

**Association between infant feeding practices and infant growth by maternal HIV and antiretroviral therapy status: A prospective study in Cape Town, South Africa**

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# **PREAMBLE**

## Declaration

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## **Abstract**

### **Background**

Infants who are HIV-Exposed Uninfected (HEU) compared to HIV Unexposed Uninfected HUU may experience adverse growth outcomes. Breastfeeding (BF) provides infants with nutrients to grow optimally irrespective of maternal HIV status and initiation and duration of BF may be related to infant growth. In a cohort of mother-infant pairs enrolled from the same community in Cape Town, South Africa (SA), growth parameters were compared between infants who were HEU and HUU from birth up to 12 months of age investigating whether these were associated with types of infant feeding practices (BF and complementary feeding) and Household Food Security Status (HFSS) under the current era of universal Antiretroviral therapy (ART) in pregnancy policies in SA.

### **Methods**

Pregnant women who attended antenatal care (ANC) at the Gugulethu Midwife Obstetric Unit (MOU) were included in this secondary analysis of data from a larger cohort study. Maternal demographic data were collected at enrolment. Data on infant feeding, food security and infant anthropometry were collected at postpartum visits: at seven days, 10 weeks, six months and 12 months. To convert infant weight and length at birth to weight-for-age z-scores (WAZ) and length-for-age z-scores (LAZ), Intergrowth-21<sup>st</sup> software was used, while the World Health Organization (WHO) Anthro survey analyzer tool was used to obtain these and weight-for-length z-scores (WLZ) at 10 weeks, six months and 12 months. Linear mixed effects (LME) models were fit to compare WAZ, LAZ and WLZ between infants who were HEU and HUU. Malnutrition including stunting, underweight, wasting and overweight were compared between the two groups at each timepoint from birth up to 12 months of age.

### **Results**

Overall, 796 mother-infant pairs were included, 400 (50%) were HUU and 396 (50%) were HEU of whom 210 (53%) were exposed to both HIV and ART at conception while 186 (47%) were exposed to ART after conception but during gestation. A high proportion of all infants had ever breastfed in both

groups, however this was lower for infants who were HEU compared to HUU (90% vs 93%;  $p = 0.118$ ) with continued BF at 10 weeks (67% vs 85%;  $p < 0.001$ ), six months (41% vs 64%;  $p < 0.001$ ), and 12 months (23% vs 46%;  $p < 0.001$ ). Infants who were HEU vs HUU had a significantly shorter median duration of BF [73 days; IQR 12-222 vs 209 days; IQR 72-365 ( $p < 0.001$ )]. Complementary feeding practices were similar in infants who were HEU compared to HUU: 50% vs 55% ( $p = 0.41$ ) were introduced to solid, semi-solid or soft foods by six months old; around one-third to sweet beverages 33% vs 35% ( $p = 0.80$ ); and 9% vs 9% ( $p = 0.92$ ) consumed fruits and/or vegetables between 6 to 12 months of age.

For LME models, WAZ on average were lower for infants HEU than those who were HUU [ $\beta = -0.147$ ; 95% CI: -0.327, 0.033;  $p = 0.11$ ] keeping age at visit, maternal age, duration of BF, HFSS, employment and marital status constant. On average, LAZ were lower for infants who were HEU than HUU [ $\beta = -0.146$ ; 95% CI: -0.339, 0.471;  $p = 0.14$ ] keeping the same variables constant. Being married/cohabiting compared to not married/not cohabiting was associated with a significantly higher average WAZ and LAZ holding all other variables constant. This association was significant across the BF variables; duration of BF, any BF and exclusive breastfeeding (EBF). Being food secure compared to food insecure was associated with a significantly higher only for average WLZ but across all BF variables. By 12 months of age, 19% of all infants had high proportions of overweight.

## **Discussion**

Infants who were HEU had consistently lower WAZ and LAZ compared to those who were HUU across all LME models. The findings suggest that being married/cohabiting compared to not married/not cohabiting and being food secure compared to food insecure across all BF categories may have a greater impact on infant growth outcomes than being in the population of infants who were HEU. The quality of complementary feeding was poor in both groups, high proportions of sweetened beverages and a low proportion of fruit and/or vegetables at six months. This is coupled with the observation of approximately 20% of infants being overweight by 12 months old.

## **Conclusion**

To ensure optimal growth among infants, public health interventions need to be aimed at strengthening infant feeding practices among infants who are HEU compared to HUU in terms of initiation and duration of BF and improving introduction to complementary feeding practices for all infants. Consistent with previous studies WAZ remained lower up to 12 months old in infants who were HEU, likely associated with socio-economic factors (food insecurity and single parenthood).

**Keywords:** HIV Exposed Uninfected, HEU, infant growth, feeding practices, weight-for-age, length-for-age, South Africa

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## List of abbreviations

AFASS	Acceptable, Feasible, Affordable, Sustainable and Safe
ANC	Antenatal Care
ART	Antiretroviral Therapy
AUDIT	Alcohol Use Disorders Identification Test
$\beta$	Beta
BF	Breastfeeding
CI	Confidence Interval
DNA	Deoxyribonucleic
EBF	Exclusive Breastfeeding
GA	Gestational age
HEU	HIV-Exposed Uninfected
HFSS	Household Food Security Status
HIV	Human Immunodeficiency Virus
HREC	Human Research Ethics Committee
HUU	HIV-Unexposed Uninfected
IQR	Inter-quartile range
IYCF	Infant and Young Child Feeding
LAZ	Length-for-age z-score
LBW	Low Birth Weight
LME	Linear-mixed effects
MOU	Midwife and Obstetric Unit

NDoH	National Department of Health
PCR	Polymerase chain reaction
PEP	Post-exposure Prophylaxis
<i>p</i>	P-value
REDCap	Research Electronic Data Capture
RTHB	Road to Health Booklet
SA	South Africa
SD	Standard Deviation
SES	Socio-economic status
SGA	Small for gestational age
SSA	Sub-Saharan Africa
UCT	University of Cape Town
VTP	Vertical Transmission Prevention
WAZ	Weight-for-age z-score
WHO	World Health Organization
WLHIV	Women living with HIV
WLZ	Weight-for-length z-score

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# **PART A: PROTOCOL**

## **Background**

Infants who are HIV-Exposed Uninfected (HEU) are exposed to maternal Human Immunodeficiency Virus (HIV) infection *in utero* but are not themselves infected with HIV despite maternal infection. Infants who are HIV-Unexposed Uninfected (HUU) are neither exposed to nor infected with HIV because their mothers have an HIV negative status. Infants who are HEU infants comprise an emergent subpopulation in sub-Saharan Africa (SSA) due to advances in vertical transmission prevention (VTP) policies in women living with HIV (WLHIV). In South Africa (SA), the number of children who are HEU was estimated at 3.5 million in 2018, among the five highest countries recorded globally<sup>1</sup>. These infants are subject to various exposures during early development including maternal HIV infection and antiretroviral therapy (ART) exposure *in utero* and via breastmilk; and directly via post-exposure prophylaxis (PEP)<sup>1,2</sup>. Despite infants who are HEU not living with HIV, the exposures that they come into contact with impacts their health in several ways. Various studies<sup>3-7</sup> have demonstrated that this vulnerable population is at risk of their health and growth being negatively influenced in comparison to their HUU counterparts.

The health outcomes of infants who are HEU differ from that of their HUU counterparts.<sup>4</sup> Infections including common respiratory tract infections and diarrhoea, and developmental delay are more common, placing them at an increased risk of both morbidity and mortality.<sup>8</sup> Although ART prevents VTP of HIV, this additional exposure presents different kinds of challenges and it is unclear how and to what extent either *in utero* and infant HIV and ART exposure influence infant growth. However, both exposures influence the health and well-being of infants. In a prospective cohort study in SA, in univariable linear regression analysis, infants who were HEU had significantly lower mean weight-for-age (WAZ) and length-for-age (LAZ) z-scores than those who were HUU at birth. In multivariable analysis after adjusting for maternal age, gravidity, alcohol use, marital and unemployment status mean WAZ remained significantly lower among infants who were HEU compared to those who were HUU. However mean LAZ was no longer significantly lower.<sup>5</sup>

In another South African study infants who were HEU were found to have increased infectious-cause hospitalisation between 29 days and 12 months of age in comparison to infants who were HUU.<sup>6</sup> Other studies within the sub-Saharan region demonstrate similar patterns including a prospective study<sup>1</sup> of Zimbabwean children who were breastfed exclusively for six months reported both significantly lower mean WAZ and LAZ for infants who were HEU compared to HUU from birth up to 16 weeks of age. Another prospective study<sup>3</sup> consisting of a Nigerian cohort of infants who were HEU compared to HUU studied from birth to 18 months found both lower WAZ and LAZ when adjusting for maternal education, marital status, breastfeeding status, prematurity, baseline WAZ and maternal weight in a longitudinal linear regression analysis. On the other hand, a cohort study in Kwazulu-Natal reported lower WAZ at nine months among infants who were HEU compared to HUU and this was independent of breastfeeding duration,<sup>9</sup> Breastfeeding however is important to consider in this context because of the benefits that breastmilk provides to infants' growth and development irrespective of HIV exposure. Previously, before the widespread use of ART during pregnancy and breastfeeding, many WLHIV did not breastfeed because health policy Acceptable, Feasible, Affordable, Sustainable and Safe (AFASS) did not promote breastfeeding unless optimal conditions existed. Additionally, they feared vertical transmission and were provided with formula by public health facilities for their infants. In response to evidence from randomized controlled trials, the World Health Organization (WHO) published recommendations that WLHIV breastfeed exclusively for six months, risk of vertical transmission being reduced by maternal ART and/or infant PEP.<sup>10</sup> However, a recent study conducted in SA found that the proportion of WLHIV who exclusively breastfed their infants was lower compared to those who did not have HIV irrespective of the various timepoints from birth up to six months old. Factors such as mixed messages during infant feeding counselling, social and economic constraints, and fear of HIV transmission play a role in both starting and continuing breastfeeding.<sup>11</sup> Breastfeeding has however been recognised as being important to infant health and development since breastmilk has the ability to provide infants with the necessary nutrients which they need to grow optimally. The benefits of breastfeeding are well known and exclusive breastfeeding up to six months and continued breastfeeding up to two years old is advocated for infants who are HEU and HUU.<sup>12, 13</sup> Studies have

demonstrated numerous benefits of breastfeeding such as improved performance in intelligence tests<sup>14</sup>, the protection against diarrhoea related illness<sup>13</sup> and the reduction of the risk of pneumonia<sup>15</sup>. These studies demonstrate how morbidity and mortality can be reduced among infants through breastfeeding and highlight the valuable nature of the benefits of breastfeeding in infants.

The WHO Global strategy for Infant Feeding in 2010 provided guidelines for infant feeding with SA adopting<sup>16</sup> these guidelines in 2011. The South African National Department of Health (NDoH) has amended their policy recommendation for Infant and Young Child Feeding (IYCF) of 2013 to align with the updated WHO/ United Nations Children’s Fund 2016 guidelines<sup>17</sup> (Table 1; Appendix 1 Table 4) and WLHIV have since been recommended to continue breastfeeding for 24 months as opposed to 12 months which aligns the recommendations for women living with and without HIV<sup>17</sup>.

*Table 1. Summary of IYCF indicators for breastfeeding<sup>10</sup>*

<b>Breastfeeding guidelines</b>	<b>Definition</b>
Ever breastfed	Percentage of children born in the last 24 months
Early start of breastfeeding	Percentage of children born in the last 24 months who breastfed within one hour of birth
Exclusively breastfed only for two days after birth	Percentage of children born in the last 24 months who exclusively breastfed for the first two days after birth
Exclusively breastfed below six months	Percentage of infants aged 0-5 months who exclusively breastfed the day before
Mixed milk feeding below six months	Percentage of infants aged 0-5 months who were formula fed and/or given animal milk in addition to breast milk the day before
Continued breastfeeding from 12-23 months of age	Percentage of children 12-23 months who breastfed the day before

Breastfeeding is not only known for its ability to influence the health of infants but also particularly the duration of breastfeeding is of interest and may be important. A study in Uganda found an association between early cessation of breastfeeding and increased risk of morbidity among infants who were HEU compared to those who were HUU.<sup>18</sup> There is evidence that exclusive breastfeeding up to six months has health benefits for infants. A study in Ethiopia found that premature cessation of exclusive breastfeeding both before four months and between 4-6 months in comparison to six months was associated with at least one infectious morbidity including increased occurrence of diarrhoea, fever and acute respiratory illnesses<sup>19</sup>. This highlights the importance of exclusive breastfeeding up to six months as opposed to four months or less than three months and the implications duration of breastfeeding on infant health.

A recent study<sup>2</sup> suggested that breastfeeding practices should be improved for infants who are HEU to achieve optimal growth. The WHO recommends introducing complementary foods to infants from six months of age<sup>10</sup> (Table 2. Appendix 2 Table 5). Limited data exists that investigates complementary feeding, including differences by maternal HIV status.<sup>20</sup> Breastfeeding and complementary feeding both affect the long-term health of infants.<sup>21</sup> In a recent cross-sectional study<sup>22</sup> among children aged six months in Kwazulu-Natal in SA a big increase was detected in respect of prevalence of obesity between birth and six months old. In addition, 17.6% of children started fluids or food in the first month of their lives.<sup>22</sup> Complementary feeding is thus recognised as a critical time for infants because of its influence on food preferences and its impact on long-term growth.<sup>23</sup>

*Table 2. Summary of IYCF indicators for complimentary feeding<sup>10</sup>*

<b>Complementary feeding guidelines</b>	<b>Definition</b>
Introduction to solid, semi-solid or soft foods	Infants aged 6-8 months who consumed any of these foods.
Minimum dietary diversity	Children aged 6-23 months who consumed food and drinks from a minimum of five out of eight defined food groups
Minimum meal frequency	Children aged 6-23 months who consumed solid, semi-solid or soft foods including milk feeds for non-breastfed children the minimum amount of time or more
Minimum milk feeding frequency for non-breastfed children	Non-breastfed children aged 6-23 months who consumed a minimum of two milk feeding
Minimum acceptable diet	Children age 6-23 months who consumed a minimum acceptable diet
Egg and/or flesh food consumption	Children aged 6-23 months who consumed egg and/or flesh food
Sweet beverage consumption	Children aged 6-23 months who consumed sweet beverage
Unhealthy food consumption	Children aged 6-23 months who consumed certain sentinel unhealthy foods
<b>Other guidelines</b>	
Bottle-feeding	Children aged 0-23 months who were bottle fed with a nipple
Infant feeding area graphs	Infants aged 0–5 months who were fed exclusively with breast milk, breast milk and water only, breast milk and non-milk liquids, breast milk and animal milk/formula, breast milk and complementary foods, and not breastfed
Note each of the above definitions in this particular table includes the appropriate age group of infants/children and the percentage of them who consumed the food and/or drink or other during the previous day	

A recent systematic review<sup>24</sup> suggested that there are insufficient data regarding growth outcomes by maternal HIV and ART status of children who were HEU in relation to their HUU counterparts. Early studies investigating growth in infants who are HEU were often confounded by breastfeeding practice<sup>25</sup>,

<sup>26</sup> as international guidelines recommended that WLHIV avoid breastfeeding. More recent studies suggest that growth differences between infants who are HEU and HUU persist up to at least six months old.<sup>27</sup> The impact on longer-term growth is uncertain as is the effect of feeding practices during the first 12 months. In addition, a study<sup>9</sup> suggests that limited data exists for the association between feeding practices and women not living with HIV. The main aim of this study is therefore to identify, describe and compare associations of infant feeding practices with infant growth in infants who are HEU compared to HUU under the era of universal ART in pregnancy. The recognition of the increasing population of infants who are HEU and their health needs is important to understand so that efforts can be made at prevention and intervention strategies to reduce the burden of adverse health outcomes for these children<sup>1</sup>.

## **Study aims and objectives**

### Aim

The main aim of this study is to identify, describe and compare associations of infant feeding practices and growth in infants who are HEU and HUU at the Gugulethu Midwife and Obstetric Unit (MOU), under the era of universal ART policies.

### Objectives

1. To describe infant feeding practices from birth to 12 months old by maternal HIV and ART exposure status with specific reference to:
  - a. The WHO breastfeeding recommendations:
    - breastfed within one hour after birth
    - exclusively breastfed for six months
    - infants still breastfeeding at 12 months and
    - those who received mixed milk feeding with formula and/or animal milk in addition to breast milk under six months

- b. Duration of breastfeeding, if any
  - c. Type of complementary feeding
2. To describe and compare growth WAZ and LAZ between HEU and HUU infants and whether these are associated with types of feeding practices and Household Food Security Status (HFSS)

## **Methods**

### **Study design**

#### Overview of the proposed study

To address the study aim, we will conduct a secondary analysis of a B-Positive prospective cohort study which enrolled 989 pregnant women at their first antenatal visit. These mother-infant pairs were followed up for up to 12 months from birth. Of the 989 women 796 mother-infant pairs included in the final analysis. Of the 796 women with a singleton livebirth, we will include mother-infant pairs with at least two postnatal visits including 400 infants who are HUU and 396 who are HEU, of whom 210 were exposed to preconception ART and 186 exposed to ART during gestation.

The postnatal visits are of interest to this study in which the B-Positive study evaluated mother-infant pairs by the study teams at various time points; birth, 10 weeks, six months and 12 months. The measures that were administered from the B-Positive study that will be used for this analysis include Infant feeding practices questionnaires for each study visit and mother's and infants' growth anthropometry.

#### Study setting

The B-Positive study was an observational prospective cohort study which enrolled pregnant women attending antenatal care (ANC) at a primary maternity healthcare facility in Gugulethu, an urban township in Cape Town, SA. The Gugulethu MOU is a public centre primary care obstetric facility. Midwives at the Gugulethu MOU provide ANC, delivery and immediate postnatal services for uncomplicated pregnancies. Women can be referred to the hospital for further care at any stage

according to standard operating procedures. HIV screening is provided regularly throughout pregnancy for women with negative or unknown HIV status.

### **Characteristics of study population**

#### **Participants**

The Gugulethu facility serves a population of about 350, 000 with an estimated antenatal HIV prevalence of 30%<sup>28</sup> in 2012. Pregnant women aged 18 years and above, living with and without HIV, were screened and recruited at their first ANC visit. Eligible participants were enrolled into the study between January 2017 to July 2018. Women were eligible to participate in the study if they had a confirmed maternal HIV test and if they planned to reside in Cape Town for at least one year postpartum with their infants. For women not known to be living with HIV a rapid antibody test was made use of to confirm their HIV status as this has sufficient sensitivity and specificity for screening and is the standard of care in routine ANC<sup>29</sup>. Women who tested negative for HIV based on a rapid antibody test were retested up to twice during pregnancy depending on their GA at enrolment. Women who seroconverted during the study were not included in the analysis.

#### **Study procedures**

All pregnant women who were eligible and able to provide informed consent were enrolled into the study. Women were followed antenatally for one to three study visits depending on the gestational age (GA) at enrolment. Mother-infant pairs were evaluated by the study teams postnatally at birth, 10 weeks, six months and 12 months. Only mothers who gave birth to a liveborn baby will be included in this secondary analysis. Questionnaires were administered in both isiXhosa and English with participants having access to refer to both these languages on their questionnaire. The questionnaires were administered at each study visit by trained study staff. Questionnaires were designed by several researchers and standardised instruments were used. Pilot studies were done on all the B-Positive questionnaires prior to data collection to establish reliability and validity. The training for study staff included general research issues, ethical conduct, topics on administration of questionnaires. The study clinician conducted regular anthropometric training for all study staff with structured, supervised and

competency assessments. Verbal questionnaires were administered face-to-face by trained interviewers in a closed room to ensure privacy.

#### Maternal Demographics Clinical History

Information such as maternal demographics, pregnancy history and healthcare information were collected using identical standardized questionnaires administered to all women by trained study interviewers.

#### Maternal HIV and ART history

The primary exposure for this secondary analysis is HIV exposure *in utero* (infants who are HEU vs HUU). Maternal HIV status was self-reported and confirmation was provided through medical chart review. Women who tested negative for HIV at enrolment based on a rapid antibody test were routinely tested either right after delivery or during newborn visits within 3-7 days after delivery and approximately once every three months during breastfeeding. HIV deoxyribonucleic (DNA) Polymerase Chain Reaction (PCR) testing of infants was taken at birth and 6 to 10 weeks according to national guidelines. Maternal HIV history and other data related to medical conditions were based on medical records.

#### Infant feeding

Infant feeding practices were assessed for the B-Positive study according to the WHO guidelines. Infant feeding practices questionnaires were administered postnatally to all women by trained study interviewers at up to four study visits. The first infant feeding practices questionnaire will assess breastfeeding behaviour (ever given breastmilk, when mothers put their baby to the breast after birth) and thereafter questionnaires from study visit two up to four will assess feeding behaviour (since last visit, for how long either weeks, days or months). In addition, complementary feeding will be assessed by the first questionnaire (food or drink given to your baby three days after birth) and following from the second up to the fourth questionnaire (the age at which you gave your baby food or drink besides breastmilk). Other questions assessed by each study visit relate to (reasons for stopping breastfeeding, 24-hour recall of breast/formula feeding, medications given to infants and who the baby was left with).

### Infant growth

Infant growth is the outcome of this secondary analysis. Birth weight and length were extracted from the South Africa's child Road to Health Booklet (RTHB) to derive anthropometric outcomes, WAZ and LAZ to represent surrogate measures of fetal growth. Birth weight and length were measured at each study visit (seven days, 10 weeks, six months and 12 months) by study staff. During the 6-10 week and 6-month study visit infant weight was assessed with precision to the nearest 10 grams using a calibrated digital infant scale (MTB 20 Baby Scale, Adam Equipment, Milton Keynes, UK), by trained study staff without clothing and diapers. All the infant measurements were taken while the infant was recumbent with the use of an infant length measuring board. Length measurements were recorded to the nearest 0.25 centimetres using a rigid recumbent length board (ADE MZ 1013 Baby Length Measuring Rod, ADE, Hamburg, Germany). Two measurements of infant weight and recumbent length were taken at each visit by study staff and the average was calculated to ensure accuracy and avoid outliers. No big differences were found between the two measures for weight and length. Birth weights and lengths were converted to WAZ and LAZ using Intergrowth-21st software<sup>30</sup> adjusting for age and sex.

### Analytic considerations

#### Sample size considerations

The sample size was determined by the power calculations for the parent study. In this secondary analysis of existing data, we performed a power analysis to determine the statistical power for growth disparities between infants who are HEU and HUU. Based on insights from previous research and past studies conducted in SA, we considered that a sample size of 600 provides adequate power (>80%) to identify absolute differences in growth patterns between infants who were HEU and HUU, with a significance level set at 0.05.

#### Data analysis

For the B-Positive study, Research Electronic Data Capture (REDCap)<sup>31</sup> a secure, web-based application was used to capture the study data. For this analysis data will be exported to R. Descriptive

statistics will be used to describe infant and maternal characteristics and will be summarised by proportions (%), means and standard deviation for normally distributed data and median and interquartile range (IQR) for non-normally distributed data. Descriptive statistics will also be used to describe the duration and type of feeding practices. Pearson's Chi-squared test or Fisher's Exact test will be used to assess differences in categorical variables. The Kruskal-Wallis test will be used to compare medians for non-parametric data.

WAZ and LAZ at birth will be generated using Intergrowth-21st software, which adjusts for infant GA at birth and infant sex. WAZ and LAZ for 10 weeks, six months and 12 months will be calculated using the WHO Child Growth Standards.<sup>32</sup> The z-score results will be reported as mean and standard deviation at each age. Student t-tests will be used to compare WAZ and LAZ differences between infants who are HEU and HUU. We will use the lme4 package in R to conduct a mixed-effects longitudinal analysis. These models will allow for the inclusion of both fixed and random effects. A random effect for subject will be included in these models since we have repeated measured for each infant on the outcome variables WAZ, LAZ and WLZ. The feeding variables will be included to assess whether they are positively or negatively correlated with these outcome variables and whether the associations are significant.

## **Ethics**

### Ethical review

For this secondary analysis, we will request approval from the University of Cape Town's Faculty of Health Sciences Research Ethics Committee (UCT-HREC). The study protocol, informed consent forms, the relevant data collection tools and any other requested information will be submitted to UCT-HREC). In addition, the B-Positive parent study has received approval by UCT-HREC number :749/2015 and approved all questionnaires that will be used for this analysis.

### Informed Consent

For the B-Positive cohort study, the informed consent form explained the purpose of the study to participants and what would happen if they decided to take part in the study. There were two informed

consent forms one for the pregnant mother and one for the infant. Written informed consent was obtained for mother-infant pairs who participated in the B-Positive study. Participants were informed that if they refused to participate in the study or later decided to withdraw from the study they could do so at any point during the study and this would not affect the health care that they receive at the Gugulethu MOU or any other health care facility. The informed consent documents are provided below in the Appendices section of this document.

#### Potential Risks

Minimal potential risk was attributable to mothers and their infants. Mothers were informed that they may feel uncomfortable when asked personal questions. They were however made aware that they were not required to answer any questions that they did not want to. There was the risk of sharing personal and medical information however this information was to be kept as private as possible.

#### Potential Benefits

Participants were informed that there would be no direct benefits to them for taking part in the B-Positive study but that the information obtained from that study may however be useful to improve the services of pregnant women and their children in SA.

#### Compensation

Participants were notified that for the B-positive study, they would not receive any costs for participating in the study. They will however at the end of each study visit receive a R100 grocery voucher, R20 for transport and food, and drink while they are at the visit.

#### Privacy and Confidentiality

For the B-Positive prospective study participants were informed that their information will be kept strictly confidential. This means that their names will not be written on their study forms or be linked to any information or lab samples that were to be collected as part of the study. All staff involved in the data collection and data management process received specific training with respect to confidentiality. Personnel involved in the study process were required to sign a confidentiality agreement form. Hard

copy participant-related information was stored in locked filing cabinets for the B-Positive study and electronic records were made password-protected and files were encrypted. For the secondary analysis, an agreement of confidentiality will be signed when handling the B-Positive data to protect the confidentiality of the participants who participated in the study.

### Timeline and Budget

Secondary data will be used for the proposed project. No payment for the student is required.

<b>Table 3. Study Timeline</b>					
<b>Activity</b>	<b>Time in months</b>				
	<b>2022</b>		<b>2023</b>		<b>2024</b>
	<b>Mar-Oct</b>	<b>Nov-Dec</b>	<b>Jan-Oct</b>	<b>Nov-Dec</b>	<b>Jan-Feb</b>
Protocol submission to Ethics					
Merge and clean data. Data analysis					
Finalise dissertation for marking					

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**PART B: MANUSCRIPT**

2 **Association between infant feeding practices and infant growth by maternal HIV and**  
3 **antiretroviral therapy status: A prospective study in Cape Town, South Africa**

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12 **Keywords:** HIV Exposed Uninfected, HEU, infant growth, feeding practices, weight-for-age, length-  
13 for-age, South Africa

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19 Requirements set out in the instructions for authors are included in Part C: Appendices Instructions for  
20 authors: BMC Journal Guidelines. In line with the Master of Public Health (MPH) guidelines, co-  
21 authors are not listed in the main manuscript, they appear in the Acknowledgements section under  
22 Declarations in Part C: Appendices.

23 **Abstract**

24 **Background**

25 Infants who are HIV-Exposed Uninfected (HEU) may experience adverse growth outcomes compared  
26 to those who are HIV Unexposed Uninfected (HUU). Breastfeeding (BF) provides infants with the  
27 necessary nutrients to grow optimally irrespective of maternal HIV status. The initiation and duration  
28 of BF may also be related to infant growth. We compared growth parameters from birth up to 12 months  
29 old in infants who were HEU and HUU, investigating associations with types of infant feeding practices  
30 (BF and complementary feeding) and Household Food Security Status (HFSS) under the current era of  
31 universal Antiretroviral therapy (ART) in pregnancy policies in South Africa.

32 **Methods**

33 Pregnant women living with and without HIV were enrolled at their first antenatal visit. Feeding data  
34 and infant anthropometry were collected at birth, 7 days, 10 weeks, 6 months, and 12 months  
35 postpartum. Infant weight and length at birth were converted to weight-for-age (WAZ) and length-for-  
36 age (LAZ) using Intergrowth-21<sup>st</sup> software, and the World Health Organization (WHO)-Anthro survey  
37 analyzer tool was used to obtain these and weight-for-length z-scores (WLZ) from 10 weeks old. Linear  
38 mixed effects (LME) models were fit to compare WAZ, LAZ and WLZ between infants who were HEU  
39 and HUU controlling for *a priori* selected variables.

40 **Results**

41 Overall, 796 mother-infant pairs were included, 400 (50%) were HUU and 396 (50%) were HEU. A  
42 high proportion of all infants had ever breastfed, although this was lower in infants who were HEU  
43 compared to HUU (90% vs 93%;  $p = 0.118$ ). Infants who were HEU vs HUU had a significantly shorter  
44 median duration of BF [73 days; IQR 12-222 vs 209 days; IQR 72-365 [ $p < 0.001$ ]]. There were no  
45 differences between the two groups regarding the types of complementary feeding. By 12 months, both  
46 infants who were HEU vs HUU had high proportions of overweight (17% vs 21%;  $p = 0.22$ ). WAZ and  
47 LAZ on average were lower in infants HEU than HUU [ $\beta = -0.147$ ; 95% CI: -0.327, 0.033] and [ $\beta = -$

48 0.146; 95% CI: -0.339, 0.471] keeping age at visit, maternal age, duration of BF, HFSS, employment  
49 and marital status constant.

## 50 **Conclusion**

51 Infants who were HEU had lower WAZ and LAZ compared to those who were HUU after adjusting for  
52 covariates. At 12 months, high proportions among both groups were overweight; which may be partly  
53 related to sub-optimal complementary feeding practices. Public health interventions need to be aimed  
54 at strengthening BF practices among the population of infants who are HEU and improving  
55 complementary feeding practices for all infants.

56 **Keywords:** HIV Exposed Uninfected, HEU, infant growth, feeding practices, weight-for-age, length-  
57 for-age, South Africa

## 58 **Introduction**

59 Infants HIV-Exposed Uninfected (HEU) constitute a sub-population who are exposed to HIV infection  
60 *in-utero* but are not infected with HIV. Infants HIV-Unexposed Uninfected (HUU) are born to women  
61 without HIV and therefore are neither infected with nor exposed to HIV. Due to the advancement of  
62 vertical transmission prevention of HIV (VTP) policies infants who are HEU comprise a growing  
63 population in sub-Saharan Africa (SSA). In 2022, approximately 16 million children globally were  
64 HEU,<sup>1</sup> including over 90% living in SSA, 3.5 million (23.8%) in South Africa (SA), which accounted  
65 for one of the top five countries globally.<sup>2</sup> Infants who are HEU experience various exposures including  
66 maternal HIV infection and antiretroviral therapy (ART) exposure *in-utero*, during breastfeeding as  
67 well as directly to infant post-exposure prophylaxis (PEP).<sup>2,3</sup> Studies have shown that infants who are  
68 HEU are a vulnerable group who are at risk of adverse health effects and poorer growth.<sup>4-8</sup> They  
69 experience more respiratory infections, diarrhoea related illnesses and developmental delays  
70 increasing their risk for morbidity and mortality.<sup>2</sup> Studies in SA<sup>5</sup> and SSA<sup>8-10</sup> reported lower weight-  
71 for-age z-scores (WAZ) and length-for-age z-scores (LAZ) in infants who are HEU infants compared  
72 to their HUU counterparts. Mean birth weight among infants who were HEU were lower than those  
73 who were HUU, including WAZ and LAZ up to 12 months.<sup>11</sup> In addition, mean birth WAZ and LAZ  
74 was lower among infants HEU compared to HUU and up to 18 months.<sup>8</sup> These findings suggest that  
75 lower birth weight may contribute to lower WAZ and LAZ up to 12 and 18 months old respectively  
76 among infants HEU. Lower birth weight was found to be the main predictor of undernutrition among  
77 infants who were HEU compared to those who were HUU.<sup>12</sup>

78 The benefits of breastfeeding (BF) are well understood and breastmilk is recognised as a crucial part of  
79 infant health and development irrespective of HIV exposure. In 2011 SA adopted recommendations for  
80 infant feeding by the World Health Organization (WHO) Global Strategy for Infant Feeding 2010.<sup>13</sup>  
81 The South African National Department of Health (NDoH) revised its policy recommendation for Infant  
82 and Young Child Feeding (IYCF) in 2017 in line with the WHO/United Nations International Children's  
83 Emergency Fund recommendations, with mothers living with HIV advised to continue BF up to 24  
84 months instead of up to 12 months aligning with guidelines for women living without HIV.<sup>14</sup> Exclusive

85 breastfeeding (EBF) up to six months with continued BF up to 24 months is advocated for in both  
86 infants who are HEU and HUU<sup>15</sup> with premature ending of BF both before four and between 4-6 months  
87 associated with at least one morbidity including increased occurrence of diarrhoea, fever and acute  
88 respiratory illnesses.<sup>16</sup> In addition, lower rates of BF in infants who were HEU vs HUU  
89 corresponded with poorer growth outcomes for this population.<sup>11</sup> Numerous benefits of BF exist  
90 including improved performance in intelligence tests, school performance and higher income in  
91 adulthood.<sup>17</sup> These findings illustrate the value of BF in reducing morbidity and mortality among  
92 infants. Improvement of BF practices is vital for infants who are HEU to achieve optimal growth.<sup>3</sup> In  
93 addition, addressing maternal nutritional needs can assist infants in receiving the necessary nutrients  
94 through breastmilk, as maternal nutrition before conception and at early gestation was reported to  
95 positively impact the growth outcomes of infants up to six months of age.<sup>18</sup>

96 The WHO recommends the introduction to complementary feeding to infants from six months of  
97 age.<sup>19</sup> Limited data exist that explores introduction of complementary feeding and the differences by  
98 maternal HIV status.<sup>20</sup> Early introduction of complementary feeding is still a common practice in SA<sup>11</sup>  
99 and inappropriate types of complementary feeding may result in infant growth faltering.<sup>21</sup> Early  
100 introduction to complementary feeding has been found to negatively impact growth, with an increased  
101 prevalence of obesity as opposed to underweight.<sup>22</sup> In addition, early infant feeding practices impact  
102 the development of obesity as early as 12 weeks old.<sup>23</sup> We compared growth parameters between  
103 infants who were HEU and HUU from birth to 12 months of age and investigated whether these were  
104 associated with types of infant feeding practices (BF and complementary feeding) and Household Food  
105 Security Status (HFSS) under the era of universal ART in pregnancy policies.

106 **Methods**

107 **Study setting**

108 The B-Positive study was a prospective cohort study which enrolled pregnant women attending  
109 antenatal care (ANC) at a primary maternity healthcare facility in Gugulethu, an urban township in  
110 Cape Town, SA and aimed to comprehensively monitor the effectiveness, impact, and risks of the  
111 WHO Option B+ prevention of vertical transmission of HIV approach. WHO Option B+ is a  
112 prevention of vertical transmission of HIV strategy that recommends life-long ART for all WLHIV  
113 irrespective of their CD4 count or clinical status. The Gugulethu Midwife Obstetric Unit (MOU) is a  
114 public sector primary care obstetric facility. Midwives provide ANC, delivery, and immediate  
115 postnatal services for uncomplicated pregnancies. Women can be referred to the hospital for further  
116 care at any stage according to standard operating procedures. HIV screening is provided regularly  
117 throughout pregnancy for women with negative or unknown HIV status. The facility serves a  
118 population of approximately 350,000 with an antenatal HIV prevalence of 30% in 2015.<sup>24</sup>

119 **Study design and study participants**

120 Pregnant women 18 years and above were recruited at their first antenatal visit at Gugulethu MOU  
121 irrespective of their HIV status between January 2017 to July 2018. They were followed up until the  
122 12-month study visit. Eligible participants included pregnant women who were able to provide  
123 informed consent, had a confirmed HIV status and planned to reside in Cape Town at least one year  
124 postpartum with their infants. Clinic records were used to confirm maternal HIV status. Women with  
125 an unknown HIV status were tested using a rapid antibody test to confirm their HIV status. The  
126 women attended between one to three antenatal visits prior to delivery depending on the gestational  
127 age (GA) at enrolment. GA was determined by an ultrasound specialist specifically assigned to the  
128 study and repeated at each antenatal visit. After the birth of the baby, mother-infant pairs attended  
129 four postnatal visits at seven days, 10 weeks, six and 12 months. Of the 989 women who enrolled in  
130 the B-positive study, a total of 796 mother-infant pairs with live singleton births and birth  
131 anthropometry available were included in this analysis (Fig.1). We included those with singleton live  
132 births who attended at least two postnatal visits.

133 **Study procedures and data collection**

134 Birth weight and length as recorded in the South African Road to Health Booklet (RTHB) were  
135 abstracted to derive birth WAZ and LAZ to represent surrogate measures of fetal growth. Weight and  
136 length were measured at each study visit by study staff. Infants with anthropometric data for at two  
137 study visits were included in this analysis. During the 6-10 week and 6-month study visit infant weight  
138 was assessed with precision to the nearest 10 grams using a calibrated digital infant scale (MTB 20  
139 Baby Scale, Adam Equipment, Milton Keynes, UK), by trained study staff without clothing and  
140 diapers. All the infant measurements were taken while the infant was recumbent with the use of an  
141 infant length measuring board. Length measurements were recorded to the nearest 0.25 centimetres  
142 using a rigid recumbent length board (ADE MZ 1013 Baby Length Measuring Rod, ADE, Hamburg,  
143 Germany). Two measurements of infant weight and recumbent length were taken at each visit and the  
144 average was calculated to ensure accuracy and avoid outliers. No big differences were found between  
145 these two measures for weight and length. Additionally, medical information was collected from  
146 antenatal, obstetric, medical and laboratory records.

147 Data were collected via interviewer-administered questionnaire regarding maternal demographics, the  
148 woman's pregnancy history, and healthcare-related information. Maternal HIV status and testing  
149 results were self-reported and confirmed through medical chart review. Women who tested negative  
150 for HIV based on a rapid antibody test were retested up to two times during pregnancy depending on  
151 their GA at enrolment as per prevention of vertical transmission of HIV guidelines.<sup>25</sup> All infants who  
152 seroconverted at any time during follow-up were excluded from the analysis to avoid  
153 misclassification. Women who seroconverted during the study were not included in the analysis. HIV  
154 Polymerase Chain Reaction (PCR) testing of infants was taken at birth and six to 10 weeks according  
155 to national guidelines.<sup>25</sup> Infants who were infected with HIV were excluded. Maternal HIV history  
156 and other data related to medical conditions were confirmed from medical records. Relevant to this  
157 study were the Infant Feeding Practices and Food Security questionnaires as well as infant growth  
158 anthropometry. To assess infant feeding practices and introduction to complementary feeding,  
159 standardised questionnaires were used based on 24-hour recall. The Alcohol Use Disorders

160 Identification Test (AUDIT)<sup>26</sup> a tool validated in SA<sup>27</sup> was used to collect alcohol related information  
161 to measure alcohol intake during pregnancy. The Six-Item Short Form of the Food Security Survey  
162 Module (HFSS) was used to identify households with food insecurity and those with very low food  
163 security. It asks questions about the food eaten in the household in the last 12 months, since (current  
164 month) of last year and whether mothers were able to afford the food that they needed.<sup>28</sup> This tool was  
165 used to measure household food security.

## 166 **Exposures and outcomes**

167 For this secondary analysis, data collected at the enrolment and postpartum visits were included. The  
168 primary exposure was maternal HIV. The primary outcome was infant growth up to 12 months of age,  
169 including at birth, 10 weeks, six and 12 months using WAZ and LAZ. These scores at birth were  
170 produced using Intergrowth-21<sup>st</sup> software<sup>29</sup> which adjusted for GA at birth and infant sex. WHO Anthro  
171 Survey Analyser tool<sup>30</sup> was used to generate WAZ, LAZ and weight-for-length z-scores (WLZ) at 10  
172 weeks, six months, and 12 months. Prevalence of malnutrition with malnutrition defined as deficits in  
173 one's intake of energy and/nutrients and comprises of undernutrition (stunting, wasting, underweight)  
174 and overnutrition (overweight).<sup>31</sup> Stunting was defined as LAZ < -2 SD, underweight as WAZ < -2 SD,  
175 overweight as WLZ > +2 SD and wasting as WLZ < -2 SD as per WHO guidelines.<sup>30</sup>

176 Z-scores > 3 or < -3 were reviewed and corrected in the case of data capture errors. Unexplained  
177 values > 5 for WAZ and WLZ, > 6 for LAZ or < -6 for WAZ and LAZ and < -5 for WLZ were  
178 excluded from the analysis. These scores accounted for < 3.5% of all measurements. Low birth weight  
179 (LBW) was defined as infants with a birth weight < 2.5kg. Preterm delivery was defined as infants'  
180 GA at delivery < 37 completed weeks. Small for gestational age (SGA) was defined as a weight less  
181 than the 10<sup>th</sup> centile for GA. Infant GA at delivery was extracted using GA determined through the  
182 mother's ultrasound taken at enrolment, date of enrolment and the infant's date of birth. To determine  
183 socio-economic status (SES) an asset score was calculated (toilet, running water, electricity,  
184 refrigerator, landline, and television inside the home measured as binary variables). In addition, level  
185 of education and employment status were added to the asset score and three subcategories were  
186 created: low, middle and high.

187 **Statistical analysis**

188 Data were exported from REDCap into the R statistical package (version 4.1.1) for analysis. REDCap<sup>32</sup>  
189 was used to collect and manage the study data. Variables related to the types of complementary feeding by six months  
190 were defined based on the Guidelines of the WHO Global Strategy for Infant Feeding recommendations, introduction  
191 of: solid, semi-solid or soft foods; egg and/or flesh food consumption; sweet beverage consumption; and vegetables  
192 and/or fruit consumption.<sup>19</sup> Descriptive statistics were used to describe maternal and infant characteristics,  
193 infant feeding practices, age at introduction to complementary feeding, z-scores at each age, and P-  
194 values for categorical data were calculated using either Pearson's chi-squared test, Fisher's exact test  
195 or Cochran-Armitage test as appropriate. P-values for means were calculated using Student's t-test  
196 and for medians using Wilcoxon rank sum test. The lme4 package in R was used to conduct a mixed-  
197 effects longitudinal analysis. These models allowed for the inclusion of both fixed and random effects.  
198 A random effect for subject was included in these models since we have repeated measured for each  
199 infant on the outcome variables WAZ, LAZ and WLZ. The feeding variables were included to assess  
200 whether they were positively or negatively correlated with these outcome variables and whether these  
201 associations were significant. Linear mixed-effects (LME) models were fit separately for WAZ, LAZ  
202 and WLZ entered into the model as continuous dependent variables. Each model included a random  
203 effect for each subject and explanatory variables included HIV status, time (study visit), maternal age,  
204 employment status, HFSS and marital status. Infant feeding variables including any BF, duration of  
205 BF or EBF up to 6 months were entered separately into the models as fixed effects to avoid  
206 multicollinearity. All covariates for the LME models were selected *a priori*. A directed acyclic graph  
207 was developed which included factors known to be associated with HIV infant exposure status and  
208 infant growth outcomes. We conducted a sensitivity analysis for the BF variables including ever BF  
209 and EBF and restricted the analysis for LME models to duration of BF.

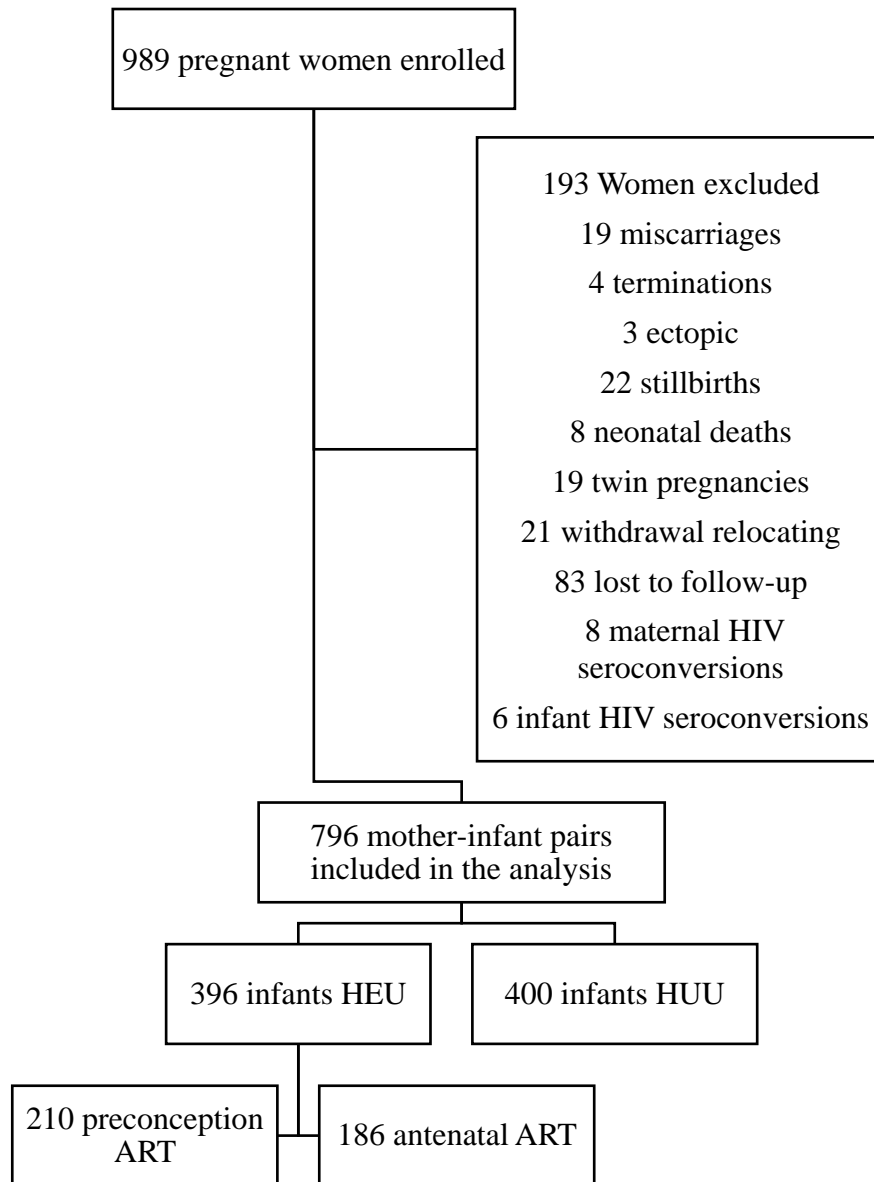
210 **Ethical considerations**

211 This study received ethical approval from the University of Cape Town's Faculty of Health Sciences  
212 Research Ethics Committee (UCT-HREC-665/2022 and 749/2015). To participate in the study written  
213 informed consent was obtained from women antenatally, and again for themselves and their infants

214 after birth.

## 215 **Results**

216 Maternal demographics and birth anthropometry are shown in Table 1. Of the 796 infants, 396 (50%)  
217 were HEU and 400 (50%) were HUU by study design. WLHIV were older [31 years; IQR 27-35] than  
218 women who were living without HIV [27 years; IQR 23-32 ( $p < 0.001$ )]; and more likely to be  
219 multigravida [median 3 pregnancies; IQR 2-3 versus 2 pregnancies; IQR 1-3 ( $p < 0.001$ )]. There were  
220 no significant differences between WLHIV and women without HIV concerning marital status,  
221 employment status, SES, alcohol use during pregnancy and GA at delivery. At birth, female infants  
222 who were HEU had significantly lower birth weight, length and head circumference than infants who  
223 were HUU. In males the differences did not meet statistical significance. No significant differences  
224 were found between WLHIV and women without HIV concerning infant sex, delivery mode, SGA,  
225 LBW, birth WAZ and LAZ. In addition, mothers of infants who were HEU experienced similar  
226 proportions of household food insecurity in the last 12 months (76% vs 76%;  $p = 0.74$ ) compared to  
227 mothers of infants who were HUU. Maternal demographics and birth anthropometry by maternal ART  
228 status in WLHIV are shown in (Supplementary Table 6, Additional File 1). Of the 396 infants who were  
229 HEU, 210 (53%) were exposed to both HIV and ART from conception, while 186 (47%) were exposed  
230 to ART after conception but during gestation. WLHIV on ART from conception were older [33 years;  
231 IQR 29-37] than women who were exposed to ART after conception [29 years; IQR 26-33 ( $p <$   
232  $0.001$ )]. Proportions with household food insecurity in the last 12 months were similar (76% vs 76%;  
233  $p = 0.61$ ).



234

235 Fig.1 Study flow diagram of participants

**Table 1.** Mother-infant pair characteristics and household characteristics by HIV exposure status

	<b>All women and infants N = 796</b>	<b>Women living without HIV and infants HUU N = 400 (50%)</b>	<b>Women living with HIV and infants HEU N = 396 (50%)</b>	<b>p-value</b>
<b>Maternal characteristics</b>				
Age (years), median (IQR)	29 (25-34)	27 (23-32)	31 (27-35)	< 0.001
<b>Level of education completed n (%)</b>				
Primary school	30 (4)	11 (3)	19 (5)	0.02
Secondary school	749 (94)	376 (94)	373 (94)	
Tertiary school	16 (2)	12 (3)	4 (1)	
Missing	1 (0.1)	1 (0.3)	0 (0)	
<b>Marital status n (%)</b>				
Married/ Cohabiting	352 (44)	175 (44)	177 (45)	0.78
Not married/ Not cohabiting	404 (51)	205 (51)	199 (50)	
Missing	40 (5)	20 (5)	20 (5)	
<b>Employment status n (%)</b>				
Formal employment	267 (33)	121 (30)	146 (37)	0.26
Informal employment	4 (0.5)	3 (1)	1 (0.2)	
Attending school/ College	63 (8)	46 (11)	17 (4)	
Other working/ Studying	2 (0.2)	1 (0.2)	1 (0.2)	
Not employed/ Not studying	460 (58)	229 (57)	231 (58)	
<b>Socio-economic status* n (%)</b>				
Lowest SES	287 (36)	137 (34)	150 (38)	0.93
Moderate SES	263 (33)	135 (34)	128 (32)	
Highest SES	243 (30)	126 (31)	117 (29)	
Missing	3 (0.4)	2 (0.5)	1 (0.2)	
<b>Alcohol use in this pregnancy n (%)</b>				
Yes	81 (10)	40 (10)	41 (10)	0.87
No	715 (90)	360 (90)	355 (90)	
<b>GA at enrolment (weeks) n (%)</b>				
>= 20 weeks	432 (54)	234 (58)	198 (50)	0.02
< 20 weeks	361 (45)	165 (41)	196 (49)	
Missing	3 (0.4)	1 (0.3)	2 (0.5)	
GA at delivery (weeks), median (IQR)	39 (38-40)	40 (38-41)	39 (38-40)	0.15
Gravidity, median (IQR)	3 (2-3)	2 (1-3)	3 (2-3)	< 0.001
Parity, median (IQR)	1 (0-2)	1 (0-2)	2 (1-2)	< 0.001
<b>Household food security status last 12 months** n (%)</b>				
Food secure	65 (8)	34 (8)	31 (8)	0.74
Food insecure	604 (76)	303 (76)	301 (76)	
Missing	127 (16)	63 (16)	64 (16)	
<b>Birth and infant characteristics</b>				
<b>Infant sex n (%)</b>				
Female	407 (51)	199 (50)	208 (53)	0.43
Male	389 (49)	201 (50)	188 (47)	
<b>Delivery mode n (%)</b>				
Vaginal	502 (63)	245 (61)	257 (65)	0.80
Scheduled C-section	108 (14)	55 (14)	53 (14)	
Emergency C-section	166 (21)	89 (22)	77 (19)	
Other	20 (2)	11 (3)	9 (2)	
Preterm delivery (< 37 weeks) n (%)	97 (12)	38 (10)	59 (15)	0.02
<b>Birth weight (kg), median (IQR)</b>				
Female	3.2 (2.9-3.5)	3.2 (3.0-3.5)	3.1 (2.8-3.4)	0.003

Male	3.2 (2.9-3.5)	3.2 (2.9-3.5)	3.1 (2.9-3.5)	0.34
<b>Birth length (cm), median (IQR)</b>				
Female	50 (48-52)	50 (49-53)	50 (48-51)	0.03
Missing	17	6	11	
Male	50 (48-52)	50 (49-52)	50 (48-52)	0.61
Missing	22	12	10	
<b>Head Circumference (cm), median (IQR)</b>				
Female	35 (34-36)	36 (34-37)	35 (33-36)	< 0.001
Missing	59	32	27	
Male	36 (34-37)	36 (35-37)	36 (34-37)	0.56
Missing	64	32	32	
Small for GA < 10th centile n (%)	80 (10)	40 (10)	40 (10)	0.96
Low birthweight < 2.5kg n (%)	85 (11)	37 (9)	48 (12)	0.19
<b>Birth z-score, mean (SD)</b>				
Weight-for-age	-0.06 (1.09)	0.01 (1.09)	-0.12 (1.10)	0.09
Length-for-age	0.64 (1.71)	0.70 (1.74)	0.57 (1.69)	0.29
Missing	39	18	21	
Head circumference	1.31 (1.54)	1.44 (1.58)	1.18 (1.49)	0.03
Missing	123	64	59	

236 *IQR* Interquartile range, *SD* Standard deviation, *GA* Gestational age, *HEU* HIV-exposed-uninfected,  
237 *HUU* HIV-unexposed uninfected  
238 \*SES included; housing type, toilet, water, electricity, refrigerator, landline, television, level of education,  
239 employment status. Cut offs used were  $\geq 7.000$  high,  $\leq 4.000$  low and other medium  
240 \*\*Household food security status measured using U.S. Household Food Security Module: Six-Item Short Form  
241 Economic Research Service, USDA September 2012  
242  
243

244 Infant feeding practices are presented in Table 2. A high proportion of all infants had ever breastfed,  
245 however this was lower for infants who were HEU compared to those who were HUU (90% vs 93%;  $p$   
246 = 0.118) with continued BF at 10 weeks (67% vs 85%;  $p < 0.001$ ), six months (41% vs 64%;  $p < 0.001$ ),  
247 and 12 months (23% vs 46%;  $p < 0.001$ ). Infants who were HEU compared to those who were HUU  
248 had a significantly shorter median duration of BF [73 days; IQR 12-222 vs 209 days; IQR 72-365 ( $p <$   
249 0.001)]. In Table 3, infants who were HEU compared to HUU had similar proportions of introduction to  
250 complementary feeding by 6 months: 50% vs 55%; ( $p = 0.41$ ) were introduced to solid, semi-solid or  
251 soft foods; 33% vs 35%; ( $p = 0.80$ ) were introduced to sweet beverages from 6 to 12 months; and 9%  
252 vs 9%; ( $p = 0.92$ ) consumed fruits and/or vegetables between 6 to 12 months of age.

**Table 2.** Infant feeding practices from birth to 12 months

	<b>All women and infants N = 796</b>	<b>Women living without HIV and infants HUU N = 400 (50%)</b>	<b>Women living with HIV and infants HEU N = 396 (50%)</b>	<b>p-value</b>
<b>Breastfeeding n (%)</b>				
Yes	726 (91)	371 (93)	355 (90)	0.12
No	6 (1)	1 (0.2)	5 (1)	
Missing	64 (8)	28 (7)	36 (9)	
<b>Early initiation of breastfeeding n (%)</b>				
<b>Within 1 hr</b>	604 (83)	314 (85)	290 (82)	0.69
<b>After 1 hour</b>	39 (6)	19 (5)	20 (5)	
<b>Missing</b>	83 (11)	38 (10)	45 (13)	
<b>Duration of breastfeeding (days), median (IQR)</b>	181 (48-365)	209 (72-365)	73 (12-222)	< 0.001
<b>Breastfeeding, n (%)</b>				
<b>10 weeks</b>				
Yes	553 (76)	317 (85)	236 (67)	< 0.001
No	114 (16)	28 (8)	86 (24)	
Missing	59 (8)	26 (7)	33 (9)	
<b>6 months</b>				
Yes	379 (52)	236 (64)	143 (41)	< 0.001
No	264 (37)	96 (26)	168 (47)	
Missing	83 (11)	39 (10)	44 (12)	
<b>12 months</b>				
Yes	253 (35)	171 (46)	82 (23)	< 0.001
No	387 (53)	154 (42)	233 (66)	
Missing	86 (12)	46 (12)	40 (11)	
<b>Exclusive breastfeeding 3 days after birth n (%)</b>				
Yes	542 (75)	292 (79)	250 (70)	0.002
No	114 (16)	43 (11)	71 (20)	
Missing	70 (9)	36 (10)	34 (10)	
<b>Exclusive breastfeeding up to 6 months n (%)</b>				
Yes	51 (7)	24 (7)	27 (8)	0.51
No	603 (83)	313 (84)	290 (82)	
Missing	72 (10)	34 (9)	38 (10)	

253 *HEU* HIV-exposed-uninfected, *HUU* HIV-unexposed uninfected, IQR Interquartile range, SD Standard  
 254 deviation

255 P-values for categorical data were calculated using Pearson's chi-squared or Fisher's exact test

**Table 3.** Introduction to complementary feeding

	<b>All women and infants N = 796</b>	<b>Women living without HIV and infants HUU N = 400 (50%)</b>	<b>Women living with HIV and infants HEU N = 396 (50%)</b>	<i>p</i> -value
<b>Solid, semi-solid or soft foods at 6 months n (%)</b>				
Yes	376 (53)	203 (55)	173 (50)	0.41
No	214 (30)	108 (29)	106 (31)	
Missing	126 (18)	60 (16)	66 (19)	
<b>Egg and/or flesh food consumption 6-12 months n (%)</b>				
Yes	501 (70)	261 (70)	240 (70)	0.72
No	115 (16)	62 (17)	53 (15)	
Missing	100 (14)	48 (13)	52 (15)	
<b>Sweet beverage consumption 6-12 months n (%)</b>				
Yes	241 (34)	128 (35)	113 (33)	0.80
No	367 (51)	191 (51)	176 (51)	
Missing	108 (15)	52 (14)	56 (16)	
<b>Vegetables or fruit consumption 6-12 months n (%)</b>				
Yes	62 (9)	32 (9)	30 (9)	0.92
No	566 (79)	296 (80)	270 (78)	
Missing	88 (12)	43 (12)	45 (13)	

256 HEU HIV-exposed-uninfected, HUU HIV-unexposed uninfected

257 P-values for categorical data were calculated using Pearson's chi-squared or Fisher's exact test

258 Solid, semi-solid or soft foods include:

- 259 • any dairy products e.g., yoghurt, cheese, or cream.
- 260 • cereals, porridge, or bread
- 261 • eggs
- 262 • any fruits or vegetables
- 263 • any meat or fish

264 Egg and/ or flesh consumption include:

- 265 • eggs
- 266 • any meat or fish

267 Sweet beverage consumption includes any fruit juice

268 Vegetables or fruit consumption include fruits and/ or vegetables consumed

269

270 Stunting (8% vs 6%;  $p = 0.22$ ) and underweight (4% vs 4%;  $p = 0.98$ ) at birth were not significantly

271 different for infants who were HEU compared to those who were HUU (Table 4). At 10 weeks old,

272 infants who were HEU were significantly more likely to be underweight than those who were HUU

273 (9% vs 5%;  $p = 0.02$ ). From birth up to 12 months the proportions of infants who were stunted remained

274 higher among infants who were HEU in comparison to those who were HUU; at birth (8% vs 6%;  $p =$

275 0.22), 10 weeks (18% vs 15%;  $p = 0.25$ ), six months (15% vs 14%;  $p = 0.57$ ) and 12 months (11% vs

276 9%;  $p = 0.17$ ). At 12 months old the prevalence of undernutrition in both groups was low, with stunting

277 being more common than underweight and wasting (10%, 2%, 1%) respectively. Simultaneously, 19%

278 of all infants, including among those who were HEU compared to those who were HUU (17% vs 21%;  
279  $p = 0.22$ ) were overweight.

**Table 4.** Prevalence of Malnutrition by Infant HIV Status from Birth up to 12 months

Characteristic	Indicator	Total infants N = 796	Infants HUU N = 400 (50%)	Infants HEU N = 396 (50%)	p-value
		Frequency (n%)	Frequency (n%)	Frequency (n%)	
<b>birth</b>					
Stunting (n=757)	LAZ < -2	52 (7)	22 (6)	30 (8)	0.22
Missing		39 (5)	18 (4)	21 (5)	
Underweight (n=796)	WAZ < -2	30 (4)	15 (4)	15 (4)	0.98
Missing		0 (0)	0 (0)	0 (0)	
Wasting (n=)	-	-	-	-	-
Missing	-	-	-	-	-
Overweight (n=)	-	-	-	-	-
Missing	-	-	-	-	-
<b>10 weeks</b>					
Stunting (n = 636)	LAZ < -2	130 (16)	60 (15)	70 (18)	0.25
Missing		160 (20)	78 (20)	82 (21)	
Underweight (n = 645)	WAZ < -2	56 (7)	20 (5)	36 (9)	0.02
Missing		151 (20)	73 (18)	78 (20)	
Wasting (n = 618)	WLZ < -2	13 (2)	7 (2)	6 (2)	0.81
Missing		178 (22)	88 (22)	90 (23)	
Overweight (n = 618)	WLZ > +2	120 (15)	66 (17)	54 (14)	0.27
Missing		178 (22)	88 (22)	90 (23)	
<b>6 months</b>					
Stunting (n= 613)	LAZ < -2	114 (14)	56 (14)	58 (15)	0.57
Missing		183 (23)	84 (21)	99 (25)	
Underweight (n= 612)	WAZ < -2	24 (3)	13 (3)	11 (3)	0.80

Missing		184 (23)	84 (21)	100 (25)	
Wasting (n= 603)	WLZ < -2	9 (1)	7 (2)	2 (1)	0.18
Missing		193 (24)	88 (22)	105 (26)	
Overweight (n= 603)	WLZ > +2	143 (18)	72 (18)	71 (18)	0.70
Missing		193 (24)	88 (22)	105 (27)	
<b>12 months</b>					
Stunting (n= 612)	LAZ < -2	79 (10)	35 (9)	44 (11)	0.17
Missing		184 (23)	85 (21)	99 (25)	
Underweight (n=609)	WAZ < -2	17 (2)	8 (2)	9 (2)	0.71
Missing		188 (24)	87 (22)	101 (26)	
Wasting (n= 604)	WLZ < -2	9 (1)	5 (1)	4 (1)	1.00
Missing		192 (24)	90 (23)	102 (26)	
Overweight (n= 604)	WLZ > +2	149 (19)	83 (21)	66 (17)	0.22
Missing		192 (24)	90 (22)	102 (26)	

280 LAZ Length-for-age z-score, WAZ Weight-for-age z-score, WLZ Weight-for-length z-score,  
281 HEU HIV-exposed-uninfected, HUU HIV-unexposed uninfected  
282 P-values for categorical data were calculated using Pearson's chi-squared or Fisher's exact test  
283  
284 LME models of mean WAZ, LAZ and WLZ between infants who were HEU and HUU for duration of  
285 BF are shown in Table 5. On average, infants who were HEU had lower WAZ and LAZ than those who  
286 were HUU [ $\beta = -0.147$ ; 95% CI: -0.327, 0.033;  $p = 0.11$ ] and [ $\beta = -0.146$ ; 95% CI: -0.339, 0.471;  $p =$   
287 0.14] keeping age at visit, maternal age, duration of BF, HFSS, employment and marital status constant.  
288 However, the association between infant HIV exposure status and WAZ and infant HIV exposure status  
289 and LAZ were not statistically significant. On average, infant's mothers who were married/cohabiting  
290 compared to those who were not had higher WAZ and LAZ [ $\beta = 0.286$ ; 95% CI: - 0.112, 0.459;  $p =$   
291 0.001] and [ $\beta = 0.295$ ; 95% CI: 0.109, 0.480;  $p = 0.002$ ] holding the same variables constant. On  
292 average, infant's mothers who were food secure had higher WLZ than those who were food insecure [ $\beta$   
293 = 0.342; 95% CI: 0.029, -0.655;  $p = 0.03$ ] keeping all other variables constant. Associations between  
294 visits and WAZ, LAZ and WLZ were significant in all models. There were no statistically significant

295 associations between duration of BF and WAZ, LAZ or WLZ. Models for mean WAZ, LAZ and WLZ  
296 between infants who were HEU and HUU for any BF and EBF are shown in (Supplementary Tables 7  
297 and 8, Additional File 2 and 3) respectively.

**Table 5. Linear mixed effects models of WAZ, LAZ and WLZ between infants HEU and HUU for duration of breastfeeding**

**Model 1: WAZ between infants HEU and HUU for duration of breastfeeding N of observations = 2138**

Effect	Estimate	(95% CI)	p-value
<b>Visits</b>			
7 days	Ref		
10 weeks	-0.067	-0.166 – 0.033	0.19
6 months	0.363	0.262 – 0.463	< 0.001
12 months	0.621	0.523 – 0.719	< 0.001
<b>Infant HIV exposure status</b>			
Infants HUU	Ref		
Infants HEU	-0.147	-0.327 – 0.033	0.11
<b>Maternal age</b>			
	-0.016	-0.031 – -0.000	0.04
<b>Employment status</b>			
Not employed/ Not studying	Ref		
Formal employment	0.178	-0.005 – 0.361	0.06
Informal employment	0.321	-0.827 – 1.470	0.58
Attending school/ College	-0.016	-0.338 – 0.305	0.92
Other, work or studying	0.522	-0.944 – 1.988	0.48
<b>Marital status</b>			
Not married/Not cohabiting	Ref		
Married/ Cohabiting	0.286	0.112 – 0.459	0.001
<b>Duration of breastfeeding</b>			
	0.000	-0.000 – 0.000	0.78
<b>Household food security status</b>			
Food insecure	Ref		
Food secure	0.175	-0.106 – 0.457	0.22

**Model 2: LAZ between infants HEU and HUU for duration of breastfeeding N of observations = 2111**

Effect	Estimate	(95% CI)	p-value
<b>Visits</b>			
7 days	Ref		
10 weeks	-1.550	-1.698 - -1.400	< 0.001
6 months	-1.380	-1.531 - -1.233	< 0.001
12 months	-1.260	-1.408 - -1.115	< 0.001
<b>Infant HIV exposure status</b>			
Infants HUU	Ref		
Infants HEU	-0.146	-0.339 – 0.471	0.14
<b>Maternal age</b>			
	-0.014	-0.031 – 0.002	0.09
<b>Employment status</b>			
Not employed/ Not studying	Ref		
Formal employment	-0.010	-0.206 – 0.186	0.92
Informal employment	0.897	-0.316 – 2.110	0.15
Attending school/ College	0.197	-0.147 – 0.540	0.26
Other, work or studying	0.672	-0.923 – 2.265	0.41
<b>Marital status</b>			
Not married/Not cohabiting	Ref		
Married/ Cohabiting	0.295	0.109 – 0.480	0.002
<b>Duration of breastfeeding</b>			
	-0.000	-0.000 – 0.000	0.62
<b>Household food security status</b>			
Food insecure	Ref		
Food secure	-0.065	-0.367 – 0.237	0.67

**Model 3: WLZ between infants HEU and HUU for duration of breastfeeding N of observations = 1525**

Effect	Estimate	(95% CI)	p-value
<b>Visits</b>			
10 weeks	Ref		
6 months	0.125	-0.022 – 0.272	0.09
12 months	0.174	0.029 – 0.319	0.02
<b>Infant HIV exposure status</b>			
Infants HUU	Ref		
Infants HEU	0.008	-0.191 – 0.207	0.94
<b>Maternal age</b>			
	-0.010	-0.027 – 0.007	0.24

**Employment status**

Not employed/ Not studying	Ref		
Formal employment	0.173	-0.029 – 0.375	0.09
Informal employment	-0.588	-1.810 – 0.634	0.34
Attending school/ College	-0.094	-0.448 – 0.261	0.60
Other, work or studying	0.553	-1.147 – 2.252	0.52

**Marital status**

Not married/ Not cohabiting	Ref		
Married/ Cohabiting	0.134	-0.057 – 0.324	0.17

**Duration of breastfeeding**

	0.000	-0.000 – 0.000	0.33
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**Household food security status**

Food insecure	Ref		
Food secure	0.342	0.029 – 0.655	0.03

298 LAZ Length-for-age z-score, WAZ Weight-for-age z-score, WLZ Weight-for-length z-score,  
 299 HEU HIV-exposed-uninfected, HUU HIV-unexposed uninfected

## 300 **Discussion**

301 In this study, we found that on average, infants who were HEU had lower mean WAZ and LAZ  
302 compared to those who were HUU over 12 months when adjusting for all other variables. These  
303 differences did not reach statistical significance. In addition, this was irrespective of BF variable  
304 (duration of BF, any BF, or EBF up to 6 months) (Table 5; Supplementary Tables 7 and 8, Additional  
305 files 2 and 3). Female infants who were HEU were found to have significantly lower birth weight  
306 compared to those who were HUU suggesting that there may be sex differences in fetal programming  
307 based upon in utero exposures. Infants born to married/cohabiting mothers compared to not  
308 married/not cohabiting had an associated higher average WAZ and LAZ across the feeding variables.  
309 Being food secure compared to food insecure was associated with a higher average WAZ and WLZ  
310 irrespective of feeding variables. At 10 weeks, while a significantly higher occurrence of underweight  
311 was found in infants who were HEU compared to HUU the two groups had similar proportions from  
312 six months. Stunting was consistently more prevalent among infants who were HEU than HUU from  
313 birth up to 12 months. These proportions and similarly in the underweight category however decreased  
314 from six months of age. Among both groups, infants experienced high proportions of overweight by 12  
315 months. The most recent national estimates for overweight among children younger than the age of  
316 five years in SA is 13%.<sup>33, 34</sup>

317 The findings of this study in terms of lower WAZ and LAZ among infants who were HEU compared  
318 to HUU were consistent with findings in other African populations.<sup>3, 10, 35, 36</sup> In SSA lower WAZ<sup>8, 11</sup>  
319 and LAZ<sup>8</sup> among infants who were HEU compared to those who were HUU were found at birth and  
320 up to 12 and 18 months old respectively. The findings in this study show that being married/cohabiting  
321 and food secure may have a greater impact on infant growth outcomes than being among the population  
322 of infants who were HEU. It could mean that married/cohabiting households have a combined income  
323 with increased availability of food options. However, more research is needed to investigate what  
324 about being married impacts infant growth. A recent study in SA<sup>37</sup> has demonstrated the impact of  
325 food security as part of a HIV-related syndemic on the health of infants who were HEU compared to  
326 HUU.

327 Moreover, neither duration of BF, nor any BF or EBF had a significant impact on the growth outcomes  
328 of infants. Higher proportions of stunting among infants who were HEU compared to HUU remained  
329 by 12 months, while similar proportions of underweight were observed among infants who were HEU  
330 compared to those who were HUU from 6 to 12 months. Furthermore, at 12 months stunting remained  
331 the most common type of undernutrition among all infants. High proportions of overweight among  
332 both groups of infants were more common than all forms of undernutrition at 12 months. Another  
333 study in SA<sup>35</sup> reported similar findings of linear growth faltering being the most common form of  
334 undernutrition by 12 months of age while at the same time authors observed a high prevalence of  
335 overweight among both infants who were HEU and HUU. The findings from this study therefore  
336 contributes to the literature that the double burden of undernutrition and overnutrition remains  
337 common in SSA.

338 WHO and South African guidelines recommend the introduction of complementary foods from six  
339 months old, while BF continues. Similar proportions among infants who were HEU compared to HUU  
340 were introduced to: solid, semi-solid or soft foods; egg and/or flesh food; sweet beverages; and  
341 consumption of vegetables and/or fruit by six months of age. A study in SA<sup>11</sup> reported similar results  
342 in which both groups did not consume any fruit or vegetables at 6 months, however, the prevalence  
343 decreased at 12 months. Appropriate introduction to complementary foods especially increased  
344 consumption of fruits and vegetables must be promoted while consumption of fruit juice needs to be  
345 discouraged to prevent early obesity among infants. This may explain the reason why overweight was  
346 the most common form of malnutrition from six months of age. Obesity in childhood is a predictor of  
347 obesity in adulthood making it critical to address this during the early stages of life. Duration of BF  
348 among infants who were HEU was significantly shorter than among those who were HUU. Infants  
349 who were HEU compared to HUU had significantly lower proportions of any BF from 10 weeks up  
350 to 12 months of age. Inappropriate types of complementary foods were prevalent among both infants  
351 who were HEU and HUU. Studies in SA<sup>35, 38</sup> and SSA<sup>8, 11</sup> reported similar findings of lower  
352 proportions of BF and shorter BF duration among infants who were HEU compared to HUU which  
353 highlights the need for longer duration of BF to be promoted among mothers of infants who are HEU.

354 Several strengths and limitations were identified for this study. Infants who were HEU and HUU were  
355 enrolled contemporaneously from the same community which allowed for the comparison of common  
356 socio-behavioural and economic characteristics between the two groups as well as temporal  
357 comparisons. Robust GA estimates were obtained by antenatal ultrasound. We had data for the timing  
358 of ART exposure in WLHIV. However, the findings may not be generalizable to other settings such  
359 as rural areas or with less-well implemented VTP programmes. Additionally, timing of ART was not  
360 included in the final LME models although all WLHIV were receiving ART. When doing observational  
361 research, it is challenging to determine causal effects due to potential unmeasured confounders.  
362 Overall, the study and data analytic approach did its best to reduce confounding by consulting the  
363 literature to determine which covariates may be associated with both the independent and dependent  
364 variables and included these covariates as fixed effects in the LME models.

### 365 **Conclusion**

366 In this study, we found that on average over 12 months the growth outcomes of infants who were  
367 HEU were negatively impacted compared to those who were HUU. These differences did not reach  
368 statistical significance. This was regardless of duration of BF/other feeding variables including other  
369 covariates adjusted for in the LME models. Being married/cohabiting and household food security  
370 appeared to have a greater impact on the growth of infants than HIV exposure. Higher proportions of  
371 stunting among infants who were HEU vs HUU remained by 12 months, while similar proportions of  
372 underweight were observed among infants who were HEU compared to HUU from 6 to 12 months.  
373 Among all infants, at 12 months overweight was the most common form of malnutrition with similar  
374 proportions between infants who were HEU and HUU. Infants who were HEU had lower proportions of  
375 both any and duration of BF. However, introduction to complementary feeding was similar and  
376 suboptimal among all infants which may have contributed to the high proportion of overweight in both  
377 groups. While improvements have been made to support BF mothers and their infants in the context of  
378 universal ART and BF, public health interventions are needed to strengthen; BF practices among  
379 infants who are HEU. Studies may need to investigate the introduction to complementary feeding  
380 among infants before the age of six months including the frequency at which these liquids and/or foods

381 were consumed to target growth faltering and the increasing prevalence of overweight among infants.

382 Furthermore, studies may need to explore the impact of marital status and HFSS on infant growth.

383 **Declarations**

384 **Availability of data and materials**

385 The datasets used and/or analysed during the current study are available from the corresponding author  
386 on reasonable request.

387 **Competing interests**

388 The authors declare that they have no competing interests.

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391 **Author's contributions**

392 JLR, EK, DCN, LH collaborated in the write-up of the manuscript. All authors contributed to; the  
393 design, conduct, research aims and objectives, research questions and statistical analyses of the  
394 manuscript. The manuscript was reviewed by EK, DCN and LH. The final manuscript was approved  
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399 **Author's information (optional)**

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## **PART C: APPENDICES**

## Supplementary material: Protocol

### Appendix 1: WHO breastfeeding recommendations

**Table 4.** Breastfeeding guidelines by World Health Organization<sup>10</sup>

<b>Breastfeeding guidelines</b>	<b>Age group</b>	<b>Definition</b>
<b>Breastfeeding guidelines</b>		
Ever breastfed	Children born in the last 24 months	Percentage children born in the last 24 months who were ever breastfed
Early initiation breastfeeding	Same as above	Percentage children breastfed within one hour after birth
Exclusively breastfed first two days after birth	Same as above	Percentage children breastfed exclusively
Exclusively breastfed below six months	Infants aged 0-5 months	Percentage infants breastfed exclusively with breast milk during previous day
Mixed milk feeding under six months	Same as above	Percentage infants given formula and/or animal milk in addition to breast milk during previous day
Continued breastfeeding	Children 12-23 months	Percentage children breastfed during previous day

Appendix 2: WHO complementary feeding recommendations

**Table 5.** Complementary feeding guidelines by World Health Organization<sup>10</sup>

<b>Guidelines</b>	<b>Age group</b>	<b>Definition</b>
<b>Complementary feeding guidelines</b>		
Start of solid, semi solid or soft foods	Infants aged 6-8 months	Percentage infants who consumed solid, semi-solid or soft foods during previous day
Minimum dietary diversity	Children aged 6-23 months	Percentage children who consumed foods and drinks from at least five out of eight defined food groups during previous day
Minimum meal frequency	Same as above	Percentage children who consumed solid, semi solid or soft foods (including milk feeds for non-breastfed children) the minimum amount of times or more during the day before
Minimum milk feeding for non-breastfed	Same as above	Percentage children who consumed at least two milk feeds the day before
Minimum acceptable diet	Same as above	Percentage children who consumed a minimum acceptable diet the day before
Egg and/ or flesh food consumption	Same as above	Percentage children who consumed egg and/ or flesh food the day before
Sweet beverage consumption	Same as above	Percentage children who consumed a sweet beverage during the day before
Unhealthy food consumption	Same as above	Percentage children who consumed selected sentinel unhealthy foods the day before
Zero vegetable or fruit consumption	Same as above	Percentage children who did not consume any vegetables or fruits the day before
<b>Other guidelines</b>		
Bottle feeding	Children aged 0-23 months	Percentage children bottle fed with a nipple the day before
Infant feeding area graphs	Infants aged 0-5 months	Percentage infants fed exclusively with breast milk, breast milk and water only, breast milk and non-milk liquids, breast milk and animal milk/formula, breast milk and complementary foods, and not breastfed the day before

## **PROTOCOL SYNOPSIS**

### **Background**

Infants who are HIV-Exposed Uninfected (HEU) are exposed to maternal HIV infection *in utero* but are not themselves infected with Human Immunodeficiency Virus (HIV) despite maternal infection. These infants and children comprise an emergent subpopulation in sub-Saharan Africa due to advances in the vertical transmission prevention policies in women living with HIV (WLHIV). In South Africa, the number of children who are HEU was estimated at 3.5 million in 2018, among the five highest countries recorded globally. These infants are subject to various exposures during early development including maternal HIV infection and antiretroviral therapy (ART) exposure *in utero* and via breastmilk; and directly via post-exposure prophylaxis (PEP). Their health outcomes differ from those of their HIV- Unexposed Uninfected (HUU) counterparts. Infections, diarrhoea and developmental delay are more common, placing them at an increased risk of both morbidity and mortality. Hospital admissions are increased and there is evidence of lower growth weight-for-age z-scores (WAZ) and length-for-age z-scores (LAZ) in comparison to their HUU counterparts.

By investigating harmful exposures and their impact on the health of infants who are HEU it is important to consider whether various infant feeding practices could impact on the growth of these infants as both exposure to HIV and feedings practices, including patterns and duration of breastfeeding, impact on their growth. It is well understood that breastmilk given to infants both during exclusive breast feeding and throughout continued breast feeding provide them with nutrients to help them grow optimally. However, it is unclear how breastfeeding practices and the addition of complementary feeding impact the growth of infants who are HEU in comparison to those who are HUU. Guidelines for feeding practices have been provided by World Health Organization (WHO) and the South African Department of Health. However, it is unclear what the growth outcomes are for infants who are HEU compared to HUU infants owing to limited research.

### **Aims and objectives**

The overall aim of this study is to identify, describe and compare associations of infant feeding practices and growth in infants who are HEU and HUU at Gugulethu Midwife Obstetric Unit (MOU), in an era of universal ART policies. The first objective is therefore to describe infant feeding practices from birth to 12 months old by maternal HIV and ART exposure status with specific reference to, the WHO breastfeeding recommendations; breastfed within one hour after birth, exclusively breastfed for six months, infants still breastfeeding at 12 months and those who received mixed milk feeding with formula and/or animal milk in addition to breast milk under six months. Infant feeding practices will also be described from birth to 12 months old by maternal HIV and ART exposure status with specific reference to; duration of breastfeeding if any and the type of complementary feeding. Finally, the second objective is to describe and compare infant growth measured by WAZ and LAZ between infants who are HEU and HUU and whether these are associated with types of feeding practices and Household Food Security Status (HFSS).

### **Methodology**

#### **Study design**

This study will evaluate the association between infant feeding practices and infant growth over a period of 12 months in Cape Town where limited research has been conducted in this setting pertaining to the aims and objectives. The study is designed as a secondary analysis of the B-Positive cohort study. The B-Positive study enrolled participants at their first antenatal care (ANC) visit at a primary maternity healthcare facility in Gugulethu, an urban township in Cape Town, South Africa. They comprise of pregnant women 18 years and above and their infants who were enrolled between January 2017 to July 2018.

For the B-Positive study eligible participants included women who had a confirmed maternal HIV test and who planned to reside in Cape town at least one year postpartum with their infants. Women who gave birth to a stillborn baby, who had a miscarriage or a termination of pregnancy were not included in this study. A total of 796 mother-infant pairs will be included in this secondary data analysis comprising of 396 infants who are HEU and 400 who are HUU. Of the WLHIV, 210 started ART preconception and 186 during this pregnancy.

#### **Research procedure and data collection**

The primary exposure for this secondary analysis is HIV exposure *in utero* (HEU vs HUU). Infant growth is the outcome. Maternal HIV status was self-reported and confirmation was provided through medical chart review. Women who tested negative for HIV at enrolment based on a rapid antibody test were routinely tested either right after delivery or during new born visits within 3-7 days after delivery and approximately once every three months both during breastfeeding. HIV Deoxyribonucleic (DNA) Polymerase Chain Reaction (PCR) testing of infants were taken at birth and 6 to 10 weeks according to national guidelines. Infants with HIV were excluded. Maternal

HIV history and other data related to medical conditions were based on medical records. At each of the four postpartum visits, maternal weight and infant anthropometry were performed and participants completed interviewer-administered standardized questionnaires. Relevant to this study are the Infant Feeding Practices and Food Security questionnaires as well as mothers' and infants' growth anthropometry.

Infant feeding practices were assessed according to the WHO guidelines. Infant feeding questionnaires were administered postnatally to all women by trained study interviewers at four study visits. Birth weight and length were extracted from South Africa's Road to Health Booklet (RTHB) to derive anthropometric outcomes, WAZ and LAZ to represent surrogate measures of fetal growth. Weight and length were measured at each study visit (birth, 10 weeks, 6 months and 12) by study staff. During the 6-10 week and 6-month study visit infant weight was assessed with precision to the nearest 10 grams using a calibrated digital infant scale (MTB 20 Baby Scale, Adam Equipment, Milton Keynes, UK), by trained study staff without clothing and diapers. All the infant measurements were taken while the infant was recumbent with the use of an infant length measuring board. Length measurements were recorded to the nearest 0.25 centimetres using a rigid recumbent length board (ADE MZ 1013 Baby Length Measuring Rod, ADE, Hamburg, Germany). Two measurements of infant weight and recumbent length were taken at each visit by study staff and the average was calculated to ensure accuracy and avoid outliers. No big differences were found between the two measures for weight and length.

### **Data analysis**

Data will be exported from REDCap into the R statistical package for analysis. Descriptive studies will be used to describe infant and maternal characteristics and to describe the duration and type of feeding practices. WAZ and LAZ at birth will be generated using Intergrowth-21 software which accounts for gestational age at birth and sex. WAZ and LAZ for 10 weeks, 6 months and 12 months will be calculated using the WHO Child Growth Standards for age and sex. The z-score results will be reported as mean and standard deviation at each age. Student t-tests will be used to compare WAZ and LAZ differences between infants who are HEU and those who are HUU. We will use the lme4 package in R to conduct a mixed effects longitudinal analysis with a set of independent covariates.

### **Ethical considerations**

The B-Positive cohort study has received ethical approval from the University of Cape Town's Faculty of Health Sciences Research Ethics Committee (UCT-HREC) (HREC REF: 749/2015). Written informed consent was obtained from women in which participants were informed of potential risks. Women consented to participation antenatally, and again for themselves and their infants after birth. The level of risk which was low has remained unchanged throughout the study. For the B-Positive study participants were informed that there were no individual benefits for them but that the data obtained from the study would be useful to improve the services of pregnant women and their children in South Africa. In addition, for the B-Positive study participants received at the end of each study visit a R100 grocery voucher, R20 for transport and food, and drink at their visit. Staff involved in the data collection and management process received specific training with respect to confidentiality. In addition, personnel were required to sign a confidentiality agreement form.

For this secondary analysis, it is required that an agreement of confidentiality be signed when working with the B-Positive data. The risk of harm in this study is also low. The main risk is that of loss of confidentiality and the researcher will have access to health and other personal information. This risk has been minimized by the use of a unique study number allocated to each participant and used on all electronic and paper forms. No identifying information was collected on study forms and the researcher will not have access to this information. Hard copy participant-related information was stored in locked filing cabinets for the B-Positive study and electronic records were made password-protected and files were encrypted. All data will be stored on the researcher's password-protected laptop and deleted after submission of the dissertation and publication of the manuscript (original data remains on the B-positive REDCap database until five years after the closure of this study). Every effort will be made to protect the privacy of the participants.

**Supplementary material: Manuscript**

Additional file 1

**Table 6.** Mother-infant pair characteristics and household characteristics in WLHIV by ART exposure status

	<b>All women and infants HEU N = 396</b>	<b>Preconception on ART N = 210 (53%)</b>	<b>Antenatal ART N = 186 (47%)</b>	<b>p-value</b>
<b>Maternal characteristics</b>				
Age (years), median (IQR)	31 (27-35)	33 (29-37)	29 (26-33)	< 0.001
<b>Level of education completed n (%)</b>				
Primary school	19 (5)	10 (5)	9 (5)	0.66
Secondary school	373 (94)	199 (95)	174 (93)	
Tertiary school	4 (1)	1 (0.4)	3 (2)	
<b>Marital status n (%)</b>				
Married/ Cohabiting	177 (45)	101 (48)	76 (41)	0.26
Not married/ Not cohabiting	199 (50)	102 (49)	97 (52)	
Missing	20 (5)	7 (3)	13 (7)	
<b>Employment status n (%)</b>				
Formal employment	146 (37)	80 (38)	66 (35)	0.84
Informal employment	1 (0.2)	1 (0.5)	0 (0)	
Attending school/ College	17 (4)	8 (4)	9 (5)	
Other	1 (0.2)	1 (0.5)	0 (0)	
working/ studying				
Not employed/ Not studying	231 (58)	120 (57)	111 (60)	
<b>Socio-economic status* n (%)</b>				
Lowest SES	150 (38)	72 (34)	78 (42)	0.38
Moderate SES	128 (32)	68 (32)	60 (32)	
Highest SES	117 (29)	69 (33)	48 (26)	
Missing	1 (0.2)	1 (0.5)	0 (0)	
<b>Alcohol use in this pregnancy n (%)</b>				
Yes	41 (10)	16 (8)	25 (13)	0.06
No	355 (90)	194 (92)	161 (87)	
<b>GA at enrolment (weeks) n (%)</b>				
>= 20 weeks	198 (50)	97 (46)	101 (54)	0.11
< 20 weeks	196 (49)	112 (53)	84 (45)	
Missing	2 (0.5)	1 (0.5)	1 (0.5)	
GA at delivery (weeks), median (IQR)	39 (38-40)	39 (39-40)	39 (38-40)	0.37
Gravidity, median (IQR)	3 (2-3)	3 (2-4)	3 (2-3)	0.03
Parity, median (IQR)	2 (1-2)	2 (1-2)	1 (1-2)	0.06
<b>Household food security status last 12 months** n (%)</b>				
Food secure	31 (8)	15 (7)	16 (9)	0.61
Food insecure	301 (76)	160 (76)	141 (76)	
Missing	64 (16)	35 (17)	29 (15)	
<b>Birth and infant characteristics</b>				
<b>Infant sex n (%)</b>				
Female	208 (53)	109 (52)	99 (53)	0.79
Male	188 (47)	101 (48)	87 (47)	
<b>Delivery mode n (%)</b>				
Vaginal	257 (65)	139 (66)	118 (63)	0.65
Scheduled C-section	53 (14)	27 (13)	26 (14)	
Emergency C-section	77 (19)	37 (18)	40 (22)	
Other	9 (2)	7 (3)	2 (1)	
<b>Preterm delivery (&lt; 37 weeks) n (%)</b>	59 (15)	22 (10)	37 (20)	0.009
<b>Birth weight, median (IQR)</b>				

Female	3.12 (2.80-3.38)	3.16 (2.87-3.42)	3.11 (2.68-3.36)	0.33
Male	3.15 (2.86-3.47)	3.22 (2.97-3.48)	3.13 (2.80-3.43)	0.13
<b>Birth length, median (IQR)</b>				
Female	50 (48-51)	50 (49-52)	50 (47-51)	0.08
Missing	11	5	6	
Male	50 (48-52)	50 (48-52)	50 (48-52)	0.62
Missing	10	5	5	
<b>Head Circumference, median (IQR)</b>				
Female	35 (33-36)	35 (33-36)	35 (34-36)	0.86
Missing	27	14	13	
Male	36 (34-37)	36 (35-37)	36 (34-37)	0.97
Missing	32	16	16	
<b>Small for GA &lt; 10<sup>th</sup> centile n (%)</b>	40 (10)	20 (10)	20 (11)	0.69
<b>Low birthweight &lt; 2.5kg n (%)</b>	48 (12)	21 (10)	27 (15)	0.17
<b>Birth z-score, mean (SD)</b>				
Weight-for-age	-0.12 (1.10)	-0.12 (1.05)	-0.13 (1.16)	0.94
Length-for-age	0.57 (1.69)	0.59 (1.72)	0.55 (1.65)	0.82
Missing	21	10	11	
Head circumference	1.18 (1.49)	1.10 (1.44)	1.27 (1.54)	0.30
Missing	59	30	29	

*IQR* Interquartile range, *SD* Standard deviation, *GA* Gestational age, *HEU* HIV-exposed-uninfected, *HUU* HIV-unexposed uninfected, *ART* Antiretroviral therapy

\*SES included; housing type, toilet, water, electricity, refrigerator, landline, television, level of education, employment status. Cut offs used were  $\geq 7.000$  high,  $\leq 4.000$  low and other medium

\*\*Household food security status measured using U.S. Household Food Security Module: Six-Item Short Form Economic Research Service, USDA September 2012

Additional file 2

**Table 7. Linear mixed effects models of WAZ, LAZ and WLZ between infants HEU and HUU for any breastfeeding**

**Model 1: WAZ between infants HEU and HUU for any breastfeeding. N of observations = 2138**

Effect	Estimate	(95% CI)	p-value
<b>Visits</b>			
7 days	Ref		
10 weeks	-0.067	-0.166 – 0.033	0.19
6 months	0.363	0.262 – 0.463	< 0.001
12 months	0.621	0.523 – 0.719	< 0.001
<b>Infant HIV exposure status</b>			
Infants HUU	Ref		
Infants HEU	-0.152	-0.325 – 0.022	0.09
<b>Maternal age</b>			
	-0.015	-0.031 – 0.000	0.05
<b>Employment status</b>			
Not employed/ Not studying	Ref		
Formal employment	0.176	-0.007 – 0.358	0.06
Informal employment	0.324	-0.823 – 1.470	0.58
Attending school/ College	-0.020	-0.340 – 0.301	0.90
Other, work or studying	0.542	-0.917 – 2.001	0.47
<b>Marital status</b>			
Not married/ Not cohabiting	Ref		
Married/ Cohabiting	0.285	0.112 – 0.458	0.001
<b>Any breastfeeding</b>			
No	Ref		
Yes	0.304	-0.586 – 1.194	0.50
<b>Household food security status</b>			
Food insecure	Ref		
Food secure	0.177	-0.104 – 0.459	0.22

**Model 2: LAZ between infants HEU and HUU for any breastfeeding. N of observations = 2111**

Effect	Estimate	(95% CI)	p-value
<b>Visits</b>			
7 days	Ref		
10 weeks	-1.550	-1.698 - -1.400	< 0.001
6 months	-1.380	-1.531 – -1.233	< 0.001
12 months	-1.260	-1.408 - -1.116	< 0.001
<b>Infant HIV exposure status</b>			
Infants HUU	Ref		
Infants HEU	-0.132	-0.317 – 0.054	0.16
<b>Maternal age</b>			
	-0.014	-0.031 – 0.002	0.09
<b>Employment status</b>			
Not employed/ Not studying	Ref		
Formal employment	-0.006	-0.201 – 0.189	0.95
Informal employment	0.878	-0.334 – 2.090	0.15
Attending school/ College	0.202	-0.141- 0.545	0.25
Other, work or studying	0.634	-0.953 – 2.221	0.43
<b>Marital status</b>			
Not married/ Not cohabiting	Ref		
Married/ Cohabiting	0.291	0.105 – 0.476	0.002
<b>Any breastfeeding</b>			
No	Ref		
Yes	0.108	-0.832 – 1.048	0.82
<b>Household food security status</b>			
Food insecure	Ref		
Food secure	-0.061	-0.363 – 0.241	0.69

**Model 3: WLZ between infants HEU and HUU for any breastfeeding. N of observations = 1525**

Effect	Estimate	(95% CI)	p-value
<b>Visits</b>			
10 weeks	Ref		

6 months	0.126	-0.021 – 0.273	0.09
12 months	0.177	0.032 – 0.321	0.02
<b>Infant HIV exposure status</b>			
Infants HUU	Ref		
Infants HEU	-0.018	-0.209 – 0.172	0.85
<b>Maternal age</b>	-0.009	-0.026 – 0.008	0.30
<b>Employment status</b>			
Not employed/ Not studying	Ref		
Formal employment	0.163	-0.039 – 0.364	0.11
Informal employment	-0.563	-1.783 – 0.658	0.37
Attending school/ College	-0.106	-0.460 – 0.248	0.55
Other, work or studying	0.623	-1.071 – 2.318	0.47
<b>Marital status</b>			
Not married/ Not cohabiting			
Married/ Cohabiting	0.135	-0.055 – 0.325	0.16
<b>Any breastfeeding</b>			
No	Ref		
Yes	0.440	-0.523 – 1.402	0.37
<b>Household food security status</b>			
Food insecure	Ref		
Food secure	0.340	0.027 – 0.653	0.03

LAZ Length-for-age z-score, WAZ Weight-for-age z-score, WLZ Weight-for-length z-score,  
HEU HIV-exposed-uninfected, HUU HIV-unexposed uninfected

**Additional file 3**

**Table 8. Linear mixed effects models of WAZ, LAZ and WLZ between infants HEU and HUU for exclusive breastfeeding up to 6 months**

**Model 1: WAZ between infants HEU and HUU for exclusive breastfeeding up to 6 months N of observations = 2042**

Effect	Estimate	(95% CI)	p-value
<b>Visits</b>			
7 days	Ref		
10 weeks	-0.060	-0.162 – 0.041	0.24
6 months	0.366	0.265 – 0.467	< 0.001
12 months	0.626	0.525 – 0.727	< 0.001
<b>Infant HIV exposure status</b>			
Infants HUU	Ref		
Infants HEU	-0.141	-0.323 – 0.042	0.13
<b>Maternal age</b>			
	-0.016	-0.032 – 0.000	0.06
<b>Employment status</b>			
Not employed/ Not studying	Ref		
Formal employment	0.180	-0.014 – 0.373	0.07
Informal employment	0.352	-0.816 – 1.520	0.55
Attending school/ College	-0.001	-0.336 – 0.334	0.99
Other, work or studying	-0.089	-2.113 – 1.936	0.93
<b>Marital status</b>			
Not married/ Not cohabiting	Ref		
Married/ Cohabiting	0.297	0.114 – 0.479	0.001
<b>Exclusive breastfeeding up to 6 months</b>			
No	Ref		
Yes	-0.087	-0.405 – 0.231	0.59
<b>Household food security status</b>			
Food insecure	Ref		
Food secure	0.249	-0.048 – 0.547	0.10

**Model 2: LAZ between infants HEU and HUU for exclusive breastfeeding up to 6 months N of observations = 2016**

Effect	Estimate	(95% CI)	p-value
<b>Visits</b>			
7 days	Ref		
10 weeks	-1.510	-1.660 - -1.355	< 0.001
6 months	-1.360	-1.516 - -1.212	< 0.001
12 months	-1.220	-1.369 - -1.066	< 0.001
<b>Infant HIV exposure status</b>			
Infants HUU	Ref		
Infants HEU	-0.135	-0.327 – 0.058	0.17
<b>Maternal age</b>			
	-0.011	-0.028 – 0.006	0.21
<b>Employment status</b>			
Not employed/ Not studying	Ref		
Formal employment	-0.005	-0.208 – 0.199	0.96
Informal employment	0.924	-0.295 – 2.143	0.14
Attending school/ College	0.201	-0.152 – 0.554	0.26
Other, work or studying	-0.455	-2.568 – 1.658	0.67
<b>Marital status</b>			
Not married/ Not cohabiting	Ref		
Married/ Cohabiting	0.286	0.094 – 0.478	0.003
<b>Exclusive breastfeeding up to 6 months</b>			
No	Ref		
Yes	-0.252	-0.586 – 0.082	0.14
<b>Household food security</b>			
Food insecure	Ref		
Food secure	0.051	-0.264 – 0.365	0.75

**Model 3: WLZ between infants HEU and HUU for exclusive breastfeeding up to 6 months N of observations = 1472**

<b>Effect</b>	<b>Estimate</b>	<b>(95% CI)</b>	<b>p-value</b>
<b>Visits</b>			
10 weeks	Ref		
6 months	0.136	-0.012 – 0.285	0.07
12 months	0.177	0.029 – 0.325	0.02
<b>Infant HIV exposure status</b>			
Infants HUU	Ref		
Infants HEU	-0.002	-0.199 – 0.194	0.98
<b>Maternal age</b>			
	-0.012	-0.030 – 0.005	0.18
<b>Employment status</b>			
Not employed/ Not studying	Ref		
Formal employment	0.178	-0.030 – 0.386	0.09
Informal employment	-0.576	-1.803 – 0.651	0.36
Attending school/ College	-0.128	-0.490 – 0.235	0.49
Other, work or studying	0.108	-2.020 – 2.236	0.92
<b>Marital status</b>			
Not married/ Not cohabiting	Ref		
Married/ Cohabiting	0.164	-0.032 – 0.360	0.10
<b>Exclusive breastfeeding up to 6 months</b>			
No	Ref		
Yes	0.157	-0.179 – 0.494	0.36
<b>Household food security status</b>			
Food insecure	Ref		
Food secure	0.366	0.043 – 0.689	0.03

LAZ Length-for-age z-score, WAZ Weight-for-age z-score, WLZ Weight-for-length z-score,  
HEU HIV-exposed-uninfected, HUU HIV-unexposed uninfected



**UNIVERSITY OF CAPE TOWN**  
**Faculty of Health Sciences**  
**Human Research Ethics Committee**



**Room 45 E-52-E-Floor- Old Main Building**  
**Groote Schuur Hospital**  
**Observatory 7925**

**Telephone [021] 406 6492**

**Email: hrec-submissions@uct.ac.za**

**Website: <https://health.uct.ac.za/home/human-research-ethics>**

26 October 2022

**HREC REF: 665/2022**

**Dr E Kalk**

Centre for Infectious Disease Epidemiology  
& Research

Public Health & Family Medicine

Email: [Emma.kalk@uct.ac.za](mailto:Emma.kalk@uct.ac.za)

Student: [rhdlan003@myuct.ac.za](mailto:rhdlan003@myuct.ac.za)

Dear Dr Kalk

**PROJECT TITLE: ASSOCIATION BETWEEN INFANT FEEDING PRACTICES AND INFANT GROWTH BY MATERNAL HIV AND ANTIRETROVIRAL THERAPY STATUS: A PROSPECTIVE STUDY IN CAPE TOWN, SOUTH AFRICA-SUB-STUDY LINKED TO 749/2015- (MASTERS CANDIDATE-MS JANICE RHODA)**

Thank you for submitting your study to the Faculty of Health Sciences Human Research Ethics Committee (HREC) for review.

It is a pleasure to inform you that the HREC has **formally approved** the above-mentioned study.

**Approval is granted for one year until the 30 October 2023.**

Please submit a progress form, using the standardised Annual Report Form (FHS016) if the study continues beyond the approval period. Please submit a Standard Closure form if the study is completed within the approval period.

(Forms can be found on our website: [www.health.uct.ac.za/fhs/research/humanethics/forms](http://www.health.uct.ac.za/fhs/research/humanethics/forms))

***The HREC acknowledge that the student: Ms Janice Rhoda will also be involved in this study.***

**Please quote the HREC REF 665/2022 in all your correspondence.**

Please note that the ongoing ethical conduct of the study remains the responsibility of the principal investigator.

Please note that for all studies approved by the HREC, the principal investigator **must** obtain appropriate institutional approval, where necessary, before the research may occur.

Yours sincerely

Signed by candidate

**PROFESSOR M BLOCKMAN**  
**CHAIRPERSON, FACULTY OF HEALTH SCIENCES HUMAN RESEARCH ETHICS COMMITTEE**

Federal Wide Assurance Number: FWA00001637. Institutional Review Board (IRB) number: IRB00001938 NHREC-registration number: REC-210208-007

This serves to confirm that the University of Cape Town Human Research Ethics Committee complies to the Ethics Standards for Clinical Research with a new drug in patients, based on the Medical Research Council (MRC-SA), Food and Drug Administration (FDA-USA), International Council for Harmonisation of Technical Requirements for Pharmaceuticals for Human Use: Good Clinical Practice (ICH GCP), South African Good Clinical Practice Guidelines (DoH 2020), based on the Association of the British Pharmaceutical Industry Guidelines (ABPI), and Declaration of Helsinki (2013) guidelines. The Human Research Ethics Committee granting this approval is in compliance with the ICH Harmonised Tripartite Guidelines E6: Note for Guidance on Good Clinical Practice (CPMP/ICH/135/95) and FDA Code Federal Regulation Part 50, 56 and 312.

Consent for publication

## **B POSITIVE SENTINEL COHORT – PREGNANT WOMEN**

Informed consent for mothers

### **INFORMATION AND INFORMED CONSENT**

#### **WHAT IS THE PURPOSE OF THIS STUDY?**

We are researchers from the University of Cape Town (UCT) and we are asking you to participate in a study we are conducting at the Gugulethu Midwife Obstetric Unit (MOU) and its referral centres. The purpose of this study is to understand and monitor the health of pregnant women and how they are cared for at health facilities during pregnancy and after they deliver their baby. It includes women's mental and physical health and any medicines and remedies that are taken during pregnancy.

We know that it is important for their own health as well as that of their baby, that pregnant women receive all the care and treatment they need both during pregnancy and after delivery. Information learned in this study will help us to improve health services for pregnant women.

You are being asked to take part in this study because you are pregnant and you are getting your pregnancy care here at the Gugulethu MOU. The purpose of this consent form is to give you information to help you decide if you want to take part in this study.

#### **WHAT DO I HAVE TO DO IF I AGREE TO TAKE PART?**

If you agree to take part, you will come in for **up to 7 study visits**. The first visit will take place today while you are in the clinic, and then up to two more before delivery depending on how far your pregnancy is. These visits will occur after your routine ANC clinic visit. After you have given birth, the next visit will occur within one week of delivering your baby and again when your baby is about 6 weeks, 6 months and 12 months old. These study visits are separate from the usual clinic visits that you will have for your pregnancy or your baby's health but we can time the study visits so that they take place on the same days as your usual pregnancy and baby checkups. The first visit today will take about 90 minutes and each of the subsequent visits will take about 60 minutes.

At the visits that are conducted while you are pregnant, you will do the following:

- Answer questions about your pregnancy and related healthcare issues/problems
- Answer questions about any other health concerns or ailments
- Discuss any chronic health conditions (if present)
- Discuss any medicines and remedies you are taking
- Answer questions to assess whether you are stressed or unhappy (depressed)
- Discuss some aspects of your personal relationships
- Answer questions about the care you are receiving

If we feel there are any serious problems, we will refer you to the relevant specialized care.

#### One-week after delivery

One week after you give birth to your baby, you will come to the clinic for a visit that will include the following:

- A repeat of some of the questions discussed previously (health, medicines, stress)
- Questions about your delivery, your baby's health and feeding.

At the other visits after your baby is born (6 weeks, 6 months and 12 months old) you will do the following:

- Answer questions about your recent health and any health concerns
- Answer questions about your baby's health, feeding practices and immunizations

- Discuss any problems with taking chronic medications
- Answer questions to assess whether you are stressed or unhappy (depressed)
- Discuss some aspects of your personal relationships
- Answer questions about the care you are receiving

#### *Review of medical records*

As part of this study, we will also be looking at and taking information from your antenatal, obstetric, medical, and laboratory records. We will also be looking at and taking information from the health care records of your baby after she or he is born. From these records, we are interested in learning about the pregnancy care you received, medicines you took as well as information about your delivery and your baby's health. All data that we review and abstract is confidential and no participant names are recorded on study documents.

#### *Follow-up of missed visits*

You will be asked to provide contact information so that we may get in touch with you during the study. Study staff will talk with you about the best way to contact you. In the event that you miss one of the scheduled study visits, a member of the study staff will contact you in order to find another day and time to complete your visit. If you repeatedly miss study visits or the staff is unable to contact you using the information that you provide, it may be necessary to visit you at home in order to reschedule the missed study visit.

#### *Contact for future study*

After the completion of the visit one week after delivery, we will speak to you again to make sure you are happy to be involved in further research.

### **WHAT ARE THE POTENTIAL RISKS?**

You may feel uncomfortable about some of the personal questions you are asked. You may refuse to answer any question that you do not want to answer. There is some risk in sharing personal and medical information. We will be careful to keep all your information as private as possible.

### **WHAT ARE THE POTENTIAL BENEFITS?**

There is no direct benefit to you if you take part in this study. The information gained in this study may help to improve healthcare services for pregnant women and their babies in Cape Town, the Western Cape Province, and across South Africa.

### **WHAT ARE THE ALTERNATIVES TO TAKING PART?**

The alternative to taking part in this study is to continue with your usual health care. If you decide not to participate in this study, your usual health care will not be changed in any way.

### **WHAT ABOUT CONFIDENTIALITY?**

If you agree to take part, all information collected during the study will be kept strictly confidential. Your name will not be written on the study forms and will not be used in connection with any information or lab samples that are collected as part of the study.

All study materials will be stored in locked filing cabinets. Only study staff and personnel involved in routine audits will have access to these materials. All staff involved in data collection and management will get specific training in confidentiality.

Even with these procedures in place if the study staff learns that you are at risk of hurting yourself or someone else or of possible child abuse and/or neglect, study staff will tell the proper authorities.

### **ARE THERE ANY COSTS?**

There is no cost for being in this study.

### **CAN I LEAVE THE STUDY?**

You have the right to decide not to take part in the study, to refuse to answer any questions, or to withdraw from the study at any time without any penalty. It will have no effect on the care that you receive at the Gugulethu MOU or any other health facility.

### **WILL I BE GIVEN ANYTHING FOR TAKING PART?**

There is no payment for participation. At the end of each visit, you will be given a R100 grocery voucher, R20 for transport, and food and drink while you are at the visit.

**If you are HIV infected**, you will be asked to provide a dried blood spot (DBS) sample at each visit. This involves a prick on the tip of your finger with a sterile lancet, and the drops of blood are used to fill a maximum of five spots on a filter paper.

The DBS sample will be stored and used to check on your immune system (the part of your body that fights infections like HIV) and ARV levels at a later time. Results from these tests are purely for research and will not be available to you, the clinic, or the study staff. If the health care providers at the clinic need to check your blood, they will take a separate blood sample. When it is stored, your blood and test results will not have your name or any other way of identifying you attached to it.

Please initial below to indicate whether or not you give permission for us to take DBS sample from you. You may still remain in the study, even if you choose not to give a sample.

\_\_\_\_\_ (initial) I agree to have DBS sample as part of this research.

\_\_\_\_\_ (initial) I do NOT agree to have a DBS sample as part of this research.

### **FUTURE USE OF SAMPLES:**

If you are HIV-infected and agree, some of the blood drawn from you (DBS sample) as part of the study may be used for future HIV-related research. At this time, we cannot provide details of when this testing may be conducted, or exactly what tests we would like to do. However, additional testing will not be done using these stored samples without the approval of the appropriate research ethics committees involved in this research.

If you agree to let us keep your stored sample from you for future research, they may be kept in a locked freezer for up to 5 years. If we do use these samples in the future, the names of other identifiers will not be included with this information (as with the rest of the information we collect for this study).

Please initial below to indicate whether or not you give permission for these samples to be used for future research. You may still remain in the study, no matter which you choose.

\_\_\_\_\_ (initial) I agree to have my blood stored for future research.

\_\_\_\_\_ (initial) I do NOT agree to have my blood stored for future research.

**DO YOU HAVE ANY QUESTIONS?**

If there is anything that is unclear or if you need further information, please ask us and we will provide it.

Do you have any questions?

**FOR ADDITIONAL INFORMATION:**

If you have any questions or have any problems while taking part in this research study, you should contact:

Professor Landon Myer  
School of Public Health and Family Medicine  
Faculty of Health Sciences  
University of Cape Town  
Tel: 021 406-6661  
Email: [Landon.Myer@uct.ac.za](mailto:Landon.Myer@uct.ac.za)

Professor Andrew Boule  
School of Public Health and Family Medicine  
Faculty of Health Sciences  
University of Cape Town  
Tel 021 406-6715  
Email: [Andrew.Boule@uct.ac.za](mailto:Andrew.Boule@uct.ac.za)

If you have any questions about your rights as a research participant, you may contact the following member of the ethics committee:

Prof Marc Blockman  
Chair, Human Research Ethics Committee  
Faculty of Health Sciences, University of Cape Town  
Tel: 021 406-6338

## SIGNATURE PAGE

### For participant to complete (please tick):

I have read the information in this document (or it has been read to me). I have been offered a copy of this consent form. I was encouraged and given time to ask questions and all my questions about the study and my participation in it have been answered. I freely consent to be in this research study and agree to participate and know that I may withdraw at any time. My being in the study is voluntary. I understand that whether or not I participate will not affect my health care services received today, or at any time in the future.

I agree that the study team can access my medical records at this hospital or another hospital if necessary for this study. My information will be kept confidential.

I agree to provide contact information for myself which will be kept confidential by the study team.

I agree to be called on my telephone during the course of the study

---

Participant Name (Please print)

Participant Signature

Date/Time

---

Interviewer Name (Please print)

Interviewer Signature

Date/Time

*If this consent form is read to the participant because the participant is unable to read the form or if the participant must use a thumbprint to sign his/her name, an impartial witness not affiliated with the research or investigator must be present for the consent and sign the following statement:*

I confirm that the information in the consent form and any other written information was accurately explained to, and apparently understood by, the participant. The participant freely consented to be in the research study.

---

Witness Name (Please print)

Witness Signature

Date/Time

**Thank you!**

Informed consent for infants

## **B-POSITIVE SENTINEL COHORT – INFANT DIAGNOSTIC COHORT INFORMATION AND INFORMED CONSENT**

*YOU ARE CURRENTLY ENROLLED IN THE B-POSITIVE COHORT STUDY. NOW THAT YOU HAVE DELIVERED YOUR BABY WE WOULD LIKE TO INVITE YOU AND YOUR BABY TO CONTINUE TO PARTICIPATE IN THE STUDY. THIS INFORMATION AND CONSENT FORM CONCERNS YOUR BABY'S ENROLMENT IN THE STUDY.*

### **WHAT IS THE PURPOSE OF THIS STUDY?**

We are researchers from the University of Cape Town (UCT) and we are asking you to participate in a study we are conducting at the Gugulethu Midwife Obstetric Unit (MOU) and its referral centres. The purpose of this study is to understand and monitor the health of pregnant women and how they are cared for at health facilities during pregnancy and after they deliver their baby. It includes women's mental and physical health and any medicines and remedies that are taken during pregnancy.

We know that it is important for their own health as well as that of their baby, that pregnant women receive all the care and treatment they need both during pregnancy and after delivery. Information learned in this study will help us to improve health services for pregnant women and their infants.

You are being asked to take part in this study because were enrolled in the earlier parts of this study and you have recently given birth. The purpose of this consent form is to give you information to help you decide if you and your baby want to continue in the next part of the study.

### **WHAT DO I HAVE TO DO IF I AGREE TO TAKE PART?**

If you agree to take part, you will come in for at least **4 study visits**. These visits will take place today while you are in the clinic (after 7 days' post delivery), and then when your baby is about 6 weeks, 6 months and 12 months old. All of these study visits are separate from the usual clinic visits that you will have for your baby's health. Study visits can be arranged for dates and times that suit you. Each visit will take about 1 hour: 30 minutes. We may also speak to you over the phone in between these visits.

At these visits you will do the following:

- Answer questions about the use of any medicines and remedies
- Answer questions about your baby's health, feeding practices and infant health care
- Answer questions about any other health concerns
- Answer questions to assess whether you are stressed or unhappy (depressed)
- Discuss some aspects of your personal relationships
- Answer questions about the care you and your baby are receiving
- Have your baby's weight and height measured

### *Review of medical records*

As part of this study, we will also be looking at and taking information from your medical, and laboratory records. We will also be looking at and taking information from the health care records of your baby (such as the Road to Health Card and details of any hospital visits). From these records, we are interested in learning

about the health care you receive as well as information about your baby's health. All data that we review and abstract is confidential and no participant names are recorded on study documents.

#### *Follow-up of missed visits*

You will be asked to provide contact information so that we may get in touch with you during the study. Study staff will talk with you about the best way to contact you. In the event that you miss one of the scheduled study visits, a member of the study staff will contact you in order to find another day and time to complete your visit. If you repeatedly miss study visits or the staff is unable to contact you using the information that you provide, it may be necessary to visit you at home in order to reschedule the missed study visit.

#### *Other contact*

As part of participating, we may ring you on your cellphone or send you reminder SMS's regarding your appointments. If we do this, we will not mention any aspect of your health or health care. We will simply refer to the "Appointment for you and your baby at the Gugulethu CHC".

#### *Contact for future study*

After the completion of the last study visit, we will speak to you again to see if you are happy to be involved in further research.

### **WHAT ARE THE POTENTIAL RISKS?**

You may feel uncomfortable about some of the personal questions you are asked. You may refuse to answer any question that you do not want to answer. There is some risk in sharing personal and medical information. We will be careful to keep all your information as private as possible.

### **WHAT ARE THE POTENTIAL BENEFITS?**

There is no direct benefit to you if you take part in this study. The information gained in this study may help to improve services of pregnant women and their babies in Cape Town, the Western Cape Province, and across South Africa.

### **WHAT ARE THE ALTERNATIVES TO TAKING PART?**

The alternative to taking part in this study is to continue with your usual health care. If you decide not to participate in this study, your usual health care will not be changed in any way.

### **WHAT ABOUT CONFIDENTIALITY?**

If you agree to take part, all information collected during the study will be kept strictly confidential. Your name will not be written on the study forms and will not be used in connection with any information or lab samples that are collected as part of the study.

All study materials will be stored in locked filing cabinets. Only study staff and personnel involved in routine audits will have access to these materials. All staff involved in data collection and management will get specific training in confidentiality.

Even with these procedures in place if the study staff learns that you are at risk of hurting yourself or someone else or of possible child abuse and/or neglect, study staff will tell the proper authorities.

### **WILL I BE GIVEN ANYTHING FOR TAKING PART?**

There is no payment for participation. At the end of each visit, you will be given a R100 grocery voucher, R20 for transport and food, and drink while you are at the visit.

### **ARE THERE ANY COSTS?**

There is no cost for being in this study.

### **CAN I LEAVE THE STUDY?**

You have the right to decide not to take part in the study, to refuse to answer any questions, or to withdraw from the study at any time without any penalty. It will have no effect on the care that you or your baby receives at this or any other health facility.

### **DO YOU HAVE ANY QUESTIONS?**

If there is anything that is unclear or if you need further information, please ask us and we will provide it.

Do you have any questions?

### **FOR ADDITIONAL INFORMATION:**

If you have any questions or have any problems while taking part in this research study, you should contact:

Professor Landon Myer

School of Public Health and Family Medicine

Faculty of Health Sciences

University of Cape Town

Tel: 021 406-6661

Email: [Landon.Myer@uct.ac.za](mailto:Landon.Myer@uct.ac.za)

Associate Professor Andrew Boulle

School of Public Health and Family Medicine

Faculty of Health Sciences

University of Cape Town

Tel 021 406-6715

Email: [Andrew.Boulle@uct.ac.za](mailto:Andrew.Boulle@uct.ac.za)

If you have any questions about your rights as a research participant, you may contact the following member of the ethics committee:

Prof Marc Blockman

Chair, Human Research Ethics Committee

Faculty of Health Sciences

University of Cape Town

Tel: 021 406-6338

## SIGNATURE PAGE

### For participant to complete (please tick):

- I have read the information in this document (or it has been read to me). I have been offered a copy of this consent form. I was encouraged and given time to ask questions and all my questions about the study and my participation in it have been answered. I freely consent to my baby's participation in this research study and know that I may withdraw at any time. My and my baby's being in the study is voluntary. I understand that whether or not I participate will not affect my health care services received today, or at any time in the future.
- I agree that the study team can access my and my baby's medical records at this hospital or another hospital if necessary for this study. All information will be kept confidential.
- I agree to provide contact information for myself which will be kept confidential by the study team.
- I agree to be called on my telephone to be interviewed.

---

Participant Name (Please print)

Participant Signature

Date/Time

---

Interviewer Name (Please print)

Interviewer Signature

Date/Time

*If this consent form is read to the participant because the participant is unable to read the form or if the participant must use a thumbprint to sign his/her name, an impartial witness not affiliated with the research or investigator must be present for the consent and sign the following statement:*

I confirm that the information in the consent form and any other written information was accurately explained to, and apparently understood by, the participant. The participant freely consented to be in the research study.

---

Witness Name (Please print)

Witness Signature

Date/Time

**Thank you!**



PWID: \_\_\_\_\_ - \_\_\_\_\_

<p>4. Kwintsuku zokuqala ezintathu emva kokuba ubelekile, ingaba umntwana wakho wayinikwa nantoni na eselwayo okanye engcamlwayo (nokuba ngamaqabaza ambalwa ento okanye into encinci engonogcwala nomlomo) <i>Within the first three days after birth, was your baby given anything to drink or taste (even a few drops of something or less than a mouth full) other than breastmilk?</i></p>	<p><input type="checkbox"/> Ewe <i>Yes</i> <input type="checkbox"/> Hayi <i>No</i> → <b>Gqithela ku Q6</b> <input type="checkbox"/> Andazi <i>Don't know</i> → <b>Gqithela ku Q6</b></p>
<p>5. Kwintsuku ezintathu emva kokuba ubelekileyo yintoni eyanikwa umntwana wakho ukuba ayisele okanye ayingcamle? <i>What was your baby given to drink or taste within the first 3 days after birth?</i></p> <p>Funda zonke, kheta <b>ZONKE</b> ezifanelekileyo <i>Read all, select ALL that apply</i></p>	
<p><input type="checkbox"/> Amanzi <i>Water</i></p>	<p><input type="checkbox"/> Amanzi aneswekile <i>Water with sugar (or glucose)</i></p>
<p><input type="checkbox"/> Amanzi anetyuwa <i>Water with salt</i></p>	<p><input type="checkbox"/> Nayiphi na into engamanzi njengelenxalenye yesiko <i>Any liquid as part of a ritual.</i></p>
<p><input type="checkbox"/> Ubisi lwenkomo oluxutyiweyo <i>Diluted cow's milk</i></p>	<p><input type="checkbox"/> Ubisi lwenkomo olungaxutywanga <i>Not diluted cow's milk</i></p>
<p><input type="checkbox"/> Ubisi lwabantwana olungumgubo <i>Infant formula</i></p>	<p><input type="checkbox"/> Naluphi na ubisi olungumgubo <i>Any other powdered milk</i></p>
<p><input type="checkbox"/> Nayiphi na ipapa <i>Any porridge</i></p>	<p><input type="checkbox"/> Nayiphi na isuphu <i>Any soup</i></p>
<p><input type="checkbox"/> Ubusi <i>Honey</i></p>	<p><input type="checkbox"/> Utywala <i>Alcohol</i></p>
<p><input type="checkbox"/> Amayeza akhutshwe ngugqirha <i>Any prescribed medicine</i></p> <p>Cacisa <i>specify</i>: _____</p>	<p><input type="checkbox"/> Amayeza angakhutshwanga ngu gqirha <i>Non-prescribed medicine</i></p> <p>Cacisa <i>specify</i>: _____</p>
<p><input type="checkbox"/> Iyeza lesintu <i>Traditional medicine</i></p>	<p><input type="checkbox"/> Amanye <i>Other</i></p> <p>Cacisa <i>specify</i>: _____</p>
<p><b>B: INFANT FEEDING RECALL</b></p>	
<p>6. Ingaba uyamncancisa na ngoku umntwana wakho? <i>Are you currently breastfeeding your baby</i></p>	<p><input type="checkbox"/> Ewe <i>Yes</i> → <b>Gqithela ku Q9</b> <input type="checkbox"/> Hayi <i>No</i></p>
<p>7. Wamncancisa ixesha elingakanani umntwana wakho? <i>For how long did you breastfeed your child?</i></p>	<p>Iintsuku: _____ <i>Days</i></p>

PWID: \_\_\_\_\_ - \_\_\_\_\_

	<input type="checkbox"/> Zange ancance <i>Never breastfed</i> <input type="checkbox"/> Andazi <i>Don't know</i>
<p>8. Zeziphi izizathu ezakwenza uyeke ukumncancisa/okanye ungamncancisi umntwana wakho? <i>What were your reasons for stopping to breastfeed/not breastfeed your child?</i></p> <p>Funda zonke, khetha <b>ZONKE</b> ezifanelekileyo <i>Read all, select ALL that apply</i></p>	
<input type="checkbox"/> Umsebenzi okanye Imfundo <i>Work or Education</i>	<input type="checkbox"/> Ndandingafuni ukumosulela ngentsholongwane ka gawulayo umntwana wam. <i>Did not want to give my baby HIV infection</i>
<input type="checkbox"/> Ukugula, ngaphandle kwengxaki zokuncancisa <i>Illness, other than lactation problems</i>	<input type="checkbox"/> Ndandinengxaki yokuncancisa <i>Lactation problems</i>
<input type="checkbox"/> Mastitis – breast	<input type="checkbox"/> Ndandingenabisi lwaneleyo lebele <i>Not enough breast milk</i>
<input type="checkbox"/> Umtwana wayengakhuli kakuhle <i>Child not grow well</i>	<input type="checkbox"/> Umntwana wayekhala kakhulu <i>Child crying a lot</i>
<input type="checkbox"/> Iingcebiso/ukunyanzeliswa ngabanye <i>Advice/pressure from others</i>	<input type="checkbox"/> Ezinye <i>Other</i>  Cacisa specify: _____
<p><b>C: DIETARY 24hr RECALL</b></p> <p>Ngoku sizokubuza imibuzo malunga nendlela omyisa ngayo umntwana wakho ukusukela izolo <i>We will now ask you some questions about your baby's feeding since yesterday morning.</i></p>	
<p>9. Ngexesha obuvuka ngalo izolo ukuyokuma ngexesha obuvuka ngalo ngalentsasa ingaba uye wamncancisa na umntwana wakho? <i>From the time you woke up yesterday morning till you woke up this morning did you breastfeed your baby?</i></p>	<input type="checkbox"/> Ewe <i>Yes</i> <input type="checkbox"/> Hayi <i>No</i>
<p>10. Ngexesha obuvuka ngalo izolo ukuyokuma ngexesha obuvuka ngalo ngalentsasa ingaba uye wancancisa ubisi olungumgubo na umntwana wakho? <i>From the time you woke up yesterday morning till you woke up this morning did you feed your baby formula milk?</i></p>	<input type="checkbox"/> Ewe <i>Yes</i> <input type="checkbox"/> Hayi <i>No</i>
<p>11. Ngexesha obuvuka ngalo izolo ukuyokuma ngexesha obuvuka ngalo ngalentsasa: Ingaba ubukhe wamnika nanye na yezi zinto zilandelayo umntwana wakho? <i>From the time you woke up yesterday morning till you woke up this morning: Did you give any of the following items to your baby?</i></p> <p>Funda zonke, khetha <b>ZONKE</b> ezifanelekileyo <i>Read all, select ALL that apply</i></p>	
<input type="checkbox"/> Nawaphi na amanzi <i>Any water</i>	<input type="checkbox"/> Nawaphi na amanzi aneswekile <i>Any water with sugar or glucose</i>
<input type="checkbox"/> Naziphi na ingcambu emanzini <i>Any herbs in water</i>	<input type="checkbox"/> Amanzi erayisi <i>Rice water</i>
<input type="checkbox"/> Nayiphi na iti enobisi <i>Any tea with milk</i>	<input type="checkbox"/> Nayiphi na iti engenabisi <i>Any tea without milk</i>

PWID: \_\_\_\_\_ - \_\_\_\_\_

<input type="checkbox"/> Ubisi lwenkomo olungaxutywanga <i>Non diluted cow's milk</i>	<input type="checkbox"/> Ubisi lwenkomo oluxutyiweyo <i>Diluted cow's milk</i>
<input type="checkbox"/> Ubisi lwebhokhwe <i>Goat's milk</i>	<input type="checkbox"/> Olunye ubisi olungumgubo <i>Other powdered milk</i>
<input type="checkbox"/> Ijusi yeziqhamo <i>Any fruit juice</i>	<input type="checkbox"/> iGripe water <i>Gripe water</i>
<input type="checkbox"/> Nabuphi na utywala njenge bhiya, umqombothi <i>Any alcohol like beer or brew</i>	<input type="checkbox"/> Naziphi na ezinye izinto njenge yogathi, itshizi okanye ikhrim <i>Any other dairy product like yoghurt, cheese or cream</i>
<input type="checkbox"/> Ipapa, isidudu okanye isonka <i>Cereals, porridge or bread</i>	<input type="checkbox"/> Amaqanda <i>Eggs</i>
<input type="checkbox"/> Naziphi na iziqhamo/imifuno <i>Any fruits/vegetables</i>	<input type="checkbox"/> Nayiphi na inyama okanye intlanzi <i>Any meat or fish</i>
<input type="checkbox"/> Nawaphi na amayeza abhalwe ngugqirha <i>Any prescribed medicine</i>	<input type="checkbox"/> Nawaphi na amayeza angabhalwanga ngugqirha <i>Any non-prescribed medicine</i>
<input type="checkbox"/> Ezinye <i>Other,</i> Cacisa, <i>Specify:</i> _____	<input type="checkbox"/> Akukho nanye kwezi zikhankanywe ngentla <i>None of the Above</i>
<p>12. Ngawaphi kulamayeza afunyanwa ngumntwana wakho: <i>Which of these medicines is your baby currently receiving?</i></p> <p>Khetha onke afanenelekileyo <i>Select all that apply</i></p>	
<input type="checkbox"/> Multivitamins (eg Kiddievite)	<input type="checkbox"/> Iron drops
<input type="checkbox"/> Zinc syrup	<input type="checkbox"/> Nevirapine
<input type="checkbox"/> Iantibiotic yokukhusela ulwasuleleko lwesifuba <i>Co-trimoxazole, Bactrim, Trimethoprim-Sulphamethoxazole, Resmed</i>  <b><i>These are antibiotics to prevent chest infection!!!</i></b>	<input type="checkbox"/> Ezinye Ii-antibiotics <i>Other Antibiotics</i>  Cacisa <i>specify:</i> _____
<input type="checkbox"/> TB drugs	<input type="checkbox"/> Ezinye <i>Other</i> Cacisa <i>Specify:</i> _____

PWID: \_\_\_\_\_ - \_\_\_\_\_

13. Ingaba umntwana wakho ufumana nayiphi na okanye amanye amayeza angakhutshwanga ngu gqirha okanye unesi?

*Is your baby receiving any other medication that has not been prescribed by a doctor or nurse?*

Khetha **ONKE** afanelekileyo

Select **ALL** that apply

Iyeza lesintu  
*Traditional medicine*

Amayeza angakhutshwanga ngu gqirha  
*Non-prescribed medicine*  
Cacisa specify: \_\_\_\_\_

Amanye *Other*  
Cacisa specify: \_\_\_\_\_

Akukho nanye kwezi zikhankanywe ngentla  
*None of the Above*

**LEAVING YOUR CHILD**

14. Sewukhe wohlukana nosana lwakho oko walubeleka kwenzeka ancanciswe ngomnye umntu?

Ingaba wawukhe wohlukana na nomntwana wakho ukusukela egqibozalwa ukuze omnye omntu amtyise?

*Have you ever been separated from your baby since childbirth so that someone else has fed him/her?*

Ewe *Yes*

Hayi *No*

→ **Gqithela ku END**  
**Skip to END**

15. Bamtyisa ntoni umntwana wakho ngelaxesha wawungekho?

*What did they feed your baby the last time you were away?*

Khetha **ZONKE** ezifanelekileyo

Select **ALL** that apply

Umxube wamanzi  
*Water based liquids*

Umxube wobisi/ukutya okuthambileyo  
*Milk based liquids/semi-solid feeds*

Ubisi lwam lwebele endandilikhamile  
*My own expressed breast milk*

Umntwana wancanciswa ngomnye umdlezana  
*Baby "wet nursed" (breastfed by another woman)*

Ubisi olungumgubo  
*Formula milk*

Ukutya endandikuhlafunile komntwana  
*Food that I chewed for the baby*

Ezinye *Other*  
Cacisa specify: \_\_\_\_\_

Andazi  
*Do not know*

Signed Interviewer completing CRF: \_\_\_\_\_

Date: \_\_\_\_ / \_\_\_\_ / \_\_\_\_  
DD MMM YYYY

Signed QC Officer: \_\_\_\_\_

Date: \_\_\_\_ / \_\_\_\_ / \_\_\_\_  
DD MMM YYYY

Signed Study Coordinator: \_\_\_\_\_

Date: \_\_\_\_ / \_\_\_\_ / \_\_\_\_  
DD MMM YYYY

PCID: \_\_\_\_\_ - \_\_\_\_

Study Visit 2 to 4

## INFANT FEEDING PRACTICES

**This CRF applies to ALL enrolled BPOS Infants  
Complete during 10 weeks Postpartum Study Visit**

Visit Date							
D	D	M	M	M	Y	Y	Y

Visit Code	
<b>P</b>	<b>2</b>

### Siza kubuza imibuzo malunga nendlela olutyisa ngalo usana lwakho

*We are going to ask you some questions about how you are feeding your baby*

**INFANT #:** \_\_\_\_\_ **Initials:** \_\_ \_\_

### INFANT FEEDING RECALL

*Ukukhumbula indlela zokondla usana*

**1.** Uye waqhubeka ukuncancisa ibele usana lwakho oko ugqibele ukuza kuthi  
*Since your last visit, did you breastfeed your baby?*

- Ewe *Yes* → **Gqithela ku Q4**  
 Hayi *No*

**2.** Uluncancise ibele usana lwakho ixesha elingakanani  
*For how long did you breastfeed your child?*

- Intsuku/iveki/inanga: \_\_\_\_\_  
*Days/weeks/months*
- Zange lube sebeleni *Never breastfed*  
 Andazi *Don't know*

**3.** Zeziphi izizathu ezibangele uyeke ukuluncancisa usana/okanye ungaluncansi  
*What were your reasons for stopping to breastfeed/not breastfeed your child?*

**Khetha ZONKE** izizathu  
*Read all, select ALL that apply*

- |   |   |
|---|---|
| <input type="checkbox"/> Umsebenzi okanye Imfundo <i>Work or Education</i>  | <input type="checkbox"/> Andifuni ukumosulela ngentsholongwane<br><i>Did not want to give my baby HIV infection</i> |
| <input type="checkbox"/> Ukugula, ngaphandle kwengxaki zokuncancisa <i>Illness, other than lactation problems</i> | <input type="checkbox"/> Ingxaki zokuncancisa <i>Lactation problems</i>   |
| <input type="checkbox"/> Mastitis – breast problems   | <input type="checkbox"/> Ubisi lwebele alwanelanga <i>Not enough breast milk</i>                                    |
| <input type="checkbox"/> Usana alukhuli kakuhle <i>Child not grow well</i>  | <input type="checkbox"/> Usana lukhala kakhulu <i>Child crying a lot</i>  |
| <input type="checkbox"/> Iingcebiso/ukunyanzeliswa ngabanye<br><i>Advice/pressure from others</i>                 | <input type="checkbox"/> Ezinye <i>Other</i><br>Cacisa <i>specify:</i> _____  |

**4.** Ebengakanani umntwana ukuqala kwakho ukumnika ezinye izinto ngaphandle kwebisi lebele okanye amayeza? Ngamany'amazwi, ebengakanani yena xa wayeqala ukufumana amanzi okanye ukutya okanye ubisi lomgubo wabantwana?  
*How old was your baby when you FIRST gave him/her anything other than breast-milk or medicine? In other words, how old when he/she first had any water or food or formula milk?*

- Zange ndamnika enye into umntwana ngaphandle kwebisi lwebele  
*Have only given baby breast-milk and medicine since birth*
- # weeks/months old \_\_\_\_\_
- Andiqinisekanga *Unsure*

## DIETARY 24hr RECALL

We will now ask you some questions about your baby's feeding since yesterday morning

<p>5. Ukuvuka kwakho izolo ekuseni kude ibe kukuvuka kwakho namhlanje ekuseni uye waluncancisa usana lwakho? <i>From the time you woke up yesterday morning till you woke up this morning did you breastfeed your baby?</i></p>	<p><input type="checkbox"/> Ewe Yes <input type="checkbox"/> Hayi No</p>
<p>6. Ukuvuka kwakho izolo ekuseni kude ibe kukuvuka kwakho namhlanje ekuseni uye waluseliswa ubisi usana lwakho? <i>From the time you woke up yesterday morning till you woke up this morning did you feed your baby formula milk?</i></p>	<p><input type="checkbox"/> Ewe Yes <input type="checkbox"/> Hayi No</p>

7. Ukuvuka kwakho izolo ekuseni kude kube kukuvuka kwakho namhlanje ekuseni: Ulunikile usana lwakho ezinye zezi zinto.  
*From the time you woke up yesterday morning till you woke up this morning: Did you give any of the following items to your baby?*  
**Kheta ZONKE** omnike zona.  
*Read all, select ALL that apply*

<input type="checkbox"/> Amanzi <i>Any water</i>	<input type="checkbox"/> Amanzi aneswekile <i>Any water with sugar or glucose</i>
<input type="checkbox"/> Ingcambu emanzini <i>Any herbs in water</i>	<input type="checkbox"/> Amanzi erayisi <i>Rice water</i>
<input type="checkbox"/> Iti enobisi <i>Any tea with milk</i>	<input type="checkbox"/> Iti engenabisi <i>Any tea without milk</i>
<input type="checkbox"/> Ubisi lwenkomo olungaxutywanga <i>Non diluted cow's milk</i>	<input type="checkbox"/> Ubisi lwenkomo oluxutyiweyo <i>Diluted cow's milk</i>
<input type="checkbox"/> Ubisi lwebhokhwe <i>Goat's milk</i>	<input type="checkbox"/> Olungolunye ubisi olungumgubo <i>Other powdered milk</i>
<input type="checkbox"/> Ijusi yeziqhamo <i>Any fruit juice</i>	<input type="checkbox"/> iGripe water <i>Gripe water</i>
<input type="checkbox"/> Into ebutywalara njenge bhiya, umqombothi <i>Any alcohol like beer or brew</i>	<input type="checkbox"/> Ezinye izinto njenge yogati, itshizi, ikhrim <i>Any other dairy product like yoghurt, cheese or cream</i>
<input type="checkbox"/> Ipapa yabantwana, ipapa, okanye isonka <i>Cereals, porridge or bread</i>	<input type="checkbox"/> Amaqanda <i>Eggs</i>
<input type="checkbox"/> Iziqhamo/vegi <i>Any fruits/vegetables</i>	<input type="checkbox"/> Inyama, intlanzi <i>Any meat or fish</i>
<input type="checkbox"/> Amayeza abhalwe ngugqira <i>Any prescribed medicine</i>	<input type="checkbox"/> Amayeza angabhalwanga ngugqira <i>Any non-prescribed medicine</i>
<input type="checkbox"/> Ezinye, cacisa:	<input type="checkbox"/> Nanye kwezi zikhankanyewe ngenhla <i>None of the Above</i>

8. Ngawaphi kulamayeza atyiwa lusana lwakho:  
*Which of these medicines is your baby currently receiving:*  
**Kheta ZONKE** ezenziweyo  
*Select all that apply*

<input type="checkbox"/> Multivitamins (eg Kiddievite)	<input type="checkbox"/> Iron drops
<input type="checkbox"/> Zinc syrup	<input type="checkbox"/> Nevirapine
<input type="checkbox"/> Iantibiotic yokukhusela ulwasuleleko lwesifuba <i>Co-trimoxazole, Bactrim, Trimethoprim-Sulphamethoxazole, Resmed</i> <b>These are antibiotics to prevent chest infection</b>	<input type="checkbox"/> Ezinye Ii-antibiotics <i>Other Antibiotics</i> Cacisa <i>specify:</i> _____
<input type="checkbox"/> TB drugs	<input type="checkbox"/> Ezinye <i>Other</i> Cacisa <i>Specify:</i> _____
<input type="checkbox"/> Nanye kwezi zikhankanyewe ngenhla <i>None of the Above</i>	

PCID: \_\_\_\_\_ - \_\_\_\_

**9.** Ingaba umntwana wakho ukhe waseleliswa amayeza angawafumenanga kugqirha okanye kumongikazi?  
*Is your baby receiving any other medication that has not been prescribed by a doctor or nurse?*

**Kheta ZONKE** ezenziweyo  
*Select all that apply*

<input type="checkbox"/> Iyeza lesintu <i>Traditional medicine</i>	<input type="checkbox"/> Amayeza angekhoyo kuluhlu <i>Non-prescribed medicine</i> Cacisa specify: _____
<input type="checkbox"/> Ezinye <i>Other</i> Cacisa specify: _____	<input type="checkbox"/> Nanye kwezi zikhankanyewe ngenthlala <i>None of the Above</i>

**LEAVING YOUR CHILD**

**10.** Sewukhe wohlukana nosana lwakho oko walubeleka kwenzeka ancanciswe ngomnye umntu?  
*Have you ever been separated from your baby since childbirth so that someone else has fed him/her?*

Ewe *Yes*  
 Hayi *No* → **Gqithela ku END**

**11.** Luye lwancanciswa ntoni usana oku kokugqibela ungekho?  
*What did they feed your baby the last time you were away?*

**Kheta ZONKE** ezenziweyo  
*Select ALL that apply*

<input type="checkbox"/> Umxube wamanzi <i>Water based liquids</i>	<input type="checkbox"/> Umxube wobisi/ukutya okuthambileyo <i>Milk based liquids/semi-solid feeds</i>
<input type="checkbox"/> Ubisi lwam lwebele ebendilukhamile <i>My own expressed breast milk</i>	<input type="checkbox"/> Usana beluncanciswe ibele ngomnye umdlezana <i>Baby "wet nursed" (breastfed by another woman)</i>
<input type="checkbox"/> Ubisi lomgubo <i>Formula milk</i>	<input type="checkbox"/> Ukutya ebendikuhlafunile kosana <i>Food that I chewed for the baby</i>
<input type="checkbox"/> Ezinye <i>Other</i> Cacisa specify: _____	<input type="checkbox"/> Andazi <i>Do not know</i>

Signed Interviewer completing CRF: \_\_\_\_\_

Date: \_\_\_\_ / \_\_\_\_ / \_\_\_\_  
DD MMM YYYY

Signed QC Officer: \_\_\_\_\_

Date: \_\_\_\_ / \_\_\_\_ / \_\_\_\_  
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The title page should:

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## Conclusions

This should state clearly the main conclusions and provide an explanation of the importance and relevance of the study reported.

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- Consent for publication
- Availability of data and materials
- Competing interests
- Funding
- Authors' contributions
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#### *Article within a journal by DOI*

Slifka MK, Whitton JL. Clinical implications of dysregulated cytokine production. Dig J Mol Med. 2000; doi:10.1007/s801090000086.

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Frumin AM, Nussbaum J, Esposito M. Functional asplenia: demonstration of splenic activity by bone marrow scan. Blood 1979;59 Suppl 1:26-32.

#### *Book chapter, or an article within a book*

Wyllie AH, Kerr JFR, Currie AR. Cell death: the significance of apoptosis. In: Bourne GH, Danielli JF, Jeon KW, editors. International review of cytology. London: Academic; 1980. p. 251-306.

*OnlineFirst chapter in a series (without a volume designation but with a DOI)*

Saito Y, Hyuga H. Rate equation approaches to amplification of enantiomeric excess and chiral symmetry breaking. Top Curr Chem. 2007. doi:10.1007/128\_2006\_108.

*Complete book, authored*

Blenkinsopp A, Paxton P. Symptoms in the pharmacy: a guide to the management of common illness. 3rd ed. Oxford: Blackwell Science; 1998.

*Online document*

Doe J. Title of subordinate document. In: The dictionary of substances and their effects. Royal Society of Chemistry. 1999. [http://www.rsc.org/dose/title of subordinate document](http://www.rsc.org/dose/title%20of%20subordinate%20document). Accessed 15 Jan 1999.

*Online database*

Healthwise Knowledgebase. US Pharmacopeia, Rockville. 1998. <http://www.healthwise.org>. Accessed 21 Sept 1998.

*Supplementary material/private homepage*

Doe J. Title of supplementary material. 2000. <http://www.privatehomepage.com>. Accessed 22 Feb 2000.

*University site*

Doe, J: Title of preprint. <http://www.uni-heidelberg.de/mydata.html> (1999). Accessed 25 Dec 1999.

*FTP site*

Doe, J: Trivial HTTP, RFC2169. <ftp://ftp.isi.edu/in-notes/rfc2169.txt> (1999). Accessed 12 Nov 1999.

### *Organization site*

ISSN International Centre: The ISSN register. <http://www.issn.org> (2006). Accessed 20 Feb 2007.

### *Dataset with persistent identifier*

Zheng L-Y, Guo X-S, He B, Sun L-J, Peng Y, Dong S-S, et al. Genome data from sweet and grain sorghum (*Sorghum bicolor*). GigaScience Database. 2011.  
<http://dx.doi.org/10.5524/100012>.

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- Color and shading may not be used. Parts of the table can be highlighted using superscript, numbering, lettering, symbols or bold text, the meaning of which should be explained in a table legend.
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## Additional files

### Guidelines

This page provides general information for authors creating additional files to maximize the quality of those files. It includes tips on handling files like these as well as supported formats.

- [How to use additional files](#)
- [Additional files formats](#)

### How to use additional files

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Additional files are those that contain additional information which support or expand upon items referred to in the main manuscript.

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The maximum file size for additional files is 20 MB each and files will be virus-scanned on submission.

Data over 20 MB should be deposited in a suitable permanent repository for that type of data, where one exists (e.g. GEO for microarray data). Please see our [list of recommended repositories](#) for guidance.

Additional files can be submitted in any format.

Instructions for commonly submitted file types are available within [guidelines for specific types of additional files](#).

## Frequently asked questions

### What are Additional files?

A. Additional files are files containing additional information that supports or expands upon items referred to in the main manuscript. All additional files must be referenced in the main manuscript.

### What is the difference between an additional file and a figure file?

A. A figure file is an image file that will appear in the final published manuscript. On the other hand, additional files will be made available alongside the published manuscript, but will not be visible within it. Additional files should be named "Additional file 1" and so on and should be referenced explicitly by file name within the body of the article.

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A. The only time you should do this is when the figure image must be larger than A4 in order to be legible.

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A. Additional information is considered integral to articles published by BMC. You are encouraged to provide data sets, tables, movie files, software or other information as additional information. Results that would otherwise be indicated as "data not shown" can and should be included as additional files.

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A. You should aim for all additional files to be as low a file size as possible. The maximum file size for additional files is 20 MB each.

**Is there a limit to how many additional files I can include?**

A. No; you can include as many relevant additional files as necessary.

**Can I use image manipulation software to increase the clarity of images within additional files?**

A. Enhancement of digital images using image-editing software is acceptable practice if carried out responsibly. However, it is crucial that artefacts are not introduced and the original data is not misrepresented.

**Within my manuscript, can I make references to individual items within my additional files?**

A. Yes, items within additional files can be referenced in the main manuscript. However, please use the format set out in the following example: "See Supplementary Table 1, Additional File 1".

**Can I submit earlier versions of the final manuscript as additional files?**

A. No.

**Can I cite references in additional files?**

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This section provides information on which formats you should use for specific types of additional files.

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Additional documentation and algorithms can be provided in a number of formats, including PDF (Adobe Acrobat), DOC (Microsoft Word), TXT, RTF, EPS, HTML and PPT.

### Animations and Movies

Animations can be provided in SWF (Shockwave Flash) format (or converted in a video format).

Movies in mp4, mpeg, mov, avi, swf and animated GIF formats will be embedded in the additional files page. The first frame of the movie will be used as 'poster frame' and will be shown before the user starts movie playback. Other formats will be available for download.

MOV is a common multimedia format, which is often used for saving movies and other video files. This format uses a proprietary compression algorithm developed by Apple Computer; compatible with both Macintosh and Windows platforms. MPG is a common digital video format, which typically incorporates MPEG-1 or MPEG-2 audio and video compression. AVI files can contain both audio and video data in a file container that allows synchronous audio-with-video playback.

## Audio

Audio files can be uploaded in a number of formats, including: WAV, MP3, FLAC, AIFF and AU. WAV is a Microsoft and IBM audio file format standard for storing audio bitstreams. It is the main format used on Windows systems for raw and typically uncompressed audio.

## Chemical Structures

CDX (ChemDraw) is the file format for saving chemical reaction schemes prepared using ChemDraw. Suggested ChemDraw settings are:

- Chain Angle 120°
- Bond spacing 18%
- Fixed length 0.406 cm (11.5 pt)
- Bold width 0.056 cm (1.6 pt)
- Line width 0.018 cm (0.5 pt)
- Margin width 0.046 cm (1.3 pt)
- Hash spacing 0.071 cm (2 pt)

TGF (ISIS/Draw) is the file format for saving chemical reaction schemes prepared using ISIS/Draw.

## Computational models

We encourage authors to prepare models of biochemical reaction networks using the [Systems Biology Markup Language](#) (SBML).

## Generic Data Files

DAT (Data file) files are generic data files created by specific applications. They are not an ideal format to use, as they can typically only be accessed by the application that created the file. However, text within DAT files can sometimes be viewed using a text reader.

## Geospatial Data

KML (Keyhole Markup Language) is an XML-based language schema for expressing geographic data in two or three-dimensions. KML can be used for geospatial biomedical data suited to 3D spatial visualisation. Google Earth will be used as a viewing application for KML data.

## Genomic sequences

Genomic sequences should be formatted according to the Genomic Standards Consortium (GSC) and follow the minimum information about a genome sequence (MIGS) specification. The sequence should be deposited to the International Nucleotide Sequence Database Collaboration (INSDC) prior to submission and the accession numbers provided in the text of the manuscript.

## Metabolic networks

Networks can be defined as a collection of interactions between different pairs of nodes. Frequently used formats include KML, BioPax, SBML, PSI-MI, SIF, XML and KGML.

## Microarray data

The Minimum Information About a Microarray Experiment (MIAME) or Minimum Information about a high-throughput Sequencing Experiment (MINSEQE) guidelines should be adhered to when reporting microarray data and we recommend using the spreadsheet-based MAGE-TAB format. We also recommend that you include a copy of the appropriate MIAME checklist.

## Mini-websites

Small self-contained websites can be submitted as additional files, in such a way that they will be browsable from within the full text HTML version of the article. In order to do this, please follow these instructions:

1. Create a folder containing a starting file called index.html (or index.htm) in the root
2. Put all files necessary for viewing the mini-website within the folder, or sub-folders
3. Ensure that all links are relative (ie "images/picture.jpg" rather than "/images/picture.jpg" or "http://yourdomain.net/images/picture.jpg" or "C:\Documents and Settings\username\My Documents\mini-website\images\picture.jpg") and no link is longer than 255 characters
4. Access the index.html file and browse around the mini-website, to ensure that the most commonly used browsers (Internet Explorer and Firefox) are able to view all parts of the mini-website without problems; it is ideal to check this on a different machine
5. Compress the folder into a ZIP, check the file size is under 20 MB, ensure that index.html is in the root of the ZIP, and that the file has .zip extension, then submit as an additional file with your article

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