompo ngaphakathi endlini Running water inside</td> <td>Hayi/No=0 Ewe/Yes =1</td> </tr> <tr> <td>c. Umbane ngaphakathi endlini Electricity inside</td> <td>Hayi/No=0 Ewe/Yes =1</td> </tr> <tr> <td>d. Isikhenkcezisi A refrigerator</td> <td>Hayi/No=0 Ewe/Yes =1</td> </tr> <tr> <td>e. Umnxeba A telephone</td> <td>Hayi/No=0 Ewe/Yes =1</td> </tr> <tr> <td>f. Umabonakude A television</td> <td>Hayi/No=0 Ewe/Yes =1</td> </tr> </table>	a. Indlu yangasese engaphakathi A toilet inside	Hayi/No=0 Ewe/Yes =1	b. Amanzi empompo ngaphakathi endlini Running water inside	Hayi/No=0 Ewe/Yes =1	c. Umbane ngaphakathi endlini Electricity inside	Hayi/No=0 Ewe/Yes =1	d. Isikhenkcezisi A refrigerator	Hayi/No=0 Ewe/Yes =1	e. Umnxeba A telephone	Hayi/No=0 Ewe/Yes =1	f. Umabonakude A television	Hayi/No=0 Ewe/Yes =1
a. Indlu yangasese engaphakathi A toilet inside	Hayi/No=0 Ewe/Yes =1													
b. Amanzi empompo ngaphakathi endlini Running water inside	Hayi/No=0 Ewe/Yes =1													
c. Umbane ngaphakathi endlini Electricity inside	Hayi/No=0 Ewe/Yes =1													
d. Isikhenkcezisi A refrigerator	Hayi/No=0 Ewe/Yes =1													
e. Umnxeba A telephone	Hayi/No=0 Ewe/Yes =1													
f. Umabonakude A television	Hayi/No=0 Ewe/Yes =1													
10.	<p>Ukuquka isiqu sakho, bangaphi abantu (abadala nabantwana) abahlala endlini yakho? Including yourself, how many people (adults and children) live in your house?</p>	<p># labantu/ # of people: _____</p>												
11.	<p>Bangaphi abantu abadala (abakwiminyaka eyi 16 okanye ngaphezulu), ukuquka wena, abahlala endlini yakho? How many adults (aged 16 or older), including you, live in your house?</p>	<p># labantu abadala/ # of adults: _____</p>												

12.	Bangaphi abantwana (abakwiminyaka eyi 15 nangaphantsi) abahlala endlini yakho? How many children (aged 15 and under) live in your house?			# Labantwana/ # of children: _____	
13.	Wakhulelwa amatyeli amangaphi (kuquka nesi isisu, ukuba ukhulelwe)? How many times have you been pregnant (including current pregnancy if pregnant)?			# lokukhulelwa/ # of pregnancies: _____	
14.	Bangaphi abantwana obazeleyo? How many children have you given birth to?			# Labantwana/ # of children: _____ → If 0, SKIP to Q19	
15.	Bangaphi abaphilayo kwaba bantwana? How many of these children are living?			# Labantwana/ # of children: _____	
16.	Bangaphi abahlala nawe? How many of these children currently live with you?			# Labantwana/ # of children: _____	
17.	Bangaphi kwaba bantwana abanentsholongwane ka gawulayo? How many of your children have tested HIV-positive?			# labantwana abanentsholongwane kagawulayo/ # of HIV-positive children: _____	
18.	Bangaphi kwaba bantwana abanentsholongwane kagawulayo abaphilayo ngoku? How many of these children who have tested HIV- positive are currently living?			# labantwana abanentsholongwane kagawulayo abaphilayo ngoku/ # of HIV-positive children currently alive: _____	
19.	Kwezinyanga zilishumi elinesibini zidlulileyo, ingaba ubukhe wathunyelwa kulo naliphi na iziko lezempilo malunga nolunye ukhathalelo lwempilo ngaphandle kweli lakho lesisiqhelo lwe HIV/ART (umzekelo, GF Jooste or Groote Schuur)? In the past 12 months, have you been referred to any health facility for <u>medical care other than your routine HIV/ART care</u> (e.g. GF Jooste or Groote Schuur)?			Hayi/No = 0 → SKIP to Q20 Ewe/Yes = 1	
	a) Wathunyelwa phi? Where were you referred?	b) Yayisesiphi isigulo? What was the diagnosis?	c) Ingaba walala esibhedlele Did you sleep in the hospital	d) Walala intsuku ezingaphi esibhedlele? How many nights were you in hospital?	e) Kwakunini? Nceda unikezele ngomhla lowo When was this? Please give approximate date
i.			Hayi/No = 0 Ewe/Yes = 1		Day: ____ Month: ____ Year: _____
ii.			Hayi/No = 0 Ewe/Yes = 1		Day: ____ Month: ____ Year: _____
iii.			Hayi/No = 0 Ewe/Yes = 1		Day: ____ Month: ____ Year: _____

	a) Wathunyelwa phi? Where were you referred?	b) Yayisesiphi isigulo? What was the diagnosis?	c) Ingaba walala esibhedlele Did you sleep in the hospital	d) Walala intsuku ezingaphi esibhedlele? How many nights were you in hospital?	e) Kwakunini? Nceda unikezele ngomhla lowo When was this? Please give approximate date
iv.			Hayi/No = 0 Ewe/Yes = 1		Day: ___ Month: ____ Year: _____
v.			Hayi/No = 0 Ewe/Yes = 1		Day: ___ Month: ____ Year: _____
vi.			Hayi/No = 0 Ewe/Yes = 1		Day: ___ Month: ____ Year: _____
vii.			Hayi/No = 0 Ewe/Yes = 1		Day: ___ Month: ____ Year: _____
viii.			Hayi/No = 0 Ewe/Yes = 1		Day: ___ Month: ____ Year: _____
ix.			Hayi/No = 0 Ewe/Yes = 1		Day: ___ Month: ____ Year: _____
x.			Hayi/No = 0 Ewe/Yes = 1		Day: ___ Month: ____ Year: _____
20.	<p><u>Kwezi nyanga zilishumi elinesibini zidlulileyo, ingaba ugqirha okanye unesi wakhe wakuxelela ukuba une TB?</u> <u>In the past 12 months</u>, has a doctor or nurse told you that you have TB?</p>			<p>Hayi/No = 0 → SKIP to Q25 Ewe/Yes = 1</p>	
21.	<p>Wafumanisa nini ngesi sigulo? When did you receive this diagnosis?</p>			<p>Day: ___ Month: ____ Year: _____</p>	

22.	Wafumanisa phi ngesi sigulo? Where did you receive this diagnosis?	Igama le klinikhi/ Name of clinic:		
23.	Yayiphi emzimbeni wakho iTB (e.g. kwimiphunga, kwenye indawo)? Where in your body was the TB (e.g. lungs, other location)?	Indawo emzimbeni/ Place in body:		
24.	Walufumana na unyango lwe TB? Did you receive treatment for TB?	Hayi/No = 0 Ewe/Yes = 1		
25.	Njengoko waye wafunyaniswa unentsholongwane kagawulayo, ingaba ukhona na umntu owathi wamxelela ngesimo sakho sentsholongwane kagawulayo? Since you were diagnosed with HIV, have you told anyone about your HIV-status?	Hayi/No = 0 → SKIP to Q28 Ewe/Yes = 1		
26.	Nceda uphendule lombuzo malunga nelungu ngalinye losapho elikhankanywe ngezantsi Please answer this question for each of the family members listed below.	i. Ingaba bahlala kunye nawe? Do they live with you? <i>Ukuba konyulwe uN/A, ungamphenduli u ii no iii malunga nalomntu If NA selected, do not answer ii and iii for that person</i>	ii. Ingaba bayayazi na ukuba unentsholongwane ka gawulayo? Do they know you are HIV positive?	iii. Ingaba bayayazi na ukuba usebenzisa i ART? Do they know if you are taking ART?
a.	Umyeni/iqabane Husband/partner	Hayi/No = 0 Ewe/Yes = 1 N/A=9	Hayi/No = 0 Ewe/Yes = 1	Hayi/No = 0 Ewe/Yes = 1
b.	Umama Mother	Hayi/No = 0 Ewe/Yes = 1 N/A=9	Hayi/No = 0 Ewe/Yes = 1	Hayi/No = 0 Ewe/Yes = 1
c.	Utata Father	Hayi/No = 0 Ewe/Yes = 1 N/A=9	Hayi/No = 0 Ewe/Yes = 1	Hayi/No = 0 Ewe/Yes = 1
d.	Usisi Sister	Hayi/No = 0 Ewe/Yes = 1 N/A=9	Hayi/No = 0 Ewe/Yes = 1	Hayi/No = 0 Ewe/Yes = 1
e.	Ubhuti Brother	Hayi/No = 0 Ewe/Yes = 1 N/A=9	Hayi/No = 0 Ewe/Yes = 1	Hayi/No = 0 Ewe/Yes = 1
f.	Intombi Daughter	Hayi/No = 0 Ewe/Yes = 1 N/A=9	Hayi/No = 0 Ewe/Yes = 1	Hayi/No = 0 Ewe/Yes = 1
g.	Unyana Son	Hayi/No = 0 Ewe/Yes = 1 N/A=9	Hayi/No = 0 Ewe/Yes = 1	Hayi/No = 0 Ewe/Yes = 1
h.	Umalume Uncle	Hayi/No = 0 Ewe/Yes = 1 N/A=9	Hayi/No = 0 Ewe/Yes = 1	Hayi/No = 0 Ewe/Yes = 1
i.	Uanti Aunt	Hayi/No = 0 Ewe/Yes = 1 N/A=9	Hayi/No = 0 Ewe/Yes = 1	Hayi/No = 0 Ewe/Yes = 1
j.	Umzala oyindoda Male cousin	Hayi/No = 0 Ewe/Yes = 1 N/A=9	Hayi/No = 0 Ewe/Yes = 1	Hayi/No = 0 Ewe/Yes = 1

k.	Umzala ongumfazi Female cousin	Hayi/No = 0 Ewe/Yes = 1 N/A=9	Hayi/No = 0 Ewe/Yes = 1	Hayi/No = 0 Ewe/Yes = 1
l.	Amanye amalungu osapho angamadoda Other male family member	Hayi/No = 0 Ewe/Yes = 1 N/A=9	Hayi/No = 0 Ewe/Yes = 1	Hayi/No = 0 Ewe/Yes = 1
m.	Amanye amalungu osapho angabafazi Other female family member	Hayi/No = 0 Ewe/Yes = 1 N/A=9	Hayi/No = 0 Ewe/Yes = 1	Hayi/No = 0 Ewe/Yes = 1
27.	Ngaphandle kwamalungu osapho akhankanywe ngasentla, ngubani omnye owathi wamxelela ngesimo sakho sentsholongwane ka gawulayo? (funda uze uphendule malunga nazo zonke) Aside from family members listed above, who else have you told about your HIV status <u>since your HIV diagnosis</u> ? (read and answer for all)		i. Ingaba bayayazi na ukuba unentsholongwane ka gawulayo Do they know you are HIV positive?	ii. Ingaba bayayazi na ukuba usebenzisa iART? Do they know if you are taking ART?
a.	Iingcali zempilo Health professionals		Hayi/No = 0 Ewe/Yes = 1	Hayi/No = 0 Ewe/Yes = 1
b.	Iqela lenkxaso Support group		Hayi/No = 0 Ewe/Yes = 1	Hayi/No = 0 Ewe/Yes = 1
c.	Iqabane owabelana nalo ngesondo ongahlali nalo A sexual partner who does not live with you		Hayi/No = 0 Ewe/Yes = 1	Hayi/No = 0 Ewe/Yes = 1
d.	Abahlobo Friends		Hayi/No = 0 Ewe/Yes = 1	Hayi/No = 0 Ewe/Yes = 1
e.	Umthandazeli Spiritual leader		Hayi/No = 0 Ewe/Yes = 1	Hayi/No = 0 Ewe/Yes = 1
f.	Umqeshi wangoku okanye owayesakuba ngumqeshi wakho Current or former employer		Hayi/No = 0 Ewe/Yes = 1	Hayi/No = 0 Ewe/Yes = 1
g.	Ukuphumela elubala ekuhlaleni Public disclosure/ community		Hayi/No = 0 Ewe/Yes = 1	Hayi/No = 0 Ewe/Yes = 1
h.	Enye/Other Cacisa/ specify: _____		Hayi/No = 0 Ewe/Yes = 1	Hayi/No = 0 Ewe/Yes = 1
28.	Ingaba ukhona umntu othandana naye ngoku? Are you currently in a relationship?		Hayi/No = 0 → SKIP to Q33 Ewe/Yes = 1	
29.	Ungabuchaza uthini ubudlelwane onabo neqabane lakho ngoku? How would you describe your current relationship?		Utshatile = 1 Married Awutshatanga, nihlala kunye = 2 Not married, living together Utshatile, anihlali kunye = 3 Married, not living together Awutshatanga, anihlali kunye = 4 Not married, not living together Enye/Other = 5 Cacisa/specify: _____	
30.	Unexesha elingakanani uthandana neqabane lakho ngoku? How long have you been in this relationship?		Ixesha kwi/ Duration in: Nyanga/ Months _____ Iminyaka/ Years: _____	

31.	Ingaba iqabane lakho ngoku lingutata womnye wabantwana bakho? (ukuquka nomkhulelweyo ukuba ukhulelwe) Is your current partner the parent of any of your children? (including current pregnancy if pregnant)	Hayi/No = 0 Ewe/Yes = 1	
32.	Ingaba iqabane onalo ngoku, iseleliya qabane owawunalo ngelaxesha wawubeleka umntwana wakho kuphando lwe MCHART ? Is your current partner the same partner you had when you delivered your baby in the MCHART study?	Hayi/No = 0 Ewe/Yes = 1	
33.	Ingaba unabo ubudlelwane, okanye amaqabane othi wabelane nabo ngesondo ngelilixa ungathandani nabo? (nokuba awuthandani namntu ngoku)? Do you have relationships/sexual partners with any other people (even if you are not currently in a relationship)?	Hayi/No = 0 → SKIP to Q35 Ewe/Yes = 1	
34.	Sithini isimo sobunye ubudlelwane onabo? Phawula zonke ezifanelekileyo What is the nature of your other relationship(s)? Mark all that apply.	a. Umyeni/utshatile Spouse/ married	Hayi/No = 0 Ewe/Yes = 1
		b. Iqabane Boyfriend	Hayi/No = 0 Ewe/Yes = 1
		c. Iqabane elingesosigxina/ Elobusuku obunye Casual Partner/One Night Stands	Hayi/No = 0 Ewe/Yes = 1
		d. Enye/other Cacisa/specify:	Hayi/No = 0 Ewe/Yes = 1
35.	Bangaphi abantu okhe wathandana nabo emva kokuba umntwana wakho ezelwe ngexesha lophando lwe MCH-ART? (ukuquka iqabane onalo ngoku ukuba unaye umntu othandana naye) How many relationships have you had since your baby was born during the MCH-ART study? (Including your current partner if you are in a relationship)	# lobudlelwane/ # of relationships: _____ Usekobabudlelwane = 999 Still in the same relationship	
36.	Ingaba usebenzisa iART ngoku? Are you currently taking ART?	Hayi/No = 0 Ewe/Yes = 1	
37.	Wayiqqibela ngoluphi usuku nexesha iART? When was the last day and time you took ART?	Time: ____ Day: ____ Month: ____ Year: _____	
a.	Ingaba ubuyisebenzisile iART kwezintsuku zisixhenxe zidlulileyo? Have you taken ART at all in the last 7 days?	Hayi/No = 0 Ewe/Yes = 1 → SKIP to Q38	
b.	Ukuba hayi, ngoba kutheni? If No, why not?	Isizathu Reason	

38.	Ingaba ubhalisile kwi kliniki ye ART ngoku? *waya eklikhini kwezinyanga zintathu zidlulileyo Are you currently enrolled in an ART Clinic? *attended a clinic in the last 3 months	Hayi/No = 0 Ewe/Yes = 1 → SKIP to Q40
39.	Ukuba hayi, sithini izizathu esibangela ukuba ube awubhalisanga kwi kliniki ye ART? Ungazidwelisa zibeliqela izizathu. If no, what is the reason that you are no longer enrolled in an ART clinic? You can list more than one reason.	1. _____ 2. _____ 3. _____ 4. _____ 5. _____ → SKIP to Q47
40.	Ukuba ewe, yeyiphi ikliniki ohamba kuyo? If yes, which clinic do you currently attend?	Igama lekliniki/ Clinic name:
41.	Uya njani ekliniki kukhathalelo lwakho lwesiqhelo? How do you usually travel to the clinic for routine care	Ngemoto eqeshiweyo = 1 Hired car Ngemoto yam = 2 My own car Ngeteksi = 3 Taxi Ngebhasi = 4 Bus Ndihamba ngenyawo = 5 Walk Enye/other = 6 Cacisa/specify: _____
42.	Kukuthatha ixesha elingakanani ukufika ekliniki? How long does it usually take you to get to the clinic?	Imizuzu/minutes: _____ Iyure/hours: _____
43.	Ubhatala malini yokukhwela isithuthi? How much do you usually pay for transport?	IRandi/Rand: _____
44.	Ingaba emsebenzini kufuneka uthathe ikhefu lokuya ekliniki? Do you have to take time off work to go to the clinic?	Hayi/No = 0 Ewe/Yes = 1
45.	Ingaba kufuneka wenze amalungiselelo akhethekileyo wabantu abazogada umntwana/abantwana bakho? Do you have to make special arrangements for people to watch your child/children?	Hayi/No = 0 → SKIP to Q47 Ewe/Yes = 1 Andinabantwana = 2 → SKIP to Q47 Don't have any children

46.	Ingaba uyambhatala umntu ogada umntwana wakho ukuze ukwazi ukuya eklinikhi? Do you pay someone to watch your child so you can go to the clinic?	Hayi/No = 0 Ewe/Yes = 1	
47.	Kwezinyanga zilishumi elinesibini zidlulileyo ingaba ubukhe wathetha nomniki-nkonzo eklinikhi/esibhedlela malunga nokhathalelo lwakho lwentsholongwane kagawulayo? In the past 12 months have you spoken to a counsellor at the clinic/ hospital about your HIV care?	Hayi/No= 0 → SKIP to Q48 Ewe/Yes = 1	
a.	Ukuba EWE, wayaphi? If Yes, where did you go?	Igama leklinikhi/ Clinic name:	
b.	Kwezinyanga zilishumi elinesibini zidlulileyo mangaphi amatyeli othe wolulekwa ngawo? In the past 12 months how many times have you been counselled?	# lamaxesha/ # of times: _____	
c.	Ingaba wathetha nabani ngelixesha lolu loluleko? nomluleki, nomongikazi okanye nogqirha) Who did you speak to during this counselling? (counsellor, nurse, doctor)		
d.	Wathetha ngantoni ngelixesha lolu loluleko? What did you talk about during this counselling?		
48.	Xa uye kutyelelo lwakho lwesiqhelo lwe ART, ingaba umkhathaleli wempilo uyakubuza malunga: When you attend your routine ART care visit, does a health care provider ask you about:	a) Indlela otya ngawo amachiza Medication adherence	Hayi/No = 0 Ewe/Yes = 1
		b) Ngempilo yakho Your health	Hayi/No = 0 Ewe/Yes = 1
		c) Impilo yomntwana Child health	Hayi/No = 0 Ewe/Yes = 1
		d) Ukondliwa komntwana Child feeding	Hayi/No = 0 Ewe/Yes = 1
		e) Uwangcwiso losapho Family planning	Hayi/No = 0 Ewe/Yes = 1
49.	Ingaba umntwana wakho uyaya na eklinikhi malunga nogonyo/utyelelo lwesiqhelo lokhathalelo lwabantwana? Is your child attending a clinic for immunizations/routine well baby care?	Hayi/No= 0 → SKIP to Q51 Ewe/Yes = 1 Umntwana wasweleka/ Child died = 2 → SKIP to Q55	
50.	Ukuba ewe, kweyiphi iklinikhi? If yes, which clinic?	Igama leklinikhi/Clinic name: →SKIP to Q52	
51.	Ukuba hayi, ngoba kutheni? Ungadwelisa izizathu ezininzi. If no, why not? You can list more than one reason.	1. _____ 2. _____	

		3. _____ 4. _____ 5. _____
52.	Ingaba uhlala nawe umntwana wakho ngoku? Does your child currently live with you?	Hayi/No = 0 Ewe/Yes = 1 → SKIP to Q55
53.	Ukuba hayi, uhlala nabani umntwana wakho? (ubudlelwane emntwaneni e.g: umhakhulu, u-anti) If no, who does the child stay with? (relationship to child eg: grandmother, aunt)	
54.	Uhlala phi umntwana? Where does the child live?	Igama ledolophu & iphondo/ Name of town & province:
55.	Wagqibela nini ukuya exesheni? When was your last menstrual period?	Day: ____ Month: ____ Year: ____ Andiqinisekanga/ Unsure = 9
56.	Ingaba ukhulelwe na ngoku? Are you pregnant at the moment?	Hayi/No= 0 → SKIP to Q58 Ewe/Yes = 1 Andiqinisekanga/Not sure = 2 → SKIP to Q58
57.	Ingaba selwazi siwe ukhulelo? Has the pregnancy been confirmed?	Hayi / No = 0 → DO PREGNANCY TEST NOW Ewe/Yes = 1
Ngoku sizokubuza imibuzo embalwa ngamaxesha othe wanohambo olungaphandle kwekhaya lakho elise Kapa. We will now ask you a few questions about any times you have travelled away from your home in Cape Town.		
58.	Kwezi nyanga zilishumi elinesibini zidlulileyo, ingaba wakhe wachitha intsuku ezintathu nangaphezulu uhambele ngaphandle kwekhaya lakho lesiqhelo? In the past 12 months, have you ever spent three or more nights traveling away from your usual house?	Hayi/No= 0 → END HERE Ewe/Yes = 1
59.	Kwezi nyanga zilishumi elinesibini zidlulileyo, mangaphi amaxesha owathi watyelela ngawo ngaphandle kwekhaya lakho lesiqhelo ubuncinane intsuku ezintathu? In the past 12 months, how many times have you travelled away from your usual home for at least three nights?	Ixesha elinye – amaxesha amabini = 1 1 to 2 times Amaxesha amathathu – amaxesha amahlanu = 2 3 to 5 times Ngaphezu kwamaxesha amahlanu = 3 More than 5 times

60.	Lingakanani elona xesha lide ukude ekhaya? What was the longest period you were away from home?	a) _____ iintsuku/ days b) _____ iiveki/weeks c) _____ iinyanga/months OR d) Wafuduka isigxina/ Relocated permanently	
61.	a) Xa wawuhambele, ingaba wawuhambele kwi When you have travelled, did you travel to:	Kwindawo enye oko/idolophu = 1 Always the same place/town Kwindawo ezininzi/idolophu/iphondo = 2 More than one place/town/province	
	b) Wawuhambele phi, dwelisa indawo ezininzi kangangoko kufanelekileyo? (Idolophu, Iphondo) Where did you travel to, list as many places as apply? (Town, Province)	i. _____ ii. _____ iii. _____ iv. _____	
62.	a) Abanye abantu bafumanisa kunzima ukusebenzisa amayeza abo xa bengekho ekhaya, ngoku ubungekho kwixesha elidlulileyo, ingaba wawukhe wafumana ubunzima bokusebenzisa amayeza akho? Some people find it difficult to take their treatment while away from home, when you were away the last time, did you have any difficulties taking your treatment?	Hayi/No = 0 → SKIP to Q63 Ewe/Yes = 1	
	b) Zintoni ezenza kwanzima? (Biyela konke okufanelekileyo) What things made it difficult? (Circle all the apply)	Ndalibala ukuphatha ipilisi zam I forgot to carry my pills	Hayi/No = 0 Ewe/Yes = 1
		Ndandixakekile ndaze ndalibala ukuthatha ipilisi zam I was busy and forgot to take my pills	Hayi/No = 0 Ewe/Yes = 1
		Ndandihlala nabantu ababengasazi isimo sam I stayed with people who did not know my status	Hayi/No = 0 Ewe/Yes = 1
		Ndaye ndaphelelwa ngamayeza I ran out of medication	Hayi/No = 0 Ewe/Yes = 1
		Intsuku zokuhlala kwam zaye zanda ndingalindelanga My length of stay extended unexpectedly	Hayi/No = 0 Ewe/Yes = 1
		Ezinye izinto/Any other things? Cacisa/Specify:	Hayi/No = 0 Ewe/Yes = 1

63.	Ingaba wawukhe waya kwi ART klinikhi ngaphandle kwale uhamba kuyo yesiqhelo ngoku wawungekho khaya? Have you ever attended an ART clinic other than your usual clinic while you were away from home?	Hayi/No = 0 Ewe/Yes = 1												
64.	a) Ingaba wawukhe wafumana ulongezo lwe ART ngexesha owangekho khaya? Did you ever receive an ART top up while you were away from home?	Hayi/No = 0 → SKIP to Q65 Ewe/Yes = 1												
	b) Ukuba ewe, wawafumana phi amayeza? If yes, where did you get the treatment from?	Klinikhi/Clinic = 1 Igama leklinikhi /Clinic name _____ Umhlobo/ilungu losapho = 2 Friend/family member Enye/Other = 3 Cacisa/Specify: _____ _____												
65.	a) Ingaba wakhe wayifumana ileta esuka kwiklinikhi yakho yesiqhelo ikuthumela kwenye iklinikhi ngelixesha wawungekho khaya? Did you ever receive a transfer letter from your routine ART clinic to receive care at another clinic when you went away?	Hayi/No = 0 Ewe/Yes = 1 → END HERE												
	b) Ukuba hayi, kwakutheni (biyela konke okufanelekileyo)? If no, why not? (circle all that apply)	<table border="1"> <tr> <td>i. Ndandinamayeza oneleyo ngexesha endandizohamba I had enough treatment for the time I was going to be away</td> <td>Hayi/No = 0 Ewe/Yes = 1</td> </tr> <tr> <td>ii. Ndandingayazi ukuba kwakufuneka ndifumnane ileta endithumela kwenye iklinikhi ye ART I did not know I would need a transfer letter to receive ART from another clinic</td> <td>Hayi/No = 0 Ewe/Yes = 1</td> </tr> <tr> <td>iii. Ndahamba ngequbuliso kwaye zange ndibenalo ithuba lokuyocela ileta eklinikhi I left unexpectedly and did not have time to go to the clinic for a letter</td> <td>Hayi/No = 0 Ewe/Yes = 1</td> </tr> <tr> <td>iv. I klinikhi yam yesiqhelo yandinika iART eyoneleyo yokundijonga ngexesha endandingekho ngalo My usual clinic gave me enough ART to see me through the time I was away</td> <td>Hayi/No = 0 Ewe/Yes = 1</td> </tr> <tr> <td>v. Ndaya eklinikhi ndayozama ukufumana ileta kodwa zange ndiyifumane ileta endithumelayo I went to the clinic to try to get a letter but was not able to get a transfer letter</td> <td>Hayi/No = 0 Ewe/Yes = 1</td> </tr> <tr> <td>vi. Esinye isizathu sokungafumani ileta ekuthumelayo Any other reason you did not get a transfer letter: Cacisa/Specify:</td> <td>Hayi/No = 0 Ewe/Yes = 1</td> </tr> </table>	i. Ndandinamayeza oneleyo ngexesha endandizohamba I had enough treatment for the time I was going to be away	Hayi/No = 0 Ewe/Yes = 1	ii. Ndandingayazi ukuba kwakufuneka ndifumnane ileta endithumela kwenye iklinikhi ye ART I did not know I would need a transfer letter to receive ART from another clinic	Hayi/No = 0 Ewe/Yes = 1	iii. Ndahamba ngequbuliso kwaye zange ndibenalo ithuba lokuyocela ileta eklinikhi I left unexpectedly and did not have time to go to the clinic for a letter	Hayi/No = 0 Ewe/Yes = 1	iv. I klinikhi yam yesiqhelo yandinika iART eyoneleyo yokundijonga ngexesha endandingekho ngalo My usual clinic gave me enough ART to see me through the time I was away	Hayi/No = 0 Ewe/Yes = 1	v. Ndaya eklinikhi ndayozama ukufumana ileta kodwa zange ndiyifumane ileta endithumelayo I went to the clinic to try to get a letter but was not able to get a transfer letter	Hayi/No = 0 Ewe/Yes = 1	vi. Esinye isizathu sokungafumani ileta ekuthumelayo Any other reason you did not get a transfer letter: Cacisa/Specify:	Hayi/No = 0 Ewe/Yes = 1
i. Ndandinamayeza oneleyo ngexesha endandizohamba I had enough treatment for the time I was going to be away	Hayi/No = 0 Ewe/Yes = 1													
ii. Ndandingayazi ukuba kwakufuneka ndifumnane ileta endithumela kwenye iklinikhi ye ART I did not know I would need a transfer letter to receive ART from another clinic	Hayi/No = 0 Ewe/Yes = 1													
iii. Ndahamba ngequbuliso kwaye zange ndibenalo ithuba lokuyocela ileta eklinikhi I left unexpectedly and did not have time to go to the clinic for a letter	Hayi/No = 0 Ewe/Yes = 1													
iv. I klinikhi yam yesiqhelo yandinika iART eyoneleyo yokundijonga ngexesha endandingekho ngalo My usual clinic gave me enough ART to see me through the time I was away	Hayi/No = 0 Ewe/Yes = 1													
v. Ndaya eklinikhi ndayozama ukufumana ileta kodwa zange ndiyifumane ileta endithumelayo I went to the clinic to try to get a letter but was not able to get a transfer letter	Hayi/No = 0 Ewe/Yes = 1													
vi. Esinye isizathu sokungafumani ileta ekuthumelayo Any other reason you did not get a transfer letter: Cacisa/Specify:	Hayi/No = 0 Ewe/Yes = 1													
Date completed: ____ / ____ / _____		Signed counsellor completing CRF: _____												

QC stamp:	Data capturer stamp:
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A Ukufuduka kukamama Imiqathango yetafile: Singathanda ukukubuza ngokuhamba /ngokufuduka kwakho emva kokubeleka usana ukuvavanyo lweMCH-ART. Sizakugcwallisa itafile engezantsi. Wawuhlala phi ngexesha ubeleka usana lwakho kwi MCH-ART?					
Maternal relocation Instructions for table: We would like to ask you about your movement/relocation after the baby was born in the MCH-ART study. We will complete the table below. Where were you living at the time you delivered your baby in the MCH-ART study?					
Umzekelo/ Example:					
Ukuqala kwexesha Start of time period	Ubude bexesha Duration	Igama ledolophu, iphondo Name of suburb, province	Inyanga/unyaka wokufuduka Month/year relocated	Isizathu sokufuduka Reason for relocating	
1	Right after delivery	Lower crossroads, WC	June 2014	Moved to stay with family	
2	June 2014	Butterworth, EC	Dec 2014	Moved back for work	
3	Dec 2014	Gugulethu, WC	NA	Still living here	
a) Ukuqala kwexesha Start of time period	b) Ubude bexesha Duration	c) Igama ledolophu, iphondo Name of suburb, province	d) Inyanga/unyaka wokufuduka Month/year relocated	e) Isizathu sokufuduka Reason for relocating	
1	Right after delivery of baby in MCH-ART		D: ___ M: ___ Y: ___		
2			D: ___ M: ___ Y: ___		
3			D: ___ M: ___ Y: ___		
4			D: ___ M: ___ Y: ___		
5			D: ___ M: ___ Y: ___		
6			D: ___ M: ___ Y: ___		
7			D: ___ M: ___ Y: ___		
8			D: ___ M: ___ Y: ___		
9			D: ___ M: ___ Y: ___		
10			D: ___ M: ___ Y: ___		

Appendix C: University of Cape Town Protocol Approval for MCH-ART Study



FACULTY OF HEALTH SCIENCES
Human Research Ethics Committee



FHS016: Annual Progress Report / Renewal

HREC office use only (FWA00001637; IRB00001938)			
This serves as notification of annual approval, including any documentation described below.			
<input checked="" type="checkbox"/> Approved	Annual progress report	Approved until/next renewal date	30/10/2020
<input type="checkbox"/> Not approved	See attached comments		
Signature Chairperson of the HREC	Signature removed	Date Signed	19/10/2019

Comments to PI from the HREC

Principal Investigator to complete the following:

1. Protocol information

Date (when submitting this form)	15 October 2019		
HREC REF Number	451/2012	Current Ethics Approval was granted until	30 October 2019
Protocol title	Strategies to optimize antiretroviral therapy services for maternal & child health: the MCH-ART study		
Protocol number (if applicable)	NA		
Are there any sub-studies linked to this study?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
If yes, could you please provide the HREC Ref's for all sub-studies? Note: A separate FHS016 must be submitted for each sub-study.	HREC REF 194/2013: Estimation of delivery dates using obstetric ultrasound in the MCH-ART study HREC 550/2015: Childbearing, family planning and relationships among women living with HIV in Gugulethu, Cape Town		
Principal Investigator	Landon Myer		

21 February 2019

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(Note: Please complete the Closure form (FHS010) if the study is completed within the approval period)



Appendix D: University of Cape Town Protocol Approval for LACE Study



FHS016: Annual Progress Report / Renewal

HREC office use only (FWA00001637; IRB00001938)			
This serves as notification of annual approval, including any documentation described below.			
<input checked="" type="checkbox"/> Approved	Annual progress report	Approved until/next renewal date	30-04-2020
<input type="checkbox"/> Not approved	See attached comments		
Signature Chairperson of the HREC	Signature Removed	Date Signed	22/5/2019

Comments to PI from the HREC
<i>Thank you for the deviator document</i>

Principal Investigator to complete the following:

1. Protocol information

Date (when submitting this form)	17 May 2019		
HREC REF Number	866/2016	Current Ethics Approval was granted until	30 Jan 2019
Protocol title	Long-term Adherence and Care Engagement (LACE): A supplement to the MCH-ART protocol		
Protocol number (if applicable)			
Are there any sub-studies linked to this study?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
If yes, could you please provide the HREC Ref's for all sub-studies? Note: A separate FHS016 must be submitted for each sub-study.			
Principal Investigator	Prof Landon Myer		
Department / Office	Office 5.43		
Internal Mail Address	Level 5 Falmouth Building		

1.1 Does this protocol receive US Federal funding?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
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Appendix E: University of Cape Town Protocol Approval for secondary analysis of
MCH-ART and LACE data



UNIVERSITY OF CAPE TOWN
Faculty of Health Sciences
Human Research Ethics Committee



Room G50- Old Main Building
Groote Schuur Hospital
Observatory 7925
Telephone [021] 406 6492
Email: hrec-enquiries@uct.ac.za

Website: www.health.uct.ac.za/fhs/research/humanethics/forms

03 June 2020

HREC REF: 185/2020

Dr T Phillips
School of Public Health & Family Medicine
Office 5.38, Level 5
Falmouth Building-FHS
Email: tammy.phillips@uct.ac.za
Student: Mzrrob001@myuct.ac.za

Dear Dr Phillips

PROJECT TITLE: PATTERNS OF MOBILITY AND THE EFFECT OF MOBILITY ON VIRAL SUPPRESSION AND RETENTION AMONG POSTPARTUM WOMEN LIVING WITH HIV IN SOUTH AFRICA (MPHIL DEGREE - MISS ROBYN MAZRIEL)

Thank you for submitting your study to the Faculty of Health Sciences Human Research Ethics Committee (HREC) for review.

It is a pleasure to inform you that the HREC has **formally approved** the above-mentioned study.

This approval is subject to strict adherence to the HREC recommendations regarding research involving human participants during COVID -19, dated 17 March 2020.

Approval is granted for one year until the 30 June 2021.

Please submit a progress form, using the standardised Annual Report Form if the study continues beyond the approval period. Please submit a Standard Closure form if the study is completed within the approval period.

(Forms can be found on our website: www.health.uct.ac.za/fhs/research/humanethics/forms)

We acknowledge that the student: Miss Robyn Mazriel will also be involved in this study.

Please quote the HREC REF in all your correspondence.

Please note that the ongoing ethical conduct of the study remains the responsibility of the principal investigator.

Please note that for all studies approved by the HREC, the principal investigator **must** obtain appropriate institutional approval, where necessary, before the research may occur.

HREC 185/2020sa

Yours sincerely

Signature Removed

PROFESSOR M BLOCKMAN
CHAIRPERSON, FHS HUMAN RESEARCH ETHICS COMMITTEE

Federal Wide Assurance Number: FWA00001637.
Institutional Review Board (IRB) number: IRB00001938
NHREC-registration number: REC-210208-007

This serves to confirm that the University of Cape Town Human Research Ethics Committee complies to the Ethics Standards for Clinical Research with a new drug in patients, based on the Medical Research Council (MRC-SA), Food and Drug Administration (FDA-USA), International Council for Harmonisation of Technical Requirements for Pharmaceuticals for Human Use: Good Clinical Practice (ICH GCP), South African Good Clinical Practice Guidelines (DoH 2006), based on the Association of the British Pharmaceutical Industry Guidelines (ABPI), and Declaration of Helsinki (2013) guidelines. The Human Research Ethics Committee granting this approval is in compliance with the ICH Harmonised Tripartite Guidelines E6: Note for Guidance on Good Clinical Practice (CPMP/ICH/135/95) and FDA Code Federal Regulation Part 50, 56 and 312.

HREC 185/2020sa

Appendix F: Informed Consent Form for the LACE Study

LACE Informed Consent Form

TITLE OF RESEARCH: Long-term Adherence and Care Engagement (LACE) study

YINTONI INJONGO YOLU PHANDO?

Sisuka kwiYunivesithi yaseKapa ibambisene ne-ICAP ekwiYunivesithi yase Colombia. Ngaphambili, wathatha inxaxheba kuphando lweMCH-ART, apho siye sanolandelelwano lwakho nosana lwakho (olubelekwe ngo 2013-2014) lwade lwanenyanga ezili 12 ukuya kwezili 18.

Kweli ityeli uyacelwa uthathe inxaxheba kuphando olongezelelweyo lolandelelwano kwakolu phando lunye.

Injongo yolutyelelo lophando olongezelelweyo kukufunda banzi ngempilo yakho, neyomntwana wakho, ukhathalelo lwe HIV kananjalo nonyango othe walufumana kutyelelo lwakho lokugqibela lwe-MCH-ART. Singathanda ukuqonda ukuba unakekelo lwe HIV owawulufumene xa wawuse kwi MCH-ART iyichaphazele indlela ozinakekela ngayo njengokuba usana seluludala. Sinqwenela ukuqondisisa ezinye zezinto ezinokuthi zibenegalelo kukhathalelo lwakho lwentsholongwane ye-HIV kwaye nendlela ozinikela ngayo ekutyeni amachiza akho . Singathanda ukwazi ukuba singaxoxa njani namadoda malunga nokhathalelo lwe-HIV kwixa elizayo.

Siyayazi ukuba impilo kamama xa ekhulelwe, emva kokubeleka, naxa encancisa ingayichaphazela indlela umtwana wakhe aphuhla kwaye afunde ngayo. Sinqwenela ukuphanda ukuba abantwana abano mama abakhe bathatha amachiza e- HIV xa babekhulelwe nangelixa bencancisa; ingaba baphuhla njani kwiminyaka embalwa yokuqala ebomini babo. Le ngcombolo iyakusinceda sikwazi ukuphawula iingxaki ezinokwenzeka ekufundeni kubantwana abano omama abaphila ne- HIV. Injongo yoluxwebhu lwesivumelwano kukukunika ulwazi oluyakukunceda ukuze uthathe isigqibo malunga nokuba uyafuna na okanye akufuni ukuthatha inxaxheba.

WHAT IS THE PURPOSE OF THIS STUDY?

We are from the University of Cape Town and ICAP at Columbia University. Previously, you took part in the MCH-ART study where we followed you and your baby (who was born in 2013-2014) until the baby was 12-18 months old.

At this time, you are being asked to take part in an additional follow-up visit for this same study.

The purpose of this additional study visit is to learn more about your health and the health of your child as well as the HIV care and treatment you have received since the last MCH-ART study visit. We would like to understand how the HIV care you received when you were still in the MCH-ART study has impacted your HIV care now that your child is older. We would like to understand some of the other factors that may impact on your HIV care and adherence to HIV treatment. We would also like to know how we may be able to engage male partners in HIV care in the future.

Version 4.0 15 Jan 2018

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LACE Informed Consent Form

We know that a mother's health during pregnancy, after delivery and during breastfeeding can affect how her child children develops and learns. We would like to investigate how children whose mothers took HIV drugs during pregnancy and breastfeeding develop during their first few years. This information will help to identify possible problems with learning in children whose mothers have HIV.

The purpose of this consent form is to give you information to help you decide if you want to take part in this additional study visit.

NDENZE NTONI UKUBA NDIYAVUMA UKUTHATHA INXAXHEBA?

Ukuba uyavuma ukuthatha inxaxheba, uyakucelwa ukuba usityelele kanye nomntwana wakho, ukuba kuyenzeka.

Njengakuvavanyo lwe MCH-ART, olutyelelo lohlukelelwe lwakho lwesiqhelo lwasekliniki okulo lokhathalelo lwakho lwe -HIV. Olu tyelelo luya kuthatha iiyure ezi-2 ukuya kwezi-3, yaye kuyakuquka oku kulandelayo:

- Ukuphendula imibuzo emalunga nokhathalelo lwakho lweHIV lwakutsha nje, ukwazisa ngesimo sakho se-HIV, usetyenziso lwamachiza eHIV (kuquka imiphumela nonyamezelo kumachiza).
- Siyakukubuzisa imibuzo eyongezelelweyo malunga neHIV, ukudlakathiswa, nempilo yengqondo (kuquka ukusetyenziswa gwenxa kwezinyobisi notywala), ucwangciso-ntsapho, inkqubo yokondliwa kweentsana, uhlukunyezo lwamaqabane ,impilo yabantwana nokhathalelo lwempilo nokuba uva kanjani ngokhathalelo lweHIV olufumanayo.
- Kuzakutsalwa igazi elingange 15mls (amatisipuni ama-3) engalweni yakho.
- Umlinganiselo wobunzima, ubude nobungakanani bomjikelo bomntla wengalo yomntwana wakho.
- Umlinganiselo wobude bakho, ubunzima nomjikelezo womphezulu wengaloyakho
- Uvavanyo lokuphuhla kwengqondo yomntwana wakho. Ngelishesha loluhlolo siyakucela ukuba uzama ukukhumbula imidlalo yomntwana wakho kwaye siyakucela umntwana wakho enze izinto nje ezingaphi. Siyakuthi sihlale ke ukuba ingaba izakhono zakhe zonxibelelwano, izakhono zokushukumisa umzimba, izakhono zokusombulula ingxaki nezinye ke ezimalunga nokuphuhla kwakhe ngokwasemphefumleni nangokwasekuhlaleni. Olu uvavanyo nje lokuhlaza.Ukuba kungenzeka kubeko izinto ezixhalabisayo malunga nomntwana eziphawulweyo siya kumthumela esibhedlele ayekujongwa ziingcali.
- Ukongeza kule mimiselo ingasentla efana kakhulu nemimiselo yondwendwelo lophando lwe MCH-ART, sikwajonge nokukubuzisa malunga nezizinto zilandelayo ezazingabuzwanga kwi MCH-ART:

LACE Informed Consent Form

- Sizakukubuza imibuzo malunga nesimo seqabane lakho se -HIV, kunyenokuhlola.
- Sizakukubuza malunga nezimo ezidandathekisayo owathi mhlawumbi wahlangabezana nazo usengumntwana okanye kulonyaka udluleyo. Lemibuzo kungenzeka ibenzima ukuphenduleka kwaye ibuyise iinkumbulo ezibuhlungu. Njengeminye imibuzo, unakho ukwala ukuphendula nayiphi na imibuzo ongaziva ukhululekile ukuba ungayiphendula. Ukuba kuyenzeka uzive unqwenela ukuthetha banzi nomntu oqeqeshiweyo ngezizinto zakhwehlelayo, siya kuthi sikudlulisele kwi counsellor oqeqeshiweyo.

Qaphela: Igazi elitsalwe kolutyelelo liyakusetyenziswa ukujonga ubungakanani bentsholongwane(oku bubungakanani bentsholongwane egazini lakho)yaye ukuba kuyimfuneko, kukujonga ukuba ingaba I HIV esegazini lakho iyaxhathisa na kumachiza e HIV. Iziphumo zoluvavanyo ziyakwenziwa zifumaneke kuwe.

WHAT DO I HAVE TO DO IF I AGREE TO TAKE PART?

If you agree to take part, you will be asked to complete one additional study visit with your child, if possible.

As in the MCH-ART study, this visit is separate from the usual clinic visits that you have for HIV care. This visit will take 2-3 hours and will include the following:

- *Answer questions about your recent HIV-related health care, HIV disclosure, and use of HIV drugs (including side effects and adherence).*
- *We will ask you questions about HIV, stigma, and mental health (including drug and alcohol use), family planning, child feeding practices, partner violence, child health and health care and how you feel about the HIV care that you have received.*
- *Have approximately 15mLs (3 teaspoons) of blood drawn from your arm*
- *Measurement of weight, length and mid-upper arm circumference of your child*
- *Measurement of your height, weight and mid-upper arm circumference*
- *Neurodevelopmental assessment of your child. During this assessment we will ask you to recall your child's activities and we will ask you child to perform some simple tasks. We will assess his/her communication and motor skills, problem solving and social-emotional development. This is just a screening assessment. If any concerns are detected, we will refer your child for further evaluation.*
- *In addition to the measures above, which are all very similar to the measures in the MCH-ART study visits, we will also ask you about the following things which were not asked in the MCH-ART study:*
 - *We will ask you questions about your partner's HIV status and testing.*
 - *We will ask you about any traumatic events that you may have experienced when you were a child, or in the past year. These questions may be difficult to answer and bring*

LACE Informed Consent Form

back stressful memories. As with the other questions, you may refuse to answer any questions you do not feel comfortable answering. If you feel like you need to talk to someone more about these experiences, we will refer you to a trained counsellor.

NOTE: The blood that is drawn at this visit will be used to check your viral load (this is the amount of HIV in your blood) and if necessary, to check whether the HIV in your blood is resistant to any HIV medicines. Results from these tests will be made available to you. It will also be used to check for levels of HIV medicines in your blood. Results from these tests will not be made available.

Ukuhlolwa kweencwadi zazesibhedlele

Ngokuyinxalenye yoluphando, siyakujonga yaye sithathe iinkcukacha kwirekhodi zakho zesiqhelo zikagqirha, neerekhodi zomntwana obelekelwe kuvavanyo lwe MCH-ART. Oku kuyakuquka ezobelekiso, iikliniki yamachiza okuthomalalisa intsholongwane ka Gawulayo, iirekhodi zase lebhuzasemayezeni. Ngenxa yokuba iikliniki zisebenzisa intlobo ezininzi zeefayile zezigulane, Singathanda ukufumana imvume yakho yokufikelela kuzo zonke iirekhodi zakho: ifayile zamaphepha, iirekhodi zase khemesti ne databases ezisetyenziswa ngombane kumaziko empilo eninokutyelela kuyo wena nomntwana wakho. Kwezi rekhodi, sinomdla wokufunda ngokhathalelo lwakho lwe-HIV nonyango olufumeneyo emva kokubeleka usana lwakho kuvavanyo lwakwa MCH-ART. Ekugqibeleni, sifuna ukufunda ngempilo nesimo somntwana wakho emva kokuba ebelekiwe. Sizakusebenzisa inombolo yakho yefolder yephondo ukufumana ezi rekhodi zikagqirha ekliniki okanye kwidatabase esebenza ngombane. Yonke idata esithi siphinde ukuyijonga, nesishwankathelo sayo iyimfihlelo yaye akukho gama lamthathi nxaxheba liya kushicilelwa kumaxwebhu ophando.

Review of medical records

As part of this study, we will also be looking at and taking information from your routine medical records, and the records of your child born in the MCH-ART study. This will include obstetric, ART clinic, laboratory and pharmacy records. Since clinics use many types of patient files, we would like your permission to access all your records: paper files, pharmacy records and electronic databases at the facilities you and your child may visit. From these records, we are interested in learning about the HIV care and treatment that you received after you delivered your baby in the MCH-ART study. Finally, we want to learn about your child's health status after delivery as well. We will use your and your child's provincial folder number to find these medical records in the clinic or electronic database. All data that we review and abstract is confidential and no participant names are recorded on study documents.

Imvume Ngotyando Lwesidumbu

Ukuba kungenzeka umntwana wakho ozelwe ngelixa uthatha inxaxheba koluphando lwakwa MCH-ART asweleke, siyakuthi sicele ukuba ugcalise uxwebhu lwemibuzo nombhexeshi wodliwano ndlebe

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onamava ukusinceda siqonde ukuba umntwana usweleke njani. Ukuba akuziva kakuhle yaye ukhetha ukungalugcwalisi uxwebhu lwemibuzo, uvumelekile ukuba wale ukukwenza oko.

Verbal Autopsy

In the event that your child born while you were in the MCH-ART study has passed away, we may ask you to complete a questionnaire with a trained interviewer to help us to understand how the child died. If you feel uncomfortable and would prefer not to complete the questionnaire, you can refuse to do so

Uqhagamshelwano Malunga Nophando Lwexesha Elizayo

Emva kokugqitywa kolutyelelo, kungenzeka siphinde siqhagamshelane nawe kwakhona kutyelelo lwakho olulandelayo okanye ngelinye ithuba kweli xesha lizayo ukujze uthathe inxaxheba kwizifundo ezingophando olongezelelweyo. Ngelo xesha uyakucelwa uphonononge yaye utyikitye enye ifomu yesivumelwano. Ukuba ucelwa uthathe inxaxheba kufundo ngophando kwixa elizayo, ungakhetha ukungathathi nxaxheba. Uyakucelwa unikeze ngenkcukacha zakho ukuze sikwazi ukuqhakamshelana nawe malunga nezifundo ezongezelelweyo zophando. Abasebenzi bophando bayakuthetha nawe ngeyona ndlela ingcono yokuqhagamshelana nawe.

Contact for future study

After the completion of this visit, it is possible that we will contact you again at your next clinic visit or at another time in the future to take part in additional research studies. At that time, you would be asked to review and sign another consent form. If you are asked to take part in any future studies, you can choose not to. You will be asked to provide contact information so that we may get in touch with you regarding additional research studies. Study staff will talk with you about the best way to contact you.

YEYIPHI IMINGCIPHEKO ELINDELEKILEYO?

Njengokuba sichazile ngentla ukuba kungenzeka uzive ungakhululekanga ukuyiphendula eminye imibuzo oyibuzwayo. Eminyane yalemibuzo sizakubuza malunga namava anokuthi akuzisele iinkumbulo ezidandathekisayo. Unelungelo lokwala ukuphendula umbuzo ongafuniyo ukuwuphendula. Ukuba ngelixa ukudliwano ndlebe ikhona into ekwenza uzive unqwenela ukuthetha banzi nomntu oqeqeshiweyo ngezizinto zakwehlelayo, siyakuthi sikudlulisele kwindawo zecounselling Gugulethu Community Health Centre okanye imibutho enikisa ngeenkonzo zecounselling nezenkxaso.

Ukhona umngcipheko ekwabeleni ngeenkcukacha zakho buqu nolwazi ngezigulo zakho. Sizakucoselela siqiniseke ukuba zonke iimpendulo zakho sizigcina ngokunqabe kangangoko.

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Ukutsalwa kwegazi kwenziwa ngokwesiqhelo Ngokufanayo nakukhathalelo lwempilo lwesiqhelo yaye ibonakalisa umngciphekwana wokungakhululeki ncam. Abasebenzi abanamava bayakutsala igazi phantsi kwemeko zococeko ukuze wena ukhuseleke kulemingcipheko.

WHAT ARE THE POTENTIAL RISKS?

As we mentioned above, you may feel uncomfortable about some of the personal questions you are asked. Some of the questions we ask about your past experiences may trigger difficult memories. You may refuse to answer any question that you do not want to answer. If anything raised during the interview makes you want to talk more with someone, we will refer you to counselling services either in the Gugulethu Community Health Centre or nearby organisations providing counselling and support services.

There is some risk in sharing personal and medical information. We will be careful to keep all your information as private as possible.

Drawing blood is normally done as part of routine medical care and presents a slight risk of discomfort. Experienced staff will draw blood under sterile conditions in order to protect you against these risks.

YINTONI INZUZU ELINDELEKILEYO?

Akukho nzuzo ingqamene nawe ngqo ukuba uthatha inxaxheba kolu vavanyo, kodwa ukuba sifumanisa nayiphina na ingxaki yokhathalelo lwempilo lwakho nolomntwana ngexesha ukuvavanyo, siyakuqinisekisa ukuba uyakuthunyelwa kwiziko elililo lokhathalelo lwempilo. Ukongeza, ulwazi oluzuzwe kolu vavanyo lunganceda ukuphucula iinkonzo zamaziko amachiza okuthomalalisa iNtsholongwane kaGawulayo kubafazi abakhulelweyo nabasanda kubeleka abaphila nentshongiwane kaGawulayo eKapa, eNtshona Koloni nakuMzantsi Afrika jikelele

WHAT ARE THE POTENTIAL BENEFITS?

There is no direct benefit to you if you take part in this study, but if we identify any health care problem for you or your child during the course of the study, we will make sure you are referred to the appropriate health care services. In addition, the information gained in this study may help to improve ART services for HIV-infected pregnant and postpartum women in Cape Town, the Western Cape Province, and across South Africa.

YEYIPHI INDLELA EYENYE YOKUTHATHA INXAXHEBA?

Ayikho enye indlela ekuthatheni inxaxheba koluphando. Ukuba ugqiba ekubeni ungathathi inxaxheba, uyakuqhubeka ngokhathalelo lwakho lwesiqhelo.

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WHAT ARE THE ALTERNATIVES TO TAKING PART?

There is no alternative to taking part in this study. If you decide not to take part you will continue your routine care as usual.

KUTHIWANI NGEEMFIHLELO?

Ukuba uyavuma ukuthabatha inxaxheba, lonke ulwazi olungqamene nawe oluqokelelwe ngexesha lovavanyo, luyakugcinwa luyimfihlelo eluqilima. Igama lakho aliya kubhalwa kwifomu yoluphando yaye aliya kusetyenziswa ngokunxulumene nalo naluphina ulwazi okanye i-spesimeni sase lebhuzi eziqokelelwe njengenxalenye yoluphando.

Ngabasebenzi abayinxenye kuhlobo lwesiqhelo abavumelekileyo kule mathiriyeli kuphela. Aba balandelayo okanye/ne namaqela bakuba nakho ukujonga okanye bakope iirekhodi zakho zophando:

- Abaphandi, abasebenzi nezinye iingcaphephe eziyakube ziphonononga uphando.
- Abasemagunyeni beYunivesithi yaseColumbia nabe Yunivesithi yase Kapa, kuquka neIRB okanye iKomiti yeEthics. I-IRB yikomiti eyenzelwe ukukhusela amalungelo nentlalontle yabantu ababandakanywa nophando.
- I-Ofisi yeHuman Research Protection (OHRP)
- Abaxhasi ngezimali bovavanyo, iNational Institutes of Health (NIH), kuquka nabantu bamaqumrhu abasebenza ne-NIH, bangaphinda bajonge iinkcukacha zakho ngokungqameneyo kodwa abasayikukopa ulwazi olunegama lakho kuzo.

Bonke abasebenzi abachaphazelekayo kuqokelelo nokuphathwa kwe data nabaphathi bayakufumana uqeqesho olukhethekileyo ngeemfihlelo.

Olu phando lukwakhuselwe nge Setifikethi Semfihlelo se National Institutes of Health. Abaphandi abanesi Setifikethi bangabinako ukwazisa okanye basebenzise naluphina ulwazi olunokuthi luveze wena nakuyiphi kwezi zintlu zizinze kwi US-federal (apho kwenziwa khona imithetho kaRhulumente welo lizwe kuzo zonke izintlu zobuRhulumente balapho), ezobuzwe okanye ezentlalo yoluntu, ezolwaphulo-mthetho, ezolawulo, ezomthetho okanye nasiphina isenzo, izinto ozenza ngokufanele wena okanye ukuqhubeka, okanye zisetyenziswe nje ngobungqina ngaphandle kokuba wena unike imvume yokusetyenziswa koku.

Ulwazi lovavanyo olukhuselwe ngesi Setifikethi alunako ukwaziswa nakubani omnye onganxulumananga nolu phando ngaphandle, ukuba kukho kwi US-federal, isizwe, okanye umthetho woluntu odinga ukwaziswa; ukuba unike imvume yokwaziswa, kuquka nonyango lwakho ngamayeza; okanye ukuba oku kusetyenziselwa olunye uphando lwenzululwazi kweze sayensi, ngemvume yemiqathango yefederal ekhusela abantu abasetyenziselwa uphando.

Kanti nangezi nkqubo ne Setifikethi Semfihlelo esikhoyo, ukuba umsebenzi wovavanyo ufumanisa ukuba wena buqu usemngciphekweni okanye omnye umntu okanye impatho-mpi, okanye ukungahoywa komntwana, umsebenzi wophando uyakuxelela abasemagunyeni boqobo.

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WHAT ABOUT CONFIDENTIALITY?

If you agree to take part, all information collected during the study will be kept strictly confidential. Your name will not be written on the study forms and will not be used in connection with any information or lab specimens that are collected as part of the study.

Only study staff and personnel involved in routine audits will have access to these materials. The following individuals and/or agencies will be able to look at and copy your research records:

- *The investigators, study staff and other professionals who may be evaluating the study.*
- *Authorities from Columbia University and the University of Cape Town, including the IRB or Ethics Committee. An IRB is a committee organized to protect the rights and welfare of people involved in research.*
- *The Office of Human Research Protection (OHRP)*
- *The study sponsor, National Institutes of Health (NIH), including persons or organizations working with NIH may review your data for accuracy but may not copy information with your name on it.*

All staff involved in data collection and management will get specific training in confidentiality.

This research is also covered by a Certificate of Confidentiality from the National Institutes of Health. The researchers with this Certificate may not disclose or use any information that may identify you in any US-based federal, state, or local civil, criminal, administrative, legislative, or other action, suit, or proceeding, or be used as evidence unless you have consented for this use. Study information protected by this Certificate cannot be disclosed to anyone else who is not involved in this research except, if there is a US-federal, state, or local law that requires disclosure; if you have consented to the disclosure, including for your medical treatment; or if it is used for other scientific research, as allowed by federal regulations protecting research subjects.

Even with these procedures as well as the Certificate of Confidentiality in place, if the study staff learns that you are a risk to yourself or someone else or of possible child abuse and/or neglect, study staff will tell the proper authorities.

KWENZEKA NTONI XA NDINOKWENZAKALA NDITHATHA INXAXHEBA KOLUVAVANYO?

Akukho mayeza okulinga asetyenziswayo koluvavanyo yaye umngcipheko wokwenzakala ngenxa yothatho-nxaxheba usezantsi kakhulu. Nangona oluvavanyo lophando lukhuselwe yipolisi ye-inshorensi ethathwe yiDyunivesithi yaseKapa xa unokufumana umenzakalo womzimba kuba uthatha inxaxheba kolophando.

Le inshorensi iyakuhlawula zonke iindleko ezifanelekileyo zikagqirha ukunyanga ukwenzakala komzimba wakho ngokwe SA Good Clinical Practice Guidelines 2006. I-inshorensi iyakuhlawula ngaphandle kokuba ude ubenesiqinisekiso sokuba ngenene uphando lube negalelo kumenzakalo wakho emzimbeni.

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Ungayicela ikopi yale miqathango kugqirha wophando.

I-inshorensi ayiyi kuwuhlawulela umonzakalo, ukuba, ngexesha lophando wenze oku kulandelayo:

- Akulandelanga imiqathango kamongikazi
- Awukhange uzikhathalele ngendlela eyiyo

Ukuba kwenzekile ngelishwa wonzakala i-inshorensi iyazibhatalela iindleko zoko, ngokwesiqhelo kulindeleke ukuba usamkele ngokugcweleyo isixa semali esiyakube sibhatelwe yi-inshorensi ukukhawulelana nemeko yakho yempilo. Noxa kunjalo, ukwamkela lomnyenyevu we-cover ye inshorensi ayithethi ukuba unikezele ilungelo lakho lokubanga malunga nezinye iilahleko eziphathelele kwimpathombi, oku ukwenza eNkundleni yase Mzantsi Afrika.

Kubaluleke kakhulu ukuba ulandele imiyalelo yabongikazi abakoluphando kwaye uchaze ngoko nangoko xa ukrokrela ukuba kukho umonzakalo emzimbeni ngokuphathelele koluphando.

WHAT HAPPENS IF I GET HURT TAKING PART IN THIS STUDY?

There are no experimental medicines being used in this study and risk of injury due to study participation is very low. However this research study is covered by an insurance policy taken out by the University of Cape Town if you suffer a bodily injury because you are taking part in the study.

The insurer will pay for all reasonable medical costs required to treat your bodily injury, according to the SA Good Clinical Practice Guidelines 2006. The insurer will pay without you having to prove that the research was responsible for your bodily injury. You may ask the study doctor for a copy of these guidelines.

The insurer will not pay for harm if, during the study, you:

- Do not follow the study nurses' instructions
- Do not take reasonable care of yourself

if you are harmed and the insurer pays for the necessary medical costs, usually you will be asked to accept that insurance payment as full settlement of the claim for medical costs. However, accepting this offer of insurance cover does not mean you give up your right to make a separate claim for other losses based on negligence, in a South African court.

It is important to follow the study nurses' instructions and to report straightaway if you suspect study related bodily harm.

IKHONA INTO ENDIYAKUYINIKWA NGOKUTHATHA INXAXHEBA?

Ekupheleni kolutyelelo, uyakunikwa ivawtsha zegrosari exabisa i-R150 ukukubulela ngexesha lakho negalelo lakho koluvavanyo nemali yokukhwela isithuthi. Wena nomntwana wakho niyakunikwa into etyiwayo. Uyakunikwa nesipho esincinci somntwana wakho.

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WILL I BE GIVEN ANYTHING FOR TAKING PART?

At the end of this visit, you will be given R150 in grocery vouchers to thank you for your time and contribution to this study as well as money to cover your transport cost. Refreshments for you and your child will be provided. A small gift for your child will also be given to you.

IKHONA INTLAWULO?

Akukho ntlawulo ngokuba kolu vavanyo

ARE THERE ANY COSTS?

There is no cost for being in this study.

Ndingalushiya Uvavanyo? NDINGALUSHIYA UVAVANYO?

Unelungelo lokugqiba ekubeni ungathathi nxaxheba kolu vavanyo longezelelweyo, wale ukuphendula nayiphina imibuzo, okanye urhoxe kutyelelo nangaliphina ixesha ngaphandle kwesohlwayo. Ayiyikuba nafuthe kukhathalelo olufumana eGugulethu kliniki okanye naliphi elinye iziko lezempilo.

CAN I LEAVE THE STUDY?

You have the right to decide not to take part in this additional visit, to refuse to answer any questions, or to withdraw from the visit at any time without any penalty. It will have no effect on the care that you receive at the Gugulethu Community Health Centre or any other health facility.

UKUSETYENZISWA KWE SPESIMENI KWIXESHA ELIZAYO?

Ngemvume yakho, i-ml ezi-5 ezongezelelweyo zegazi ziyakuthathelwa uphando oluzayo lwe-HIV olungqamane ngempilo yosana kwakunye nokubeleka (oko kusenza i-20mls lilonke). Okwelithutyana, asikwazi ukukunika iinkcukacha zokuba olu vavanyo luya kuqhutywa nini, okanye ncasana loluphi uphando esiyakuthanda ukulenza. Noxa kunjalo, uphando olongezelelweyo aluyi kwenziwa kusetyenziswa ezi sampulu zigciniweyo ngaphandle kwemvume eyiyo yekomiti yophando ye ethics ebandakanyeka koluphando.

Ukuba uyavuma ukuba sigcinele uphando lwexesha elizayo iisampulu zakho, ziyakugcinwa kwisikhenkcezisi esitshixwayo kangange minyaka emi 5. Xa kunokwenzeka sizisebenzise kwixesha elizayo iisampulu zegazi lakho, igama lakho naziphina izazisi aziyi kuqukwa kolu lwazi (ngokunjalo nayo yonke ingcombolo esiyiqokelele koluphando).

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FUTURE USE OF SPECIMENS:

If you agree, an additional 5mLs of blood will be taken for future HIV and maternal and child health related research (making it 20mLs in total). At this time, we cannot provide details of when this testing may be conducted, or exactly what tests we would like to do. However, additional testing will not be done using these stored samples without the approval of the appropriate research ethics committees involved in this research.

If you agree to let us keep your stored samples for future research, they will be kept in a locked freezer for up to 5 years. If we do use the samples in the future, your name or other identifiers will not be included with this information (as with the rest of the information we collect for this study).

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Nceda beka unobumba wegama ukubonakalisa ukuba unika imvume yokuba iispesimeni zakho zisetyenziswele uphando oluzayo. Ungahlala ukulo uvavanyo, nokuba ukhethe eyiphi.

Please initial below to indicate whether or not you give permission for your specimens to be used for future research. You may still remain in the study, no matter which you choose.

Imvume yokuba kugcinwe igazi lako:

Consent for storage of your blood:

_____ (unobumba) Ndiyavuma ukuba igazi lam ligcinelwe uphando oluzayo
(initial) I agree to have my blood stored for future research.

_____ (unobumba) ANDIVUMI ukuba igazi lam ligcinelwe ukusetyenziswa kwixesha elizayo
(initial) I do NOT agree to the storage of my blood for future use.

Unayo Eminye Imibuzo?

Ukuba kukho enye into engacacanga okanye ukuba ufuna ulwazi olungaphezulu, nceda sibuze, yaye siyakukunika.

Unayo imibuzo?

Do You Have Any Questions?

If there is anything that is unclear or if you need further information, please ask us and we will provide it.

Do you have any questions?

Ulwazi Olongezelelweyo:

Ukuba unayo eminye imibuzo okanye ezinye iingxaki ngexesha uthatha inxaxheba kolu phando, ungaqhagamshelana no:

For Additional Information:

If you have any questions or have any problems while taking part in this research study, you should contact:

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Faculty of Health Sciences, University of Cape
Town
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Igama lomsebenzi wophando _____
Staff member's name

Utyikityo sandla somsebenzi wophando
Signature of study staff

Umhla
Date

Ukuba ivolontiya alikwazi ukufunda okanye ukubhala xa licaciselwa ngophando kufuneka kujongwe Inqina elizimeleyo ukuqinisekisa indlela yotyikityo sivumelwano
If the volunteer is unable to read or write the entire counselling process must be observed by an independent witness who can then confirm the procedure once the she has given consent.

Ucinezelo lukabhontsi lwevolontiya:
Fingerprint of volunteer:

Inqina:
Ndiyaqinisekisa ukuba ndizimele andingomnye wophando,ndikwangqina kwisivumelwano esicacisiweyo esenziwe ngolwimi llomthathi nxaxheba

Witness:
I confirm that I am independent of the study and that I witnessed the entire informed consent counselling process in the home language of the volunteer

Igama: _____
Name

Utyikityo sandla sengqina
Signature of witness

Umhla
Date

Enkosi
Thank you

Appendix G: Supporting Information - Supplementary Tables

Table 1. Bivariate statistics of covariates of 353 postpartum women living with HIV who initiated ART during pregnancy. This table is grouped by whether they had moved within 6 months of the viral load visit or not during the postpartum period at approximately 12 months and 4 years following delivery. The characteristics were measured at baseline and 4 years postpartum for the two groups, respectively.

Variable	12 months postpartum			4 years postpartum		
	Moved	Did Not Moved	p-value	Moved	Did Not Move	p-value
Age (mean and standard deviation)	30.14 (5.17)	30.04 (5.59)	0.929	31.23 (5.26)	32.58 (5.49)	0.351
Married/ cohabiting	12 (46)	123 (38)	0.423	5 (33)	140 (38)	0.701
Completed secondary school	3 (12)	78 (24)	0.255	2 (13)	90 (25)	0.538
Employed	9 (35)	124 (39)	0.694	6 (40)	158 (43)	0.808
Gravidity (median and IQR)	1 (1-2)	1 (1-2)	0.705	2 (1-3)	2.5 (2-3)	0.208
Diagnosed with HIV in this pregnancy	13 (50)	172 (53)	0.737	9 (60)	198 (54)	0.653
Currently Pregnant	0 (0)	2 (1)	1.000	1 (7)	20 (6)	0.580
Socioeconomic Status			0.080			0.758
Lowest	11 (42)	81 (25)		5 (33)	128 (35)	
Moderate	10 (39)	118 (37)		6 (40)	112 (31)	
Highest	5 (19)	123 (38)		4 (27)	126 (34)	
Formal housing	9 (35)	160 (50)	0.139	8 (53)	185 (51)	0.832
CD4 count at baseline			0.797			0.952
<200	6 (23)	51 (16)		2 (13)	59 (17)	
200-350	7 (27)	107 (34)		6 (40)	113 (32)	
350-500	6 (23)	75 (24)		3 (20)	90 (25)	
>500	7 (27)	80 (26)		4 (27)	95 (27)	

Table 2. Bivariate statistics of covariates of 349 postpartum women living with HIV who initiated ART during pregnancy. This table is grouped by whether they were virally suppressed (VL \leq 50 copies/mL) or not during the postpartum period at approximately 12 months and 4 years following delivery.

Variable	12 months postpartum			4 years postpartum		
	Virally Suppressed	Not Virally Suppressed	p-value	Virally Suppressed	Not Virally Suppressed	p-value
Age (mean and standard deviation)	30.87 (5.48)	28.43 (5.45)	0.000	33.15 (5.19)	31.77 (5.74)	0.014
Moved within 6 months of outcome visit	18 (8)	7 (6)	0.537	8 (4)	7 (4)	0.910
Moved within 3 months of outcome visit	15 (7)	4 (4)	0.320	5 (2)	6 (4)	0.529
Moved within 12 months of outcome visit	28 (12)	10 (9)	0.312	12 (6)	20 (12)	0.040
Married/ cohabiting	95 (42)	38 (32)	0.092	91 (44)	53 (31)	0.010
Completed secondary school	59 (26)	23 (20)	0.193	55 (27)	36 (21)	0.223
Employed	97 (42)	38 (32)	0.066	99 (48)	64 (37)	0.042
Gravidity (median and IQR)	1 (1-2)	1 (1-2)	0.167	2 (2-3)	2 (2-3)	0.842
Diagnosed with HIV in this pregnancy	131 (57)	56 (48)	0.084	115 (56)	90 (53)	0.570
Currently Pregnant	1 (1)	1 (1)	0.547	15 (7)	5 (3)	0.068
Socioeconomic Status			0.003			0.458
Lowest	46 (20)	43 (36)		66 (32)	65 (38)	
Moderate	95 (42)	34 (29)		67 (32)	51 (30)	
Highest	88 (38)	41 (35)		74 (36)	55 (32)	
Formal housing	106 (46)	64 (54)	0.161	98 (47)	92 (54)	0.211
CD4 count at baseline			0.424			0.828
<200	33 (15)	22 (19)		33 (16)	28 (17)	
200-350	77 (35)	37 (32)		62 (31)	55 (33)	
350-500	49 (22)	32 (27)		55 (27)	38 (23)	
>500	62 (28)	26 (22)		53 (26)	45 (27)	

Table 3. Bivariate statistics of covariates of 349 postpartum women living with HIV who initiated ART during pregnancy. This table is grouped by whether they were virally suppressed (VL \leq 1000 copies/mL) or not during the postpartum period at approximately 12 months and 4 years following delivery.

Variable	12 months postpartum			4 years postpartum		
	Virally Suppressed	Not Virally Suppressed	p-value	Virally Suppressed	Not Virally Suppressed	p-value
Age (mean and standard deviation)	30.66 (5.52)	28.24 (5.37)	0.000	32.94 (5.24)	31.76 (5.85)	0.044
Moved within 6 months of outcome visit	21 (8)	4 (5)	0.343	11 (5)	4 (3)	0.587
Moved within 3 months of outcome visit	17 (7)	2 (2)	0.176	8 (3)	3 (2)	0.753
Moved within 12 months of outcome visit	32 (13)	6 (7)	0.145	18 (7)	14 (10)	0.321
Married/ cohabiting	106 (41)	26 (30)	0.051	105 (43)	39 (29)	0.006
Completed secondary school	62 (24)	19 (22)	0.628	61 (25)	30 (22)	0.555
Employed	105 (41)	28 (32)	0.133	113 (47)	50 (37)	0.075
Gravidity (median and IQR)	1 (1-2)	1 (1-2)	0.132	3 (2-3)	2 (2-3)	0.375
Diagnosed with HIV in this pregnancy	147 (57)	38 (43)	0.023	137 (56)	68 (50)	0.261
Currently Pregnant	2 (1)	0 (0)	1.000	17 (7)	3 (2)	0.055
Socioeconomic Status			0.022			0.895
Lowest	57 (22)	32 (36)		83 (34)	48 (36)	
Moderate	103 (40)	25 (28)		75 (31)	43 (32)	
Highest	97 (38)	31 (35)		85 (35)	44 (33)	
Formal housing	119 (46)	50 (57)	0.089	115 (47)	75 (56)	0.125
CD4 count at baseline			0.089			0.898
<200	36 (15)	18 (21)		37 (16)	24 (18)	
200-350	85 (34)	29 (33)		75 (32)	42 (32)	
350-500	55 (22)	26 (30)		61 (26)	32 (24)	
>500	72 (29)	15 (17)		65 (27)	33 (25)	

Table 4. Bivariate statistics of covariates of 315 postpartum women living with HIV who initiated ART during pregnancy (Restricted to women who did not report moving out of the Western Cape). This table is grouped by whether they were retained in HIV care or not during the postpartum period at approximately 12 months and 4 years following delivery.

Variable	12 months postpartum			4 years postpartum		
	Retained	Not Retained	p-value	Retained	Not Retained	p-value
Age (mean and standard deviation)	30.21 (5.61)	29.10 (5.45)	0.164	32.53 (5.16)	32.57 (6.37)	0.943
Moved within 6 months of outcome visit	16 (7)	3 (5)	0.775	5 (2)	4 (4)	0.476
Moved within 3 months of outcome visit	13 (5)	2 (3)	0.744	2 (1)	3 (3)	0.333
Moved within 12 months of outcome visit	22 (9)	3 (5)	0.434	12 (6)	8 (8)	0.441
Married/ cohabiting	111 (44)	18 (26)	0.008	92 (42)	39 (38)	0.441
Completed secondary school	63 (25)	18 (26)	0.827	64 (29)	18 (18)	0.024
Employed	97 (38)	31 (45)	0.310	116 (53)	37 (36)	0.003
Gravidity (median and IQR)	1 (1-2)	1 (1-2)	0.166	2 (2-3)	2.5 (2-4)	0.291
Diagnosed with HIV in this pregnancy	131 (52)	41 (59)	0.247	112 (51)	60 (58)	0.270
Currently Pregnant	0 (0)	2 (1)	1.000	17 (8)	2 (2)	0.042
Socioeconomic Status			0.082			0.022
Lowest	58 (23)	23 (33)		58 (27)	43 (41)	
Moderate	95 (37)	17 (25)		71 (32)	30 (29)	
Highest	101 (40)	29 (42)		90 (41)	31 (30)	
Formal housing	131 (52)	36 (52)	0.930	106 (48)	55 (53)	0.452
CD4 count at baseline			0.550			0.022
<200	39 (16)	9 (13)		37 (17)	11 (11)	
200-350	83 (34)	21 (31)		79 (37)	25 (25)	
350-500	63 (26)	15 (22)		50 (23)	28 (28)	
>500	62 (25)	23 (34)		49 (23)	36 (36)	

Appendix H: Turnitin Originality Report

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Tamsin K Phillips, Kate Clouse, Allison Zerbe, Catherine Orrell, Elaine J Abrams, Landon Myer. "Linkage to care, mobility and retention of HIV-positive postpartum women in antiretroviral therapy services in South Africa", Journal of the International AIDS Society, 2018

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Sections

- [1. Submission](#)
- [2. Aims and Scope](#)
- [3. Manuscript Categories and Requirements](#)
- [4. Preparing the Submission](#)
- [5. Editorial Policies and Ethical Considerations](#)
- [6. Author Licensing](#)
- [7. Publication Process After Acceptance](#)
- [8. Post Publication](#)
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1. SUBMISSION

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- Behavioural sciences
- Epidemiology
- Clinical sciences
- Health economics and health policy
- Operations research and implementation sciences
- Social sciences and humanities, including political sciences and media

The *JIAS* prioritizes submissions from operational research and implementation science as publication of such material can provide valuable information on various algorithms for monitoring and providing support for comprehensive, yet affordable and sustainable treatment, prevention and care programmes in different contexts.

Submission of HIV research carried out in low- and middle-income countries is strongly encouraged.

3. MANUSCRIPT CATEGORIES AND REQUIREMENTS

The *JIAS* accepts submissions in the following categories:

- [Research](#)
- [Short report](#)
- [Review](#)
- [Debate](#)
- [Commentary](#)
- [Letter to the Editor](#)
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Abstract:

Headings: Introduction, Methods, Results, Conclusions

Word limit: 350 words

Main text:

Headings: Introduction, Methods, Results, Discussion, Conclusions

Word limit: 3500 words

Numbers of figures and tables: Unlimited

Additional files: Yes

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The Abstract should not exceed 350 words and should be structured according to the headings of the selected article category (see above), excluding the heading "Discussion" for Research articles. Avoid using abbreviations and do not cite references in the Abstract. If you are reporting results from a controlled health care intervention, please include your trial registry, together with your unique identifying number at the end of the Abstract. For randomized controlled trials, follow the [CONSORT extension for abstracts](#) .

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The Introduction section should introduce the topic to readers without specialist knowledge in that area and must clearly outline the current state of knowledge in this field, the motivation and the aim of the study or the article.

Methods

The Methods section should include all information necessary to repeat the study, in particular, the study design, how data was collected and analyzed, clarifying the choice of methods that were made. If applicable, you should describe the setting of the study, the dates the study were conducted, and the sample or participants, as well as necessary power calculations and materials, including statistical packages, used. Interventions and programmes should be described in detail. Generic names for drugs or any molecules should be used.

All studies involving humans or animals require a statement on ethical approval, and for the former, the consent procedure that was followed. Please include the names of the ethics review board(s) that approved the study. If the research study was specific to one sex/gender, the reasons for this should be clearly stated.

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Authors will be asked to provide a conflict of interest statement during the submission process. For details on what to include in this section, see the 'Conflict of Interest' section in the [Editorial Policies and Ethical Considerations](#) section below. Submitting authors should ensure they liaise with all co-authors to confirm agreement with the final statement.

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Acknowledgments

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Mass spectrometry data should be provided in the mzML format according to the [HUPO Protein Standards Initiative Mass Spectrometry Standards Working Group guidelines](#). The data should also be deposited in the [ProteomeExchange](#) through the [PRIDE](#) website, and protein interaction data can be deposited through members of the IMEx consortium.

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Protein structures can be submitted with one of the members of the [Worldwide Protein Data Bank](#). Nucleic acid structures can be deposited with the [Nucleic Acid Database](#) at Rutgers. Crystal structures of organic compounds can be deposited with the [Cambridge Crystallographic Data Centre](#).

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Structures of chemical substances can be deposited with [PubChem Substance](#). Bioactivity screens of chemical substances can be deposited with [PubChem BioAssay](#).

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Please refer to standards proposed by the [Functional Genomics Data Society](#) and deposit your microarray data in MIAME-compliant format in one of the public repositories, for example, [ArrayExpress](#) or [Gene Expression Omnibus](#) (GEO). Deposition of high-throughput functional genomics sequencing data (such as RNA-Seq or CHIP-Seq data) with ArrayExpress or GEO in compliance with MINSEQE is also needed.

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