

**Incidence, Aetiology and Short Term Outcomes of Extreme  
Hyperbilirubinaemia, in Term infants born in the Western Health  
subdistrict of Cape Town, South Africa between 2019 and 2020**

**By**

**Carin Coraizin**

Student Number: CRZCAR002

Presented in fulfilment of the requirements for the degree of  
Master of Medicine (MMed): Paediatrics and Child Health  
Faculty of Medicine and Health Sciences  
University of Cape Town

**Primary supervisor Dr**

Yaseen Joolay

**February 2024**

The copyright of this thesis vests in the author. No quotation from it or information derived from it is to be published without full acknowledgement of the source. The thesis is to be used for private study or non-commercial research purposes only.

Published by the University of Cape Town (UCT) in terms of the non-exclusive license granted to UCT by the author.

## **Plagiarism Declaration**

“This thesis/dissertation has been submitted to the Turnitin module (or equivalent similarity and originality checking software) and I confirm that my supervisor has seen my report and any concerns revealed by such have been resolved with my supervisor.”

**Name: Carin Coraizin**

**Student number: CRZCAR002**

**Signature:**

Signed by candidate
---------------------

**Date: 15/2/2024**

## Table of Contents

Plagiarism Declaration .....	2
Declaration .....	4
Acknowledgements and contributions .....	5
Abbreviations .....	6
Thesis: submitted for publication paper format .....	7
Abstract .....	8
Introduction .....	9
Methods .....	10
Results .....	11
Discussion .....	15
Conclusion .....	17
References .....	18
Appendix A: Ethics approval letter.....	20
Appendix B: JTP Submission .....	21
Instructions to Authors (Journal of Tropical Paediatrics) .....	22

## Declaration

I, the undersigned, hereby declare that the work contained in this assignment is my original work and that I have not previously submitted it, in its entirety or in part, at any university for a degree.

I empower the university to reproduce for the purpose of research either the whole or any portion of the contents in any manner whatsoever.

Signed: C Coraizin

Date: 15/2/24

A handwritten signature in black ink, consisting of a vertical stroke on the left, a horizontal stroke extending to the right, and a small loop at the top of the vertical stroke.

### **Acknowledgements and contributions**

I would like to express my sincere gratitude to my supervisor, Dr Yaseen Joolay for his valuable guidance, commitment, and support throughout the research process. To my family, thank you for all the support, sacrifices and encouragement.

I would like to acknowledge further contributions to this thesis from Dr Heleen Vreede from the National Health Laboratory Service for assistance with data collection and Dr Cara Van Niekerk for assistance with data capturing.

**Abbreviations**

G6PD: Glucose-6-phosphate dehydrogenase

LMICs: Low and middle income countries

GA: Gestational age

NHLS: National Health Laboratory System

ECCR: Electronic continuity of care record

PIPP: Perinatal Problem Identification Program

MOU: Midwife obstetric unit

AAP: American Academy of Paediatrics

TCB: Transcutaneous bilirubin

## **Publication-ready manuscript**

The following manuscript has been submitted to The Journal of Tropical Pediatrics. The journals aims, scope and author guidelines are given in appendix B.

**Incidence, aetiology and short term outcomes of extreme hyperbilirubinaemia, in term infants born in the Western Health subdistrict of Cape Town, South Africa between 2019 and 2020.**

Full author details

Carin Coraizin, Paediatric Registrar, Department of Paediatrics and Child Health, Faculty of Medicine and Health Sciences, University of Cape Town, South Africa.

Email: [carincoraizin@gmail.com](mailto:carincoraizin@gmail.com)

ORCID ID: 0009-0004-1105-3682

Corresponding author

Yaseen Joolay, Consultant Neonatologist, Groote Schuur Hospital, Department of Paediatrics and Child Health, Faculty of Health Sciences, University of Cape Town, South Africa.

Email: [yaseen.joolay@uct.ac.za](mailto:yaseen.joolay@uct.ac.za)

ORCID ID: 0000-0002-0267-7053

## Abstract

**Introduction:** Extreme levels of bilirubin in the newborn is a major cause of lifelong neurodevelopmental impairment, which places a financial burden on healthcare resources and caregivers.

**Objective:** To determine the incidence, aetiology and short term outcomes of extreme hyperbilirubinaemia in term infants born in a resource-limited setting.

**Methods:** This is a retrospective observational study looking at term neonates with a birth weight  $\geq 2500$ g, born in the Western health subdistrict of Cape Town, South Africa, between 1 January 2019 and 31 December 2020, who were exposed to a serum bilirubin level of  $\geq 430$   $\mu\text{mol/L}$  in the first week of life and received care in the public health system.

**Results:** Extreme hyperbilirubinaemia occurred in 59 term infants. The incidence was 74 cases per 100 000 ( $<0.01\%$ ) live births equating to 1 case in every 1345 live births. The cause of hyperbilirubinaemia was identified in 51 of the cases (86%), the most common being ABO incompatibility (31/51, 61%), followed by glucose-6-phosphate dehydrogenase deficiency (11/51, 22%). Twelve infants (20 %) underwent an exchange transfusion. Six infants were encephalopathic. Forty-seven infants (80%) were readmitted after initial post-natal discharge, with a mean age of readmission of 113 hours old (SD 31 hours).

**Conclusion:** The incidence of extreme hyperbilirubinaemia in the Western health subdistrict of Cape Town is higher than in high-income settings. Further work should focus on training of healthcare workers and education of caregivers, for the early detection of significant hyperbilirubinaemia to prevent neurological complications caused by bilirubin toxicity.

## Introduction

Hyperbilirubinaemia in term infants is common, with up to 65% of newborn infants developing jaundice in the first week of life. This is a normal physiological response in the newborn's transition to extrauterine life. [1] Most cases of neonatal hyperbilirubinaemia do not have serious consequences, but it is known that toxic levels of bilirubin is a major cause of lifelong neurodevelopmental impairment. [2] Although there is no single threshold at which bilirubin becomes neurotoxic [3], Bhutani et al. and Olusanya et al. proposed definitions for the severity of hyperbilirubinaemia, which is presented in Table 1. [4, 5]

The commonest causes of neonatal hyperbilirubinaemia worldwide include haemolytic diseases like ABO incompatibility, glucose-6-phosphate dehydrogenase deficiency (G6PD) deficiency and rhesus disease, perinatal infections, sepsis and exclusive breastfeeding. The primary aim in the management of neonatal hyperbilirubinemia is to prevent bilirubin induced mortality and neurotoxicity. [4] Treatment modalities for hyperbilirubinaemia include phototherapy, intravenous immunoglobulin and exchange transfusion, with phototherapy being the first line choice of treatment.[6] Most infants who receive these treatments survive and recover from the acute phase of bilirubin encephalopathy but despite early recovery, these infants may go on to develop permanent neurological sequelae. [7]

Neonatal hyperbilirubinemia accounted for 1309 deaths per 100 000 live births in 2016 and ranked seventh globally among all causes of neonatal deaths in the first week of life. [4]. Evidence suggests that low- and middle-income countries (LMICs) have a greater burden of hyperbilirubinaemia when compared to high-income countries. In a systematic review the overall incidence of severe jaundice globally was reported as 99 cases per 100 000 (<0.1%) live births with the highest incidence of 6678 cases per 100 000 (6.7 %) live births reported in Africa and the lowest of 37 cases per 100 000 live births in Europe (<0.1%). [8] In South Africa, the incidence of severe hyperbilirubinaemia revealed a rate of 13.5% and a rate of exchange transfusion of 5% in a study done four decades ago.[9] In studies done in high-resourced countries like Denmark, Sweden and Australia the incidence of extreme hyperbilirubinaemia ranged from 9.4 to 50 per 100 000 live births. [2, 10, 11] There is a

paucity of information regarding extreme hyperbilirubinaemia, especially in LMICs, with no publications found in South Africa.

We conducted this study to determine the incidence of extreme neonatal hyperbilirubinemia in the Western health subdistrict of Cape Town, South Africa, as the largest burden of disease is in Africa and South-east Asia, but most of the literature on significant hyperbilirubinaemia emanates from high-income countries. [8] The information from this study will improve knowledge in LMICs, that would be valuable in strategies for risk reduction.

## **Methods**

This is a retrospective observational study looking at term neonates with a gestational age (GA) of  $\geq 38$  weeks and a birth weight  $\geq 2500$  g, born in the Western health subdistrict of Cape Town between 1 January 2019 and 31 December 2020, who were exposed to a total serum bilirubin (TSB) level of  $\geq 430$   $\mu\text{mol/L}$  in the first week of life. A bilirubin level of more than  $430$   $\mu\text{mol/L}$  was chosen to define extreme hyperbilirubinemia as this is the exchange transfusion threshold used for term infants at 120 hours in South Africa [6]. The primary objective of the study was to determine the incidence of infants with extreme hyperbilirubinaemia in the first week of life. Secondary outcomes were to describe the aetiology and short-term outcomes of these infants, specifically looking at mortality and morbidity, specifically looking at treatment received, complications and signs of encephalopathy. Ethical approval was obtained from the University of Cape Town Health Sciences Faculty Research Ethics Committee on 8 April 2021 and the approval number is 210/2021 and consent for the study was obtained from the Western Cape Department of Health. Cases were extracted from the National Health Laboratory System (NHLS) who were born in this period, who were  $< 8$  days old and had a blood TSB  $\geq 430$   $\mu\text{mol/L}$ , that was taken at public health facilities tending to newborns in the Western health subdistrict of Cape Town. NHLS is one central laboratory system used by public health facilities in South Africa and a total of 14 health facilities were included in this study from the Western health subdistrict. Medical records for cases identified were obtained in the form of the electronic continuity of care record (ECCR) system and where ECCR records did not provide the required information a physical folder review was done. The data was then collected and

entered into a confidential REDCap database. Data were summarized using descriptive statistics, specifically the mean, median, standard deviation, and interquartile range. Statistic tests were done using IBM SPSS Version 28.0.1.0. released in 2021, Armonk NY: IBM Corp. Continuous variables were analyzed using the Student's t-test and Mann Whitney U tests were used for skewed data. Chi square and Fisher's exact test was used for categorical data. Data on live births were obtained from the Perinatal Problem Identification Program (PPIP). A p-value of less than or equal to 0.05 was used to denote statistical significance.

## Results

One hundred thirty-seven tests were found with a value of  $\geq 430$   $\mu\text{mol/L}$  from a total of 81 patients over the two-year period. Of the 81 patients, 59 met the inclusion criteria for this study (Figure 1). During the period studied PPIP reported a total of 79321 live births of infants with a birthweight of more than 2500 g. The incidence of extreme hyperbilirubinaemia was calculated to be 74 cases per 100 000 live births ( $<0.1\%$ ) equating to 1 case in every 1345 live births.

The ethnic demographic for this region as per consensus data in 2022 is Mixed race (42 %), Black African (39%), White (16%), Indian/Asian (1%) and other (2%). A description of the patient demographic characteristics is given in Table 2. Thirty-three (56%) of the infants were male and 26 (44%) of the infants were female. Thirty (51%) of the infants were born at a midwife obstetric unit (MOU), 25 (42%) infants were born at a regional or district hospital, 3 infants (5%) were born at a tertiary level hospital and 1 infant (2 %) was born before arrival to a facility. The mean maternal age was 26 years (standard deviation [SD] 5.1), and 20 mothers (34%) were primiparous. Forty-three cases had a confirmed GA  $\geq 38$ , of the 43 the mean GA was 39. Sixteen cases were noted to be term, but the actual GA was not specified. The mean birth weight was 3339 g (SD 436 g). The median peak bilirubin level reported was 476  $\mu\text{mol/L}$  (IQR 449 - 530  $\mu\text{mol/L}$ ). The highest recorded bilirubin level was 761  $\mu\text{mol/L}$ .

Characteristics of infants with extreme hyperbilirubinemia identified before and after discharge from hospital is presented in Table 3. Extreme neonatal hyperbilirubinemia was

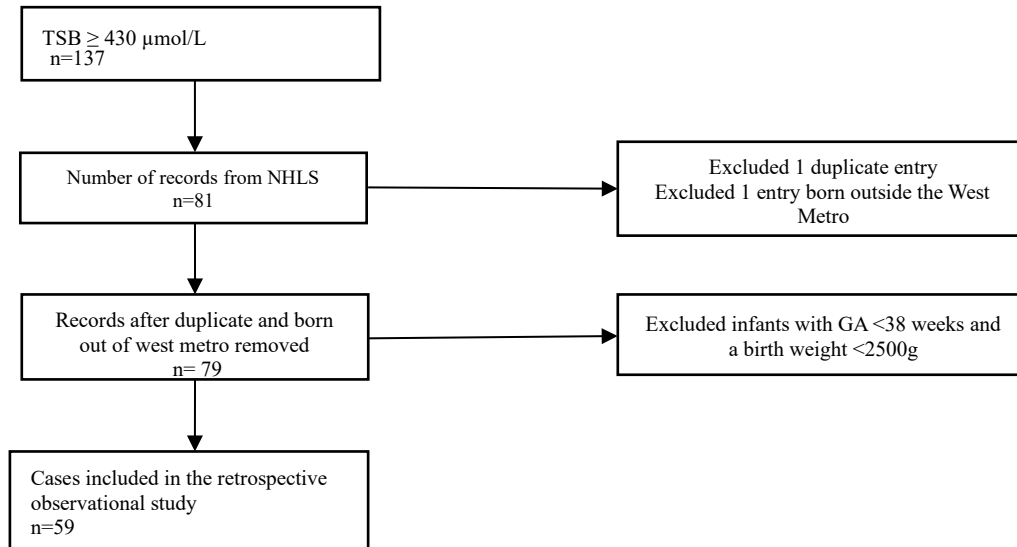
identified in 12 infants (20%) before they were discharged from hospital. The remaining 47 infants (80%) were readmitted with hyperbilirubinemia from home. The mean age of readmission was 113 hours old (SD 31 hours). Five infants (8 %) had lost more than 10% of their birth weight at the time of readmission. The mean weight at readmission was 3190 g (SD 443) and the median weight difference since birth and readmission was 70 g (IQR 0 – 225 g).

A cause for extreme hyperbilirubinemia was identified in 51 of the cases (86%). ABO incompatibility was the most common cause (61%), followed by G6PD deficiency (22%), rhesus isoimmunization (2%), sepsis (2%) and no causes were found in 8 of the cases (16%).

All 59 infants received phototherapy. Twelve infants (20%) underwent an exchange transfusion with 1 out of the 12 (2%) infants received a second exchange transfusion. The characteristics of infants who received exchange transfusions are presented in Table 4. No complications were reported for those who underwent an exchange transfusion. Six infants (10%) were treated with intravenous immune globulin, three of whom also received exchange transfusions. The mean bilirubin-induced neurologic dysfunction score was 5.8 (SD). At the time of presentation 6 infants (10%) had abnormal neurological signs and symptoms suggestive of encephalopathy such as poor feeding, high pitched cry, opisthotonos or seizures. Five (8%) out of the six who were encephalopathic received exchange transfusions. Causes were identified in 4 out of the six infants. Five (8%) out of the six infants were readmitted to hospital.

All 59 infants survived to be discharged home. The median age of discharge was 8 days old (IQR 7 - 10). The 6 infants who were encephalopathic had a median age of discharge of 11 days (IQR 9 - 13) versus a median of 8 days (IQR 7 - 10) who were not encephalopathic ( $p = 0.018$ ).

**Figure 1. Flow chart of the study selection**



**Table 1: Severity of Hyperbilirubinaemia**

Severity of Hyperbilirubinaemia	Bilirubin Value
Significant	291 - 341 µmol/L
Severe	342 - 426 µmol/L
Extreme	427- 513 µmol/L
Hazardous	≥513 µmol/L

**Table 2: Demographic characteristics**

Characteristic Infant	No. (%) of infants n=59
Sex, male	33 (55.9)
Birth weight, g, mean (SD)	3339 (436)
Readmission	47 (80)
Peak total bilirubin, µmol/L, median (IQR)	476 (449-530)
Readmission age, hours, mean (SD)	113 (31)
Weight loss of > 10 %	5 (8.5)

<b>Maternal</b>	
Maternal age, years, mean (SD)	26 (5)
Primigravida	20 (34 %)
<b>Place of birth</b>	
MOU	30 (51%)
Regional or district hospital	25 (42%)
Tertiary Hospital	3 (5%)
Home	1 (2%)

**Table 3: Characteristics of infants with extreme hyperbilirubinemia identified before and after discharge from hospital**

<b>Characteristic</b>	<b>Identified before discharge n=12</b>	<b>Readmitted to hospital n=47</b>	<b>p value</b>
Birth weight, g, mean (SD)	3468 (322)	3306 (458)	0.253
Sex, male, no (%)	6 (50%)	27 (57%)	0.643
Peak total bilirubin level, median (IQR)	470 (446-528)	476 (452-530)	0.504
Causes of jaundice, no. (%)			0.183
ABO incompatibility	9 (75%)	22 (46,8%)	
BF jaundice	0	7 (15%)	
G6PD def	1 (8%)	10 (21%)	
Sepsis	0	1 (2%)	
Rh iso immunisation	1 (8%)	0	
Unknown	1 (8%)	7 (15%)	
Haemolytic cause no. (%)	11 (91%)	32 (68%)	0.151

Exchange transfusion no. (%)	4 (33%)	8 (17%)	0.240
Intravenous immune globulin no. (%)	2 (17%)	4 (9%)	0.591
Encephalopathy no. (%)	1 (8%)	5 (11%)	1.000
Age at discharge, days, median (IQR)	7 (6-9)	8 (7-10)	0.018

**p-value ≤ 0.05 is statistically significant**

**Table 4: Characteristics of infants who received exchange transfusion**

Characteristic	Exchange transfusion N= 12	No exchange transfusion N= 47	p-value
Sex, male	6 (50%)	27 (58%)	0.643
Birth weight, g, mean (SD)	3255 (389)	3360 (449)	0.460
Peak total bilirubin level, median (IQR)	536 (474-605)	472 (445-507)	<b>0.015</b>
Readmission	8 (67%)	39 (83%)	0.240
Readmission age, hours, mean (SD)	107 (29)	113 (32)	0.666
Haemolytic cause	9 (75 %)	27 (58.7)	0.530
Encephalopathy	5 (42%)	1 (2%)	<b>0.001</b>
Intravenous immune globulin	3 (25%)	3 (6%)	0.092
Age at discharge, days, median (IQR)	8 (7-10)	8 (7-10)	0.723

**p-value ≤ 0.05 is statistically significant**

## Discussion

This study highlights that extreme hyperbilirubinaemia is an important neonatal condition in South Africa. In the two-year study period, the incidence of extreme hyperbilirubinaemia in the Western health subdistrict of Cape Town was 74 cases per 100 000 live births (<0.1%). Authors are currently not aware of any studies that document the incidence of extreme hyperbilirubinaemia in sub-Saharan Africa. Twenty-two of the infants had hazardous hyperbilirubinaemia (TSB  $\geq$ 513  $\mu$ mol/L), which calculates to an incidence of 24 in 100 000 (<0.05%). The incidence in the Western health subdistrict of Cape Town, South Africa is 74 cases per 100 000 live births (<0.1%), which is statistically significantly high in comparison to high-income countries, where it ranges from 9.4 to 50 per 100 000 live births (0.01-0.05%)

[12-14]. Our higher rates can be attributed to a combination of factors such as resource constraints, delays in treatment due to lack of recognition, knowledge and management of hyperbilirubinaemia by both healthcare workers and caregivers, as well as risk factors such as ABO incompatibility, G6PD deficiency and septicaemia. Twelve infants (20%) underwent an exchange transfusion over the two-year study period and 6 infants were noted to be encephalopathic. Our current exchange transfusion protocol is to exchange infants who are above the exchange transfusion threshold and who are not responding adequately to intensive phototherapy or who have signs of encephalopathy. Although our study did not aim to assess the long-term neurologic disease a further study looking at these patients would be helpful. The limitations of our study were that our study only reflects data from the public sector of the Western health subdistrict and did not include data from the private sector, and that a weight  $\geq 2500$  g was used as a proxy for term babies.

In South Africa, healthy newborns are discharged with their mothers within 24 hours after an uncomplicated vaginal delivery and within 48hrs following an uncomplicated Caesarean section delivery. At discharge, mothers are advised to bring their newborns for a follow-up evaluation at their local clinic within one week. [15] In this study the majority of infants (n=47, 80 %) were readmitted from home. The mean age of readmission was 113 hours (<5 days old). Although not statistically significant, there were higher exchange transfusion rates in those readmitted, compared to those identified in hospital as well as higher rates of encephalopathy. Infants 72 hours and older have a higher chance of being diagnosed with neonatal jaundice, which is in accordance with the natural progression of physiological jaundice that peaks between days 3 and 5. [15] In our setting follow up at 48-72 hours is often a challenge for parents, due to distance from hospital and finances. As most of the patients were readmitted, it raises the concern that there is under-recognition of infants with jaundice or at risk of developing jaundice and highlights the importance of identifying at-risk newborns before they are discharged home as well as arranging earlier follow-up at the local clinic for those at risk.

It has been shown that visual inspection of jaundice is not accurate in predicting the presence or severity of hyperbilirubinaemia.[17] Okuwundu et al. have demonstrated that measuring the transcutaneous bilirubin (TCB) level on all infants before discharge from hospital is helpful in predicting which infants will experience severe hyperbilirubinaemia and may

reduce hyperbilirubinaemia-related morbidity and mortality.[18] The latest American Academy of Pediatrics (AAP) guidelines also recommends that all infants should have at least one TCB or TSB measured prior to discharge.[16] We recommend implementing TCB screening on all infants during the hospital stay and subsequent clinic visit in our own system. We recommend that other similar hospital systems make these changes if they are experiencing similarly high incidence of extreme hyperbilirubinaemia and exchange transfusions but recognize that universal TCB screening is a challenge in our resource limited setting as many public health facilities in South Africa don't have access to TCB devices and rely on blood draws which can have delays in getting results and starting treatment. TCB devices are utilized in larger regional and tertiary hospitals in this region and at the time of the study was not commonly available at primary healthcare level. The devices are costly, between \$5000 and \$8000 per device however, device breakdown, global supply chain delay and lack of consumable items all contribute to the limited use of TCB devices in the community.

ABO incompatibility and G6PD deficiency were the leading causes for extreme hyperbilirubinaemia in this study, which is in keeping with aetiology found in other studies. [2, 10, 14]. For all babies, it is important to know the mother's blood group and to screen for jaundice, especially if they are born to mothers with type O blood. This will help in identifying infants at risk for developing hyperbilirubinaemia and who will need sooner follow-up and repeat screening. G6PD deficiency is recognized as one of the important causes of hyperbilirubinemia leading to kernicterus and it is a condition that is prevalent in sub-Saharan Africa.[14] Currently, G6PD screening in South Africa is not routinely performed. Although, the World Health Organization recommends G6PD screening among populations where 3-5% of males are affected, the AAP only recommends testing in jaundiced newborns receiving phototherapy with family history, ethnicity or a geographic origin suggestive of G6PD deficiency. [16]

Emphasis should be made on educating both families and healthcare workers on neonatal jaundice and other signs of hyperbilirubinaemia to ensure early identification and prompt treatment of hyperbilirubinaemia. Mothers should be educated on neonatal jaundice in the antenatal period and counselled on the benefits of early detection, timely follow-up and

appropriate treatment and educated on the possible consequences of hyperbilirubinaemia. This is an important aspect of the primary prevention of significant hyperbilirubinaemia.

## **Conclusion**

The incidence of extreme hyperbilirubinaemia in the Western health subdistrict of Cape Town, South Africa is higher than in high-income settings. Most infants were readmitted soon after discharge from hospital. Further work should focus on immediate advocacy throughout the region for adequate training of healthcare workers for early detection of significant hyperbilirubinaemia and identifying at-risk newborns before they are discharged to prevent neurological complications caused by bilirubin toxicity. Further immediate research is also needed to see if this problem is prevalent in other regions of South Africa or the African continent as well.

## References

1. Jangaard K.A, Fell D.B, Dodds L, et al., *Outcomes in a population of healthy term and near-term infants with serum bilirubin levels of  $\geq 325$  micromol/L ( $\geq 19$  mg/dL) who were born in Nova Scotia, Canada, between 1994 and 2000*. Pediatrics, 2008. 122(1):119-24.
2. Alken J, Hakansson S, Ekeus C, et al., *Rates of Extreme Neonatal Hyperbilirubinemia and Kernicterus in Children and Adherence to National Guidelines for Screening, Diagnosis, and Treatment in Sweden*. JAMA Netw Open, 2019. 2(3): e190858.
3. Watchko J.F. and Tiribelli C, *Bilirubin-induced neurologic damage-mechanisms and management approaches*. N Engl J Med, 2013. 369(21):2021-30.
4. Olusanya B.O, Kaplan M, and Hansen T.W.R, *Neonatal hyperbilirubinaemia: a global perspective*. Lancet Child Adolesc Health, 2018. 2(8): 610-620.
5. Johnson L and Bhutani V.K, *The clinical syndrome of bilirubin-induced neurologic dysfunction*. Semin Perinatol, 2011. 35(3): 101-13.
6. Horn A.R, Kirsten G.F, Kroon S.M, et al., *Phototherapy and exchange transfusion for neonatal hyperbilirubinaemia: neonatal academic hospitals' consensus guidelines for South African hospitals and primary care facilities*. S Afr Med J, 2006. 96(9): 819-24.
7. Sgro M, Campbell D.G, Kandasmy S, et al., *Incidence of chronic bilirubin encephalopathy in Canada, 2007-2008*. Pediatrics, 2012. 130(4): e886-90.
8. Slusher T.M, Zamora T.G, Appiah D, et al., *Burden of severe neonatal jaundice: a systematic review and meta-analysis*. BMJ Paediatr Open, 2017. 1(1): e000105.
9. Vos G.H, Adhikari M, and Coovadia H.M, *A study of ABO incompatibility and neonatal jaundice in Black South African newborn infants*. Transfusion, 1981. 21(6): 744-9.
10. Donneborg M.L, Hansen B.M, Vandborg P.K, et al., *Extreme neonatal hyperbilirubinemia and kernicterus spectrum disorder in Denmark during the years 2000-2015*. J Perinatol, 2020. 40(2): 194-202.

11. McGillivray A, Polverino J, Badawi N, et al., *Prospective Surveillance of Extreme Neonatal Hyperbilirubinemia in Australia*. J Pediatr, 2016. 168:82-87 e3.
12. Bjerre J.V, Petersen J.R, and Ebbesen F, *Surveillance of extreme hyperbilirubinaemia in Denmark. A method to identify the newborn infants*. Acta Paediatr, 2008. 97(8): 1030-4.
13. Gotink M.J, Benders M.J, Lavrijsen S.W, et al., *Severe neonatal hyperbilirubinemia in the Netherlands*. Neonatology, 2013. 104(2): 137-42.
14. Kuzniewicz M.W, Wickremasinghe A.C, Wu Y.W, et al., *Incidence, etiology, and outcomes of hazardous hyperbilirubinemia in newborns*. Pediatrics, 2014. 134(3): 504-9.
15. The National Maternity Guidelines Committee. Guidelines for maternity care in South Africa. 4<sup>th</sup> ed. Republic of South Africa: National Department of Health; 2015.
16. Kemper A.R, Newman T.B, Slaughter J.L, et al., *Clinical Practice Guideline Revision: Management of Hyperbilirubinemia in the Newborn Infant 35 or More Weeks of Gestation*. Pediatrics, 2022. 150(3).
17. Bhutani V.K. and Johnson L.H, *Jaundice technologies: prediction of hyperbilirubinemia in term and near-term newborns*. J Perinatol, 2001. 21 Suppl 1: S76-82; discussion S83-7.
18. Okwundu C, Bhutani V.K, Smith J, et al., *Predischarge transcutaneous bilirubin screening reduces readmission rate for hyperbilirubinaemia in diverse South African newborns: A randomised controlled trial*. S Afr Med J, 2020. 110(3):249-254.

## Appendix A: Ethics Approval



**UNIVERSITY OF CAPE TOWN**  
**Faculty of Health Sciences**  
**Human Research Ethics Committee**



Room G50- Old Main Building  
Groote Schuur Hospital  
Observatory 7925  
Telephone [021] 406 6492  
Email: [hrec-submissions@uct.ac.za](mailto:hrec-submissions@uct.ac.za)  
Website: [www.health.uct.ac.za/fhs/research/humanethics/forms](http://www.health.uct.ac.za/fhs/research/humanethics/forms)

08 April 2021

**HREC REF: 210/2021**

**Dr Y Joolay**  
Division of Neonatology  
Red Cross Children's Hospital  
Email: [yaseen.joolay@uct.ac.za](mailto:yaseen.joolay@uct.ac.za)  
Student: [carincoraizin@gmail.com](mailto:carincoraizin@gmail.com)

Dear Dr Joolay

**PROJECT TITLE: INCIDENCE, AETIOLOGY AND SHORT-TERM OUTCOMES OF SEVERE HYPERBILIRUBINEMIA IN TERM INFANTS BORN IN THE WEST METRO AREA OF CAPE TOWN-MMED CANDIDATE-DR CARIN CORAIZIN.**

Thank you for submitting your study to the Faculty of Health Sciences Human Research Ethics Committee (HREC) for review.

It is a pleasure to inform you that the HREC has **formally approved** the above-mentioned study.

**This approval is subject to strict adherence to the HREC recommendations regarding research involving human participants during COVID -19, dated 17 March 2020 & 06 July 2020.**

**Approval is granted for one year until the 30 April 2022.**

Please submit a progress form, using the standardised Annual Report Form if the study continues beyond the approval period. Please submit a Standard Closure form if the study is completed within the approval period.

(Forms can be found on our website: [www.health.uct.ac.za/fhs/research/humanethics/forms](http://www.health.uct.ac.za/fhs/research/humanethics/forms))

***The HREC acknowledge that the student: Dr Carin Coraizin will also be involved in this study.***

**Please quote the HREC REF 210/2021 in all your correspondence.**

Please note that the ongoing ethical conduct of the study remains the responsibility of the principal investigator.

Please note that for all studies approved by the HREC, the principal investigator **must** obtain appropriate institutional approval, where necessary, before the research may occur.

Yours sincerely

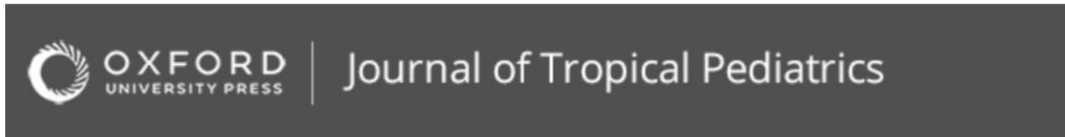
**PROFESSOR M BLOCKMAN**  
**CHAIRPERSON, FACULTY OF HEALTH SCIENCES HUMAN RESEARCH ETHICS COMMITTEE**

HREC/REF 210/2021sa

## Appendix B: Proof of submission to Journal of Tropical Paediatrics

Manuscript ID: JTP-2023-368-0P

Manuscripts submitted to Journal of Tropical Pediatrics



### **Incidence, Aetiology and Short Term Outcomes of Extreme Hyperbilirubinaemia in term infants in the West health subdistrict of Cape Town, South Africa between 2019 and 2020**

Journal:	<i>Journal of Tropical Pediatrics</i>
Manuscript ID	JTP-2023-368-OP.R1
Manuscript Type:	Original Paper
Date Submitted by the Author:	02-Mar-2024
Complete List of Authors:	Coraizin, Carin; University of Cape Town, Paediatrics and Child Health Vreede, Helena; University of Cape Town Faculty of Health Sciences, Department of Chemical Pathology Van Niekerk, Cara; University of Cape Town Department of Paediatrics and Child Health, Department of Paediatrics and Child Health Joolay, Yaseen; University of Cape Town Department of Paediatrics and Child Health, Neonatal Medicine, Department of Paediatrics
Keywords:	Hyperbilirubinaemia, Newborns, Extreme hyperbilirubinaemia, Low and middle income countries

SCHOLARONE™  
Manuscripts

# Information for Authors

## Authorship criteria

---

As the submitting author and will be asked to warrant on behalf of yourself and all your co-authors that:

- The manuscript submitted represents original work, without falsification or fraudulent information; and any information from other individuals is properly cited and referenced.
- All sources of funding for the research in the submitted manuscript have been disclosed.
- All authors meet the authorship criteria described by the International Committee of Medical Journal Editors (ICMJE) at <http://www.icmje.org/recommendations/browse/roles-and-responsibilities/defining-the-role-of-authors-and-contributors.html> and excerpted here:

The ICMJE recommends that authorship be based on the following four criteria:

- Substantial contributions to the conception or design of the work; or the acquisition, analysis, or interpretation of data for the work; AND
- Drafting the work or revising it critically for important intellectual content; AND
- Final approval of the version to be published; AND
- Agreement to be accountable for all aspects of the work in ensuring that questions related to the accuracy or integrity of any part of the work are appropriately investigated and resolved.

In addition to being accountable for the parts of the work he or she has done, an author should be able to identify which co-authors are responsible for specific other parts of the work. In addition, authors should have confidence in the integrity of the contributions of their co-authors. The journal will publish the contributions of each person named as an author with the online article. Therefore, please supply this information as an accompaniment to your submitted manuscript.

All those designated as authors should meet all four criteria for authorship, and all who meet the four criteria should be identified as authors. Those who do not meet all four criteria should be acknowledged—see ICMJE website for more details. These authorship criteria are intended to reserve the status of authorship for those who deserve credit and can take responsibility for the work. The criteria are not intended for use as a means to disqualify colleagues from authorship who otherwise meet authorship criteria by denying them the opportunity to meet criterion #s 2 or 3. Therefore, all individuals who meet the first criterion should have the opportunity to participate in the review, drafting, and final approval of the manuscript.

[Acceptable authorship criteria](#). International standards for authors, have been created at the [World Conference on Research Integrity](#) and [the international standards for authors](#).

## Submission of manuscripts

---

*Manuscripts must be submitted online. Once you have prepared your manuscript according to the instructions below please visit the [online submission website](#). [Instructions on submitting your manuscript online](#).*

Manuscripts sent to any other address will not be considered for publication.

All submitted papers are seen by at least two referees who give an opinion about acceptability on the basis of originality, scientific reliability of the study, analyses of the data and interpretation of the results. Statistical opinion is sought on potentially acceptable papers with regard to design and conduct of the study as well as statistical inferences. Manuscripts and letters will be acknowledged.

Submission of a paper implies that it reports unpublished work and that it is not under consideration for publication elsewhere. If previously published tables, illustrations, or more than 200 words of text are to be included, then the copyright holder's written permission must be obtained. Copies of any such permission letters should be enclosed with the paper.

The JTP only accepts manuscripts that are properly vetted by an Institutional Review Board (IRB) and/or Ethics Review Board of the author's or authors' academic institution(s) or collaborating research site(s). Please indicate in the Methods section of your manuscript the vetting process that your manuscript has undergone and any informed consent that was obtained, including a research protocol number or date that approval was obtained.

Case reports will only be considered if informed consent has been obtained from the patient to share the details of their condition. Please also note that JTP

will only consider case reports that are a discussion of a case series of two or more patients with a tropical disease and a thorough literature review of existing publications on that condition.

## Proofs

---

Authors are sent PDF proofs by email which should be checked immediately for typographical errors and returned to the journal mailbox via email.

## Copyright

---

It is a condition of publication in the Journal that authors grant an exclusive licence to Oxford University Press. This ensures that requests from third parties to reproduce articles are handled efficiently and consistently and will allow the article to be as widely disseminated as possible. In issuing a licence to publish, authors may use their own material in other publications provided that the Journal is acknowledged as the original place of publication.

Upon receipt of accepted manuscripts at Oxford Journals authors will be invited to complete an online copyright licence to publish form.

Please note that by submitting an article for publication you confirm that you are the corresponding/submitting author and that Oxford University Press ("OUP") may retain your email address for the purpose of communicating with you about the article. You agree to notify OUP immediately if your details change. If your article is accepted for publication OUP will contact you using the email address you have used in the registration process. Please note that OUP does not retain copies of rejected articles.

## Open Access

---

*Journal of Tropical Pediatrics* offers the option of publishing under either a standard licence or an open access licence. Please note that some funders require open access publication as a condition of funding. If you are unsure whether you are required to publish open access, please do clarify any such requirements with your funder or institution.

Should you wish to publish your article open access, you should select your choice of open access licence in our online system after your article has been accepted for publication. You will need to pay an open access charge to publish under an open access licence.

[Details of the open access licences and open access charges.](#)

OUP has a growing number of Read and Publish agreements with institutions and consortia which provide funding for open access publishing. This means authors from participating institutions can publish open access, and the institution may pay the charge. [Find out if your institution is participating.](#)

## Preparation of manuscripts

---

JTP accepts the following manuscript types:

- Original paper - up to 3000 words: full-length original research articles
- Brief report - up to 1000 words: shorter-form original research articles
- Case report - up to 1000 words: a case series with a thorough literature review
- Research Letter - up to 500 words: short summaries of original research
- Clinical Review - up to 3000 words: full-length review articles
- Book review - up to 500 words
- Editorial - up to 1000 words: topical opinion pieces, often by invitation
- News from the Regions - up to 1000 words: commentaries on developments in regional research, policies, or practice
- Correspondence - up to 500 words: for short responses to one or more recent contributions to the journal or author replies to prior correspondence

Submissions should be divided into the following sequence of sections, and each section should begin on a new page:

- Title page
- Summary
- Text
- Acknowledgements
- References
- Legends to figures (as applicable)
- Tables (as applicable)

Number each page at the top right corner consecutively, beginning with the title page. Please avoid footnotes; use instead, parentheses within brackets. Underline only words which should appear in italic. Clearly identify unusual or handwritten symbols and Greek letters. Differentiate between the letter O and

zero, and the letters I and l and number 1. Mark the position of each figure and table in the margin. SI units should be used for scientific measurements.

## References

Number references consecutively in the order in which they are cited in the text. Published articles and those in press (state the journal which has accepted them) may be included. References should include (in the following order) author's names, editors (books only) paper title in full, journal/book title, name and address of publisher (books only), year, volume number and inclusive page numbers. Personal communication should be authorized by those involved, in writing, and unpublished data should be cited as (unpublished data). Papers in preparation or submitted for publication should not be in the reference list. They should be cited in the text as follows: H. G. Jones, unpublished results/submitted for publication/in preparation (as appropriate).

Style in the reference section should be as follows:

1. Kennedy T, Jones R. Effect of obesity on esophageal transit. *Am J Surg* 1985;149:177–81.
2. Long HC, Blatt MA, Higgins MC et al.. *Medical Decision Making*. Boston: Butterworth-Heinemann, 1997. [Full text - International Conference on Natural Orifice Transluminal Endoscopic Surgery](#)
3. Manners T, Jones R, Riley M. Relationship of overweight to hiatus hernia and reflux oesophagitis. In: Newman W (ed). *The Obesity Conundrum*. Amsterdam: Elsevier Science, 1997,352–74.
4. Hou Y, Qiu Y, Vo NH et al. 23-O derivatives of OMT: highly active against *H. influenzae*. In: *Programs and Abstracts of the Forty-third Interscience Conference on Antimicrobial Agents and Chemotherapy*, Chicago, IL, 2003. Abstract F-1187, p.242. American Society for Microbiology, Washington, DC, USA.
5. Public Health Laboratory Service. Antimicrobial Resistance in 2000: England and Wales. [http://www.hpa.org.uk/infections/topics\\_az/antimicrobial\\_resistance/amr.pdf](http://www.hpa.org.uk/infections/topics_az/antimicrobial_resistance/amr.pdf) (7 January 2004, date last accessed).

## Tables

Tables should be typed on separate sheets, and numbered consecutively. Tables should be self-explanatory and include a brief descriptive title. Footnotes to tables indicated by lower case letters are acceptable, but they should not include extensive experimental detail. Cite each table in the text in consecutive order.

## Illustrations

All illustrations must be cited in the text in consecutive order. Each figure should be labelled clearly with the figure number. Also indicate clearly the top margin of the figure. Figures should be submitted in the desired final size so that reduction can be avoided. The type area of a page is 206 (height) mm x 150 mm (width); a single column is 71 mm (width). Figures must be at a minimum resolution of 600 d.p.i. for line drawings (black and white) and 300 d.p.i. for colour or greyscale.

*Photographs* – Photographs should be of sufficiently high quality with respect to detail, contrast, and fineness of grain to withstand the inevitable loss of contrast and detail inherent in the printing process. Indicate the magnification by a rule on the photographs.

*Line drawings* – These should be clear, sharp prints, suitable for reproduction as submitted. Ensure that the size of lettering is in proportion with the overall dimensions of the figure.

*Figure legends* – These should be added at the bottom of the manuscript in numbered order. Define all symbols and abbreviations used in the figure.

## Lay summary

Authors of all article types are encouraged to submit a lay summary as part of the article, in addition to the main text abstract. The lay summary should clearly summarize the focus and findings of the article for non-expert readers, and will be published as part of the article online and in PDF. The lay summary should be submitted for peer review as part of the main manuscript file, under the heading 'Lay summary', before the article's main text. The lay summary should be no longer than 200 words. As with a main abstract, avoid citations and define any abbreviations.

## Funding

Details of all funding sources for the work in question should be given in a separate section entitled 'Funding'. This should appear before the 'Acknowledgements' section.

The following rules should be followed:

- The sentence should begin: 'This work was supported by ...'
- The full official funding agency name should be given, i.e. 'National Institutes of Health', not 'NIH' ([full RIN-approved list of UK funding agencies](#)) Grant numbers should be given in brackets as follows: '[grant number xxxx]'

- Multiple grant numbers should be separated by a comma as follows: '[grant numbers xxxx, yyyy]'
- Agencies should be separated by a semi-colon (plus 'and' before the last funding agency)
- Where individuals need to be specified for certain sources of funding the following text should be added after the relevant agency or grant number 'to [author initials]'.

An example is given here: 'This work was supported by the National Institutes of Health [AA123456 to C.S., BB765432 to M.H.]; and the Alcohol & Education Research Council [hfygr667789].

Oxford Journals will deposit all NIH-funded articles in PubMed Central. See [Depositing articles in repositories – information for authors](#) for details. Authors must ensure that manuscripts are clearly indicated as NIH-funded using the guidelines above.

### **Crossref funding data registry**

In order to meet your funding requirements authors are required to name their funding sources, or state if there are none, during the submission process. [Information on the CHORUS initiative.](#)

### **Language editing**

Language editing, if your first language is not English, to ensure that the academic content of your paper is fully understood by journal editors and reviewers, is optional. Language editing does not guarantee that your manuscript will be accepted for publication. Information on the [language editing service](#). Several specialist language editing companies offer similar services and you can also use any of these. Authors are liable for all costs associated with such services.

## **Submission appeals/Editorial concerns**

---

The mechanism to appeal editorial decisions and/or express concerns about the editorial process is to write directly to the editorial office at [tropelj.editorialoffice@oup.com](mailto:tropelj.editorialoffice@oup.com)

## **Research misconduct**

---

The journal runs the iThenticate plagiarism detection software program on all manuscripts sent for peer-review and will reject any submissions found to have any similarities between previously published pieces. The publication handles allegations of research misconduct in accordance with the Committee on [Publication Ethics \(COPE\) flowcharts](#).

## Author Self-Archiving Policy

---

For information about this journal's policy, please visit our [Author SelfArchiving policy page](#).

## Data

---

### Availability of Data and Materials

Where ethically feasible, *Journal of Tropical Pediatrics* strongly encourages authors to make all data and software code on which the conclusions of the paper rely available to readers. We suggest that data be presented in the main manuscript or additional supporting files, or deposited in a public repository whenever possible. [Information on general repositories for all data types, and a list of recommended repositories by subject area](#).

### Data and Software Citation

The *Journal of Tropical Pediatrics* supports the [Force 11 Data Citation Principles](#) and requires that all publicly available datasets be fully referenced in the reference list with an accession number or unique identifier such as a digital object identifier (DOI). Data citations should include the minimum information recommended by [DataCite](#):

- [dataset]\* Authors, Year, Title, Publisher (repository or archive name), Identifier

\*The inclusion of the [dataset] tag at the beginning of the citation helps us to correctly identify and tag the citation. This tag will be removed from the citation published in the reference list.

Software citations should include the minimum information recommended by the [FORCE11 Software Citation Implementation Group](#):

- Author/Developer, Release date, Title, Publisher (repository or archive name), Identifier

If there is an article describing the software, it is recommended to cite both the software and the article.

## ORCID

---

*Journal of Tropical Pediatrics* requires submitting authors to provide an ORCID iD at submission to the journal. More information on [ORCID and the benefits of using an ORCID iD](#) is available. If you do not already have an ORCID iD, you can register for free via the [ORCID website](#).

## Preprint Policy

---

Authors retain the right to make an Author's Original Version (preprint) available through various channels, and this does not prevent submission to the journal. For further information see our [Online Licensing, Copyright and Permissions policies](#). If accepted, the authors are required to update the status of any preprint, including your published paper's DOI, as described on our [Author Self-Archiving policy page](#).