



HANDBOOK OF PSYCHIATRIC MEDICATION

Introductory Overview • Treatment Approaches

VOLUME 2

Foreword

There is general agreement on the value of evidence-based medicine in general practice. And there is growing acknowledgement that the treatment of psychiatric disorders is an important component of primary care. This volume addresses the logical conclusion from these two premises; there is a need for an evidence-based approach to the use of psychiatric medications in general practice.

While the evidence base of psychopharmacology grows annually, there are relatively few attempts to synthesise this literature for general practitioners. Lengthy and cumbersome guidelines are unlikely to be read, much less implemented. Our aim here was to synthesise the evidence in a readable and easy-to-use format, using pharmacotherapy algorithms. The current volume updates previous attempts to meet this aim.¹

The approach here draws in part on our experience with Continuing Medical Education courses for general practitioners. To that extent, we are grateful to the many GPs who have attended these courses, and who have advised us on how to improve them. We are also grateful to our colleagues who have helped us with this teaching, and to those in the pharmaceutical industry who have supported such teaching over the years.

It would perhaps be remiss if we did not end by emphasising that psychiatry is concerned not only about brain circuitry and neurotransmitters, but also about the patient-doctor relationship, and the broader intersections between society and the individual. Arguably, psychopharmacology is both a science and a humanity.² We hope that the treatment algorithms provided here are implemented with good clinical judgement.

Prof Dan J Stein

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Information for Prescribers

When prescribing any product listed in *MIMS Handbook of Psychiatric Medication*, doctors should be mindful of the important points listed below.

- Hypersensitivity to the ingredient(s)/components of any medicine contra-indicates its use.
- Medicines should not be prescribed to pregnant or lactating women unless the anticipated benefit clearly outweighs any potential risk to the foetus.
- The possibility of drug accumulation should be considered in the presence of significant renal or hepatic impairment.
- Tolerance to medicines is likely to be less with extremes of age.
- Side effects refer only to those which occur at the recommended dosages and correct route of administration.
- Drug interferences with laboratory tests and physical incompatibilities common with parenteral solutions have not been included.
- For additional information on dosage directions, particularly in the case of parenteral products, consult the *MIMS Desk Reference* – where applicable, the pharmaceutical company or the current package insert.
- Mono-amine oxidase inhibitors (MAOIs) have a prolonged action so patients should not take any of the foods or medicines known to cause reactions for at least 14 days after stopping treatment.
- Certain medicines (ie, those that cause CNS depression) may lead to drowsiness and impaired concentration, which may be aggravated by the simultaneous intake of alcohol or depression agents.

1: Principles of Prescribing Psychiatric Medications

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To begin at the beginning, it is worthwhile discussing some principles of prescribing psychiatric medications.

TREATMENT BEGINS WITH DIAGNOSIS

Psychiatric diagnosis has made important advances during the past few decades. *The Diagnostic and Statistical Manual of Mental Disorders* (DSM-5) and the International Classification of Disease (ICD-11), for example, provide diagnostic criteria and guidelines that allow for the reliable diagnosis of different psychiatric disorders.¹ Obtaining a full history from both the patient, as well as from significant others, and other treating professionals, is paramount. Different psychiatric disorders and their subtypes are treated with different medications; thus, successful treatment depends on accurate diagnosis and assessment.

Research suggests that the most critical error made by primary care practitioners working with psychiatric disorders is failing to recognise these conditions.² Of course, it is also essential to recognise the existence of substance-use disorders and underlying general medical conditions that may lead to psychiatric symptoms. Chapter 2 of this handbook provides clinicians with the basics of the DSM-5 and ICD-11 approaches and each chapter of this handbook provides ICD-11 diagnostic guidelines for the disorders under discussion.

IDENTIFY SPECIFIC TARGET SYMPTOMS

Once a diagnosis is made, specific symptoms should be identified as targets for change during medication treatment. Such symptoms may be those that are central to the disorder (e.g., feeling "down" in depression), those that are distressing to the patient (e.g., loss of libido during a depression), or those that cause functional impairment (e.g., loss of concentration during depression).

Response of both objective disability and subjective wellbeing (quality of life) should be determined during pharmacotherapy. Although there are some controversies in the literature about the value of routine screening for psychiatric disorders such as depression³⁻⁵, there is growing evidence of the value of measurement-based care, which employs standardised symptom-severity scales to monitor progress.⁶

Several rating scales are included in this volume for the convenience of clinicians. These provide validated assessments for both the patient and the clinician of the extent to which a medication is successful in reducing symptoms of any particular diagnosis. There is increasing emphasis on the importance of aiming for symptom remission and functional recovery, rather than merely symptom reduction.⁷

PSYCHO-EDUCATION MUST PRECEDE TREATMENT

Ignorance about psychiatric disorders is rife. These conditions continue to be viewed with great suspicion and stigma, which may serve as significant barriers to treatment.⁸ It is helpful for clinicians to ask patients to describe their understanding of the underlying causes and best treatments of their psychiatric symptoms.⁹ All too often, lay explanations of disorders centre on "weakness of will" and treatment involves "stress reduction". Psychiatric medication is often thought to be a "crutch", "addictive", or "unnatural".

Clinicians need to present a different model: Psychiatric disorders are medical conditions.¹⁰ And like other medical disorders, they may involve a complex interplay of nature and nurture, but "weakness of will" is more likely a symptom of depression than a cause of psychiatric symptoms. Psychiatric medication acts at particular brain receptors which are made for endogenous ligands; they are therefore some of the most "natural" of compounds. Most are non-addictive, and they are as much a "crutch" as is insulin for the person with diabetes.

Not all patients will agree with this model, in which case a shared treatment model will need to be negotiated. There is growing and valuable literature on shared decision-making.¹¹⁻¹³ This is relevant to treatment initiation and treatment discontinuation; people often have understandable reservations about taking medication once they are feeling better.^{14,15} In many psychiatric disorders, this unfortunately results in relapse. Rather than referring to "compliance", we should perhaps focus on "concordance", emphasising negotiated agreement. This leads to the next subject; the patient as a person.

REMEMBER THE PERSON

Any medical intervention should be made with the person in mind. A focus on target symptoms and side effects should not detract from understanding the patient's current circumstances, the meaning that is being made of treatment, and the relationship with the doctor. The efficacy of medication has at times been exaggerated.¹⁶ Conversely, psychotherapy has an essential role in the treatment of psychiatric disorders.¹⁷⁻¹⁹

Cognitive-behavioural psychotherapy, for example, is effective in the treatment of mild to moderate depression, in the treatment of anxiety disorders, and as an adjunct in treatment-resistant conditions.^{17,18,20} Indeed, evidence suggests that cognitive-behavioural treatment has a longer-lasting positive effect than medication in the treatment of some anxiety disorders.²¹ Working with the patient's family is often crucial, both in making sure that cognitive-behavioural techniques are actually used, and in disorders (such as substance abuse and personality disorder) where structure and limit-setting are important parts of the treatment.

One of the most exciting psychiatric research findings in the last few decades begins to help

dissolve a false dichotomy of medication versus psychotherapy. Whether using medication or "talk therapy", long-lasting changes can be effected in the neuronal circuitry through neuroplasticity.²² For example, the "false alarm" seen in the basal ganglia with functional brain-scanning of OCD patients can be "switched off" in precisely the same way by either medication or cognitive-behavioural psychotherapy.²³ In other words, effective psychotherapy has particular brain effects!

BEGIN LOW, GO SLOW, REACH HIGH AND LONG

Research indicates that a second standard error made in treating psychiatric disorders in primary care settings is the failure to use medication at the correct dose or for the right duration. In depression, for example, it has been repeatedly suggested that tricyclic antidepressants are given at suboptimal doses.²⁴ In panic disorder, on the other hand, starting doses lower than those usually first given in depression are needed to avoid increased anxiety. Similarly, slow metabolisers, the elderly, or patients with brain damage may do well with lower doses of medication – although these factors should not preclude a dose increase for non- or partial responders when the currently prescribed dose is well-tolerated and without side effects.

Furthermore, patients need to be reminded that it might take several weeks before they notice any improvement in symptoms. In depression, for example, it may be helpful to continue a medication for six to eight weeks before deciding whether or not it is effective. If ineffective, dose-escalation strategies may be employed, or patients could be switched to an alternate drug for depression.^{25,26}

In addition, medication often needs to be continued for a year or longer; in many psychiatric disorders, discontinuation of medication within a few months leads to relapse. Finally, when discontinuing medication, it is advisable to do this slowly and gradually (over several months) to prevent discontinuation reactions (which can occur with some antidepressants and antipsychotics) and to minimise the chances of symptom recurrence.²⁷ Cognitive behavioural therapy (CBT) or mindfulness-based cognitive therapy may also be useful adjuncts to prevent relapse of psychiatric symptoms when discontinuing pharmacotherapy.²⁸

KEEP IT SIMPLE

Treatment regimens should be kept as simple as possible to improve patient acceptability and adherence. Once-a-day treatment regimens are preferable where possible. Similarly, monotherapy is generally preferable to polypharmacy, particularly in primary care settings.²⁹ Although there is a role for combining different psychiatric medications in treatment-resistant patients in speciality settings, this should ordinarily be avoided. In particular, it is rarely advisable to combine two medications from within the same class of agents (for example, two benzodiazepines or two tricyclic antidepressants).

ANTIDEPRESSANTS CAN BE GOOD ANXIOLYTICS

As detailed in Chapter 2, the term "antidepressant" is a relatively poor one. Although initially found helpful for the treatment of major depression, some of these agents are now recommended as first-line treatment for many of the anxiety disorders. In addition, they are the agents of choice for many patients who present with mixed anxiety and depression symptoms. For this reason, a more recent classification system for psychiatric medications is described in Chapter 2.

Compared with the benzodiazepines, the selective serotonin re-uptake inhibitors, for example, are not associated with the potential for dependence and are also useful for the treatment of panic disorder and social anxiety disorder (see Chapter 6). They may take longer to begin working, and concomitant short-term use of benzodiazepines may be helpful in patients with anxiety symptoms.^{30,31}

MONITOR SIDE EFFECTS CAREFULLY

There is, to date, no psychiatric medication without side effects. Common or essential side effects should be outlined before medication is prescribed. For example, patients should be told that benzodiazepines may cause drowsiness and interfere with driving or other motor actions. Possible adverse effects are particularly important to consider during pregnancy and lactation.³² We briefly address this issue where it seems most relevant (e.g., we mention the use of antidepressants during pregnancy in the chapter on depression); similar considerations naturally apply across disorders.

Side effects should be carefully monitored after medication is started. Sexual side effects, for example, may not be disclosed by patients unless the clinician specifically enquires about them.^{33,34} Several medications require careful monitoring, using special investigations. Lithium, for example, is associated with hypothyroidism, so thyroid function requires ongoing assessment during its prescription. Similarly, electrocardiograms are necessary in patients on high doses of tricyclic antidepressants.

REMEMBER MEDICAL DISORDERS AND DRUG INTERACTIONS

Medical disorders may result in the need to adjust the prescription of particular psychiatric medications. For example, drugs with proconvulsant action need to be avoided in patients with a seizure disorder. Once again, examples are discussed most fully in the chapter on depression, but similar considerations also hold for other disorders.

A range of important drug interactions may occur with the prescription of psychiatric medications. These are discussed in more detail in Chapter 15. In particular, increased understanding of the cytochrome P450 enzyme system allows some prediction of what kinds of drug interactions may be particularly troublesome. Pharmacogenetic studies might further identify an individual's ability to absorb, distribute, metabolise or excrete various medications.^{35,36} Finally, a careful history of herbal remedies and dietary supplements should always be taken, as many of these are psychotropic agents.³⁷

CONSULT WHEN IT IS NECESSARY

Many of us pride ourselves on our ability to handle a wide range of patients without asking for help. Nevertheless, clinicians need to be aware of their limitations. In this world of rapid advances in medication, none of us can be apprised of all the latest developments. Decisions about whether to consult should err on the side of caution, particularly for patients with noticeably unusual or severe presentations. Patients with atypical psychiatric symptoms may benefit from a comprehensive specialist medical evaluation. Patients with suicidal or homicidal ideation may well require psychiatric hospitalisation before treatment in a general primary care setting is begun.

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2: Classifying Psychiatric Medications

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Psychiatric medications have traditionally been classified into antidepressants, anxiolytics, antipsychotics, and mood stabilisers. Although this classification is in some ways very helpful, it is also problematic. The term "antidepressant", for example, is a particularly misleading one, insofar as these agents are also very useful in the anxiety disorders (Chapters 6-7), obsessive-compulsive and related disorders (Chapter 8) and post-traumatic stress disorder (Chapter 9).

A more scientific approach might be to classify medications in terms of their specific actions on neurotransmitters. The neuroscience-based nomenclature (NbN) classification, for example, covers 11 pharmacological domains and 10 modes of action.¹ However, this approach may entail a level of complexity that is not useful in primary care.

The compromise we make here is to divide psychiatric medications in the traditional

way, with some additional NbN terminology where applicable, and then to sub-divide them in terms of their specific neurotransmitter actions. See Table 2.1. for commonly used NbN terminology, which focuses on neurotransmitter involvement.

This chapter includes tables listing the names of the major psychotropic medications currently available in South Africa, together with pertinent information about standard doses, dosage equivalents, and side effects. We are indebted to other authors for providing detailed information from which the tables are drawn.²⁻⁶

DRUGS FOR DEPRESSION

Antidepressant medications (see Table 2.2.) have been in use since the 1950s, when imipramine (the first tricyclic antidepressant [TCA]) and iproniazid (the first mono-amine oxidase inhibitor [MAOI]) were serendipitously found to elevate mood in depressed patients. The next important class of antidepressants was the selective serotonin re-uptake inhibitors (SSRIs); here, agents were intentionally developed with the aim of acting on a specific receptor. Since then, a number of medications have been developed with the aim of acting on multiple receptors.

Tricyclic and tetracyclic antidepressants

The TCAs (see Table 2.3.) have a range of actions, including serotonin (5HT) and noradrenaline

Table 2.1. Neuroscience-based nomenclature definitions

Former terminology (indication-based)	New terminology (pharmacologically-based)	Neurotransmitters and mode of action
Antidepressants	Drugs for depression	Multiple, depending on class – e.g., serotonin and noradrenaline re-uptake inhibition
Antipsychotics	Drugs for psychosis	Dopamine antagonists, serotonin antagonists, other effects
Anxiolytics	Drugs for anxiety	GABA agonists, sodium-channel blockers, glutamate modulators
Mood stabilisers	Drugs for relapse prevention	Glutamate modulators, GABA agonists, sodium-channel blockers
Hypnotics	Drugs for insomnia	GABA and melatonin agonists

Table 2.2. Drugs for depression (antidepressants)

Tricyclic antidepressants (TCAs)	Amitriptyline Clomipramine Dothiepin Imipramine Lofepramine Nortriptyline Trimipramine
Tetracyclic antidepressants (TCAs)	Maprotiline Mianserin
Mono-amine oxidase inhibitors (MAOIs)	Irreversible: tranylcypromine Reversible: moclobemide
Selective serotonin re-uptake inhibitors (SSRIs)	Citalopram Escitalopram Fluoxetine Fluvoxamine Paroxetine Sertraline
Selective noradrenaline re-uptake inhibitors (NRIs)	Reboxetine Atomoxetine
Serotonin-noradrenaline re-uptake inhibitors (SNRIs)	Duloxetine Desvenlafaxine Venlafaxine
Serotonin-2 antagonist and re-uptake inhibitors (SARIs)	Trazodone
Noradrenergic and specific serotonergic antidepressants (NaSSAs)	Mirtazapine
Noradrenaline-dopamine re-uptake inhibitors (NDRIs)	Bupropion
Melatonergic antidepressants	Agomelatine
Novel antidepressants	Vortioxetine

re-uptake inhibition, anticholinergic effects, and $\alpha 1$ -noradrenergic blockade. The serotonin and noradrenaline re-uptake inhibition is responsible for the antidepressant effects. Anticholinergic effects are responsible for side effects such as dry mouth, blurred vision, urinary hesitancy, and constipation. Alpha-1 noradrenergic blockade is responsible for side effects, such as postural hypotension. These drugs are known to prolong the QTc interval due to the blocking of voltage-sensitive sodium channels, and are cardiotoxic in overdose.

The tricyclic antidepressants can be classified in various ways. In terms of site of action, they can be divided into those with more potent serotonergic effects (the most potent being clomipramine), those with more potent noradrenergic effects (such as lofepramine), and those which affect

both neurotransmitters (e.g., imipramine). In terms of side effects, the tricyclics can be divided into secondary amines (which have more anticholinergic and $\alpha 1$ -noradrenergic effects, e.g., amitriptyline) and tertiary amines (which have fewer of these side effects and are therefore particularly useful in the elderly, e.g., nortriptyline).

The tetracyclic maprotiline is a relatively noradrenergic re-uptake inhibitor, but also appears to be associated with a relatively high incidence of seizures. The tetracyclic mianserin, like the noradrenergic and specific serotonergic antidepressants (see Table 2.3.), is an $\alpha 2$ -noradrenergic antagonist and a 5HT₂ and 5HT₃ antagonist, but it also has $\alpha 1$ -noradrenergic antagonist properties which reduce its serotonergic effects, making it a predominantly noradrenergic agent. Mianserin has little to no central anticholinergic activity.

Table 2.3. Tricyclic and tetracyclic antidepressants

Chemical name	Trade name	Starting dose (mg/d)	Adult dose range (mg/d)	Sedation	Anticholinergic effects
Tricyclics					
Amitriptyline	Sandoz Amitriptyline HCL® Trepiline®	25 mg	150-300 mg	+++	+++
Clomipramine	Anafranil® Clomiden® Equinorm®	25-50 mg	100-250 mg	++	+++
Dothiepin	Sandoz Dothiepin HCL® Thaden®	25 mg	75-150 mg	+++	+++
Imipramine	Tofranil® Ethipramine®	25 mg	150-300 mg	++	+++
Lofepramine	Emdalen®	70 mg	140-210 mg	+	+
Trimipramine	Tydamine®	25-50 mg	150-300 mg	+++	+++
Tetracyclics					
Maprotiline	Ludiomil®	75 mg	100-225 mg	+++	++
Mianserin	Lantanon®	30 mg	30-90 mg	+++	+

+++ = high incidence/severity

++ = moderate

+ = low

- = very low

Mono-amine oxidase inhibitors

Mono-amine oxidase inhibitors (MAOIs) can be divided into those which are reversible and those which are irreversible. The older, irreversible agents have the reputation of being amongst the most powerful of the antidepressant agents and they remain a useful option for the treatment of refractory depression.⁷ However, these agents also require strict avoidance of particular foods (such as cheese) and drugs (they can be fatal in combination with certain prescribed agents, such as pethidine and dextromethorphan). The reversible agents, of which only moclobemide is marketed, do not require any particular diet. Side effects of these agents include insomnia, agitation and gastrointestinal disturbances. Do not prescribe MAOIs with other antidepressant agents.

Selective serotonin re-uptake inhibitors

The finding that TCAs work via serotonin and noradrenaline re-uptake inhibition led to a search for compounds that had these effects,

but did not affect the cholinergic system. Fluoxetine was the first of the selective serotonin re-uptake inhibitors (SSRIs) (see Table 2.4.). Side effects of these agents are primarily caused by serotonin re-uptake inhibition and include transient nausea and, more importantly, weight gain and sexual side effects (including erectile dysfunction and delayed ejaculation in men, and anorgasmia in women).

While each of the tricyclic and tetracyclic antidepressants (TCAs) is selective for serotonin re-uptake inhibition, they have subtle differences, including differences in their half-life (fluoxetine, for example, has a particularly long half-life, so is useful in patients who occasionally forget to take medication). There are slight effects on non-serotonin receptors (paroxetine, for example, may have some anticholinergic effects, which may have a useful sedative effect in some patients), and on the P450 system (citalopram, escitalopram, and sertraline, for example, have particularly few P450 effects and therefore fewer drug interactions).⁸

Table 2.4. Selective serotonin re-uptake inhibitors

Chemical name	Trade name	Starting dose (mg/d)	Adult dose range (mg/d)(*)	Weight gain	Sexual dysfunction
Citalopram	Cipramil® Numerous generics	20 mg	20-40 mg	+	++
Escitalopram	Cipralex® Numerous generics	10 mg	10-20 mg	+	++
Fluoxetine	Prozac® Lorien® Numerous generics	20 mg	20-60 mg	+	++
Fluvoxamine	Luvox® Faverin® Fluvoxamine-Hexal®	50 mg	50-200 mg	+	++
Paroxetine	Aropax® Numerous generics	20 mg	20-50 mg	++	+++
Paroxetine CR	Aropax® CR	25 mg	25-50 mg	++	+++
Sertraline	Zoloft® Numerous generics	50 mg	50-200 mg	+	++

(*) Highest doses used particularly in obsessive-compulsive disorder

+++ = high incidence/severity

++ = moderate

+ = low

– = very low

Selective noradrenaline re-uptake inhibitors

Selective noradrenaline re-uptake inhibitors (NRI) include reboxetine (Edronax®) and atomoxetine (Strattera®). Side effects of these agents are naturally due to noradrenaline re-uptake inhibition, and include sweating, insomnia, and vertigo.

Serotonin-noradrenaline re-uptake inhibitors

This class (SNRIs) includes the drugs venlafaxine, duloxetine and desvenlafaxine (see Table 2.5.). Like the TCAs, they are serotonin and noradrenaline re-uptake inhibitors. However, unlike the tricyclic antidepressants, they have few anticholinergic effects.

Venlafaxine acts as an SSRI at lower doses, and an SNRI at higher doses.⁹ Amongst the important side effects to be aware of is the possibility of increased blood pressure, particularly at high doses. An extended-release form of venlafaxine is also available, allowing for once-daily dosing. Desvenlafaxine is a synthetic form of the major active metabolite of venlafaxine.

In contrast to venlafaxine, duloxetine is a balanced inhibitor of both serotonin and noradrenaline re-uptake, but may be less well tolerated than other SNRIs.¹⁰ Hepatotoxicity has been reported with duloxetine.¹¹

Serotonin antagonists and re-uptake inhibitors (SARIs)

Trazodone is a serotonin re-uptake inhibitor, but also has 5HT₂ receptor antagonist properties. 5HT₂ antagonist effects turn out to be useful for counteracting the sexual side effects of the serotonin re-uptake inhibitors. Indeed, trazodone has been associated with priapism.¹² Drowsiness and sedation is a commonly reported side effect, together with headache, dizziness and restlessness.

Noradrenergic and specific serotonergic antidepressants (NaSSAs)

Mirtazapine is a compound which acts as an α_2 -noradrenergic antagonist and as a 5HT₂ and 5HT₃ antagonist.² As α_2 -noradrenergic receptors are responsible for negative feedback,

Table 2.5. Serotonin noradrenaline re-uptake inhibitors

Chemical name	Trade name	Starting dose (mg/d)	Adult dose range (mg/d)(*)	Weight gain	Sexual dysfunction
Duloxetine	Cymbalta® Cymgen® Yelate®	30-60 mg	30-120 mg	–	++
Desvenlafaxine	Pristiq®	50 mg	50 mg	–	++
Venlafaxine	Efexor XR® Efegen XR® Illovox SR® Venlor® Odiven®	37.5-75 mg	75-375 mg	–	++

+++ = high incidence/severity
++ = moderate
+ = low
– = very low

antagonism results in increased noradrenergic neurotransmission. These receptors also act as heteroreceptors on serotonin neurons, thereby increasing serotonergic neurotransmission. The presence of 5HT2 antagonism is associated with a lack of sexual side effects. Mirtazapine also has histaminergic effects via H1 antagonism, resulting in sedation and weight gain.

Noradrenaline-dopamine re-uptake inhibitors (NDRIs)

Bupropion has weak re-uptake-blocking properties on dopamine and noradrenaline, and is metabolised to a number of active metabolites, some of which are more potent re-uptake blockers than the parent molecule. Bupropion is available as a slow-release (SR) or extended-release (XR) formulation. At high doses, bupropion may be epileptogenic in patients predisposed to seizures.¹³ In addition to its antidepressant properties, bupropion is efficacious in treating nicotine dependence.¹⁴

Melatonergic antidepressants

Agomelatine is an agonist at melatonin-1 (MT1) and melatonin-2 (MT2) receptors, and an antagonist at 5HT2 receptors. These simultaneous actions are thought to enhance neurogenesis, resynchronise circadian rhythms (which are often disrupted in depression), and increase levels of dopamine and noradrenaline in the prefrontal cortex. It is an effective treatment for major depressive disorder and generalised anxiety disorder.¹⁵⁻¹⁷

NMDA receptor antagonists (glutamate antagonists)

Ketamine is a glutamate antagonist acting diffusely at the NMDA receptors throughout the brain. It can be administered intravenously or intranasally, and has shown some promising results in randomised clinical trials of treatment-resistant depression.^{18,19} The role of ketamine in the treatment of refractory depression is discussed in Chapter 3.²⁰

DRUGS FOR PSYCHOSIS

Antipsychotic agents may be divided into those that are more typical and those that are more atypical (see Table 2.6). This distinction remains incompletely understood and the term "antipsychotic" is again not an entirely accurate one, with the NbN describing these agents as drugs for psychosis, and noting that they are predominantly dopamine antagonists, with some agents having additional activity at other neurotransmitter receptors. These agents are helpful in the treatment of psychotic disorders such as schizophrenia, mood disorders such as depression with psychosis (Chapter 5), manic episodes of bipolar disorder (Chapter 12), and for behavioural and psychological symptoms associated with dementia (Chapter 13).

D2 receptor antagonists (typical antipsychotics)

Chlorpromazine was the first drug discovered to have antipsychotic effects in patients with schizophrenia. As a class, the typical antipsychotics (also called first-generation

Continued on page 14

Table 2.6. Drugs for psychosis (antipsychotics)

Chemical name	Trade name	Starting dose	Usual therapeutic dose range (mg/d)(*)	Anti-cholinergic effects	Extrapyramidal side effects	Cardio-metabolic side effects
Typical						
Chlorpromazine	Largactil® Numerous generics	25 mg TDS	75-300 mg	+++	+	+++
Flupenthixol	Fluanxol®	1 mg/d (oral) 10 mg IMI	2-3 mg/d 20-60 mg 2-4 weekly IMI	++	+++	+
Fluphenazine	Modecate®	12,5 mg IMI	12,5-25 mg 2-4 weekly IMI	+	+++	+
Haloperidol	Serenace® Sandoz Halopridol®	0,5 mg BD	2-10 mg/d	+	+++	+
Pimozide	Orap®	1-4 mg/d	4-8 mg/d	+	+	+
Sulpiride	Elgonyl® Espiride Sandoz Sulpiride	100-150 mg/d	300-800 mg/d	–	– or +	+
Trifluoperazine	Stelazine®	2-5 mg BD	15-20 mg/d	–	+++	+
Zuclopenthixol	Clopixol®	50-100 mg IMI	200-400 mg 2-4 weekly IMI	++	++	++
Atypical						
Amisulpiride	Solian®	200-400 mg/d	400-1 200 mg	–	+	++
Aripiprazole	Abilify®	10-15 mg/d	10-15 mg	–	+	–
Brexipiprazole	Rexulti®	1 mg/d	2-4 mg	–	+	–
Clozapine	Clozapine	12,5-25 mg/d	300-600 mg/d	+++	- or +	+++
Olanzapine	Zyprexa® Numerous generics	5-10 mg/d	10-20 mg/d	+	+	+++
Paliperidone	Invega®	6 mg/d	6-12 mg/d	+	+	++
Quetiapine	Seroquel® Numerous generics	50 mg/d	300-450 mg/d	+	–	++
Risperidone	Risperdal® Numerous generics	2 mg/d	4-8 mg/d	+	++	++
Risperidone Depot	Risperdal® Consta	25 mg IMI	25 mg 2-weekly IMI	As for risperidone		
Ziprasidone	Geodon®	40-80 mg/d	80-160 mg/d	–	+	–

(*) Doses higher than this may be required in certain cases; and should be made in line with approved drug formularies

+++ = high incidence/severity

++ = moderate

+ = low

– = very low

antipsychotics) share the common pharmacological property of dopamine (D2) receptor antagonism. Blockade of D2 circuits is responsible for many of the therapeutic effects and side effects of these agents.² Blockade of mesolimbic circuits results in antipsychotic effects. Blockade of nigrostriatal pathways causes extrapyramidal motor side effects, including acute dystonia, parkinsonism, akathisia, and tardive dyskinesia. Blockade of tubero-infundibular pathways is responsible for hyperprolactinaemia, and blockade of cells in the area postrema results in anti-emetic effects.

These agents do, however, have other neurotransmitter receptor affinities (with corresponding side effects), including muscarinic acetylcholine blockade (causing anticholinergic adverse events), α_1 -noradrenergic receptor blockade (causing postural hypotension) and histamine (H1) blockade (causing sedation and weight gain). Each of these medications may have additional adverse effects that are particular to that agent; haloperidol, for example, may cause pigmentary retinopathy and QTc prolongation.

Typical antipsychotics can be divided into high-potency agents and low-potency agents. High-potency agents are more likely to lead to extrapyramidal neurological side effects, but have fewer anticholinergic side effects. Low-potency agents are less likely to have extrapyramidal effects, but are more likely to be associated with anticholinergic effects (which can be useful when sedation is needed) and postural hypotension.

D2 receptor antagonists with 5HT2A antagonist activity and partial D2 antagonists (atypical antipsychotics)

The atypical antipsychotics (also called second-generation antipsychotics) share the dopamine antagonist properties of typical antipsychotics, but have the additional property of serotonin antagonism at the 5HT2A receptors, predominantly in the striatum. This coupling of serotonin and dopamine antagonism leads to a clinical profile of fewer extrapyramidal symptoms and less hyperprolactinaemia compared to typical antipsychotics, making this class of drugs "atypical". Furthermore, agents in this class interact with multiple other dopamine- and serotonin-receptor subtypes, as well as other neurotransmitter systems. Each drug in this class has an individual binding profile, responsible for both its therapeutic- and side effects.

Atypical antipsychotics share a class warning for cardiometabolic risk; including weight gain, dyslipidaemia, impaired glucose tolerance and diabetes mellitus.²¹ The precise mechanism of these changes is unknown, although there appears to be a spectrum of metabolic risk among the various agents, with clozapine and olanzapine having the greatest propensity.

Clozapine is the oldest of the atypical anti-psychotics. This agent requires careful monitoring because of idiosyncratic agranulocytosis, myocarditis and paralytic ileus.^{22,23} However, clozapine has been shown to be helpful in patients who have failed to respond to multiple trials of different antipsychotics and is the medication of choice for treatment-resistant schizophrenia.²⁴⁻²⁶ The other atypical antipsychotics have a safer side-effect profile than clozapine. Clozapine has shown better efficacy than most antipsychotic agents in schizophrenia and bipolar disorder with and without treatment resistance.^{24,27}

Amisulpiride has been called an "atypical typical" agent. This substituted benzamide is a highly selective D2/D3 receptor antagonist, but at low doses it preferentially blocks presynaptic dopamine autoreceptors, possibly facilitating dopaminergic transmission in frontal areas and reducing negative symptoms.²⁸ It is a partial D2 agonist, meaning that it still allows for some neurotransmission via these receptors, which results in less complete D2 antagonism and hence reduction of the potential for side effects.²

Other novel antipsychotics such as brexpiprazole (partial D2 antagonist), aripiprazole (partial D2 antagonist), cariprazine (partial D2 antagonist and 5HT2 antagonist), lurasidone (D2 and 5HT2 antagonist) and lumateperone (D2 and 5HT2 antagonist) have shown some promising results, although only brexpiprazole and aripiprazole are currently available in South Africa.^{29,30}

DRUGS FOR ANXIETY (ANXIOLYTICS)

Several classes of agents are used predominantly for anxiolytic or sedative actions. The barbiturates are useful for anxiolysis and sedation, but they are no longer widely prescribed because of their high risk for dependence and their low therapeutic index. The benzodiazepines continue to be widely prescribed, although antidepressants are now considered first-line agents for most anxiety disorders.³¹ Newer agents, the non-benzodiazepine GABA agonists, may

be particularly useful in the treatment of insomnia.³² The azapirones, such as buspirone, may have a role in the treatment of generalised anxiety disorder.³¹ More recently, the $\alpha 2\delta$ ligands gabapentin and pregabalin have been shown to have anxiolytic properties.³¹

GABA-A allosteric modulators (benzodiazepines)

The benzodiazepines (see Table 2.7.) are α -aminobutyric acid (GABA) agonists which act as positive allosteric modulators at the GABAA receptor.¹ GABA agonism is associated with a decrease in anxiety, an increase in sedation, cognitive slowing, anticonvulsant activity,

and muscle relaxation. These agents can be associated with abuse and dependence, and should only be prescribed for short periods of time.³³

The benzodiazepines can be divided into those with immediate onset and those with longer onset, those which get distributed primarily into fat and those which don't, and those with short elimination half-lives and those with long elimination half-lives.

- Rapid onset of action may be relatively useful for the treatment of insomnia.
- Lack of fat distribution may be useful in acute treatment of seizures (a single dose of lorazepam has a longer action than a

Table 2.7. Benzodiazepines

Chemical name	Trade name	Usual single dose (mg)	Approximate equivalent dose (mg)
Ultra-short-acting (half-life: <6 hours)			
Midazolam	Dormicum	7,5 mg	15 mg
Triazolam	Halcion	0,125 mg-0,25 mg	0,5 mg
Short-acting (half-life: 6-12 hours)			
Brotizolam	Lendormin	0,25 mg	5 mg
Loprazolam	Dormonox	1-2 mg	2 mg
Lormetazepam	Loramet	1 mg	1 mg
Oxazepam	Serapax	10-15 mg	30 mg
Temazepam	Normison	10-30 mg	30 mg
Intermediate-acting (half-life: 12-24 hours)			
Alprazolam	Xanor	0,25-0,5 mg	0,5 mg
Bromazepam	Lexotan	2-6 mg	3 mg
Lorazepam	Ativan	0,5-2 mg	2 mg
Long-acting (half-life: >24 hours)			
Chlordiazepoxide	Librium	12-25 mg	25 mg
Clobazam	Urbanol	10-20 mg	20 mg
Clonazepam	Rivotril	0,25-0,5 mg	2 mg
Diazepam	Valium	2-10 mg	10 mg
Flunitrazepam	Rohypnol	0,5-2 mg	1 mg
Nitrazepam	Arem	5-10 mg	10 mg
Prazepam	Demetrin	10-20 mg	15 mg
Non-benzodiazepine drugs for insomnia (hypnotics)			
Zolpidem	Stilnox	10 mg	20 mg
Zopiclone	Imovane	7,5 mg	15 mg

single dose of diazepam and is therefore a good choice in this situation).

- Long elimination half-life is associated with marked hangover effects and with increased risk of sedation (diazepam, for example, has a longer elimination half-life than lorazepam and is associated with increased incidence of hip fractures from falls in the elderly). On the other hand, drugs with short elimination half-lives require more frequent dosing, have more pronounced peaks and troughs, more rapid and severe withdrawal, and more anterograde amnesia (e.g., triazolam has been associated with this effect).

Other GABA agonists (non-benzodiazepine GABA agonists)

Zolpidem and zopiclone are non-benzodiazepines that nevertheless act as partial agonists at the benzodiazepine receptor of the GABA complex. These agents were designed to induce mild sedation, but also to have less cognitive impairment and dependence potential than the benzodiazepines.³⁴ Despite this, potential for dependence is present and cautious prescribing is once again recommended.³⁵ Additionally, these drugs are associated with cognitive impairment and falls in the elderly.³⁶

5HT_{1A} partial agonists (azapirones)

Buspirone is a 5HT_{1A} partial agonist. 5HT_{1A} receptors are presynaptic somatodendritic autoreceptors, and their partial agonism may be useful in optimising serotonergic function. Buspirone has been shown to be effective in decreasing anxiety after being administered for several weeks. It may therefore be a useful agent in patients with generalised anxiety disorder.³¹ It has relatively few side effects.

Glutamate modulators ($\alpha\delta$ ligands)

Pregabalin and gabapentin bind to the $\alpha\delta$ subunit of presynaptic voltage-sensitive calcium channels, blocking the release of excitatory neurotransmitter glutamate, hypothetically reducing fear and worry. Pregabalin is efficacious in the acute and maintenance treatment of generalised anxiety disorder

and social anxiety disorder, and pregabalin and gabapentin may be effective in the acute treatment of panic disorder.³¹

DRUGS FOR RELAPSE PREVENTION (MOOD STABILISERS)

Lithium was the first agent found to be useful in stabilising mood in bipolar disorder (previously called manic-depression). A number of anti-convulsant agents have also been found useful in this disorder, with valproate the most widely used (Chapter 12). These agents work by decreasing glutamatergic neurotransmission either directly or by stimulating GABA activity or through interactions with sodium channels.^{1,2} Additionally, both typical and atypical antipsychotics have rapid mood-stabilising properties, and may be a treatment of choice for acute manic or depressive bipolar episodes.³⁷

Lithium

The effects of lithium are not entirely understood. Nevertheless, since serendipitously found to stabilise mood in bipolar disorder it has become one of the most important agents in the psychiatric armamentarium. Importantly, lithium has a narrow therapeutic index, and careful monitoring of blood levels is therefore required.³⁸ Side effects of lithium include hypothyroidism, diuresis, and increased white blood cell count. In high doses, the CNS effects of lithium (tremor, memory loss, drowsiness, seizure) are dangerous and should be managed as an emergency.³⁹

Voltage-gated calcium- and sodium-channel blockers (anticonvulsants)

Anticonvulsants (see Table 2.8.) are used in the treatment of bipolar disorder. Bipolar disorder is now understood to involve a 'kindling' effect; each subsequent episode may be more easily triggered and more difficult to treat.⁴⁰ It is therefore crucial to continue medication life-long; after discontinuing a medication, the patient may respond less favourably to that agent in future. Anticonvulsants, alone, or together with lithium or antipsychotics, play a useful therapeutic role.³⁷ Although valproate is the most widely used, there are also efficacy data for carbamazepine, gabapentin, and lamotrigine.⁴¹⁻⁴³ A controlled-release (CR) form of valproate is now available.

Table 2.8. Anticonvulsants

Chemical name	Trade name	Starting dose (mg/d)	Adult dose range (mg/d)	Rare but serious side effects
Carbamazepine	Tegretol	100-200 mg	400-600 mg	• Agranulocytosis • Aplastic anaemia • Stevens-Johnson syndrome/toxic epidermal necrolysis (SJS/TEN) • Hepatic failure
Gabapentin	Neurontin	300-400 mg	900-1 800 mg	• Leucopenia • Thrombocytopenia
Lamotrigine	Lamictin	25 mg	100-400 mg	• SJS/TEN
Pregabalin	Lyrica	150 mg	150-300 mg	• Neutropenia • Rhabdomyolysis
Sodium valproate*	Epilim CR (controlled-release)	600-900 mg	1 000-2 000 mg	• Agranulocytosis • Aplastic anaemia • SJS/TEN
Valproic acid	Convulox	600-900 mg	1 000-2 000 mg	• Hepatic failure • Pancreatitis

(*) Converted to valproic acid (the active drug); 200 mg of sodium valproate is equivalent therapeutically to 150 mg of valproic acid

MISCELLANEOUS AGENTS

A range of other agents can be used for psychiatric purposes.

Dopamine and norepinephrine re-uptake inhibitors and releasers (stimulants)

Methylphenidate is a psychostimulant that displaces dopamine and noradrenaline from presynaptic nerve terminals and inhibits their re-uptake. This agent is used in the treatment of attention deficit hyperactivity disorder (ADHD), and in various other conditions, such as narcolepsy.⁴⁴⁻⁴⁶ The media have raised concerns about its safety, abuse potential, and over-prescription in ADHD.⁴⁷ Nevertheless, properly used, this agent is safe and effective.

Agents that facilitate dopaminergic transmission may also have other indications in psychiatry. For example, the dopamine-releasing agent amantadine is used in treating neuroleptic-induced parkinsonism.⁴⁸ Also, levodopa and dopamine agonists (bromocriptine, pramipexole, ropinirole) are used in the treatment of idiopathic Parkinson's disease and restless legs syndrome.⁴⁹ Indirect and direct dopamine agonists may also be

useful in the treatment of apathy following stroke or in dementia.^{50,51}

Adrenergic and noradrenergic antagonists

Beta-blockers are used in the treatment of performance anxiety (Chapter 6). They are, however, ineffective in the treatment of other forms of anxiety. Systematic reviews have not demonstrated any association between beta blockers and depression.^{52,53} Clonidine and guanfacine are α_2 -agonists (reducing adrenergic transmission), and are used in the treatment of Tourette's disorder, in ADHD and in opioid withdrawal.⁵⁴⁻⁵⁶

Serotonergic agents

Several 5HT_{1D} agonists are marketed for the treatment of migraine⁵⁷; their role in the treatment of neuropsychiatric disorders has not yet been defined. Ondansetron is a selective 5HT₃ antagonist used in the treatment of nausea and vomiting, and may have some benefit in treating negative symptoms of schizophrenia.^{58,59}

Cholinergic agents

The cholinesterase inhibitors donepezil, rivastigmine and galantamine are used in the

treatment of the cognitive and behavioural symptoms of Alzheimer's disease (Chapter 13). These agents have somewhat different profiles; donepezil is selective for acetylcholinesterase, rivastigmine inhibits both acetylcholinesterase and butyrylcholinesterase, and galantamine also modulates cholinergic nicotinic receptors.² These agents have a role in the treatment of other neurocognitive disorders, with evidence of their value in Parkinson's disease, Parkinson's disease with dementia, and dementia with Lewy bodies.⁶⁰

Anticholinergic agents (biperiden, trihexyphenidyl, orphenadrine) are used in the treatment of neuroleptic-induced dystonia and parkinsonism.³⁸

Histaminic agents

Antihistamines are used in the treatment of neuroleptic-induced parkinsonism. Hydroxyzine, which also has 5HT₂-blocking properties, has been found effective in the treatment of generalised anxiety disorder (Chapter 7).⁶¹

Glutamatergic agents

Whereas GABA is the most common inhibitory neurotransmitter, glutamate is the predominant excitatory neurotransmitter in the brain. Glutamate acts at a number of receptors, including the N-methyl-D-aspartate (NMDA) receptor. Several medications mentioned previously, including ketamine and some of the anticonvulsants, appear to exert their effects via the glutamatergic system.

Memantine is an NMDA receptor antagonist used in the treatment of moderate-severe Alzheimer's disease, to temporarily alleviate symptoms such as apathy, and slow progression of the illness.^{51,62,63}

Acamprosate or calcium acetyl-homotaurine, which is useful in the treatment of alcohol dependence, may act by blocking glutamate at NMDA and metabotropic glutamate receptors, mitigating glutamatergic hypersensitivity.⁶⁴

N-acetyl-cysteine (NAC) is a glutamate modulator that has been trialled in a number of psychiatric conditions. It has shown promising efficacy in schizophrenia, bipolar disorder, substance use disorders, trichotillomania, and excoriation disorder.⁶⁵⁻⁷²

Opioid agents

Naltrexone is an opioid antagonist. It may be helpful in the relapse-prevention treatment of alcohol and opioid dependence.⁶⁴

Several opioid agonists are available for the acute and maintenance treatment of opioid-use disorders. Methadone is a long-acting, full opioid agonist that carries a high dependence potential and a low lethal dose. Buprenorphine (Subutex®) is a partial opioid agonist, available as a sublingual tablet, with a high affinity for the μ -opioid receptors. The partial agonist properties of this drug mean it has a lower comparable risk of toxicity and overdose compared to methadone. Buprenorphine is also available as a fixed-dose combination (Suboxone®) with the opioid antagonist naloxone to prevent intravenous and nasal abuse of buprenorphine.

Hormones/peptides

Thyroid hormone is used in the treatment of refractory depression and refractory bipolar disorder (Chapters 5, 11).⁷³⁻⁷⁵ Oestrogens may have a role in the treatment of women with postpartum depression, or depression in the perimenopausal period (Chapter 5).^{76,77} Brexanolone has shown efficacy for postpartum depression, however is not yet available in South Africa.⁷⁸ Melatonin has been used in the treatment of the jet lag subtype of circadian rhythm disorder (Chapter 10).⁷⁹ Desmopressin, a modified analogue of vasopressin, has been used in the treatment of enuresis.⁸⁰

Cannabinoids

Cannabinoids such as tetrahydrocannabinol (THC) and cannabidiol (CBD) are two of the multiple cannabinoids found in the *Cannabis sativa* and *Cannabis indica* plants. THC produces the high associated with marijuana use, but CBD is FDA-approved for the treatment of seizures associated with Lennox Gastaut syndrome (LGS), Dravet syndrome (DS), or tuberous sclerosis complex (TSC).⁸¹ The role of cannabinoids in treating psychiatric conditions is less well-established⁸², but with their increasing availability, many patients may try these preparations as over-the-counter options.⁸³

Other medications

Disulfiram blocks the metabolism of acetaldehyde, a breakdown product of alcohol. Accumulation of acetaldehyde leads to flushing, nausea, vomiting and distressing autonomic symptoms. Disulfiram (250 mg daily, or 125 mg daily in older patients) has therefore been used in the treatment of alcohol dependence.⁸⁴

Flumazenil is a benzodiazepine receptor antagonist, used in the treatment of benzodiazepine overdose.

Dantrolene sodium, a hydanotin derivative, is a long-acting muscle relaxant. In work done at the University of Cape Town, it was initially developed for use in malignant hyperthermia, and it has been suggested for managing neuroleptic malignant syndrome.⁸⁵

Complementary therapies

Many herbal remedies have been claimed to be effective for the treatment of psychiatric disorders.^{86,87} Given that such products often have extremely high variability in their chemical components, and given the high placebo response rates in drug trials in psychiatry, such claims should be reviewed cautiously.⁸⁸ Furthermore, it is important to remember that effective psychotropic agents, whether synthetic or herbal, have the potential for adverse events and drug interactions. These should therefore be specifically addressed when taking a drug history.

One of the best studied herbal remedies in psychiatry is St. John's Wort.⁸⁹ Now available in standardised form, this agent may have serotonin re-uptake properties and appears to be effective in the treatment of mild to moderate depression. It may have drug interactions with synthetic agents, and like effective antidepressants, can precipitate mania. Other herbal agents on which research has been undertaken include *Ginkgo biloba* in dementia⁹⁰, kava for anxiety⁹¹, ginseng (an "adaptogen") for cognitive and mood enhancement⁹², and yohimbine for erectile dysfunction.⁹³ Increasingly, such agents are being included in pharmacotherapy algorithms and guidelines where appropriate.

Claims about the value of nutritional supplements for the treatment of psychiatric disorders also deserve scepticism in the absence of rigorous studies, although there is growing evidence of the value of a range of dietary supplements, including probiotics, in managing symptoms of many mental disorders.⁹⁴⁻⁹⁸

The role of serotonergic psychedelics in the treatment of depression and posttraumatic stress disorder is an area that warrants further research.^{99,100}

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3: Classifying Psychiatric Disorders

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Psychiatric disorders in the DSM-5 and ICD-11 are classified into broad categories, including the depressive disorders (e.g., major depressive disorder), the anxiety disorders (e.g., panic disorder, social anxiety disorder, generalised anxiety disorder), obsessive-compulsive and related disorders (e.g., obsessive-compulsive disorder), trauma- and stressor-related disorders (e.g., post-traumatic stress disorder), sleep-wake disorders (e.g., insomnia disorder, narcolepsy, restless legs syndrome), the schizophrenia spectrum and other psychotic disorders, the neurocognitive disorders (e.g., Alzheimer's disease), and neurodevelopmental disorders (usually first diagnosed in childhood, e.g., attention-deficit/hyperactivity disorder, autism spectrum disorder and intellectual disability).

In this volume, we provide pharmacotherapy approaches to the following psychiatric disorders:

- Major depressive disorder
- Panic disorder and social anxiety disorder
- Generalised anxiety disorder
- Obsessive-compulsive and related disorders
- Post-traumatic stress disorder
- Insomnia disorder
- Schizophrenia
- Bipolar disorder
- Alzheimer's disease
- Attention-deficit/hyperactivity disorder

Each of these disorders is diagnosed according to specific criteria. ICD-11 diagnostic guidelines are supplied in the relevant chapters of this volume. We strongly encourage clinicians to use these diagnostic guidelines to make diagnoses and identify target symptoms for pharmacotherapy and psychotherapy.

Although most psychopharmacology research has employed DSM diagnostic criteria, ICD-11 diagnostic guidelines have been developed for use by non-specialists working around the globe and so have several advantages (e.g. avoidance of pseudo-precision).

MAJOR DEPRESSIVE DISORDER

The mood disorders include major depressive disorder (often abbreviated to major depression) and persistent depressive disorder (previously known as dysthymia, a more chronic and less severe form of depression). These are amongst the most prevalent of psychiatric disorders. They are characterised by disturbances in mood and/or loss of interest or pleasure in activities.

It is important to be cognizant of several different aspects of the presentation when diagnosing major depression. These include severity (mild/moderate/severe), the presence of psychotic features (which may be mood-congruent or mood-incongruent), whether there is partial or full remission, and whether several other specifiers apply. These include major depressive disorder with atypical features (characterised by increased eating, increased sleep, mood reactivity), with melancholic features (accompanied by lack of reactivity to pleasurable stimuli, terminal insomnia, significant weight loss and excessive or inappropriate guilt). Also included are seasonal pattern (typically depression that worsens in winter), with anxiety symptoms (where anxious mood or symptoms are prominent), with panic attacks (where ruminations and anxiety-provoking cognitions lead to panic attacks), with mixed features (features of depression and mania or hypomania) and with peripartum onset (i.e. during pregnancy, or within approximately four weeks post-delivery). These distinctions may have implications for pharmacotherapy (see Chapter 5).

It is also important to take a history of previous episodes of mania and hypomania (a less severe form of mania) in all patients who present with major depression to exclude a diagnosis of bipolar disorder. The pharmacotherapy of the depressed phase of bipolar disorder (see Chapter 12) differs significantly from that of unipolar major depression.

ANXIETY DISORDERS

The anxiety disorders include panic disorder, social anxiety disorder (social phobia) and generalised anxiety disorder. Post-traumatic stress disorder and obsessive-compulsive disorder have been classified in separate chapters. It is not always realised that this group of anxiety and related disorders is in fact one of the most prevalent of all psychiatric disorders.¹ There is also evidence that these disorders account for up to one-third of all the costs of psychiatric disorders.^{2,3} While disorders such as schizophrenia account for significant direct costs (e.g., hospitalisation), the anxiety and related disorders have greater indirect costs (e.g., costs of unemployment and marital discord).

In this volume, panic disorder and social anxiety disorder are both covered in a single chapter (see Chapter 6), partly because we hold that the selective serotonin re-uptake inhibitors are the first-line treatment in each of these disorders. We also provide a specific algorithm for generalised anxiety disorder (see Chapter 7), partly because this anxiety disorder is prevalent in primary care settings.

OBSESSIVE-COMPULSIVE AND RELATED DISORDERS

The obsessive-compulsive and related disorders include obsessive-compulsive disorder (OCD), body dysmorphic disorder, hoarding disorder, trichotillomania (hair-pulling disorder) and excoriation (skin-picking) disorder.

We have included obsessive-compulsive disorder (OCD) in a separate chapter (see Chapter 8) to reflect the current diagnostic nomenclature and emphasise the obsessive-compulsive-related disorders that may also respond to standard treatments for obsessive-compulsive disorder.

TRAUMA- AND STRESSOR-RELATED DISORDERS

This is a new chapter in the DSM-5, and includes disorders in which exposure to a traumatic or stressful event is an explicit diagnostic requirement. These disorders include post-traumatic stress disorder (PTSD), acute stress disorder, and adjustment disorders.

This book focuses on the pharmacological treatment of PTSD, which can be conceptualised as an abnormal response to psychological trauma, with symptoms persisting beyond one month.

SLEEP-WAKE DISORDERS

The DSM-5 classification of sleep-wake disorders incorporates disorders which are characterised by problems in the quality, timing and amount of sleep, and that invariably result in daytime distress and impairment. These disorders include insomnia disorder, hypersomnolence disorder, narcolepsy, breathing-related sleep disorders, and restless legs syndrome.

We have focused on insomnia disorder (previously known as primary insomnia), as this is a common condition presenting to primary care.^{4,5}

SCHIZOPHRENIA SPECTRUM AND OTHER PSYCHOTIC DISORDERS

The psychotic disorders include schizophrenia, schizophreniform disorder, schizoaffective disorder, delusional disorder, and brief psychotic disorder. These are all characterised by loss of contact with reality, whether in hallucinations or delusions, and are often accompanied by disorganised speech and behaviour.

Although psychotic episodes are frequently treated in an inpatient setting, identification and follow-up of these patients in South Africa frequently occurs at the primary care level. We have therefore included a chapter on the treatment of schizophrenia.

BIPOLAR AND RELATED DISORDERS

Bipolar and related disorders are separated from the depressive disorders in DSM-5, and placed between chapters on schizophrenia spectrum disorders and depressive disorders, to emphasise the commonalities across these three groups of conditions in terms of symptoms, family histories and genetics.

The main focus of the chapter in this volume is on the acute and chronic management of bipolar I disorder (characterised by at least one episode of mania) and bipolar II disorder (characterised by at least one depressive and one hypomanic episode).

NEUROCOGNITIVE DISORDERS

The cognitive disorders include delirium, major and mild neurocognitive disorder (NCD), and their aetiological subtypes (including but not limited to Alzheimer's disease, vascular disease, traumatic brain injury, substance/medication-induced disorder, HIV infection, Parkinson's disease and Huntington's disease). The term "dementia" has been subsumed under "major

neurocognitive disorder", although it may still be used in aetiological subtypes where it has become standard.

Advances in our understanding of Alzheimer's disease have been particularly exciting. Indeed, several new agents have been introduced specifically for this condition and have subsequently been shown to have efficacy in other neurocognitive disorders.⁶⁻⁸ Thus, we provide a separate algorithm for Alzheimer's disease (see Chapter 13).

NEURODEVELOPMENTAL DISORDERS

Neurodevelopmental disorders are usually diagnosed first in childhood and include intellectual disability, autism spectrum disorder, attention-deficit/hyperactivity disorder and tic disorders.

Attention-deficit/hyperactivity disorder is important to diagnose as it is associated with significant subsequent morbidity (including substance abuse, unemployment and involvement in criminal activity) and there is effective and safe medication treatment available.⁹ We therefore provide an algorithm (see Chapter 14) for this disorder.

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4: Pros and Cons of Algorithms

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A medical algorithm is a step-by-step approach to describing diagnosis or treatment. The algorithms in this handbook invariably begin with diagnosis as step 1, an initial medication as step 3, and then proceed up to step 7 or 8, for patients who prove particularly refractory to standard treatment approaches. The aim of providing algorithms in this volume is to provide a concise, logical, and user-friendly approach to the pharmacotherapy of psychiatric disorders in the primary care context. The algorithms presented assume an adult patient, unless stated otherwise.

In recent years, several clinical practice guidelines for psychiatric disorders have been published, and syntheses of multiple guidelines are also being developed.^{1,2} Ideally, such guidelines have several characteristics, including reliability, flexibility, clarity, and multidisciplinary input, and they generally aim to reflect an up-to-date synthesis of current research.³ The algorithms here are not based on formal expert consensus or systematic meta-analyses, but rather on our reading of the literature. Their strength lies not so much in consensus and complexity, but rather, we hope, in their concision, logic and user-friendliness.

BENEFITS OF A CONCISE, LOGICAL, AND USER-FRIENDLY APPROACH

Several authors have emphasised that the development of clinical practice guidelines does not ensure their use by clinicians.^{4,6} There are several possible reasons why dissemination

of guidelines may not alter clinical practice. Not the least of these may be the complexity and unwieldiness of specialised clinical practice guidelines, particularly from the perspective of users in a primary care setting.^{7,8}

Nevertheless, guidelines and algorithms have many values. First, there is the increasing emphasis by professional and consumer bodies on the importance of practising evidence-based medicine. The complexity of decision-making in psychiatry has steadily increased in recent years as a result of the introduction of new medications, the completion of hundreds of clinical trials, and the growth in systematic and anecdotal information about the management of treatment-resistant conditions.^{9,10} Algorithms may be able to provide a concise and logical "scaffolding" to approach the practice of evidence-based medicine.¹¹

Second, there is increasing emphasis by government- and private funding of healthcare on resource allocation and cost-effectiveness in the practice of medicine. The field of pharmacoeconomics has grown rapidly and increasingly plays a role in the development of clinical practice guidelines.^{12,13} Once again, algorithms provide a way of summarising currently available information and specifying appropriate clinical options to minimise wasteful and suboptimal practices.¹⁴ They may be able to provide a quick, step-by-step approach to cost-effective practices, incorporating findings from the latest pharmacotherapy trials, guidelines and pharmacogenomic studies.^{14,15}

Third, the development of computer-based decision tools for psychiatric assessment and treatment encourages the formulation of algorithms. Many such programmes are based on logical rules, and these are often most usefully articulated with the aid of flow charts or algorithms.¹⁶ Such rules need to be revised, of course, as new clinical data are gathered and analysed.

LEVELS OF EVIDENCE

Development of an algorithm encourages a rigorous review of the past literature. That is, the development of an algorithm requires the clinician to carefully justify clinical decisions in terms of the existing data. Furthermore, algorithms point to the future. The development of an algorithm provides an important focus on those areas where clinical practice, even

when expert, is not supported by research, and where further research is required.^{17,18}

The development of algorithms – and their revision after critical assessment and after the gathering and analysis of new data – are arguably useful didactic and research tools. Nevertheless, all algorithms need to be applied with good clinical judgement. Evidence-based psychiatry needs to be integrated with values-based practice, as exemplified by shared decision-making.^{19,20} While algorithms can provide a useful overview, they may also run the risk of oversimplification.²¹ Simple algorithms may, for example, be irrelevant in patients with complex comorbid psychiatric and medical conditions. Algorithms are intended to provide a "scaffolding" rather than to regulate or automate the practice of medicine.

Certainly, algorithms will never replace the individual clinician; only an experienced and competent decision-maker is able to apply an algorithm in the clinic.^{22,23}

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5: Major Depressive Disorder

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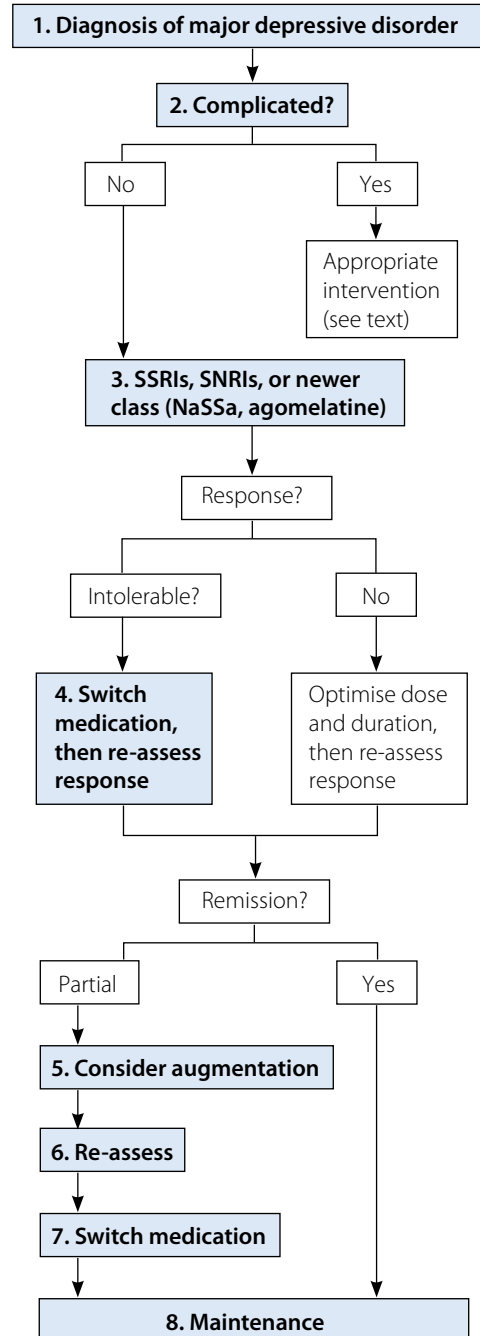
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An algorithm for the pharmacotherapy of depression is presented in Figure 5.1. Each line of the algorithm is briefly explained below. It is crucial to state at the outset, however, that psychotherapy is often a useful treatment of depression, either alone or in conjunction with antidepressant medication.¹⁻³ Similarly, psycho-education about depression may be an important adjunct to both pharmacotherapy and psychotherapy.⁴ Organisational strategies and collaborative care models within primary care settings can also contribute to the improved recognition and treatment of depression.⁵ The question of whether severity of symptoms should influence the decision of whether to initiate pharmacotherapy, psychotherapy, or their combination remains controversial,¹ although there is some evidence that pharmacotherapy is more efficacious in patients with more severe symptoms.⁶

The algorithm here focuses on major depression. However, there is increasing recognition that persistent depressive disorder (dysthymia) also responds to standard antidepressant treatments.⁷⁻⁹ This disorder, characterised by chronic depressive symptoms, has significant associated morbidity when left untreated. It therefore also deserves attention

Figure 5.1. Algorithm for pharmacotherapy of depression



from both clinicians and researchers.

The algorithm here also assumes that the patient is an adult. In children and adolescents, selective serotonin re-uptake inhibitors (SSRIs) are better tolerated and more effective than tricyclic antidepressants (TCAs)¹⁰, with the SSRI fluoxetine perhaps being more effective in adolescents.¹¹ Sertraline, escitalopram and duloxetine may also be considered as options for this age group.¹² Despite their usefulness in treating depression, it is important to note that antidepressants may be associated with an increased risk of suicidal ideation and behaviour in children and adolescents.^{12,13} Specialist consultation, if available, is therefore advisable in younger patients.

STEP 1: DIAGNOSIS OF MAJOR DEPRESSIVE DISORDER

Depression should be diagnosed using specific diagnostic guidelines, as outlined

in ICD-11 (see Table 5.1.). A range of practical questionnaires that incorporate these criteria is available to help clinicians identify and diagnose patients with major depression.¹⁴⁻¹⁷ Particular attention should be paid by the clinician to symptoms that are chosen as targets for pharmacotherapy. These include mood symptoms, associated symptoms such as pain, and the degree of symptom-related disability. It should be stressed that current evidence indicates that depression remains significantly under-recognised in primary care settings.¹⁸⁻²¹

By definition, major depressive disorder is not diagnosed when there is a history of manic or hypomanic episodes. Rather, patients with such a history are diagnosed with a bipolar disorder. Postpartum depressive episodes are often on the bipolar spectrum.^{22,23} It is particularly important to exclude a history of mania or hypomania in patients with a family

Table 5.1. Diagnostic guidelines for depressive episode*

1. Five (or more) of the following characteristic symptoms have been present for most of the day, nearly every day during a period lasting at least two weeks and represent a change from previous functioning; at least one of the symptoms is from the affective cluster.	
A. Affective cluster	• Depressed mood most of the day, nearly every day, as reported or observed. This may be experienced and expressed as irritability, particularly in children or adolescents. • Markedly diminished interest or pleasure in activities, especially those normally found to be enjoyable to the individual.
B. Cognitive-behavioural cluster	• Diminished ability to think or concentrate, or indecisiveness. • Feelings of worthlessness or excessive and inappropriate guilt, which may be delusional. • Hopelessness about the future. • Recurrent thoughts of death, recurrent suicidal ideation, or evidence of suicide attempt.
C. Neurovegetative cluster	• Significantly disrupted sleep (insomnia or hypersomnia). • Significant change in appetite or weight (increase or decrease). • Psychomotor agitation or retardation. • Fatigue, loss of energy or marked tiredness following expenditure of only a minimum of effort.
2. The symptoms cause clinically significant distress or impairment in social, occupational or other important areas of functioning. If function is maintained, it is only through significant additional effort.	
3. The symptoms are not due to the direct physiological effects of a substance (or withdrawal) or a general medical condition.	
4. The symptoms are not better accounted for by bereavement.	
5. The clinical presentation does not fulfil the diagnostic requirements for a mixed episode.	

*Adapted from ICD-11

history of bipolar disorder. The treatment of depression in bipolar disorder is not discussed further here, although atypical antipsychotics and mood-stabilising agents (rather than antidepressants) are the essential ingredients of its treatment.²⁴⁻²⁶

The differential diagnosis of depression includes not only other psychiatric disorders, but also mood disorders due to general medical conditions and substance-induced mood disorders. Most of these disorders can readily be excluded by a thorough medical history, physical examination, and routine blood and urine tests.¹⁹ At the same time, it is also important to be aware of the comorbidity between depression and general medical disorders^{27,28}, with increasing evidence that depression contributes to the morbidity and mortality of illnesses such as diabetes and coronary artery disease.²⁹⁻³⁴

STEP 2: COMPLICATIONS IN DIAGNOSIS IMPACTING PHARMACOTHERAPY

Depression may be characterised in several ways, impacting decisions about pharmacotherapy and other interventions. Brief explanations of these various complicating features and their implications for pharmacotherapy follow:

- a. **Melancholia:** Melancholic features of depression include loss of pleasure in activities, lack of reactivity to pleasurable stimuli, and various neurovegetative symptoms, such as exacerbation of depression in the morning, early-morning awakening, and significant weight loss.³⁵ Patients with melancholia appear to respond better to antidepressants and electroconvulsive therapy (ECT) and less favourably to psychotherapy and placebo than do non-melancholic patients.³⁶⁻³⁸ There is some evidence that patients with melancholic features of depression respond better to older drugs, such as TCAs and mono-amine oxidase inhibitors (MAOIs) than to SSRIs, although not all evidence is consistent.^{36,39,40}
- b. **Psychotic features:** Clinicians should be alert for psychotic symptoms in depression, as these are sometimes quite subtle. Depression with psychotic features is associated with increased risks for suicide and for recurrent depression. Most authorities hold that it is important to use an antipsychotic agent in addition to an antidepressant in order to achieve an

optimal response.⁴¹⁻⁴³ ECT can also be highly effective, and may also be considered as a first-line treatment for depressed patients with psychotic features.^{37,44,45}

- c. **'Atypical' features:** These include mood reactivity, as well as neurovegetative symptoms of reversed polarity (i.e., increased rather than decreased sleep and appetite), severe lack of energy or leaden feelings in the limbs (leaden paralysis), and pathologic sensitivity to interpersonal rejection. Patients may present with only some of these symptoms. Classical MAOIs, such as phenelzine, are probably more effective than TCAs in atypical depression.⁴⁶ However, in view of the inconvenience caused by dietary and other restrictions when using these agents, SSRIs may be considered a first-line class of medication for these patients.^{47,48}
- d. **Seasonal affective disorder:** Seasonal affective disorder is a subtype of depression where there is a regular temporal relationship between season (usually autumn/winter) and depression, which cannot be accounted for by seasonal stressors. Seasonal affective disorder has been shown to respond to light therapy, as well as to antidepressant drugs.⁴⁹ Symptoms of depression are often atypical rather than typical, and SSRIs may also be considered as a first-line treatment.⁵⁰
- e. **Comorbid anxiety or anxiety disorders:** Comorbidity of depression and anxiety is extremely common, and is associated with more severe and treatment-refractory depression.^{51,52} In patients with depression and a high level of anxiety, short-term augmentation of an antidepressant with a benzodiazepine may reduce distress and increase adherence.⁵³ In patients with depression and comorbid panic disorder, it is often useful to begin at lower doses of antidepressants than usual in view of the fact that anxiety symptoms are often initially exacerbated at ordinary doses. The use of a serotonin re-uptake inhibitor (i.e., clomipramine or one of the SSRIs) is advised in patients with depression and comorbid obsessive-compulsive disorder. SSRIs or the serotonin-noradrenaline re-uptake inhibitor (SNRI) venlafaxine are advisable in patients with depression and comorbid social anxiety disorder, rather than TCAs.
- f. **Alcohol and/or substance use:** Depression and substance-use disorder are often

comorbid. For this reason, a detailed history of substance abuse is essential in patients with depression. Depressed patients with comorbid substance-use disorders are more likely to require hospitalisation, more likely to attempt suicide, and less likely to adhere to treatment.⁵⁴ However, abstinence may itself lead to some improvement in symptoms of depression. It may therefore be advisable to detoxify patients prior to beginning antidepressant treatment.⁵⁵ On the other hand, a positive family history of depression, a history of depression preceding alcohol- or other substance use, or a history of major depression during periods of sobriety raises the possibility that early intervention with antidepressants may be useful. These may be combined with a drug to prevent alcohol relapse, such as naltrexone.⁵⁶

g. Suicide: In patients with suicidal ideation, it is important to consider whether pharmacotherapy should be initiated in hospital or under close supervision.⁵⁷ Remember also that the risk of suicide in some patients recovering from depression may increase transiently as they develop the energy and capacity to act on self-destructive plans made earlier in the course of their illness.⁵⁸ If suicidal patients are treated as outpatients, it may be advisable to favour medications that have higher safety in overdose (such as the SSRIs). If TCAs are used in this setting, it is advisable to prescribe only limited amounts of medication (no more than a one-week supply).

h. Pregnancy, lactation, and menopause: Depression during pregnancy should be treated by non-pharmacotherapeutic interventions whenever possible. However, where clinical considerations outweigh the potential risks of medication, one of the SSRIs may be considered in consultation with a specialist. Adverse pregnancy outcome studies can be difficult to interpret and it is not always clear whether outcomes observed are due to medications themselves or confounders such as the underlying mental illness.⁵⁹ SSRIs are generally considered safe first-line agents in pregnancy and there is currently no conclusive evidence of an association between treatment with these agents and increased risk for malformations, preterm birth, low birth weight or small-for-gestational-age births.⁶⁰ However, fluoxetine and paroxetine use in early

pregnancy may be associated with a small increased risk for cardiovascular malformations. Initiation of these agents should therefore be avoided in the first trimester.⁶⁰ The risk of persistent pulmonary hypertension of the newborn has been shown to be increased for infants exposed to SSRIs (particularly paroxetine) in late pregnancy, although clinically the absolute risk is low.⁶¹ ECT is both safe and effective for treatment of depression in pregnancy. It may be used in selected cases, or in patients where depression is complicated by psychotic features.⁶²⁻⁶⁴

There is some evidence for the efficacy of antidepressants in postpartum depression,⁶⁵ and antidepressants can also be used prophylactically in patients with a history of this condition.⁶⁶ There is also good evidence for the use of brexanolone as an antidepressant agent in postpartum depression, although this is not locally available.⁶⁷ Depression during lactation should again be treated by non-pharmacotherapeutic interventions when possible. There is some evidence for the safety of SSRIs and SNRIs during lactation^{68,69}, although even here there is some passage of drug and metabolites to the breastfeeding infant. Sertraline has the lowest relative dose passed on to the infant, but expert recommendation is that the same SSRI to which the patient has responded should be continued.^{68,70} Specialist consultation should again be sought prior to using medication in this context. Depression may be exacerbated in susceptible patients during menopause, and hormone replacement therapy may sometimes be considered as an adjunct to standard pharmacotherapy.^{71,72}

i. Comorbid medical disorders and medications: Antidepressants are effective in a wide range of general medical disorders with comorbid major depression.²⁷ However, clinicians and patients need to be aware of the multiple interactions between antidepressants and other medications.^{73,74} In addition, specific medical disorders in patients with depression may impact the choice of antidepressant medication.

Cardiac disease: TCAs are contra-indicated in a number of cardiac conditions, including ventricular arrhythmia, subclinical sinus node dysfunction, conduction defects

(including asymptomatic conduction defects), prolonged QT-intervals, and a recent history of myocardial infarction.^{75,76} Although a number of the SSRIs appear to be safe for patients with pre- and existing cardiac disease⁷⁷, both citalopram and escitalopram are associated with a dose-dependent QTc- prolongation.^{78,79} A depressed patient with a history of any cardiac disorder should be monitored for the emergence of cardiac symptoms, ECG changes, and orthostatic blood pressure decrements. Consultation with a cardiologist before and during antidepressant treatment may be advisable.⁷⁵

Stroke: The onset of depression in the post-stroke period is common⁸⁰, and perhaps unrelated to anatomical location of the lesion.^{81,82} Although the literature is uncertain regarding treatment of post-stroke depression, there is some evidence for the value of antidepressants in this context, reducing impairment following stroke.^{83,84}

Epilepsy: Many antidepressants lower the seizure threshold and theoretically exert an adverse effect on seizure control in depressed patients with epilepsy. Although TCAs can still be used in such patients, the initial dosages should be lower than usual and subsequent dosage increases should be gradual. Bupropion is contra-indicated in patients with a seizure disorder. The preferred drugs for treating depression in the context of epilepsy are the SSRIs, which themselves may possess anti-epileptic properties.^{85,86} Epilepsy is not a contra-indication to ECT.

Glaucoma/obstructive uropathy/etc: Anticholinergic effects of the TCAs are undesirable in a number of settings. TCAs may, for example, precipitate acute narrow-angle glaucoma in susceptible individuals (i.e., those with shallow anterior chambers). Prostatism and other forms of bladder outlet obstruction are relative contraindications to the use of TCAs. Anticholinergic antidepressants may also exacerbate memory problems in patients with central nervous disorders, such as Parkinson's disease or dementia.⁸⁷

STEP 3: FIRST-LINE PHARMACOTHERAPY

Most guidelines recommend that any one of the different antidepressants can be used as a first-line treatment in uncomplicated patients

with major depressive disorder.^{1,5,88,89} There is now a good deal of experience not only with older medications, such as the TCAs and SSRIs/SNRIs, but also with newer agents, such as agomelatine and vortioxetine. Evidence of superior efficacy of one class of antidepressants is inconclusive.^{11,90} There is some evidence that SNRIs have an advantage over SSRIs, but this does not appear clinically significant,⁹¹ and there is also some evidence that SSRIs may outperform SNRIs.⁹⁰ Similarly, it is probably premature to conclude that any of the currently available antidepressants definitely have a more rapid onset of effect.^{92,93} Thus, for now, the choice between antidepressant agents is primarily made on the basis of tolerability, side effects and cost.^{5,94}

SSRIs are particularly useful as a first-line treatment in view of their established efficacy and tolerable side-effect profile, a point made in several practice guidelines.^{5,43,95,96} Prescription of therapeutic dosages is typically straightforward and side effects and adverse events can be minimised by starting at the lowest available dose.^{97,98} The SSRIs, compared to TCAs, appear relatively safe in overdose.⁹⁸ SSRIs may be particularly helpful in younger patients and perhaps the elderly, where side effects of older antidepressants can be problematic.^{10,11,99,100}

Venlafaxine, desvenlafaxine and duloxetine (SNRIs), reboxetine (a noradrenergic re-uptake inhibitor, or NARI), mirtazapine (a noradrenergic and specific serotonergic antidepressant, or NaSSA), bupropion (a noradrenergic and dopaminergic re-uptake inhibitor), agomelatine (a melatonergic antidepressant), and vortioxetine (a "multimodal" and predominantly serotonergic drug), are also useful first-line options for the treatment of major depression.

Venlafaxine is predominantly a serotonin re-uptake blocker at lower doses and a combined serotonin/norepinephrine re-uptake blocker at higher doses; this profile may contribute to its apparently superior effect on the remission of symptoms, but also to an increased side-effect burden and adverse reactions.^{90,101} Desvenlafaxine, a synthetic form of the major active metabolite of venlafaxine, has recently become available on the South African market.

Mirtazapine is an α_2 -noradrenergic antagonist (increasing noradrenergic and serotonergic transmission) and a 5HT₂ and 5HT₃ antagonist. This unique mode of action is associated with a useful range of clinical effects and side effects (e.g., increased sedation, fewer

sexual side effects) and may have a more rapid onset of action during initial treatment.¹⁰²

Bupropion, a norepinephrine and dopamine re-uptake inhibitor (NDRI), is an antidepressant that is also marketed for decreasing the craving associated with smoking cessation, and it is relatively free from sexual side effects.¹⁰³⁻¹⁰⁵

Agomelatine is a melatonin receptor agonist and 5HT₂ receptor antagonist that is effective and well-tolerated in depression (with minimal effects on weight or sexual functioning), and may be particularly useful for patients who have intolerable side effects on SSRIs or SNRIs.¹⁰⁶

Vortioxetine is a multimodal antidepressant with a unique mechanism of action and proven efficacy for the treatment of major depressive disorder.¹⁰⁷ Meta-analyses suggest it may have a favourable combination of efficacy and tolerability through its effects on multiple serotonin receptors and re-uptake inhibition.¹⁰⁸

TCAs have long been used in the treatment of depression and likely work as quickly and with similar efficacy to the new-generation antidepressants. However, clinicians often prescribe subtherapeutic dosages of these agents, due to intolerable side effects and cardiac conduction concerns at higher doses.⁹⁵ The TCAs are also unsafe in overdose. On the other hand, low doses can be therapeutic for some patients¹⁰⁹ and some TCAs (e.g., the secondary tricyclics) have relatively favourable side-effect profiles¹¹⁰ – therefore these agents remain an option, even in the elderly.^{100,111}

Extracts of St John's Wort (*Hypericum perforatum*) can be an effective and generally well-tolerated treatment for depression and may be a useful option in patients who refuse conventional medication, particularly when depression levels are only mild to moderate.¹¹² However, the precise mechanism of action is unknown, and the majority of preparations are neither standardised nor licensed. Furthermore, St John's Wort is involved in a number of drug interactions, resulting in lower plasma concentrations of various other drugs, including antiretrovirals, digoxin, warfarin and oral contraceptives.^{112,113} The combination with SSRIs may result in serotonin syndrome. Various other herbals or nutraceuticals (e.g., tryptophan, 5-hydroxytryptophan, omega-3 fatty acids, vitamin D, and magnesium) have some evidence for efficacy, but cannot be supported as first-line agents.¹¹⁴⁻¹¹⁶ The older, irreversible MAOIs (such as phenelzine), which require specific dietary restrictions, are no longer used as first-line agents.

In recent years, there has been growing interest in the use of serotonergic psychedelics, such as psilocybin, lysergic acid diethylamide (LSD) and methylenedioxymethamphetamine (MDMA) as treatment for depression. Some studies have shown beneficial effects of psychedelics in reducing symptoms of depression.¹¹⁷⁻¹¹⁹ Despite showing some potential as novel treatments for depression, further research is needed to establish their efficacy and safety as therapeutic modalities.¹²⁰ Similarly, the evidence for the use of cannabinoids in the treatment of mood disorders is still limited, and there are concerns regarding their potential to precipitate or exacerbate psychotic symptoms.^{121,122}

Several other factors may also influence the choice of agent. Agents that have proved efficacious and tolerable in a particular individual in the past should be favoured in the present. Similarly, a family history of response to a particular antidepressant may favour the choice of that agent.^{1,123,124} Patient preference for a particular agent is often a deciding factor. There is growing literature on pharmacogenomic predictors of antidepressant response, but this has not yet been proven effective or cost-effective in primary care. Similarly, while predictive algorithms are being developed to further aid in the choice of antidepressant medication, these have not yet been shown to have clinical utility in primary care.¹²⁵

An interesting area of current research focuses on interventions to decrease the response time of antidepressants. There are theoretical reasons for suggesting that certain combinations of agents, by combined receptor action, may be able to achieve this result. To date, however, such work has not translated into clinical recommendations,⁹² although there is increasing evidence of efficacy for ketamine and esketamine in rapidly reducing depressive symptoms and suicidal ideation.¹²⁶ In this guideline, the use of ketamine as an agent for treatment of depression is discussed briefly under the section on treatment resistance.

Other important aspects of psychoeducation regarding the treatment of depression include imparting the facts that antidepressants are not addictive and side effects that may occur are typically transient. It is important to emphasise to patients that onset of therapeutic response may be delayed, that an adequate trial is warranted before changing to another agent, and that if one agent does not work a different one should be tried.

Continued on page 42

Table 5.2. Montgomery and Asberg depression rating scale

1. Apparent sadness	
Representing despondency, gloom and despair (more than just ordinary, transient low spirits) reflected in speech, facial expression and posture. Rate depth and inability to brighten up.	0 No sadness 1 2 Looks dispirited but does brighten up without difficulty 3 4 Appears sad and unhappy most of the time 5 6 Looks miserable all the time. Extremely despondent
2. Reported sadness	
Representing reports of depressed mood, regardless of whether it is reflected in appearance or not. Includes low spirits, despondency or the feeling of being beyond help and without hope. Rate according to intensity, duration and the extent to which the mood is influenced by events.	0 Occasional sadness in keeping with circumstances 1 2 Sad or low, but brightens up without difficulty 3 4 Pervasive feelings of sadness and gloominess. The mood is still influenced by external circumstances 5 6 Continuous or unvarying sadness, misery or despondency
3. Inner tension	
Representing feelings of ill-defined discomfort, edginess, inner turmoil, mental tension mounting to either panic, dread or anguish. Rate according to intensity, duration or extent of reassurance required.	0 Placid. Only fleeting inner tension 1 2 Occasional feelings of edginess and ill-defined discomfort 3 4 Continuous feelings of inner tension or intermittent panic which the patient can only master with some difficulty 5 6 Unrelenting dread or anguish. Overwhelming panic
4. Reduced sleep	
Representing the experience of reduced duration or depth of sleep, compared to the subject's own normal pattern when well.	0 Sleep as usual 1 2 Slight difficulty dropping off to sleep or slightly reduced, light or fitful sleep 3 4 Sleep reduced or broken by at least two hours 5 6 Less than two or three hours' sleep
6. Concentration difficulties	
Representing difficulties collecting one's thoughts mounting to incapacitating lack of concentration. Rate according to intensity, frequency and incapacity produced.	0 No difficulties in concentrating 1 2 Occasional difficulties in collecting one's thoughts 3 4 Difficulties in concentration and sustaining thought which reduces ability to read or hold a conversation 5 6 Unable to read or converse without great difficulty

Table 5.2. (continued)

7. Lassitude	
Representing a difficulty getting started or slowness initiating and performing everyday activities.	0 Hardly any difficulty getting started. No sluggishness 1 2 Difficulties in starting activities 3 4 Difficulties in starting simple activities which are carried out with effort 5 6 Complete lassitude. Unable to do anything without help
8. Inability to feel	
Representing the subjective experience of reduced interest in the surroundings or activities that normally give pleasure. The ability to react with adequate emotion to circumstances or people is reduced. Rate according to intensity, duration and the extent to which the mood is influenced by events.	0 Normal interest in surroundings and in other people 1 2 Reduced ability to enjoy usual interest 3 4 Loss of interest in the surroundings. Loss of feelings for friends and acquaintances 5 6 The experience of being emotionally paralysed, inability to feel anger or grief and a complete or even painful failure to feel for close relatives or friends
9. Pessimistic thoughts	
Representing thoughts of guilt, self-reproach, sinfulness, remorse and ruin.	0 No pessimistic thoughts 1 2 Fluctuating idea of failure, self-reproach or self-depreciation 3 4 Persistent self-accusation or definite but still rational ideas of guilt or sin. Increasingly pessimistic about the future 5 6 Delusions of ruin, remorse or unredeemable sin. Self-accusations which are absurd and unshakeable
10. Suicidal thoughts	
Representing the feeling that life is not worth living, that a natural death would be more welcome, suicidal thoughts and preparations for suicide. Suicide attempts should not in themselves influence the rating.	0 Enjoys life or takes it as it comes 1 2 Weary of life. Only fleeting suicidal thoughts 3 4 Probably better off dead. Suicidal thoughts are common and suicide is considered as a possible solution, but without specific plan of action 5 6 Explicit plans for suicide when there is an opportunity. Active preparations for suicide
Total score:	0-6 = normal/symptoms absent 7-19 = mild depression 20-34 = moderate depression >34 = severe depression

STEP 4: ASSESS RESPONSE TO TREATMENT

To determine response to medication, it is important to ask about change in those symptoms that were initially targeted for treatment. Side effects of the medication should also be determined, with particular attention to specific side effects that patients may be reluctant to disclose (e.g., sexual dysfunction). To standardise self-reported symptom response to medication, it is useful to have the patient complete a rating scale of depression severity (Table 5.2).¹²⁷ In addition to monitoring depression symptoms, it is important to ascertain overall change in objective disability and subjective wellbeing (i.e., quality of life).

Patients who are intolerant of a particular medication can be switched to another agent. For example, when TCA side effects prove intolerable, it may well be useful to switch to a SSRI. Within the SSRIs, adverse effects may not be seen when an alternative SSRI is used (for example, fluvoxamine has been reported to have a lower risk of sexual side effects).¹²⁸ For patients who have specific side effects, such as sexual dysfunction, mirtazapine and bupropion may be useful alternatives.¹⁰⁵

When there has been a poor response to medication, it is important to optimise antidepressant dosage. Some antidepressants (for example, venlafaxine), show a relationship between dose and response, and between dose and side effects.¹²⁹ The steepness of the dose-response curve relationship varies from drug to drug (it is relatively more flat for the SSRIs). In some cases, there is a therapeutic window beyond which increased doses are less effective (e.g., nortriptyline). However, in general, the optimal dosage is as close to the maximum recommended dosage as the patient can tolerate.

As noted earlier, clinicians often prescribe suboptimal doses of antidepressants. This is particularly so in the case of the TCAs, where medications like imipramine and amitriptyline are not typically raised to suggested average doses of 150 to 200 mg daily. Even in the case of the SSRIs, some patients may fail to respond to the standard initial starting dose, but do well at higher doses.¹²⁹

Furthermore, there is increased awareness that some patients may be "rapid metabolisers" of medication and therefore require significantly higher doses than usual.¹²⁴ Thus, for example, when patients on TCAs have little response and few anticholinergic side effects on average

doses of medication (e.g., imipramine 150-200 mg), it may be useful to further increase dosage while monitoring ECG and perhaps drug levels. Pharmacogenetic profiling may also be relevant in such cases.

Many patients demonstrate a response to medication within the first two weeks of starting treatment. In other patients, this initial response may be delayed for several more weeks.¹²⁹ While there is some evidence that patients who have an onset of effect within the first two weeks of treatment are more likely to have a better outcome at eight weeks⁹⁵, it is important to give each patient a trial of medication that is of adequate duration (6-8 weeks at a therapeutic dose).

STEP 5: MAINTENANCE OF RESPONSE AND AUGMENTATION STRATEGIES FOR PARTIAL RESPONSE

Patients should be re-assessed at the end of a clinical trial of optimal dosage and duration. Increasingly, the literature emphasises not only a significant reduction in depressive symptoms, but also the value of aiming for symptom remission as well as functional recovery.¹³⁰ Patients with reduced but not remitted symptoms may continue to experience significant disability and are at increased risk for relapse.¹³¹

When the patient has a good response to medication, it is important to reinforce the necessity for continuing the medication at the therapeutic dose despite this improvement. Guidelines for maintenance therapy of depression have become increasingly inclined to recommend longer-term treatment. Modern antidepressant agents are relatively safe, and there is a high likelihood of additional episodes of depression in patients with repeated past episodes.¹³² In patients with a single episode of uncomplicated depression, current consensus suggests it is reasonable to continue medication for 9-12 months before gradual tapering (to avoid withdrawal symptoms and to monitor potential relapse).^{5,95} Patients with multiple previous episodes, a complicated course or prominent residual symptoms may benefit from maintenance therapy of at least one to three years.^{133,134} Risk factors for recurrence include history of multiple episodes, persistent dysthymic symptoms after recovery from major depression, and comorbid psychiatric and medical disorders.^{5,130} There is also increased awareness of the potential long-term

adverse effects of antidepressants (insomnia, weight gain, sexual problems), and these require careful monitoring. A combination of pharmacotherapy and psychotherapy may be the most effective form of maintenance therapy.^{5,135-137}

Approximately 30% of patients with major depression will not respond to initial treatment, and a further 30% may have only a partial response.¹³⁸ When there is a partial response despite an optimum trial of medication, it may be useful to consider an augmenting agent. Even among apparent responders, 'residual symptoms' of depression are common, and, as noted earlier, are associated with a greater likelihood of relapse. There are few controlled studies directly comparing augmentation strategies with switching medications, although both strategies have comparable results.¹³⁹

Augmentation offers the advantage of retaining any possible gains from the first agent, but also carries the potential disadvantages of polypharmacy (more side effects or drug interactions).¹⁴⁰

There is good evidence from controlled trials that lithium (at serum levels above 0.4 mEq/L) is effective in enhancing the response to TCAs, SSRIs and other second-generation antidepressants.^{141,142} An additional advantage of lithium is that it can lower the risk of suicide in patients with mood disorders.¹⁴³ The second-generation antipsychotics can also be considered, and are supported by robust evidence¹⁴⁴⁻¹⁴⁶; however their side-effect profile and poorer tolerability can lead to increased discontinuation rates.¹⁴⁷ The evidence for triiodothyronine (T3) augmentation (doses up to 50 µg/day) is less robust. Despite having been used since the 1960s to improve the response to antidepressants, there is much heterogeneity in clinical trials and it is not clear whether it is an efficacious augmentation strategy for drugs other than TCAs.^{138,148,149} Other agents (buspirone, pindolol, dopamine agonists and psychostimulants, anticonvulsants, inositol, opiates, dehydroepiandrosterone [DHEA], folate and S-adenosyl-methionine [SAM], and omega-3 fatty acids, N-acetylcysteine) have also been suggested, but the data to date remain anecdotal or conflicting.^{150,151} In recent years, there has been an increasing tendency for clinicians to prescribe a second antidepressant from another class that acts on different neurotransmitter systems; for example, combining an SSRI with a noradrenergic agent.

Despite some positive findings¹⁵², the evidence for this approach is inconsistent and should not be routinely recommended.¹⁵³

STEP 6: FAILURE TO RESPOND

When a patient with major depressive disorder does not respond to a clinical trial of optimal dose and duration, it is useful to re-assess a number of factors.¹⁵⁴ The presence of certain features may impact on the choice of the subsequent intervention.

- a. Severity:** In patients with a severe major depressive disorder, the need for hospitalisation should be carefully monitored on a continuous basis. In addition, in such patients the need for ECT should be considered. Referral to a specialist may be advisable.
- b. Adherence:** It is not unlikely that clinicians often overestimate the adherence of their patients. Many patients worry that medication is potentially addictive or 'a psychological crutch'.^{97,114} It is well worth checking with the patient and family whether medication is in fact being taken on a daily basis in a regular way.
- c. Comorbid substance use:** In patients who fail to respond to pharmacotherapy of major depression, the possibility of comorbid substance use should again be considered. There may be a need for the patient to undergo drug detoxification before tackling the depression.
- d. Comorbid personality disorders:** The presence of a comorbid personality disorder may have a negative impact on the course of depression.¹⁵⁵ Although antidepressants may still be useful, additional interventions, such as psychotherapy, may be crucial in patients with depression and comorbid personality disorders. While improvement in depressive symptoms may reduce maladaptive behaviour in some patients with comorbid personality disorder, there are other patients (e.g., those with borderline personality disorder) in whom the personality disorder itself may need to be another priority target of treatment.
- e. Underlying medical disorder:** Depressed patients who fail to respond to medication should be thoroughly re-assessed for an underlying medical disorder. Apathy has been reported as an adverse event of some antidepressant agents and may masquerade as depression.

- f. **Pharmacokinetic issues:** Drug-drug interactions may result in a subtherapeutic dose of the prescribed antidepressant.
- g. **Psychosocial issues:** Assessment of psychosocial circumstances that continue to complicate the course of a depression need to be assessed, as these may necessitate appropriate intervention.¹⁵⁴

STEP 7: TREATMENT RESISTANCE

After the failure of an adequate clinical trial of medication in a patient where re-assessment sheds no light on any further unresolved factors, a different antidepressant should be used. Switching between classes of antidepressant has long been considered a useful strategy in non-responders, and there are controlled data to support this idea for certain agents.^{138,156} Switching from one SSRI to another may also be useful.^{157,158} In most cases, cross-tapering is possible, but drug half-life (e.g., fluoxetine has the longest half-life of the SSRIs) and drug-interactions (e.g., MAOIs should not be overlapped with other antidepressants) should be borne in mind.¹⁵⁹

Some medications may be particularly useful in cases of depression that do not respond to one or more antidepressants. Although some clinicians no longer use TCAs because of their side-effect profile, these agents continue to have a role. For example, TCAs may be particularly useful in patients with melancholic features³⁶ and in possibly related subgroups such as inpatients with depression¹¹⁰, although not all evidence is consistent.³⁶ High doses of venlafaxine (e.g., up to 375 mg), have been demonstrated to be effective in patients with treatment-resistant depression.¹⁰² There is some evidence for the use of single-dose intravenous racemic ketamine or nasal esketamine in treatment-resistant depression, although further research is needed into the balance of benefit and risk in repeated ketamine treatment.¹⁶⁰

Furthermore, the classical MAOIs remain a useful resource for patients who have not responded to other more commonly used classes of medication.⁴⁶ ECT can be considered^{37,161}, and other newer but promising non-pharmacological somatic interventions (e.g., transcranial magnetic stimulation, vagus nerve stimulation, deep brain stimulation, trigeminal nerve stimulation, transcranial direct current stimulation) may also have a limited role.¹⁶¹⁻¹⁶³

Oestrogen augmentation may have a role in perimenopausal/postmenopausal treatment-

refractory depression¹⁶⁴ and in postpartum depression.¹⁶⁵ The role of the atypical antipsychotics as augmenting agents in refractory depression is promising.^{138,144,146} Indeed, as response to augmentation may not be related to the degree of non-response to the preceding monotherapy trial, augmentation strategies can sometimes be useful in patients who have not responded to multiple single agents.^{146,147,159} Although the current algorithms focus on medication treatment, psychotherapy may also have an important role in treatment-resistant depression.^{166,167}

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6: Panic Disorder and Social Anxiety Disorder

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Two of the anxiety disorders – panic disorder and social anxiety disorder (social phobia) – are among the most common of the psychiatric disorders.^{1,2} Each may be characterised by panic attacks or hyperarousal, and panic disorder especially may be misdiagnosed as a physical illness in primary care settings.³ In addition, each may be associated with significant morbidity and functional impairment – it has been demonstrated that the anxiety disorders significantly contribute to the costs of all mental illness.⁴⁻⁶ The role of psychotherapy in the treatment of panic disorder and social anxiety

disorder must be emphasised.⁷⁻¹⁰ Cognitive-behavioural psychotherapy can augment the anxiolytic effects of medication, is essential in reducing avoidance behaviours (e.g., agoraphobia, avoidance of social situations), and may be important in ultimately allowing medication discontinuation.^{7,11-13} In addition, CBT has been shown to be effective when delivered digitally as well as face to face¹⁴, and psycho-education of both patient and family is crucial in the treatment of anxiety disorders.^{15,16} This algorithm assumes that the patient is an adult. Although there are fewer data on the treatment of children and adolescents with these disorders, what does exist suggests that a similar approach may be useful in some younger patients.^{17,18} Specialist consultation may, however, be indicated in such cases, and cognisance should be taken of the possible increased risk of suicidal behaviour in adolescents using SSRIs.

STEP 1: DIAGNOSIS OF PANIC DISORDER, SOCIAL ANXIETY DISORDER

The first step in any treatment algorithm involves correct diagnosis. Panic attacks (see Table 6.1.) may be present in panic disorder and social anxiety disorder, although shortness of breath may be more common in the

Table 6.1. Diagnostic guidelines for panic attack (adapted from ICD-11)

A discrete episode of intense fear or apprehension, in which a number of the following symptoms develop rapidly and concurrently, and usually reach a peak within 10 minutes:

1. Palpitations, pounding heart, or increased heart rate
2. Sweating
3. Trembling or shaking
4. Sensations of shortness of breath or smothering
5. Feeling of choking
6. Chest pain or discomfort
7. Nausea or abdominal distress
8. Feeling dizzy, unsteady, lightheaded, or faint
9. Chills or hot flushes
10. Paraesthesias (numbness or tingling sensations)
11. Derealisation (feelings of unreality) or depersonalisation (being detached from oneself)
12. Fear of losing control or “going crazy”
13. Fear of imminent death

Panic attacks may be triggered by particular situations, or may appear out of the blue

panic attacks of panic disorder; blushing and stuttering may be more common in those of social anxiety disorder. Panic attacks in panic disorder (see Table 6.2.) may be spontaneous or caused by exposure to stimuli previously associated with a panic attack (e.g., a confined space), while panic attacks in social anxiety disorder (see Table 6.3.) are cued by feared social situations (e.g., public speaking).¹⁹

The initial evaluation should include assessment of the severity and frequency of panic attacks and hyperarousal, the degree of avoidance behaviour, and the extent of functional impairment. Panic disorder and social anxiety disorder may both be associated with other psychiatric symptoms, particularly depressive and substance-abuse symptoms, which therefore also deserve particular attention.^{20,21}

Panic attacks may occur in other psychiatric disorders, such as depression, specific phobias, obsessive-compulsive and related disorders, post-traumatic stress disorder and substance-use disorders. In addition, certain general medical conditions (e.g., hyperthyroidism) may present with panic attacks.²² Caffeine may induce or exacerbate panic attacks as well.²³ A thorough medical history, physical examination, and routine blood as well as urine tests, are therefore useful in patients presenting with panic attacks.

Table 6.2. Diagnostic guidelines for panic disorder (adapted from ICD-11)

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|---|
| 1. Recurrent panic attacks (Table 6.1.), some of which are unexpected, or "out of the blue" |
| 2. At least one of the attacks has been followed by persistent concern about having additional attacks, or their negative significance, or behaviours intended to avoid their recurrence. |
| 3. The disturbance is not attributable to the physiological effects of a substance (e.g., a drug of abuse, a medication) or another medical condition (e.g., hyperthyroidism, cardiopulmonary disorders). |
| 4. The disturbance is not better explained by another mental disorder. |
| 5. The symptoms result in significant impairment in personal, family, social, educational, occupational, or other important areas of functioning. If functioning is maintained, it is only through significant additional effort. |

Table 6.3. Diagnostic guidelines for social anxiety disorder (adapted from ICD-11)

- | |
|--|
| 1 A marked and excessive fear or anxiety that occurs in one or more social situations, such as social interactions (e.g., having a conversation), doing something while feeling observed (e.g., eating or drinking around others), or performing in front of others (e.g., giving a speech). |
| 2 The individual fears acting in a way (or showing anxiety symptoms) that will be humiliating or embarrassing (negatively evaluated by others). |
| 3 The social situations almost always provoke fear or anxiety. |
| 4 The social situations are avoided or endured with intense fear or anxiety. |
| 5 The fear or anxiety is out of proportion to the actual threat posed by the social situation and to the sociocultural context. |
| 6 The symptoms are persistent, typically lasting for an extended period (several months) |
| 7 The symptoms cause clinically significant distress or impairment in social, occupational or other important areas of functioning. |
| 8 The symptoms are not attributable to the physiological effects of a substance (e.g., a drug of abuse, a medication) or another medical condition. |
| 9 The symptoms are not better explained by another mental disorder (e.g., agoraphobia, body dysmorphic disorder, olfactory reference disorder). |
| Specify if:
Performance only: if the fear is restricted to speaking or performing in public. |

STEP 2: COMPLICATIONS IN DIAGNOSIS IMPACTING PHARMACOTHERAPY

Panic disorder and social anxiety disorder may be complicated in several ways, impacting on decisions about pharmacotherapy. Brief explanations of these complicating factors and their implications for pharmacotherapy follow:

- a. **Severity:** Patients with severe symptoms may require brief hospitalisation to help contain symptoms. The possible association between anxiety disorders and increased risk of suicide²⁴, for example, needs to be taken seriously. In addition, acute administration of high-potency benzodiazepines (e.g., alprazolam, clonazepam) may be necessary. Slow-release preparations or benzodiazepines with longer half-lives (e.g., clonazepam) have the advantage of avoiding rebound anxiety between doses. While high-potency benzodiazepines have been shown to be effective in panic disorder and in social anxiety disorder in controlled trials^{25,26}, we recommend that these agents, in view of their dependence potential, be reserved for acute rapid anxiety reduction in patients with severe symptoms and not routinely used for long-term management.^{27,28}
- b. **Melancholia:** Melancholic features of depression include loss of pleasure in activities, lack of reactivity to pleasurable stimuli, and various neurovegetative symptoms, such as exacerbation of depression in the morning, early-morning awakening, and significant weight loss.²⁹ Patients with melancholia appear to respond better to antidepressants and electroconvulsive therapy and less favourably to psychotherapy and placebo than do non-melancholic patients.^{30,31} In addition, there is some evidence that older drugs, such as tricyclic antidepressants (TCAs), may be more effective than selective serotonin re-uptake inhibitors (SSRIs) in patients with depression accompanied by melancholic features and hospitalised inpatients^{30,32}, although not all evidence is consistent.³⁰
- c. **Alcohol and/or substance use:** Alcohol and other substance-use disorders are associated with exacerbation of anxiety disorders. On the other hand, anxiety disorders may lead to the use of alcohol and other substances in order to self-medicate anxiety. Thus, although it is generally advisable to detoxify patients prior to beginning pharmacotherapy, in some cases such pharmacotherapy is an integral part of the treatment of the secondary substance-use disorder.^{20,33} There is some evidence that the SSRIs, in particular, may be useful for the treatment of anxiety disorders with a comorbid alcohol-use disorder, whereas benzodiazepines are relatively contraindicated in these patients.³³
- d. **Pregnancy, lactation, menopause:** Pharmacotherapy should ideally be avoided during pregnancy and lactation. Nevertheless, where clinical considerations outweigh the risk of medication, such intervention should be considered after consultation with a specialist. Adverse-pregnancy-outcome studies can be difficult to interpret and it is not always clear whether outcomes observed are due to medications themselves or confounders such as the underlying mental illness.³⁴ SSRIs are generally considered safe first-line agents in pregnancy and there is currently no conclusive evidence of an association between treatment with these agents and increased risk for malformations, preterm birth, low birth weight or small-for-gestational-age births.³⁴ However, fluoxetine and paroxetine use in early pregnancy may be associated with a small increased risk for cardiovascular malformations and initiation of these agents should be avoided in the first trimester.³⁴ The risk of persistent pulmonary hypertension of the newborn has been shown to be increased for infants exposed to SSRIs in late pregnancy, although clinically the absolute risk is low.³⁵ Benzodiazepine use during pregnancy is not contra-indicated, but has been associated with an increased risk of cleft palate, preterm delivery and low birth weight.^{36,37} If used, the lowest effective dose of the benzodiazepine should be prescribed for the shortest possible duration, and high peak concentrations should be avoided by dividing the daily dosage into two or three doses.
- e. **Comorbid medical disorders and medications:** Clinicians need to be aware of the multiple interactions between medications used in the treatment of the anxiety disorders and other medications, as well as of the impact of medication

adverse effects on medical disorders.²² Fortunately, certain SSRIs have relatively few interactions with other medications, and the SSRIs as a class are well tolerated in most medical disorders.

STEP 3: FIRST-LINE PHARMACOTHERAPY

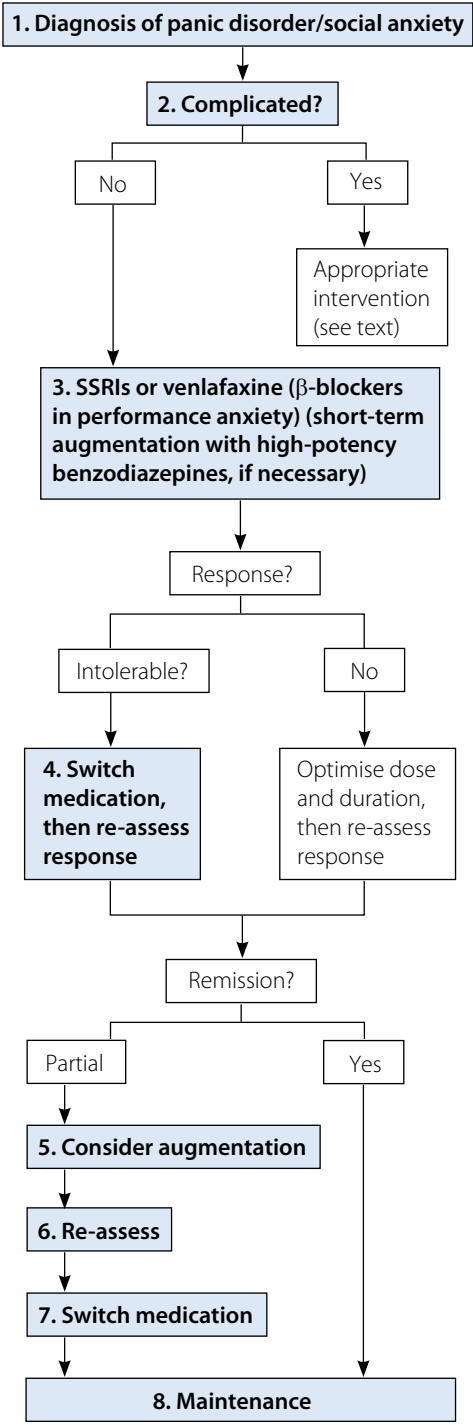
In the algorithm (see Figure 6.1), SSRIs or venlafaxine are suggested to be the first-line treatment of panic disorder and social anxiety disorder. There is now a great deal of evidence that SSRIs are both well tolerated and effective in these disorders.^{11,25,26,38-41} More recently, venlafaxine has been shown useful in panic disorder and in social anxiety disorder.⁵

Panic disorder also responds to certain other antidepressants, including imipramine, clomipramine, mirtazapine and phenelzine.^{25,42} The relatively poor tolerability and safety profile of the tricyclics and other older antidepressants, such as the mono-amine oxidase inhibitors, mean that these agents should be avoided as first-line medications.⁴³ Irrespective of which antidepressant is used in the treatment of panic disorder, it is crucial to initiate treatment with very low doses (e.g., fluoxetine 5 mg) in order to prevent early exacerbation of panic attacks.⁴⁴

Social anxiety disorder does not respond to the tricyclic antidepressants (with the possible exception of clomipramine, a predominantly serotonergic tricyclic). These agents should therefore not be used as first-line treatments of this disorder. When social anxiety symptoms are limited only to specific performance situations ('performance anxiety'), beta blockers can be prescribed on an as-needed basis.⁴⁵ These agents appear particularly useful for reducing 'peripheral' symptoms of anxiety, such as tremor and palpitations.⁴⁶ However, there are growing data that the SSRIs are useful not only in more generalised social anxiety disorder (when symptoms extend to most social situations), but also in social anxiety present in only one or two settings.⁴⁶

When it comes to choosing a particular first-line treatment in panic disorder, it is worth bearing in mind that in panic disorder there appears to be little difference between individual SSRIs and between SSRIs and venlafaxine, whereas in social anxiety disorder, the current data suggest there may be greater efficacy for some SSRIs, such as paroxetine.^{17,41,42,46} Of course, agents that have

Figure 6.1. Algorithm for pharmacotherapy of panic disorder and social anxiety disorder



proved efficacious and tolerable in a particular individual in the past should be favoured in the present, and vice versa. Similarly, despite an absence of supporting empirical data, many clinicians suggest that family history of response to a particular antidepressant favours the choice of that agent.

Important aspects of psycho-education regarding the SSRIs and SNRIs include the fact that these are not addictive, that despite being termed "antidepressants" they are highly efficacious in anxiety disorders, that side effects are typically transient, that therapeutic response is relatively slow in onset, and that if one agent does not work another should be tried.⁴⁴

High-potency benzodiazepines (alprazolam, clonazepam) have also been shown to be efficacious in the treatment of panic disorder and social anxiety disorder.^{27,43} Some authors have suggested that high-potency benzodiazepines qualify as first-line monotherapies in panic disorder and social anxiety disorder⁴⁷, but other authors have emphasised the potential problems of long-term treatment.⁴⁸ A compromise is that short-term augmentation of antidepressant agents with benzodiazepines may be useful in rapidly stabilising symptoms, and should therefore be considered when high levels of anxiety threaten to disrupt ongoing pharmacotherapy.^{5,43}

Although β -blockers are often prescribed by primary care practitioners for anxiety symptoms, there is not sufficient evidence to include them as a first-line medication for panic disorder or social anxiety disorder. Similarly, given their disadvantageous side-effect profiles (including tardive dyskinesia), we would be cautious about the use of low-dose antipsychotic medications in the first-line treatment of anxiety symptoms.⁴⁹

STEP 4: ASSESS RESPONSE TO TREATMENT

To determine response to medication, it is important to ask about change in those symptoms initially targeted for treatment. Side effects of the medication should also be determined, with particular attention to those that patients may be reluctant to disclose (e.g., sexual dysfunction). Patients who are intolerant of a particular medication can, of course, be switched to another agent. It may be useful to complete symptom-rating scales (see Tables 6.4., 6.5.) in order to help quantify response to medication.⁵⁰⁻⁵²

When there is a poor response to medication, it is important to optimise dosage and duration of the medication. Although the dose-response relationship of the SSRIs has not been as well studied in the anxiety disorders as in depression, there appears to be a relatively flat dose-response curve.⁵³ Despite this, some patients may fail to respond to the standard initial starting dose, but do well at higher doses.⁵⁴ There is increasing awareness that some patients may be rapid metabolisers of antidepressant medication and, therefore, require significantly higher doses than usual.⁵⁵⁻⁵⁸ Elderly patients generally require lower doses than do younger adults, and are generally more sensitive to medication side effects.²⁵

Furthermore, response to SSRIs in these disorders may take six to eight weeks or even longer. It is important to give each patient a trial of medication that is of adequate duration.

STEP 5: MAINTENANCE OF RESPONSE AND AUGMENTATION STRATEGIES FOR PARTIAL RESPONSE

At the end of a clinical trial of optimal dose and duration, patients should be thoroughly re-assessed. There is growing recognition of the importance of residual anxiety symptoms in causing disability and predicting relapse, and of the consequent necessity of aiming for remission of symptoms as the endpoint of treatment.⁵⁹⁻⁶¹

When the patient has a good response to medication, it is important to reinforce the necessity of continuing the medication at the therapeutic dose despite this improvement. Guidelines for maintenance therapy of anxiety disorders have become increasingly conservative, favouring longer courses of medication, in view of the safety of modern antidepressant agents and the likelihood of relapse in patients with an untreated chronic illness. In panic disorder and social anxiety disorder it is not unreasonable to continue medication for at least a year before gradual tapering.^{44,59,60} Gradual tapering off of antidepressants is recommended due to the risk of antidepressant withdrawal.⁶² Cognitive-behavioural therapy may be useful prior to beginning and during medication withdrawal in order to maintain gains, although more research on the optimal combination and sequencing of pharmacotherapy and psychotherapy in these disorders is needed.^{63,64}

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Table 6.4. Liebowitz Social Anxiety Scale (for social anxiety disorder)

Use the following key to answer each question:		
Fear or anxiety: 0 = none 1 = mild 2 = moderate 3 = severe	Avoidance: 0 = never (0%) 1 = occasionally (1-33%) 2 = often (33-67%) 3 = usually (67-100%)	
	Fear or anxiety	Avoidance
1 Telephoning in public.		
2 Participating in small groups.		
3 Eating in public places.		
4 Drinking with others in public places.		
5 Talking to people in authority.		
6 Acting, performing, giving a talk in front of an audience.		
7 Going to a party.		
8 Working while being observed.		
9 Writing while being observed.		
10 Calling someone you don't know very well.		
11 Talking with people you don't know very well.		
12 Meeting strangers.		
13 Urinating in a public bathroom.		
14 Entering a room when others are already seated.		
15 Being the centre of attention.		
16 Speaking up at a meeting.		
17 Taking a test.		
18 Expressing disagreement or disapproval to people you don't know very well.		
19 Looking at people you don't know very well in the eyes.		
20 Giving a report to a group.		
21 Trying to pick up someone.		
22 Returning goods to a store.		
23 Giving a party.		
24 Resisting a high-pressure salesperson.		
Total score (max. = 144).....		
Suggested interpretation: 55-65 = moderate social phobia 65-80 = marked social phobia 80-95 = severe social phobia 95 and above = very severe social phobia		

Table 6.5. Panic disorder severity scale (PDSS)

Several of the questions refer to panic attacks and limited symptom attacks. For this questionnaire, panic attacks are defined as a sudden rush (ie, peaking in 10 minutes) of fear or discomfort, accompanied by at least four of the symptoms listed below:

- Rapid or pounding heartbeat
 - Sweating
 - Trembling or shaking
 - Breathlessness
 - Feeling of choking
 - Chest pain or discomfort
 - Nausea
- Dizziness or faintness
 - Feelings of unreality
 - Numbness or tingling
 - Chills or hot flushes
 - Fear of losing control or going crazy
 - Fear of dying

1. How many panic and limited symptom attacks did you have during the week?

- 0 = No panic or limited symptom episodes
1 = Mild: no full panic attacks and no more than one limited symptom attack/day
2 = Moderate: one or two full panic attacks and/or multiple limited symptom attacks/day
3 = Severe: more than two full attacks, but not more than one/day on average
4 = Extreme: full panic attacks occurred more than once a day, more days than not

2. If you had any panic attacks during the past week, how distressing (uncomfortable, frightening) were they while they were happening? (If you had more than one, give an average rating. If you didn't have any panic attacks, but did have limited symptom attacks, answer for the limited symptom attacks.)

- 0 = Not at all distressing, or no panic or limited symptom attacks during the past week
1 = Mildly distressing (not too intense)
2 = Moderately distressing (intense, but still manageable)
3 = Severely distressing (very intense)
4 = Extremely distressing (extreme distress during all attacks)

3. During the past week, how much have you worried or felt anxious about when your next panic attack would occur or about fears related to the attacks (for example, that they could mean you have physical or mental health problems or could cause you social embarrassment)?

- 0 = Not at all
1 = Occasionally or only mildly
2 = Frequently or moderately
3 = Very often or to a very disturbing degree
4 = Nearly constantly and to a disabling extent

4. During the past week were there any places or situations (eg, public transportation, movie theatres, crowds, bridges, tunnels, shopping malls, being alone) you avoided, or felt afraid of (uncomfortable in, wanted to avoid or leave), because of fear of having a panic attack? Are there any other situations that you would have avoided or been afraid of if they had come up during the week, for the same reason? If yes to either question, please rate your level of fear and avoidance this past week.

- 0 = None: no fear or avoidance
1 = Mild: occasional fear and/or avoidance, but I could usually confront or endure the situation. There was little or no modification of my lifestyle due to this.
2 = Moderate: noticeable fear and/or avoidance, but still manageable. I avoided some situations, but I could confront them with a companion. There was some modification of my lifestyle because of this, but my overall functioning was not impaired.
3 = Severe: extensive avoidance. Substantial modification of my lifestyle was required to accommodate the avoidance, making it difficult to manage usual activities.
4 = Extreme: pervasive disabling fear and/or avoidance. Extensive modification in my lifestyle was required such that important tasks were not performed.

Table 6.5. (continued)

5. During the past week, were there any activities (eg, physical exertion, sexual relations, taking a hot shower or bath, drinking coffee, watching an exciting or scary movie) that you avoided, or felt afraid of (uncomfortable doing, wanted to avoid or stop), because they caused physical sensations like those you feel during panic attacks or that you were afraid might trigger a panic attack? Are there any other activities that you would have avoided or been afraid of if they had come up during the week for that reason? If you answered yes to either question, please rate your level of fear and avoidance of those activities this past week.

- 0 = No fear or avoidance of situations or activities because of distressing physical sensations
1 = Mild: occasional fear and/or avoidance, but usually I could confront or endure with little distress activities that cause physical sensations. There was little modification of my lifestyle due to this.
2 = Moderate: noticeable avoidance but still manageable. There was definite, but limited, modification of my lifestyle such that my overall functioning was not impaired.
3 = Severe: extensive avoidance. There was substantial modification of my lifestyle or interference in my functioning.
4 = Extreme: pervasive and disabling avoidance. There was extensive modification in my lifestyle due to this such that important tasks or activities were not performed.

6. During the past week, how much did the above symptoms altogether (panic and limited symptom attacks, worry about attacks, and fear of situations and activities because of attacks) interfere with your ability to work or carry out your responsibilities at home? (If your work or home responsibilities were less than usual this past week, answer how you think you would have done if the responsibilities had been usual.)

- 0 = No interference with work or home responsibilities
1 = Slight interference with work or home responsibilities, but I could do nearly everything I could if I didn't have these problems.
2 = Significant interference with work or home responsibilities, but I still could manage to do the things I needed to do.
3 = Substantial impairment in work or home responsibilities; there were many important things I couldn't do because of these problems.
4 = Extreme, incapacitating impairment such that I was essentially unable to manage any work or home responsibilities.

7. During the past week, how much did panic and limited symptom attacks, worry about attacks and fear of situations and activities because of attacks interfere with your social life? (If you didn't have many opportunities to socialise this past week, answer how you think you would have done if you did have opportunities.)

- 0 = No interference
1 = Slight interference with social activities, but I could do nearly everything I could if I didn't have these problems.
2 = Significant interference with social activities, but I could manage to do most things if I made the effort.
3 = Substantial impairment in social activities; there are many social things I couldn't do because of these problems.
4 = Extreme, incapacitating impairment, such that there was hardly anything social I could do.

Total score (max. = 28): _____

Suggested interpretation without agoraphobia:

0-1 = normal; 2-5 = borderline; 6-9 = slightly ill; 10-13 = moderately ill; 14 and above = markedly ill.

Suggested interpretation with agoraphobia:

0-2 = normal; 3-7 = borderline; 8-10 = slightly ill; 11-15 = moderately ill; 16 and above = markedly ill

As per cutoffs in: Furukawa TA, Katherine Shear M, Barlow DH, *et al.* Evidence-based guidelines for interpretation of the Panic Disorder Severity Scale. *Depression and Anxiety*. 2009 Oct;26(10):922-9.

When there is a partial response despite an optimum trial of medication, it may be useful to consider an augmenting agent. In general, another first-line agent from a different class could be considered for augmentation, however neither augmentation nor switching strategies in these conditions has been well researched.²⁶ Augmentation offers the advantage of retaining any possible gains from the first agent, but the potential disadvantages of polypharmacy (more side effects, drug interactions).^{65,66} Of all the augmentation strategies in the treatment of the anxiety disorders, however, arguably the most important is augmentation of pharmacotherapy with additional psychotherapy.^{8,44,67}

In panic disorder, high-potency benzodiazepines have been described as useful in treatment-resistant panic disorder.⁴² These agents (particularly slow-release agents or those with a longer half-life) may be expected to reduce anxiety secondary to antidepressants and to combat the primary disorder. However, the potential for dependence and rebound anxiety must be borne in mind. The anticonvulsants gabapentin and pregabalin do not have dependence potential, and have shown some efficacy as monotherapy in panic disorder.^{25,68,69} Therefore, these agents are another theoretical possibility for use as augmenting agents.^{25,49,68} Another possibility is the use of pindolol to augment an SSRI, a strategy found useful in a preliminary controlled trial in panic disorder.^{70,71} Finally, there is some evidence to support the cautious use of some of the atypical antipsychotics; limited data exist currently for aripiprazole, olanzapine and risperidone.^{42,49,68} Specialist consultation may be advisable when augmentation seems indicated.

In social anxiety disorder, given its efficacy as monotherapy, augmentation with clonazepam is again a consideration.⁶⁵ Nevertheless, this again poses the risk of benzodiazepine dependence. The anticonvulsants gabapentin and pregabalin also showed some efficacy as monotherapy in social anxiety disorder.^{25,72} They do not have dependence potential, and so are another theoretical possibility for use as augmenting agents. Nevertheless, there remains a paucity of research on augmentation strategies in social anxiety disorder.

STEP 6: FAILURE TO RESPOND

When anxiety disorders do not respond to a clinical trial of optimal dose and duration, it

is useful to re-assess a number of factors. The presence of certain features may impact on the choice of the subsequent intervention.

- a. **Adherence:** Clinicians often overestimate the adherence of their patients, and it is often useful to check with patients and their families whether medication is being taken as prescribed. Many patients worry that medication is addictive or a "crutch".
- b. **Comorbid substance use:** In the presence of active alcohol or substance use, it may be necessary to shift the emphasis of treatment towards a substance-use disorder as the primary diagnosis, with the anxiety as a secondary problem. Detoxification may be a necessary first step in the management of these patients.³³ Anxiety may also be present during the withdrawal phase from a number of substances, including cannabinoids, stimulants and opioids.
- c. **Comorbid personality disorders:** Although antidepressants may be useful, additional interventions, such as psychotherapy, may be helpful in patients with chronic anxiety and comorbid personality disorder. While improvement in anxiety symptoms may reduce maladaptive behaviour in patients with comorbid personality disorder, there are other patients (e.g., those with borderline personality disorder) in whom the personality disorder itself may need to be a major target of treatment.⁷³
- d. **Underlying medical disorder:** Anxious patients who fail to respond to medication should be thoroughly re-assessed for an underlying medical disorder. A range of different medical disorders may lead to chronic anxiety, including endocrine disorders (e.g., hyperthyroidism), respiratory disorders (e.g., chronic obstructive pulmonary disorder), cardiac disorders (e.g., congestive heart failure) and others.⁷⁴ If present, such disorders naturally require specific intervention. When using a benzodiazepine in patients with liver dysfunction, consider using those metabolised only by conjugation (e.g., lorazepam, oxazepam) so as to avoid accumulation.
- e. **Pharmacokinetic issues:** Drug-drug interactions may result in a subtherapeutic dose of the prescribed antidepressant.
- f. **Psychosocial issues:** Psychosocial circumstances that continue to complicate the course of an anxiety disorder need to

be assessed, as these may necessitate appropriate intervention. Loss or separation may precipitate panic disorder, and this may need to be addressed. Ongoing avoidance patterns, such as avoidance of feared social situations in social anxiety disorder, may need to be specifically addressed.

STEP 7: TREATMENT RESISTANCE

After the failure of an adequate clinical trial of medication in a patient where re-assessment sheds no light on any further unresolved factors, a different agent should be used. It is often advisable to switch classes of medication. It is also possible that switching from one SSRI to another may be useful.

Some medications may be particularly useful in cases of treatment-resistant anxiety disorders. Venlafaxine has been suggested to be useful in patients with social anxiety disorder who have failed to respond to SSRIs.⁴⁶ The classical, irreversible MAOIs, despite necessitating measures such as a specialised diet, remain a useful resource for patients with panic disorder or social anxiety disorder who have not responded to other more commonly used classes of medication.^{17,75} Finally, augmentation strategies (as outlined above) can sometimes be useful in patients who have not responded to multiple single agents. Repetitive transcranial magnetic stimulation (rTMS) may be efficacious and well-tolerated in generalised anxiety disorder, however the evidence supporting this particular intervention for panic disorder and social anxiety disorder is currently inconclusive.⁷⁶⁻⁷⁸

If all avenues have been thoroughly explored, a second opinion by an expert is indicated. Medication trials that ended prematurely or that did not use optimal dosing can be repeated. It may ultimately be necessary to revert to the regimen on which the patient demonstrated the best response.

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7: Generalised Anxiety Disorder

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In this chapter we focus on generalised anxiety disorder (GAD). There have been important recent advances in the nosology and treatment of this disorder. In particular, there is increasing evidence that patients with GAD and mixed anxiety-depression frequently present in primary care settings,¹⁻³ and the ICD-11 provides fairly user-friendly guidelines for the diagnosis of GAD (see Table 7.1.). A few simple points can perhaps be made to help conceptualise GAD. In primary care practice, generalised anxiety disorder can perhaps be viewed as a “tension disorder”. This is a useful term insofar as it crosses the boundary between psychic symptoms (worries, feeling keyed up, irritability) and somatic complaints (muscle tension, restlessness, insomnia). While GAD patients may not present with “worries”, they often describe themselves as “worriers” – worry may represent an avoidance behaviour that is used to diminish tension (analogous to the way that agoraphobia may develop after panic attacks).

The algorithm presented here (see Figure 7.1.) provides a step-by-step approach to the pharmacotherapy of GAD in adults, based on our reading of the research literature. It is important to mention at the outset, however, that psychotherapy approaches may be a first-line intervention in some GAD patients and should be considered in all patients with this disorder.⁴⁻⁹ In addition, psycho-education is of the utmost importance, particularly in the initial stages of treatment, and should address the direct effects of anxiety on the life of the patient, as well as possible effects on family members.^{8,10,11}

Figure 7.1. Algorithm for pharmacotherapy of GAD

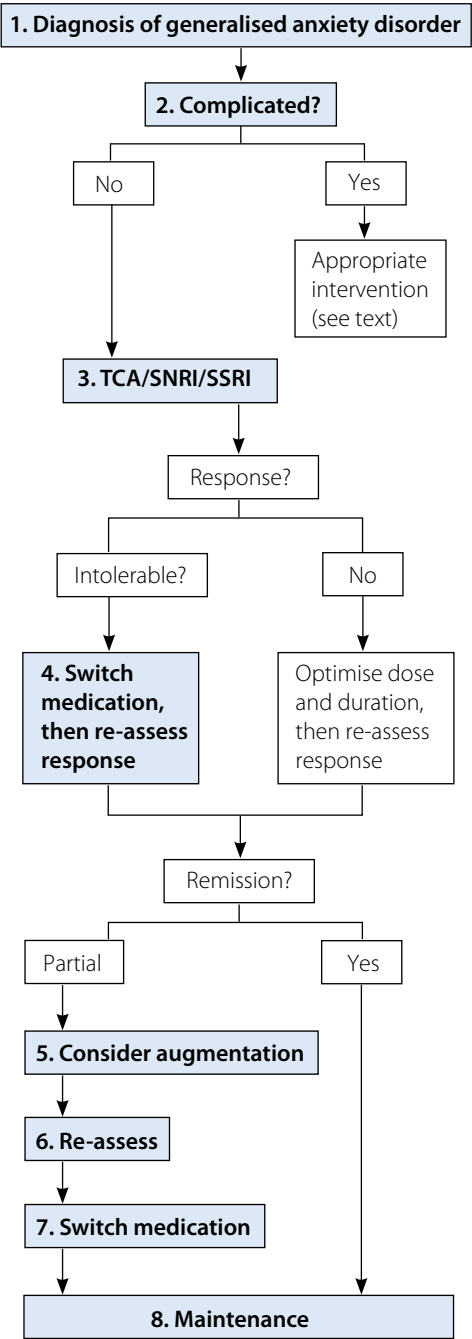


Table 7.1. Diagnostic guidelines for generalised anxiety disorder (adapted from ICD-11)

1. Marked symptoms of anxiety manifested by either general apprehensiveness (not restricted to a particular environmental circumstance, i.e., 'free-floating anxiety'), or excessive worry about negative events occurring in several different aspects of everyday life (e.g., work, finances, health, family)
2. The anxiety and worry are accompanied by other characteristic symptoms, including:
 - Restlessness, nervousness or feeling 'on edge'.
 - Sympathetic autonomic overactivity (evidenced by nausea and/or abdominal distress, heart palpitations, sweating, trembling, shaking, dry mouth)
 - Difficulty concentrating or mind going blank
 - Irritability
 - Muscle tension or motor restlessness
 - Sleep disturbance (difficulty falling or staying asleep, or restless, unsatisfying sleep)
3. The symptoms are not transient and persist for at least several months, and are present for more days than not
4. The anxiety, worry or physical symptoms cause clinically significant distress or impairment in social, occupational, or other important areas of functioning.
5. The disturbance is not due to the direct physiological effects of a substance (e.g., a drug of abuse, a medication) or another medical condition (e.g., hyperthyroidism).
6. The disturbance is not better explained by another mental disorder.

STEP 1: DIAGNOSIS OF GENERALISED ANXIETY DISORDER

GAD is characterised by chronic, uncontrollable and excessive worry, which is accompanied by a range of somatic symptoms. Making the correct diagnosis is essential. Given that GAD often presents with somatic symptoms and comorbid psychiatric disorders, the diagnosis is frequently overlooked.^{12,13} On the other hand, improvements in the nosology and treatment of GAD now make it useful to establish whether diagnostic criteria for this disorder are met.

Particular attention should be paid to the evaluation of symptoms that are chosen as targets for pharmacotherapy and to symptoms that may point to the presence of other psychiatric disorders. It is also useful to determine the severity of GAD symptoms, using a scale such as the Hamilton Anxiety Scale.¹⁴⁻¹⁶ It is possible that the situation in GAD mirrors that in depression, where less severe forms of the disorder respond equally well to pharmacotherapy and to psychotherapy.

It is also necessary to rule out the presence of comorbid psychiatric and medical disorders. Mood disorders, such as depression and dysthymia, and other anxiety disorders are common in patients with GAD.¹⁷⁻²⁰ In addition, attention should be paid to the possibility of

comorbid somatisation disorder or substance abuse, dependence, or withdrawal.^{21,22} In particular, excessive alcohol or caffeine use may contribute to chronic anxiety symptoms and should be excluded.^{23,24} Children with pervasive anxiety likely deserve specialist evaluation before a diagnosis of GAD is made.

STEP 2: COMPLICATIONS IN DIAGNOSIS IMPACTING PHARMACOTHERAPY

Several factors may complicate GAD, thus impacting on decisions about pharmacotherapy and other interventions. The most important of these factors, along with their treatment implications, are listed below:

- a. **Geriatric patients:** Research indicates that GAD in the elderly is not uncommon and is often accompanied by depression.^{25,26} Benzodiazepines (particularly in high doses or those with long half-lives) should be prescribed only with great caution in this population, given the possibility of drug accumulation and consequent adverse effects, such as motor vehicle accidents, falls, and fractures.²⁷ In addition, dosages of many other psychotropic medications require adjustment in the elderly.
- b. **Alcohol and/or substance use:** Alcohol and other substance-use disorders are

associated with an exacerbation of anxiety disorders. On the one hand, anxiety disorders may lead to use of alcohol and other substances in order to self-medicate anxiety. Thus, although it is generally advisable to detoxify patients prior to beginning pharmacotherapy, in some cases such pharmacotherapy is an integral part of the treatment of the secondary substance-use disorder.^{28,29} There is some evidence that the SSRIs, in particular, may be useful for the treatment of anxiety disorders with a comorbid alcohol-use disorder, whereas benzodiazepines are relatively contraindicated in these patients.^{28,30}

- c. **Other comorbid disorders:** As noted earlier, there is a high rate of comorbidity among GAD, other anxiety disorders, and mood disorders.^{7,17} GAD will often respond to the antidepressants that are used as first-line medication in these disorders, and these agents should therefore be prescribed initially. The presence of a comorbid personality disorder, however, predicts poorer treatment outcomes in GAD.³¹
- d. **Pregnancy, lactation, menopause:** Pharmacotherapy should ideally be avoided during pregnancy and lactation. Nevertheless, where clinical considerations outweigh the risk of medication, such intervention should be considered after consultation with a specialist. Adverse pregnancy-outcome studies can be difficult to interpret and it is not always clear whether outcomes observed are due to medications themselves or confounders such as the underlying mental illness.³²⁻³⁴ SSRIs are generally considered safe first-line agents in pregnancy and there is currently no conclusive evidence of an association between treatment with these agents and increased risk for malformations, preterm birth, low birth weight or small-for-gestational-age births.^{33,35} However, fluoxetine and paroxetine use in early pregnancy may be associated with a small increased risk for cardiovascular malformations, and initiation of these agents should be avoided in the first trimester.^{32,35} The risk of persistent pulmonary hypertension of the newborn has been shown to be increased for infants exposed to SSRIs in late pregnancy, although clinically the absolute risk is low.³² Benzodiazepine use

during pregnancy is not contra-indicated, but has been associated with an increased risk of cleft palate, preterm delivery and low birth weight.^{36,37} If used, the lowest effective dose of the benzodiazepine should be prescribed for the shortest possible duration, and high peak concentrations should be avoided by dividing the daily dosage into two or three doses.

- e. **Comorbid medical disorders and medications:** Clinicians need to be aware of the multiple interactions between medications used in the treatment of GAD and the treatment of other disorders, as well as of the impact of the medications' adverse effects on medical disorders.³⁸ Fortunately, certain SSRIs have relatively few interactions with other medications, and the SSRIs as a class are well tolerated in most medical disorders.

STEP 3: FIRST-LINE PHARMACOTHERAPY

Given the substantial comorbidity of GAD with depression and other disorders for which antidepressants are effective, expert consensus favours the use of one of these agents (specifically serotonin/noradrenaline re-uptake inhibitors, or selective serotonin re-uptake inhibitors).^{7,8,39,40} However, a number of other agents may also be useful in patients with GAD.^{41,42}

The TCAs have been shown effective in GAD in several controlled trials⁴³, although many of these agents have troublesome side-effect profiles.⁴⁴⁻⁴⁶ There is also growing evidence that the SSRIs are useful in GAD, with similar effect sizes across class; a recent network meta-analysis suggests similar efficacy for escitalopram, sertraline and fluoxetine.⁴² Venlafaxine and duloxetine are serotonin/noradrenaline re-uptake inhibitors that are as efficacious as first-line therapies.^{7,42} Other classes of antidepressants, such as the classic mono-amine oxidase inhibitors (MAOIs – e.g., phenelzine) and noradrenergic and specific serotonergic antidepressants (NaSSA – e.g., mirtazapine), may also be effective in the treatment of GAD, although relatively less has been published on their use in this disorder.^{42,47} Agomelatine, a melatonin agonist and 5HT₂ antagonist, is another antidepressant that has shown efficacy in GAD, and that may be particularly useful in severe GAD.^{42,48}

Pregabalin, an anticonvulsant acting at voltage-gated calcium channels, may be an alternative first-line treatment, and is efficacious and well-tolerated.^{42,49,50} By contrast, randomised controlled trials investigating other anticonvulsants in GAD (such as tiagabine) have been negative.^{46,51,52} There is a good deal of evidence for the efficacy of the benzodiazepines in GAD, as well as for their safety during long-term use, and some authors have recommended them as first-line agents.^{53,54} Nevertheless, the high comorbidity of symptoms of depression in GAD and the significant difficulties experienced by many patients during benzodiazepine withdrawal, constitutes a strong argument against their first-line use. Benzodiazepines with longer half-lives or slow-release preparations may, however, be associated with fewer withdrawal problems.⁵⁵ Furthermore, hydroxyzine – an agent that is an antagonist at both the H1 and the 5HT2 receptor – has long been available, and while studies suggest efficacy and a lack of withdrawal symptoms after discontinuation in GAD^{46,56}, a Cochrane review emphasises significant methodological flaws in the relevant evidence base.⁵⁷

Buspirone, a 5HT1A agonist, takes two to four weeks to begin working, and may be less helpful in patients recently treated with benzodiazepines.^{58,59} Its advantage lies in its benign side-effect profile, the lack of dependence, and its efficacy in GAD.⁴² Disadvantages include a lack of efficacy against the depressive symptoms often found in GAD, and a lack of efficacy in some trials.⁴²

Although β -blockers are often prescribed by general practitioners for anxiety symptoms, there seems insufficient evidence to include them as a first-line medication for GAD.⁷ Similarly, alpha-2-adrenergic agonists such as clonidine and guanfacine have been researched as drugs for use in GAD, but there is lack of proven efficacy of these agents, as well as concerns about side effects such as hypotension and sedation.^{46,60}

Recently, there has been attention on the potential use of cannabidiol and other cannabinoids in the treatment of anxiety.^{61–63} While their anxiolytic effects seem promising, the quality of evidence for the role of cannabinoids in anxiety disorders is still very low.^{61–63}

The role of ketamine and glutamate modulators as potential treatments for anxiety is

an area that requires further research. Currently these agents don't have sufficient evidence for use as first-line agents in generalised anxiety.^{64,65}

Kava extract is a herbal medicine that has shown promise for the treatment of anxiety, but it has not been studied rigorously enough in GAD, and its safety remains unclear.^{66,67}

Similarly, we would be cautious about the use of low-dose antipsychotic medications in the treatment of chronic anxiety, despite data indicating that these agents may be useful for this indication, given their disadvantageous side-effect profiles (including tardive dyskinesia, weight gain and metabolic syndrome).^{42,68,69}

STEP 4: ASSESS RESPONSE TO TREATMENT

The next step is to determine response to the medication. This is achieved by careful evaluation of change in symptoms initially targeted for treatment. These are typically excessive worry, various somatic symptoms, and consequent functional impairment. Determining the side effects of the medication is also important, as these may influence adherence. Gathering collateral information from the family of the patient may be useful in making these evaluations.

Patients who are intolerant of a particular medication can, of course, be switched to another agent or to another class of agents. For example, when TCA side effects prove intolerable, it may well be useful to switch to an SSRI. Within the SSRIs, adverse effects may not be seen when an alternative SSRI is used. When there is a poor response to medication, the first course of action is to optimise dose and duration of the treatment. Although dose-response relationship of the SSRIs has not been as well studied in the anxiety disorders as in depression, there appears to be a relatively flat dose-response curve.⁷ Despite this, some patients may fail to respond to the standard initial starting dose, but do well at higher doses. Elderly patients generally require lower doses than younger adults. Particularly in the case of the TCAs, clinicians often prescribe suboptimal doses, rather than using doses of 150 mg or more of medications like imipramine.⁷⁰

There is increasing awareness that some patients may be rapid metabolisers of antidepressant medication and, therefore, require significantly higher doses than usual.^{71,72} Thus, for example, when patients on TCAs have little response and few anticholinergic

side effects on average doses of medication (eg, imipramine 150 mg), it may be useful to further increase dosage while monitoring ECG and perhaps drug levels. In addition, response to antidepressant medication may take six to eight weeks or even longer.

The dosage range for pregabalin is from 50–300 mg daily, with most patients requiring a minimum of 150 mg daily for therapeutic effect.

Buspirone treatment usually begins at 5 mg three times daily. This dose may be increased by 5 mg every two to three days. Therapeutic doses of buspirone range from 30 to 60 mg daily, typically given in divided doses. Buspirone has at least a two- to four-week time lag from initiation to clinical onset; optimum duration of a trial of treatment should thus be no less.

Although we have not included benzodiazepines as a first-line treatment, should these be used, it is important also to do this in an optimal fashion. In particular, it may be useful to replace short-acting agents with slow-release compounds or long-acting agents. All too frequently, patients on short-acting compounds have intermittent increases of anxiety before the next dose of medication is to be taken.

STEP 5: MAINTENANCE OF RESPONSE AND AUGMENTATION STRATEGIES FOR PARTIAL RESPONSE

At the end of a clinical trial of optimal dose and duration, patients should be thoroughly re-assessed. There is growing recognition of the importance of residual anxiety symptoms in causing disability and predicting relapse, and of the consequent necessity of aiming for remission of symptoms as the endpoint of treatment.²⁵

When the patient has a good response to medication, it is important to reinforce the necessity for continuing the medication at the therapeutic dose despite this improvement.^{47,52,73} Indeed, guidelines for maintenance therapy of GAD emphasise the safety of modern agents, the likelihood of additional episodes of illness in patients with repeated past episodes, and the theoretical possibility that appropriate treatment may prevent the onset of secondary disorders.^{7,74,75} Such guidelines have become increasingly conservative, favouring longer courses of medication.^{7,8,74}

Augmentation strategies in GAD have not been well researched. Augmentation offers the advantage of retaining any possible gains from the first agent, but the potential disadvantages of polypharmacy (more side effects and drug interactions).⁷⁶ Of all the augmentation strategies in the treatment of the anxiety disorders, however, arguably the most important is augmentation of pharmacotherapy with additional psychotherapy.^{9,77,78} Pregabalin may be used to augment partial response to an SSRI or SNRI^{49,79}, and cautious augmentation of antidepressant drugs with atypical antipsychotics (risperidone, olanzapine, quetiapine) may be considered after specialist evaluation.^{42,69}

STEP 6: FAILURE TO RESPOND

When GAD does not respond to a clinical trial of adequate dose and duration, it may be useful to re-assess a number of important factors that may influence the choice of further interventions:

- a. **Comorbidity:** It is important to establish whether comorbid mood or other anxiety disorders are present. For example, comorbid dysthymia may not respond to buspirone alone, comorbid social anxiety disorder is unlikely to respond to a tricyclic antidepressant (other than clomipramine), and comorbid hypochondriasis may require high doses of serotonin re-uptake inhibitors. Excluding important comorbid psychiatric disorders is perhaps the most important step in the evaluation and management of refractory GAD.
- b. **Adherence:** Many patients with GAD suffer from extreme anxiety and are in fact adherent with their medication. Nevertheless, there is perhaps a tendency for clinicians to overestimate patient adherence. Patients are particularly likely to be concerned about physical or psychological dependence on medication. It is well worth checking not only with the patient, but also with the family, whether medication is in fact taken as prescribed.
- c. **Comorbid personality disorders:** Although antidepressants may be useful, additional interventions such as psychotherapy may be helpful in patients with chronic anxiety and comorbid personality disorder. While improvement in anxiety symptoms may reduce maladaptive behaviour in patients with

comorbid personality disorder, there are other patients (e.g., those with borderline personality disorder) in whom the personality disorder itself may need to be a major target of treatment.³¹

- d. **Underlying medical disorder:** Patients with GAD who fail to show any noticeable response to treatment should be thoroughly re-assessed for the possibility of an underlying medical condition.³⁸ A range of different medical disorders may lead to chronic anxiety, including endocrine disorders (e.g., hyperthyroidism), respiratory disorders (e.g., chronic obstructive pulmonary disorder), cardiac disorders (e.g., congestive heart failure) and others.⁸⁰ If present, such disorders naturally require specific intervention. When using a benzodiazepine in patients with liver dysfunction, consider using those metabolised only by conjugation (e.g., lorazepam, oxazepam) so as to avoid accumulation.
- e. **Pharmacokinetic issues:** Drug-drug interactions may result in a subtherapeutic dose of the prescribed antidepressant.
- f. **Psychosocial issues:** In some cases, a diagnosis of an adjustment disorder with anxiety may be more accurate than that of GAD, and a psychotherapeutic approach may be indicated (this factor may partially explain high rates of placebo response in some clinical trials in GAD). In other cases of chronic anxiety, psychosocial factors may be enduring and therefore continuously complicate treatment of GAD until given independent attention.

STEP 7: TREATMENT RESISTANCE

Following failure of an adequate clinical trial of medication, and in the event of a thorough re-assessment providing no further important clues, the medication should be changed. The research literature on approaches to resistant GAD is unfortunately extremely scant⁷ and specialist referral is recommended. Strategies include switching between drugs in the same class and across classes and high-dose prescribing of pregabalin (>200 mg per day).^{79,81,82} Multiple trials of different medications may ultimately be required. Repetitive transcranial magnetic stimulation (rTMS) may also be efficacious and well-tolerated in generalised anxiety disorder.⁸³

ADDITIONAL READING

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8: Obsessive-Compulsive and Related Disorders

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This chapter focuses on the pharmacotherapy of obsessive-compulsive disorder (OCD). Obsessive-compulsive disorder is, of course, characterised by obsessions and compulsions, and is one of a number of disorders that has been placed in a new chapter in DSM-5 and ICD-11 on "Obsessive-Compulsive and Related Disorders". These disorders are also characterised

by repetitive thoughts or rituals, and may also respond to standard OCD treatment. In ICD-11, they include body dysmorphic disorder (characterised by recurrent concerns with imagined ugliness), olfactory reference disorder (characterised by the belief that one is emitting a foul odour), hypochondriasis (characterised by the preoccupation that one may have a severe illness), hoarding disorder (characterised by the accumulation of possessions) and body-focused repetitive behaviour disorders (BFRBs).¹ BFRBs include skin-picking disorder (characterised by recurrent skin-picking) and trichotillomania (characterised by recurrent hair-pulling).¹

STEP 1: DIAGNOSIS OF OBSESSIVE-COMPULSIVE DISORDER

Current evidence indicates that OCD is not uncommon, and is often underdiagnosed and undertreated.^{2,3} The converse possibility also exists that various disorders with intrusive

Table 8.1. Diagnostic guidelines for obsessive-compulsive disorder (adapted from ICD-11)

1. Presence of obsessions, compulsions, or both:

Obsessions as defined by A and B:

 - A.** Recurrent and persistent thoughts (e.g., of contamination), impulses (e.g., to stab someone), or images (e.g., of violent scenes) that are experienced as intrusive and unwanted and are commonly associated with anxiety.
 - B.** The individual attempts to ignore or suppress such thoughts, urges or images, or to neutralise them with some other thought or action (i.e., by performing a compulsion).

Compulsions as defined by C and D:

 - C.** Repetitive rituals, behaviours (e.g., hand-washing, ordering, checking) or mental acts (e.g., praying, counting, repeating words silently) that the individual feels driven to perform in response to an obsession or according to rules that must be applied rigidly.
 - D.** The behaviours or mental acts are aimed at preventing or reducing anxiety or distress, or preventing some dreaded event or situation; however, these behaviours or mental acts are not connected in a realistic way, or are clearly excessive.
2. The obsessions or compulsions are time-consuming (e.g., take more than one hour per day) or cause clinically significant distress or impairment in social, occupational or other important areas of functioning. If functioning is maintained, it is only through significant additional effort.
3. The obsessive-compulsive symptoms are not a manifestation of another medical condition, and are not attributed to the physiological effects of a substance (e.g., drug of abuse, a medication), including withdrawal effects.

symptoms, such as post-traumatic stress disorder or generalised anxiety disorder, can be misdiagnosed as OCD. Diagnostic guidelines for OCD are provided in Table 8.1.

Most patients with OCD have both obsessions (which often increase anxiety) and compulsions (behaviours or mental acts which often aim to decrease anxiety). Evaluation should include the assessment of symptom pattern, severity, and functional impairment. Some patients have poor or no insight (and so may be misdiagnosed with a psychotic disorder). Comorbid psychiatric disorders (including tic disorders), as well as comorbid medical conditions (including pregnancy), need to be accurately identified. There is growing evidence that OCD and/or tics in some patients, particularly children, are precipitated or exacerbated by streptococcal infections.⁴

Evaluation of the OCD patient also requires attention to psychosocial factors that may have precipitated or exacerbated OCD symptoms. For example, are family members involved in the patient's rituals? What is the patient's explanatory model of OCD – does he or she regard it as a sign of weakness or as evidence of brain dysfunction? Certainly, psycho-education as part of the management of OCD is crucial.⁵ Similarly, cognitive-behavioural techniques are an important aspect of OCD treatment, whether used alone or in combination with medication.^{6,7}

In this discussion, we assume that the patient is an adult. Nevertheless, there are increasing data on the pharmacotherapy of OCD in children.^{8,9} Indeed, the algorithm here can readily be adapted for children, bearing in mind considerations such as differences in dosing and in risk-benefit determination (e.g., clinicians are less likely to use untested augmentation strategies in children). Specialist consultation may, however, be indicated in such cases, and cognisance should be taken of the possible increased risk of suicidal behaviour in adolescents using SSRIs.⁹

STEP 2: COMPLICATIONS IN DIAGNOSIS IMPACTING PHARMACOTHERAPY

Possible complications in OCD that may impact pharmacotherapy include the following:

a. Severity: Patients with severe symptoms may require brief hospitalisation to help contain symptoms.¹⁰ In general, however, the principles of behaviour therapy suggest that patients should attempt to

continue with their ordinary daily routines where possible.

b. Melancholia: Melancholic features of depression include loss of pleasure in activities, lack of reactivity to pleasurable stimuli, and various neurovegetative symptoms such as exacerbation of depression in the morning, early-morning awakening, and significant weight loss.¹¹ Patients with melancholia appear to respond better to antidepressants and electroconvulsive therapy and less favourably to psychotherapy and placebo than do non-melancholic patients.¹² There is some evidence that older drugs, such as tricyclic antidepressants (TCAs), may be more effective than selective serotonin reuptake inhibitors (SSRIs) in patients with depression accompanied by melancholic features and hospitalised inpatients,^{13,14} although not all evidence is consistent.¹⁵ The only tricyclic that is effective in OCD is clomipramine.¹⁶

c. Tourette's disorder: This disorder is characterised by both motor and vocal tics. Many patients with TD have comorbid OCD, and DSM-5 recognises a subtype of OCD with tics. Although OCD in TD may respond to standard OCD treatments, additional medication that targets the tics (e.g., dopamine blockers such as haloperidol, pimozide, or risperidone and α 2-agonists such as clonidine) may be necessary for resolution of the range of symptoms that characterise the disorder.¹⁷

d. Pregnancy, lactation, menopause: Pharmacotherapy should ideally be avoided during pregnancy and lactation. Nevertheless, where clinical considerations outweigh the risk of medication, such intervention should be considered after consultation with a specialist. Adverse-pregnancy-outcome studies can be difficult to interpret and it is not always clear whether outcomes observed are due to medications themselves or confounders such as the underlying mental illness.^{18,19} SSRIs are generally considered safe first-line agents in pregnancy and there is currently no conclusive evidence of an association between treatment with these agents and increased risk for malformations, preterm birth, low birth weight or small-for-gestational-age births.²⁰ However, fluoxetine and paroxetine use in early pregnancy may be associated with a small increased risk for cardiovascular

malformations, and initiation of these agents should be avoided in the first trimester.^{20,21} The risk of persistent pulmonary hypertension of the newborn has been shown to be increased for infants exposed to SSRIs in late pregnancy, although clinically the absolute risk is low.²²

e. Comorbid medical disorders and medications: Clinicians need to be aware of the multiple interactions between medications used in the treatment of OCD and other medications, as well as the impact of a medication's adverse effects on medical disorders. Fortunately, certain SSRIs have relatively few interactions with other medications, and the SSRIs as a class are well tolerated in most medical disorders.

STEP 3: FIRST-LINE PHARMACOTHERAPY

The first line of medication in the treatment of OCD should comprise a serotonin re-uptake inhibitor (SRI) (see Figure 8.1.). Consistent with growing evidence for the importance of serotonin in OCD, both clomipramine and the SSRIs appear to be more effective than the noradrenergic re-uptake inhibitor, desipramine, and are first-line strategies in the treatment of OCD.²³⁻²⁶ The efficacy and safety of clomipramine and the SSRIs in the treatment of OCD have been well researched.²⁷⁻²⁹ The SRIs are also useful for body dysmorphic disorder, hypochondriasis, obsessive-compulsive symptoms in Tourette's disorder and in pathological skin-picking.³⁰⁻³³

In trichotillomania, there are limited data showing efficacy of clomipramine (a serotonergic tricyclic antidepressant), and N-acetylcysteine (a glutamate modulator) may be a useful first-line approach. N-acetylcysteine has also been shown useful in skin-picking.

Once a decision has been made to use an SRI, an immediate question is which to choose. Given the apparent lack of differences in efficacy between the SRIs,²⁹ the side-effect profile of these agents may be an important question in considering which agent to use first.³ Certainly, there are invariably fewer side effects during treatment with the SSRIs than during treatment with clomipramine. Thus, it seems reasonable to suggest that treatment of OCD be initiated with a SSRI. While any SSRI is acceptable, the choice of SSRI may be influenced by characteristic side-effect profiles of the different SSRIs, and previous individual and familial responses to pharmacotherapy.

Continued on page 82

Figure 8.1. Algorithm for pharmacotherapy of OCD

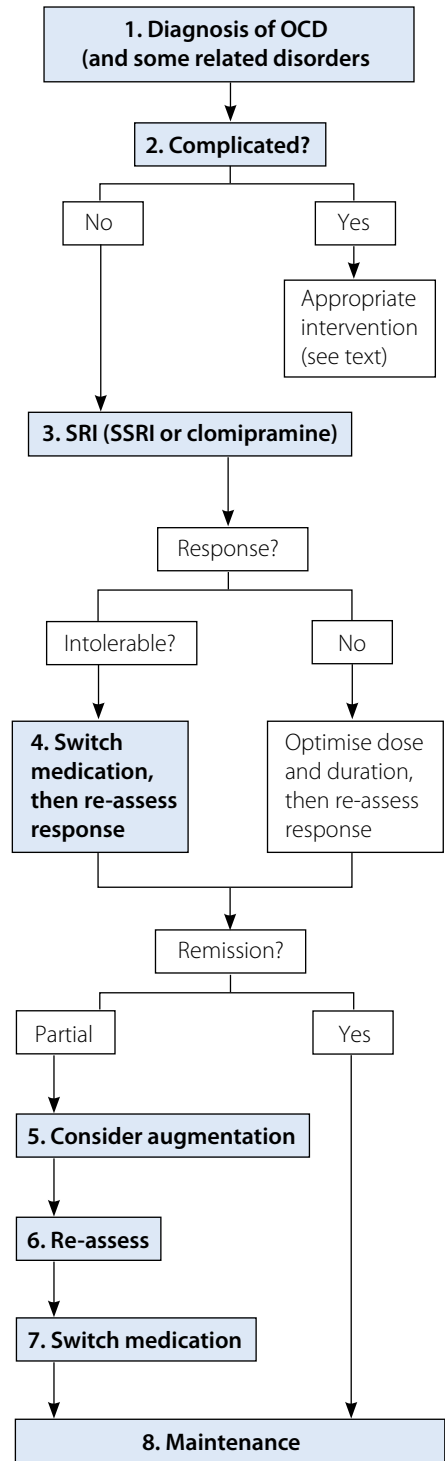


Table 8.2. Yale-Brown Obsessive-Compulsive Scale

“I am now going to ask several questions about your obsessive thoughts.” (Make specific reference to the patient’s target obsessions.)
1. TIME OCCUPIED BY OBSESSIVE THOUGHTS Q: How much of your time is occupied by obsessive thoughts? How frequently do the obsessive thoughts occur? 0 = None 1 = Mild, less than 1 hour/day or occasional intrusion 2 = Moderate, 1 to 3 hours/day or frequent intrusion 3 = Severe, greater than 3 and up to 8 hours/day or very frequent intrusion 4 = Extreme, greater than 8 hours/day or near constant intrusion
2. INTERFERENCE DUE TO OBSESSIVE THOUGHTS Q: How much do your obsessive thoughts interfere with your social or work (or role) functioning? Is there anything that you don’t do because of them? 0 = None 1 = Mild, slight interference with social or occupational activities, but overall performance not impaired 2 = Moderate, definite interference with social or occupational performance, but still manageable 3 = Severe, causes substantial impairment in social or occupational performance 4 = Extreme, incapacitating
3. DISTRESS ASSOCIATED WITH OBSESSIVE THOUGHTS Q: How much distress do your obsessive thoughts cause you? 0 = None 1 = Mild, not too disturbing 2 = Moderate, disturbing, but still manageable 3 = Severe, very disturbing 4 = Extreme, near constant and disabling distress
4. RESISTANCE AGAINST OBSESSIONS Q: How much of an effort do you make to resist the obsessive thoughts? How often do you try to disregard or turn your attention away from these thoughts as they enter your mind? 0 = Makes an effort to always resist, or symptoms so minimal doesn’t need to actively resist 1 = Tries to resist most of the time 2 = Makes some effort to resist 3 = Yields to all obsessions without attempting to control them, but does so with some reluctance 4 = Completely and willingly yields to all obsessions
5. DEGREE OF CONTROL OVER OBSESSIVE THOUGHTS Q: How much control do you have over your obsessive thoughts? How successful are you in stopping or diverting your obsessive thinking? Can you dismiss them? 0 = Complete control 1 = Much control, usually able to stop or divert obsessions with some effort and concentration 2 = Moderate control, sometimes able to stop or divert obsessions 3 = Little control, rarely successful in stopping or dismissing obsessions, can only divert attention with difficulty 4 = No control, experienced as completely involuntary, rarely able to even momentarily alter obsessive thinking

Table 8.2. (continued)

**“The next several questions are about your compulsive behaviours.”
(Make specific reference to the patient’s target compulsions.)**

6. TIME SPENT PERFORMING COMPULSIVE BEHAVIOURS

Q: How much time do you spend performing compulsive behaviours? How much longer than most people does it take to complete routine activities because of your rituals? How frequently do you perform compulsions?

0 = None

1 = Mild (spends less than 1 hour/day performing compulsions), or occasional performance of compulsive behaviours

2 = Moderate (spends from 1 to 3 hours/day performing compulsions), or frequent performance of compulsive behaviours

3 = Severe (spends more than 3 and up to 8 hours/day performing compulsions), or very frequent performance of compulsive behaviours

4 = Extreme (spends more than 8 hours/day performing compulsions), or near constant performance of compulsive behaviours (too numerous to count)

7. INTERFERENCE DUE TO COMPULSIVE BEHAVIOURS

Q: How much do your compulsive behaviours interfere with your social or work (or role) functioning? Is there anything that you don’t do because of the compulsions?

0 = None

1 = Mild, slight interference with social or occupational activities, but overall performance not impaired

2 = Moderate, definite interference with social or occupational performance, but still manageable

3 = Severe, causes substantial impairment in social or occupational performance

4 = Extreme, incapacitating

8. DISTRESS ASSOCIATED WITH COMPULSIVE BEHAVIOUR

Q: How would you feel if prevented from performing your compulsion(s)? How anxious would you become? How anxious do you get while performing compulsions until you are satisfied they are completed?

0 = None

1 = Mild, only slightly anxious if compulsions prevented, or only slight anxiety during performance of compulsions

2 = Moderate, reports that anxiety would mount, but remain manageable if compulsions prevented, or that anxiety increases, but remains manageable during performance of compulsions

3 = Severe, prominent and very disturbing increase in anxiety if compulsions interrupted, or prominent and very disturbing increase in anxiety during performance of compulsions

4 = Extreme, incapacitating anxiety from any intervention aimed at modifying activity, or incapacitating anxiety develops during performance of compulsions

9. RESISTANCE AGAINST COMPULSIONS

Q: How much of an effort do you make to resist the compulsions?

0 = Makes an effort to always resist, or symptoms so minimal doesn’t need to actively resist

1 = Tries to resist most of the time

2 = Makes some effort to resist

3 = Yields to almost all compulsions without attempting to control them, but does so with some reluctance

4 = Completely and willingly yields to all compulsions

10. DEGREE OF CONTROL OVER COMPULSIVE BEHAVIOUR

Q: How strong is the drive to perform the compulsive behaviour? How much control do you have over the compulsions?

0 = Complete control

1 = Much control, experiences pressure to perform the behaviour, but usually able to exercise voluntary control over it

2 = Moderate control, strong pressure to perform behaviour, can control it only with difficulty

3 = Little control, very strong drive to perform behaviour, must be carried to completion, can only delay with difficulty

4 = No control, drive to perform behaviour experienced as completely involuntary and overpowering, rarely able to delay activity even momentarily

Low doses should initially be used in patients with comorbid panic disorder.

STEP 4: ASSESS RESPONSE TO TREATMENT

To determine response to medication, it is important to ask about change in those symptoms initially targeted for treatment. Side effects of the medication should also be determined, with particular attention to those that patients may be reluctant to disclose (e.g., sexual dysfunction). It may be useful to complete a symptom-rating scale (see Table 8.2.) to help quantify response to medication.³⁴

Patients who are intolerant of a particular medication can, of course, be switched to another agent. Within the SRIs, adverse effects may not be seen when an alternative SSRI is used.

When there is a poor response to medication, it is important to optimise dosage and duration of the medication. Although some patients with OCD respond to standard doses of SRIs, others require doses that are much higher than in depression.³⁵ In adults, clomipramine should be increased to approximately 250 mg, and the SSRIs should be increased to maximal dosages (e.g., 60 to 80 mg of fluoxetine). Unfortunately, the likelihood of side effects also increases at these doses. Electrocardiogram monitoring may be necessary when children and adolescents, or patients with pre-existing heart disease, are treated with clomipramine. Also, citalopram has a black box warning at doses higher than 40 mg per day.

Furthermore, response to SSRIs in OCD may take rather longer than in many other disorders – up to 12 weeks.^{24,27} It is important to give each patient a trial of medication that is of adequate duration. Patients therefore need to be educated that response may take a significant length of time and that they need to remain optimistic even when no change is seen at first.

STEP 5: MAINTENANCE OF RESPONSE AND AUGMENTATION STRATEGIES FOR PARTIAL RESPONSE

At the end of a clinical trial of optimal dose and duration, patients should be thoroughly re-assessed. There is growing recognition of the importance of residual anxiety symptoms in causing disability and predicting relapse, and of the consequent necessity of aiming

for remission of symptoms as the endpoint of treatment.^{3,24,25,36} Nevertheless, many OCD patients who are judged "responders" to medication therapy may continue to experience obsessions and compulsions, albeit with less intensity. In clinical trials, a decrease of 25-35% on the Yale-Brown Obsessive-Compulsive Scale (Y-BOCS) typically corresponds to a categorical treatment response.³⁷

In patients where an SRI is effective, maintenance pharmacotherapy should be instituted. Rapid discontinuation of these agents risks the return of symptoms. Nevertheless, a maintenance dose of SSRIs in OCD may be lower than the dose initially required during acute treatment.³⁸ Treatment guidelines recommend a duration of 12-24 months, before gradual tapering of the dose.^{24,25,39} Concomitant behavioural treatment during pharmacotherapy may well increase the chance of being able to discontinue medication without relapse.⁶

Comparison of augmentation with switching strategies in OCD has not been well researched. Augmentation offers the advantage of retaining any possible gains from the first agent, but the potential disadvantages of polypharmacy (more side effects, drug interactions).^{40,41} Of all the augmentation strategies in the treatment of OCD, perhaps the most important is augmentation of pharmacotherapy with additional psychotherapy; this is more efficacious than pharmacological augmentation with an atypical antipsychotic.^{7,42} However, when there is a partial response despite an optimum trial of medication, or when there are comorbid tics, it may be useful to consider an augmenting medication. Certainly, in patients with comorbid tics, there is good evidence that augmentation of a SRI with a dopamine blocker can be effective.⁴³ The introduction of the second-generation antipsychotics has led to increased use of these agents in the augmentation therapy of OCD, and they appear useful for treatment-refractory patients even in the absence of comorbid tics.^{44,45} Another possible strategy is to supplement an SSRI with a low dose of clomipramine,⁴⁶ although careful monitoring of adverse effects and electrocardiographs may be warranted with such a combination. There is increasing attention to glutamatergic augmentation, with a number of RTCs indicating the efficacy of memantine.^{47,48} A range of other augmentation strategies has been suggested, but there are few positive controlled trials. There is also relatively little work on augmentation strategies

in OCD-related disorders, although addition of a dopamine blocker, or N-acetylcysteine may also be useful in some of these patients.⁴⁹⁻⁵¹

STEP 6: FAILURE TO RESPOND

When OCD does not respond to a clinical trial of optimal dose and duration, it is useful to re-assess a number of factors. The presence of certain features may impact on the choice of the subsequent intervention.

- a. **Adherence:** Clinicians often over-estimate the adherence of their patients and it is often useful to check with patients and their families whether medication is being taken as prescribed. Many patients worry that medication is addictive or a "crutch".
- b. **Comorbid substance use:** In the presence of active alcohol or substance use, it may be necessary to shift the emphasis of treatment towards a substance-use disorder as the primary diagnosis, with the anxiety as a secondary problem. Detoxification may be a necessary first step in the management of these patients.^{52,53}
- c. **Comorbid personality disorders:** Although SSRIs may be useful, additional interventions such as psychotherapy may be crucial in patients with OCD and comorbid personality disorder. While improvement in OCD symptoms may reduce maladaptive behaviour in comorbid personality disorder, the personality disorder itself may need to be a major target of treatment.
- d. **Underlying medical disorder:** Anxious patients who fail to respond to medication should be thoroughly re-assessed for an underlying medical disorder. In OCD in children, the role of streptococcal throat infection may be particularly important.⁴ Antibiotics could then be a consideration.⁵⁴
- e. **Pharmacokinetic issues:** Drug-drug interactions may result in a sub-therapeutic dose of the prescribed antidepressant.
- f. **Psychosocial issues:** Psychosocial circumstances that continue to complicate the course of OCD need to be assessed, as these may necessitate appropriate intervention. In particular, the participation of friends and family in rituals may serve to derail treatment.

STEP 7: TREATMENT RESISTANCE

After the failure of an adequate clinical trial of medication in a patient where re-assessment sheds no light on any further unresolved factors, a different agent should be used.⁵⁵ Although

an SRI has less chance of being effective in patients who have already failed a number of trials of other SRIs, some of these patients will, in fact, ultimately respond to a new SRI.²⁸ Given the possible superiority of clomipramine in certain cases of OCD and depression, it may be argued that all OCD patients who have failed to respond to one or more of the SSRIs deserve a trial of clomipramine.⁵⁶ While results of studies correlating plasma drug levels and therapeutic response in OCD have been mixed, in the case of clomipramine, obtaining drug levels at high doses may be useful.⁵⁷

Some evidence suggests that certain non-SRI agents, such as venlafaxine, duloxetine, mirtazapine and agomelatine, may on occasion be effective in treatment-resistant OCD, but there is a need for more rigorous study.^{58,59} Reviews of intravenous clomipramine also show efficacy in treatment-resistant OCD.⁶⁰ Drugs that are known to modulate glutamatergic function have been suggested to be efficacious in the augmentation of partial response to SRIs in OCD – such agents include N-acetylcysteine, topiramate, riluzole and memantine – with memantine having the largest evidence base currently.^{47,48,50,61-64}

Deep transcranial magnetic stimulation is now FDA-approved for OCD, and may also be considered in treatment-refractory patients. In the pivotal trial showing efficacy of TMS, this modality is combined with CBT.^{65,66}

For patients who have failed multiple medication and behavioural treatments (including intensive partial or full hospitalisation programmes⁶⁷), and where severity of the disorder is marked, neurosurgery may also be considered.⁶⁸ Several studies have suggested that specific lesions to the cortico-striatal pathways, or the use of deep-brain stimulation, may lead to significant reduction in OCD symptoms in treatment-refractory patients.³ A review of case reports and case series have shown electroconvulsive therapy may be helpful for some OCD patients, however there are no randomised, controlled trials.⁶⁹

ADDITIONAL READING

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9: Post-Traumatic Stress Disorder

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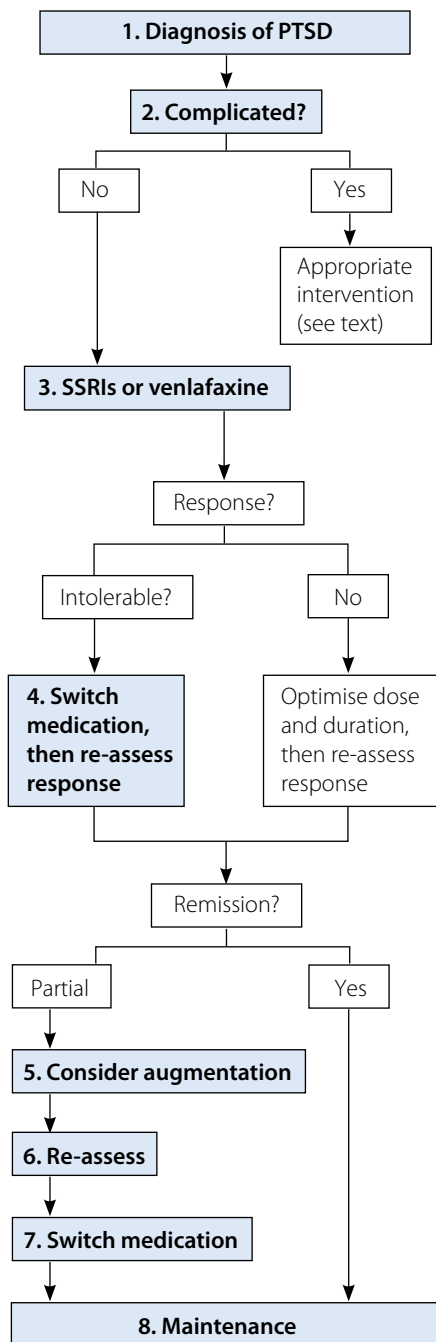
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Post-traumatic stress disorder (PTSD) is a prevalent and disabling psychiatric disorder.¹⁻³ PTSD is characterised by exposure to trauma followed by re-experiencing, avoidance, negative alterations in cognition and mood, and hyperarousal symptoms associated with the traumatic event.^{4,5} The symptoms of PTSD may be misdiagnosed as physical illness in primary care settings. PTSD is associated with considerable comorbidity with anxiety disorders, depression and substance-use disorders.⁶ In addition, PTSD may be associated with significant morbidity and functional impairment.³

The role of psychotherapy in the treatment of PTSD must be emphasised.⁷⁻⁹ Debriefing sessions focussed on the traumatic event are no longer recommended for routine practice,¹⁰ and routine, multiple session interventions for everyone exposed to traumatic events also lacks evidence of benefit.¹¹ However, trauma-focused cognitive behaviour therapy (TF-CBT) is effective in patients with acute traumatic stress symptoms¹², and TF-CBT provided within three to six months of the traumatic event may help prevent chronic PTSD symptoms.¹¹ In the presence of chronic PTSD symptoms (longer than three months) TF-CBT and eye movement desensitisation and reprocessing (EMDR) may reduce the severity of PTSD symptoms.^{7,13} In

Figure 9.1. Algorithm for pharmacotherapy of post-traumatic stress disorder (PTSD)



addition, psycho-education of both patient and family is crucial in the treatment of PTSD.¹⁴ There is currently insufficient evidence to support or refute the combined use of psychotherapy and pharmacotherapy in the treatment of PTSD.^{6,15,16} In resource-limited settings, treatments should arguably be offered in a stepwise fashion, though little guidance is currently available regarding the optimal sequencing of interventions and they may need to be assessed on a case-by-case basis.^{6,15} It is reasonable to consider combining psychotherapy and pharmacotherapy if either treatment has been unsuccessful or partially successful on its own.^{17,18}

The algorithm (see Figure 9.1.) assumes that the patient is an adult. Although there are fewer data on the treatment of children and adolescents with PTSD, what does exist suggests that selective serotonin re-uptake inhibitors (SSRIs) should be considered as first-line pharmacological agents, although cognisance should be taken of the black box warning of possible increased suicidal behaviour issued by the FDA in this regard.¹⁹ Specialist consultation may be indicated in children and adolescents.

STEP 1: DIAGNOSIS OF PTSD

The first step in any treatment algorithm involves correct diagnosis. The diagnosis of PTSD begins with establishing a history of trauma. The definition of a traumatic event has been refined in ICD-11 and includes exposure to actual or threatened death, serious injury or sexual violence in one of the following ways: directly experiencing an event, witnessing the event as it occurred to others, learning that the event has occurred to a close friend or relative and repeated or extreme exposure to aversive details of the traumatic event (e.g., police officers repeatedly exposed to details of child abuse⁵ [see Table 9.1.]). It is important to note that the majority of individuals have experienced some form of trauma, that many experience some symptoms after such trauma, but that only a minority of people develop persistent symptoms of PTSD.²⁰ Several risk factors, such as trauma severity, lack of social support, sex, and additional life stress, have been associated with the development of PTSD.²¹

In individuals who develop PTSD, the traumatic event is followed by symptoms of re-experiencing, avoidance, negative alterations

in cognition and mood, and hyperarousal, that are present for at least several weeks and lead to impairment in personal, family, social, educational, occupational or other areas of functioning (see Table 9.1.). Panic attacks (see Table 9.2.) may occur in the context of PTSD and can be noted as a diagnostic specifier. PTSD symptoms usually begin within three months of the trauma but there may be a delay in the full expression of symptoms.²² Further, complex PTSD is an independent diagnosis in ICD-11 and may follow childhood trauma or persistent exposure to a traumatic environment in which escape is difficult or impossible.²³ It consists of the core PTSD symptoms, as well as affective dysregulation, negative self-concept and relational difficulties⁵, and may be marked by treatment resistance or need for prolonged treatment course.²⁴

The initial evaluation should include assessment of the severity, frequency and duration of re-experiencing, hyperarousal, emotional and cognitive symptoms, the degree of avoidance behaviour, and the extent of functional impairment. PTSD is commonly associated with other psychiatric symptoms, particularly anxiety, depressive and substance abuse symptoms, which therefore also deserve particular attention.²⁵

STEP 2: COMPLICATIONS IN DIAGNOSIS IMPACTING PHARMACOTHERAPY

PTSD may be complicated in several ways, impacting on decisions about pharmacotherapy. Brief explanations of these complicating factors and their implications for pharmacotherapy follow:

- a. Severity:** Patients with severe symptoms may require brief hospitalisation to help contain symptoms. Acute administration of high-potency benzodiazepines (e.g., alprazolam, clonazepam) may be necessary. Slow-release preparations or benzodiazepines with longer half-lives (e.g., clonazepam) have the advantage of avoiding rebound anxiety between doses. Benzodiazepines are not, however, recommended in the long-term management of PTSD.^{26,27}
- b. Melancholia:** Patients with PTSD often have comorbid depression, which usually also responds to first-line PTSD treatments such as the selective serotonin re-uptake inhibitors (SSRIs). Patients with melancholia

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Table 9.1. Diagnostic guidelines for post-traumatic stress disorder (adapted from ICD-11)

- 1.** The person has been exposed to an event or situation (either short- or long-lasting) which is of an extremely threatening or horrific nature. This may include:
 - Directly experiencing the traumatic event (e.g., natural or human-made disasters, combat, serious accidents, torture, sexual violence, terrorism, assault, acute life-threatening illness).
 - Witnessing, in person, the event as it occurred to others.
 - Learning that the event has occurred to a close family member or friend.
 - Experiencing repeated or extreme exposure to aversive details of the traumatic event.
- 2.** Following the traumatic event (or situation), a characteristic syndrome develops, lasting for at least several weeks and consisting of all three core elements; (1) re-experiencing the event, (2) avoidance behaviour and (3) heightened perception of threat.
- 3.** Re-experiencing the traumatic event in the present – not just remembered, but experienced in the here and now. This occurs in the form of:
 - Vivid, intrusive memories or images
 - Flashbacks, ranging from mild (transient sense of the event occurring again) to severe (complete loss of awareness of present surroundings).
 - Repetitive dreams or nightmares that are thematically related to the traumatic event(s).

Re-experiencing is typically accompanied by strong or overwhelming emotions (fear or horror) and strong physical sensations (physiological reactions). May occur in response to reminders of the event. Reflecting on or ruminating about the event and remembering feelings are not sufficient to meet the re-experiencing requirement.
- 4.** Deliberate avoidance of reminders likely to produce re-experiencing of the traumatic event(s). This may take the form of:
 - Active internal avoidance of thoughts and memories related to the event
 - External avoidance of people, conversations, activities, or situations reminiscent of the event
 - Change of environment to avoid reminders (e.g., a move to a different city or a change of job) in extreme cases.
- 5.** Persistent perceptions of heightened, current threat may be expressed as:
 - General hypervigilance
 - Enhanced startle reaction to stimuli, such as unexpected noises
 - Constantly guarding themselves against danger
 - Feeling themselves or others close to them to be under immediate threat, either in specific situations or generally
 - Adopting new behaviours designed to ensure safety (e.g., not sitting with their back to the door, repeatedly checking vehicles' rear-view mirrors)
- 6.** Additional clinical features of PTSD may include:
 - General dysphoria
 - Dissociative symptoms
 - Somatic complaints
 - Suicidal ideation and behaviour
 - Social withdrawal
 - Excessive alcohol or drug use to avoid re-experiencing or manage emotional reactions
 - Anxiety symptoms including panic
 - Obsessions and compulsions in response to memories or reminders of the trauma
- 7.** The emotional experience of individuals with PTSD commonly includes anger, shame, sadness, humiliation or guilt (including survivor guilt).
- 8.** Duration of the disturbance (i.e., symptoms in Criteria 3, 4, 5 and 6) is more than one month.
- 9.** The disturbance causes clinically significant distress or impairment in social, occupational, or other important areas of functioning (e.g., personal, family, educational).
- 10.** The disturbance is not attributable to the physiological effects of a substance or another medical condition.

Table 9.2. Diagnostic guidelines for panic attack specifier (adapted from ICD-11)

A discrete episode of intense fear or apprehension, in which a number of the following symptoms develop rapidly and concurrently, and usually reach a peak within 10 minutes:	
1.	Palpitations, pounding heart, or increased heart rate
2.	Sweating
3.	Trembling or shaking
4.	Sensations of shortness of breath or smothering
5.	Feeling of choking
6.	Chest pain or discomfort
7.	Nausea or abdominal distress
8.	Feeling dizzy, unsteady, lightheaded, or faint
9.	Chills or hot flushes
10.	Paraesthesias (numbness or tingling sensations)
11.	Derealisation (feelings of unreality) or depersonalisation (being detached from oneself)
12.	Fear of losing control or “going crazy”
13.	Fear of imminent death
Panic attacks may be triggered by particular situations, or may appear out of the blue	

appear to respond better to antidepressants and electroconvulsive therapy and less favourably to psychotherapy and placebo than do non-melancholic patients.^{28,29} There is some evidence that older drugs, such as tricyclic antidepressants (TCAs) and monoamine oxidase inhibitors (MAOIs), may be more effective than selective serotonin reuptake inhibitors (SSRIs) in patients with depression accompanied by melancholic features, and hospitalised inpatients^{30,31}, although not all evidence is consistent.²⁹

c. Alcohol and/or substance use: There is a strong association between PTSD and substance-use disorders (SUD).³² Dual diagnosis is associated with higher rates of psychiatric comorbidity and more severe PTSD symptoms.³³ The relationship between PTSD and SUD is complex. Firstly, exposure to trauma has been found to play a role in the initiation and maintenance of SUD^{34,35} and PTSD may lead to use of alcohol and other substances in order to self-medicate symptoms.³⁶ Secondly, patients with SUD may be at greater risk of trauma exposure and more vulnerable to developing PTSD.³⁷ Finally, there may be common environmental and genetic risk factors for both PTSD and SUD.^{38,39}

Although it is ideal to detoxify patients prior to beginning pharmacotherapy, withdrawal of drugs, particularly central nervous system depressants, may be poorly tolerated because of the resulting additive

physiologic arousal effects.³³ Psychotherapy of comorbid PTSD and substance use disorder has shown only modest efficacy.⁴⁰ Pharmacotherapy may also be necessary to treat the substance-use disorder and there is growing interest in agents that can target both disorders.^{38,41,42} Randomised clinical trials suggest that SSRIs, SNRIs and some anticonvulsants may have efficacy for the treatment of PTSD and comorbid alcohol-use disorder.^{41,43} Benzodiazepines are relatively contraindicated in these patients.⁴⁴

d. Pregnancy, lactation, menopause: Pharmacotherapy should ideally be avoided during pregnancy and lactation. Nevertheless, where clinical considerations outweigh the risk of medication, such intervention should be considered after consultation with a specialist. Adverse-pregnancy-outcome studies can be difficult to interpret and it is not always clear whether outcomes observed are due to medications themselves or confounders such as the underlying mental illness.⁴⁵ SSRIs are generally considered safe first-line agents in pregnancy and there is currently no conclusive evidence of an association between treatment with these agents and increased risk for malformations, preterm birth, low birth weight or small-for-gestational-age births.⁴⁶ However, fluoxetine and paroxetine use in early pregnancy may be associated with a small increased risk for cardiovascular malformations, and initiation

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Table 9.3. Top-8 (for post-traumatic stress disorder)

The investigator should identify which traumatic event is the most bothersome and then how much each symptom has troubled the subject during the past week.

Event:

- 1.** Have you experienced painful images, thoughts or memories of the event which you could not get out of your mind even though you may have wanted to?
0 = not at all
1 = mild: rarely and/or not bothersome
2 = moderate: at least once a week and/or produces some distress
3 = severe: at least four times per week or moderately distressing
4 = extremely severe: daily or produces so much distress that patient cannot work or function socially
- 2.** Does exposure to an event that reminds you of, or resembles, the event, cause you any physical response (eg, sweating, trembling, racing heart, nausea, hyperventilating, dizziness, etc)?
0 = not at all
1 = a little bit: infrequent or questionable
2 = somewhat: mildly distressing
3 = significant: causes much distress
4 = marked: very distressing; may have sought help because of the physical response (e.g., chest pain so severe that patients were sure they were having a heart attack)
- 3.** Have you avoided places, people, conversations or activities that remind you of the event (e.g., movies, TV shows, certain places, meetings, funerals)?
0 = no avoidance
1 = mild: of doubtful significance
2 = moderate: definite avoidance of situations
3 = severe: very uncomfortable and avoidance affects life in some way
4 = extremely severe: house-bound, cannot go out to shops or restaurants, major functional restrictions
- 4.** Have you experienced less interest (pleasure) in things that you used to enjoy?
0 = no loss of interest
1 = one or two activities less pleasurable
2 = several activities less pleasurable
3 = most activities less pleasurable
4 = almost all activities less pleasurable
- 5.** Do you have less to do with other people than you used to? Do you feel estranged from other people?
0 = no problem
1 = feels detached/estranged, but still normal degree of contact with others
2 = sometimes avoids contact that would normally participate in
3 = definitely and patients usually avoid people with whom they would usually associate
4 = absolutely refuses or actively avoids all social contact
- 6.** Can you have warm feelings/feel close to others? Do you feel numb?
0 = no problem
1 = mild: of questionable significance
2 = moderate: some difficulty expressing feelings
3 = severe: definite problems expressing feelings
4 = very severe: has no feelings, feels numb most of the time

Table 9.3. (continued)

- 7.** Do you have to stay on guard? Are you watchful? Do you feel on edge? Do you have to sit with your back to the wall?
 0 = no problem
 1 = mild: occasional, not disruptive
 2 = moderate: causes discomfort/feels on edge or watchful in some situations
 3 = severe: causes discomfort/feels on edge or watchful in most situations
 4 = very severe: causes extreme discomfort and/or alters life (feels constantly on guard/ socially impaired because of hypervigilance)
- 8.** Do you startle easily? Do you have a tendency to jump? Is this a problem after unexpected noise, or if you hear or see something that reminds you of the trauma?
 0 = no problem
 1 = mild: occasional but not disruptive
 2 = moderate: causes definite discomfort or an exaggerated startle response at least every two weeks
 3 = severe: happens more than once a week
 4 = extremely severe: so bad that the patient cannot function at work or socially

of these agents should be avoided in the first trimester.⁴⁶ The risk of persistent pulmonary hypertension of the newborn has been shown to be increased for infants exposed to SSRIs in late pregnancy, although clinically the absolute risk is low.⁴⁷

e. Comorbid medical disorders and medications: Clinicians need to be aware of the multiple interactions between medications used in the treatment of PTSD, as well as of the impact of medication adverse effects on medical disorders.⁴⁸ Fortunately, certain SSRIs (e.g. sertraline and citalopram) have relatively few interactions with other medications, and the SSRIs as a class are well tolerated in most medical disorders.⁴⁹

STEP 3: FIRST-LINE PHARMACOTHERAPY

In the algorithm (see Figure 9.1.), SSRIs or venlafaxine are suggested to be the first-line treatment of PTSD. There is now a great deal of evidence that SSRIs are both well tolerated and effective in PTSD.^{6,26,44,50} Older studies support the use of tricyclic antidepressants and monoamine oxidase inhibitors⁵¹, but their relatively poor tolerability and safety profile means that these agents should not be used as first-line medications. Of the newer antidepressants, mirtazapine, a serotonergic and noradrenergic agent, has been shown to be superior to placebo in a single randomised control trial.^{26,52}

There are few studies showing that any one SSRI is superior to another in the treatment of PTSD.⁵⁰ Of course, agents that have proved

effective and tolerable in a particular individual in the past should be favoured in the present. Similarly, despite an absence of supporting empirical data, many clinicians suggest that family history of response to a particular antidepressant favours the choice of that agent.⁵³

Important aspects of psycho-education regarding the SSRIs and SNRIs include the fact that these are not addictive, that despite being termed “antidepressants” they are effective in PTSD, that side effects are typically transient, that therapeutic response is relatively slow (two to four weeks) in onset, and that if one agent does not work another should be tried. Providing relevant information may help to improve treatment adherence.⁶

In PTSD, benzodiazepines do not appear to be effective^{54,55} and they may, in fact, worsen treatment outcomes.^{27,56}

Although β -blockers are often prescribed by primary care practitioners for anxiety symptoms, there is insufficient evidence to include them as a first-line medication for PTSD.⁵⁷ There is currently insufficient evidence to support the acute administration of propranolol to prevent the development of PTSD.^{6,57,58} Other adrenergic blockers may have a role as augmentation agents, as discussed below.

STEP 4: ASSESS RESPONSE TO TREATMENT

To determine response to medication, it is important to ask about change in those symptoms initially targeted for treatment. Side effects of the medication should also

be determined, with particular attention to those that patients may be reluctant to disclose (e.g., sexual dysfunction). It may be useful to complete symptom rating scales (see Table 9.3.) in order to help quantify response to medication, with repeated administration helping the clinician to gauge the trajectory of treatment response.⁵⁹

Patients who are intolerant of a particular medication can, of course, be switched to another agent. Within the SSRIs, an alternative SSRI may be trialled when adverse effects are experienced.

When there is a poor response to medication, it is important to optimise dosage and duration of the medication. Studies have not shown significant differences in efficacy between higher and lower doses of SSRIs in the treatment of PTSD and treatment should be initiated at the lower end of the recommended range in order to minimise side effects.⁶⁰ If resistance to treatment is observed and initial low doses appear to be well tolerated, it is reasonable to consider increasing the dose.⁶¹ Elderly patients generally require lower doses than do younger adults.⁶²

Furthermore, response to SSRIs in PTSD may take up to 12 weeks and the proportion of patients who respond to treatment increases over time.⁶ It is obviously important to give each patient a trial of medication that is of adequate duration.

STEP 5: MAINTENANCE OF RESPONSE AND AUGMENTATION STRATEGIES FOR PARTIAL RESPONSE

At the end of a clinical trial of optimal dose and duration, patients should be thoroughly re-assessed. There is growing recognition of the importance of residual anxiety symptoms in causing disability and predicting relapse, and of the consequent necessity of aiming for remission of symptoms as the endpoint of treatment.^{63,64}

When the patient has a good response to medication, it is important to reinforce the necessity to the patient for continuing the medication at the therapeutic dose despite this improvement. Guidelines for maintenance therapy of PTSD have become increasingly conservative, favouring longer courses of medication, in view of the safety of modern antidepressant agents and the likelihood of

relapse in patients with an untreated chronic illness.⁶ In chronic PTSD, it is recommended that treatment be continued for at least 12–24 months before gradual tapering.^{6,44} The presence of complex PTSD may necessitate longer treatment duration.¹⁴ Cognitive-behavioural therapy may be useful during medication withdrawal in order to maintain gains, although more research on the optimal combination and sequencing of pharmacotherapy and psychotherapy in PTSD is needed.

When there is a partial response despite an optimum trial of medication, it may be useful to consider an augmenting agent. Despite a large proportion of patients failing to respond to first-line PTSD treatments, there are relatively few data on augmentation and switching strategies. Augmentation offers the advantage of retaining any possible gains from the first agent, but the potential disadvantages of polypharmacy (more side effects, drug interactions).⁶⁵ There is some promising evidence to support the use of psychotherapy augmentation in the treatment of PTSD although further large, randomised control trials are needed.^{15,66–68}

In PTSD, a range of pharmacological augmentation strategies has been suggested, but few strategies have been studied in controlled trials.⁵⁰ The α 1-adrenergic antagonist prazosin has been found to reduce insomnia, nightmares and other PTSD symptoms.^{50,69–71} There is mixed evidence for the efficacy of augmentation with antipsychotic agents in non-responders to first-line agents.^{61,72} There is evidence supporting augmentation with the atypical antipsychotic agents risperidone and olanzapine, particularly in combat-related traumas.^{73–76} A recent review confirmed evidence of benefit for risperidone as an augmentation agent⁵⁰, and is also recommended in the NICE guidelines in this context.¹⁴ Further research in this area is needed. In the interim, specialist consultation should be sought in such cases.

STEP 6: FAILURE TO RESPOND

When PTSD does not respond to a clinical trial of optimal dose and duration, it is useful to re-assess a number of factors. The presence of certain features may impact the choice of the subsequent intervention.

a. Adherence: Clinicians often over-estimate the treatment adherence of their patients

and it is often useful to check with patients and their families whether medication is being taken as prescribed. Many patients worry that medication is addictive or a “crutch”.

- b. Comorbid substance use:** In patients who fail to respond to pharmacotherapy, the possibility of comorbid substance use should again be considered. Self-medication with alcohol and other substances is particularly common in PTSD. Specialist input regarding dual-diagnosis management may be needed. There is some evidence that topiramate may be effective in PTSD with comorbid alcohol-use disorder.⁴³
- c. Comorbid personality disorders:** Although SSRIs may be useful, additional interventions such as psychotherapy may be crucial in patients with PTSD and comorbid personality disorder. While improvement in PTSD symptoms may reduce maladaptive behaviour in comorbid personality disorder, the personality disorder itself may need to be a major target of treatment.
- d. Underlying medical disorder:** PTSD patients who fail to respond to medication should be thoroughly re-assessed for an underlying medical disorder.
- e. Pharmacokinetic issues:** Drug-drug interactions may result in a subtherapeutic dose of the prescribed antidepressant.
- f. Psychosocial issues:** Psychosocial circumstances that continue to complicate the course of PTSD need to be assessed, as these may necessitate appropriate intervention. PTSD may be associated with disruptions in interpersonal relationships, altered perceptions of the self and others, survivor guilt, and so on, which require specific intervention.

STEP 7: TREATMENT RESISTANCE

After the failure of an adequate clinical trial of medication in a patient where re-assessment sheds no light on any further unresolved factors, a different evidence-based agent should be used. It is often advisable to switch classes of medication. It is also possible that switching from one SSRI to another may be useful. Patients who have failed to respond to SSRIs can be switched to venlafaxine which has good supporting evidence in the treatment of PTSD.^{26,50} Mirtazapine, TCAs (amitriptyline, imipramine) or MAOIs (phenelzine) can be considered as third-line antidepressant agents.⁶ There have been few trials of antipsychotic

monotherapy; currently there is evidence of efficacy for risperidone^{77,78} and olanzapine⁷⁹ and these can also be considered as third-line agents. The randomised controlled trial evidence for anticonvulsant monotherapy is mixed and further trials are warranted.⁸⁰⁻⁸³ Ketamine has also been studied in PTSD and has shown some promising results, although findings are mixed.^{84,85} Further research is required before it can be adopted as routine practice. Finally, augmentation strategies can sometimes be useful in patients who have not responded to multiple single agents.

If all avenues have been thoroughly explored, a second opinion by an expert is indicated. Medication trials that ended prematurely or that did not use optimal dosing can be repeated. It may ultimately be necessary to revert to the regimen on which the patient demonstrated the best response.

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10: Insomnia Disorder

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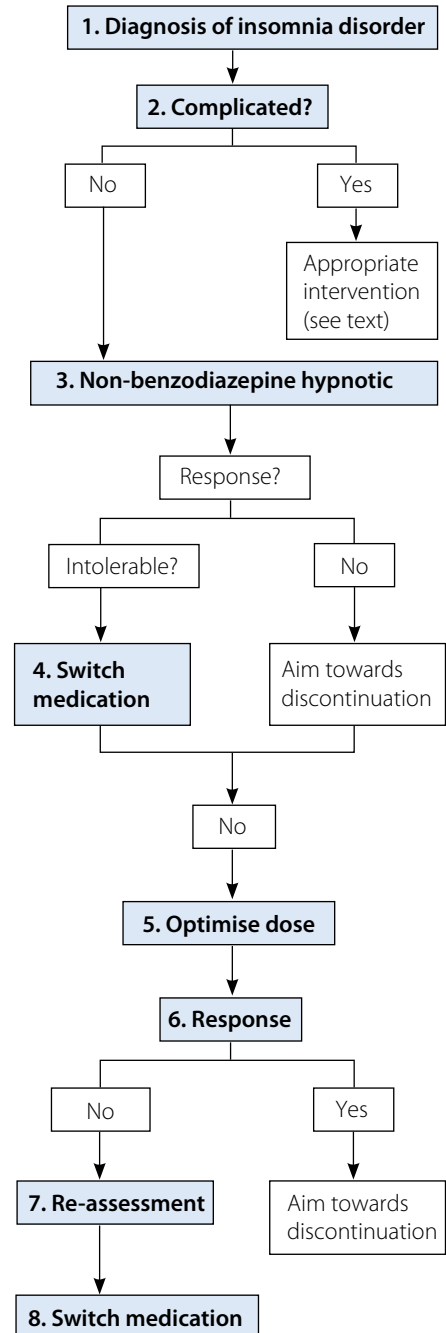
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Insomnia is an extremely common symptom, accounting for over five million primary care outpatient consultations per year in the United States.¹ There is growing evidence that chronic insomnia is associated with significant morbidity, including impairment in cognitive and motoric performance, decreased work productivity, increased use of medical services, and greater risk for serious accidents.²⁻⁴ Finally, persistent insomnia is a risk factor for depression.⁵

In this chapter, we focus on insomnia disorder. According to ICD-11 guidelines (see Table 10.1.), this disorder is a type of sleep-wake disorder characterised by difficulty in initiating or maintaining sleep, which may be short-term (lasting less than three months) or chronic (lasting more than three months). Insomnia disorder may be divided into that characterised by objective sleep architecture abnormalities on polysomnography (similar to the construct of psychophysiological insomnia), and that in which the person does not subjectively feel refreshed despite objective evidence of normal sleep (sleep state misperception).

As in the rest of this volume, our focus here is on pharmacotherapy. Nevertheless, given that insomnia is often a symptom rather than a diagnosis, assessment is a particularly crucial step. Furthermore, cognitive-behavioural interventions are efficacious⁶⁻⁸, and combined cognitive-behavioural and pharmacological intervention may be particularly so.⁹ Behavioural intervention for insomnia includes cognitive-behaviour therapy (CBT-I)¹⁰, a general set of psycho-educational instructions known

Figure 10.1. Algorithm for pharmacotherapy of insomnia disorder



as “sleep hygiene”, as well as specific techniques such as stimulus control and planned sleep deprivation. Although effective as an adjunct to other treatments, improving sleep hygiene alone may be ineffective for many patients.¹¹ We list some suggestions that can be provided to primary care patients (see Table 10.2).

STEP 1: DIAGNOSIS OF INSOMNIA DISORDER

Insomnia disorder is a diagnosis of exclusion. First, underlying psychiatric and medical disorders must be ruled out. In this context,

it is important to emphasise the association between insomnia and mood disorders (depression, mania), anxiety and related disorders (generalised anxiety disorder, post-traumatic stress disorder, obsessive-compulsive disorder), neurocognitive disorders (dementia), and attention deficit hyperactivity disorder. Similarly, insomnia is often associated with particular medications (e.g., mono-amine oxidase inhibitors, caffeine or methylphenidate) or with general medical disorders (e.g., pain disorders, hypoxaemia).

Second, other sleep disorders associated with insomnia must be ruled out. These

Table 10.1. Clinical guidelines for insomnia disorder (adapted from ICD-11)

1. Insomnia disorders are characterised by complaint of persistent difficulty with one or more of: • Sleep initiation • Sleep duration • Sleep consolidation • Sleep quality
2. The sleep disturbance occurs despite adequate opportunity and circumstances for sleep
3. The sleep difficulty results in some form of daytime impairment.
4. The sleep difficulty results in daytime symptoms which may include fatigue, depressed mood or irritability, general malaise and cognitive impairment.
5. The sleep difficulty occurs despite adequate opportunity for sleep.
6. If sleep-related symptoms are present in the absence of daytime impairment, insomnia disorder does not apply.
7. Chronic insomnia is defined by sleep disturbance and daytime symptoms at least several times per week for at least 3 months. Some may show an episodic course, with recurrent episodes of sleep/wake difficulties lasting several weeks at a time over several years.
8. Short-term insomnia is defined by difficulty initiating or maintaining sleep for less than 3 months duration.
9. If the insomnia is due to another sleep-wake disorder, a mental disorder, another medical condition, or a substance or medication, chronic or short-term insomnia should only be diagnosed if the insomnia is an independent focus of clinical attention.

Table 10.2. Sleep hygiene with stimulus control

• Exercise regularly, but not just before bedtime • Avoid sedatives (e.g., alcohol) just before bedtime • Avoid stimulants (e.g., nicotine, caffeine) just before bedtime • Eat only a light snack just before bedtime • Relaxing routine (e.g., hot bath) just before bedtime • Ensure that the room/bed is quiet/comfortable • Use the bed only for sleeping and for sex • Avoid daytime napping (except in the elderly) • Get up at the same time each day (even if tired) • Don't watch the clock after going to bed • If not asleep within 20 minutes, do low-energy activity in other room (e.g., reading) until tired
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include periodic limb movement disorder, restless legs syndrome, sleep-disordered breathing, circadian rhythm disturbances, and parasomnias (somnambulism, sleep terrors). In children, disturbed sleep often reflects parasomnias.^{12,13} In the elderly, there are normal age-related changes in sleep pattern.^{14,15}

In general practice, a comprehensive medical and psychiatric history is particularly useful to rule out disorders associated with insomnia. The pharmacotherapy of insomnia secondary to mood and anxiety disorders essentially comprises the treatment of those disorders.^{7,16,17} The treatment of those disorders is described elsewhere in this volume (Chapters 5-7), but it may be worth emphasising that antidepressants rather than benzodiazepines should be the first-line choice; antidepressants are more effective in the treatment of depression, PTSD and anxiety disorders. Similarly, in dementia, benzodiazepines may have significant adverse events.^{18,19} Even in patients with pain, the antidepressant amitriptyline has both analgesic and sedative effects.^{20,21}

A comprehensive evaluation of sleep symptoms is also necessary, as each of the different sleep disorders requires its own treatment. For example, in restless legs syndrome, patients describe peripheral sensations that are relieved by movement, and this disorder may respond to treatment with dopamine agonists or other agents. In circadian rhythm sleep disorder, there is a mismatch between the person's circadian sleep-wake pattern and the sleep-wake patterns required by their environment. For example, in the delayed sleep phase subtype of this disorder, patients fall asleep late and then wake up late after otherwise normal sleep; such patients may respond to light therapy and perhaps to melatonin.^{22,23} In the jet-lag subtype, patients are sleepy or alert at an inappropriate time relative to local time after repeated travel across time zones; night-time melatonin may be useful in preventing such symptoms.^{24,25}

Unfortunately, some sleep disorders are not readily diagnosable on history alone. For example, although patients with obstructive sleep apnoea may present with specific risk factors (e.g., obesity) and may have specific symptoms (e.g., snoring, daytime somnolence), patients with sleep-disorder breathing may not be aware of apnoea/hypnoea.²⁶ Similarly, although partners may be aware of

movements, patients with periodic limb movement disorder may not be aware of their movements. Both groups of patients may have secondary depression/anxiety.

Polysomnography may therefore play a useful role in the assessment of insomnia.²⁷

STEP 2: COMPLICATIONS IN DIAGNOSIS IMPACTING PHARMACOTHERAPY

Insomnia disorder can be complicated by several different factors that impact on pharmacotherapy decisions:

- a. Adjustment disorder/bereavement/other focus of clinical attention:** Any of these disorders may have been the trigger of the insomnia and may continue to be present. It is clearly important to address the relevant issues in a supportive way before considering the use of medications for symptomatic control. Antidepressants (at standard antidepressant doses) should be favoured if it is suspected that a mood disorder has emerged.
- b. Alcohol and/or substance use:** Patients with insomnia often use alcohol, benzodiazepines, or other substances in an attempt to control their symptoms. While withdrawal of such substances may well be indicated, in the short term, this may run the risk of exacerbating insomnia.²⁸ Psychoeducation is clearly important. Slow and gradual tapering of the substance should be encouraged, and may be facilitated by switching to a long half-life benzodiazepine. In the interim, treatment with sedative, non-dependence-causing medications (e.g., trazodone) may be helpful.²⁸
- c. Pregnancy/lactation:** Pregnant patients frequently complain of disturbed sleep. Similarly, the care of a young infant often results in disturbed sleep. Pharmacotherapy should ideally be avoided during pregnancy and lactation. Nevertheless, where clinical considerations outweigh the risk of medication, such intervention should be considered after consultation with a specialist. Benzodiazepine use during pregnancy is not contraindicated, but has been associated with an increased risk of cleft palate, preterm delivery low birth weight and neonatal withdrawal syndrome.^{29,30} If used, the lowest effective dose of the benzodiazepine should be prescribed for the shortest possible duration, and high

peak concentrations should be avoided by dividing the daily dosage into two or three doses.

d. Comorbid medical disorders and medications:

It is absolutely crucial not to treat insomnia secondary to hypoxaemia (e.g., obstructive sleep apnoea) with an agent that suppresses respiratory function.^{31,32} Furthermore, clinicians need to be aware of the interactions of medications used in the treatment of insomnia and the treatment of other disorders, as well as of the impact of medication adverse effects on medical disorders. In patients with impaired liver function, hepatically metabolised medications are started at a lower dose.

e. Comorbid personality disorder: Patients with maladaptive personality traits (for example, as seen in borderline personality disorder), may experience protracted sleep symptoms.^{33,34} Benzodiazepines are relatively contraindicated in patients with borderline personality disorder, as they may promote disinhibition.^{35,36} Where clinical judgment reveals personality traits suggestive of possible vulnerability to future benzodiazepine dependence, these agents should be avoided.

STEP 3: FIRST-LINE PHARMACOTHERAPY

In our algorithm for insomnia disorder (see Figure 10.1.), we suggest that the first-line medication treatment of insomnia disorder in adults is a non-benzodiazepine GABA-A receptor agonist. The non-benzodiazepine hypnotics available on the South African market comprise zolpidem (an imidazopyridine) and zopiclone (a cyclopyrrolone). These agents have a pharmacological mechanism of action similar to the benzodiazepines, but have largely replaced benzodiazepines as first-line medications in primary care settings, and appear less likely to cause dependency.^{20,22} However, clinicians should be aware that they appear to be associated with daytime cognitive slowing, impairments in driving ability, reductions in psychomotor speed and with night-time changes in sleep architecture, especially at higher doses.^{37,38} Zolpidem has a shorter half-life (three hours) than zopiclone (six hours), so theoretically the former may have some advantage in reducing daytime side effects, while the latter may provide better coverage for terminal insomnia.

A short-acting benzodiazepine can be used as an alternative to zolpidem or zopiclone, using drugs with shorter half-lives at low doses and in limited quantities, and with intermittent and strategic prescribing.³⁹ It is important to remind all patients (and particularly those driving and working with machinery) of possible daytime impairment when using long-acting benzodiazepines or non-benzodiazepine hypnotics.³⁸

Although not as well studied for insomnia disorder as are the hypnotics, certain antidepressants may improve insomnia; there is limited evidence for the efficacy of trazodone, mirtazapine, mianserin, amitriptyline, and paroxetine.²² Trazodone runs the risk of priapism in men, but this is not typically seen with the low doses used in insomnia.⁴⁰ These medications are particularly useful where co-morbid mood disturbances are suspected; certainly in these cases, doses can later be increased to therapeutic antidepressant levels.^{21,41}

Melatonin has been suggested useful for a number of different sleep disorders, and while it appears to reduce sleep latency and increase total sleep duration in insomnia disorder, the best evidence is for its use in circadian rhythm disturbances.^{42,43}

Although not yet available for use in South Africa, orexin receptor antagonists, such as suvorexant, have been found to be effective in the treatment of insomnia.⁴⁴ Ramelteon is a selective melatonin agonist which is licensed for use in the USA. Its short-term use has been associated with some improvements in patients with insomnia disorder.^{22,45}

Antihistamines are found in many over-the-counter preparations for insomnia, and there is limited evidence to support their efficacy in treating insomnia disorder.^{20,46} Promethazine and hydroxyzine have been associated with inducing sleep in healthy volunteers, although these drugs have long half-lives and are associated with next-day impairment.⁴⁷

Low doses of the atypical antipsychotics olanzapine and quetiapine have been used to treat insomnia disorder; their adverse side-effect profile limits their use as first-line agents.⁴⁸

STEP 4: ASSESS RESPONSE TO TREATMENT

In order to determine response to medication, it is important to ask about change in those

symptoms initially targeted for treatment. This includes not only nocturnal symptoms (e.g., difficulty falling asleep, total duration of sleep), but also daytime symptoms (e.g., tiredness, difficulty concentrating), and the impact of symptoms on function. Side effects of the medication should also be determined.

Patients who are intolerant of a particular medication can, of course, be switched to another agent. For example, zolpidem, with its shorter half-life, may be associated with less daytime sleepiness than zopiclone. Similarly, substitution for a hypnotic agent by a relatively sedating antidepressant may prove useful.

Benzodiazepines appear to be most effective when administered for short periods of time and should be tapered and discontinued as soon as possible after resolution of symptoms.^{49,50}

Optimise dose and duration

Benzodiazepine- and non-benzodiazepine hypnotics typically demonstrate efficacy immediately. Nevertheless, doses and the timing of doses may require some experimentation before an optimal regimen is established. As a general principle, however, doubling up on standard doses of benzodiazepines is a strategy more likely to promote adverse effects than to facilitate sleep, and doses should therefore be increased incrementally. Older patients are

likely to require significantly lower doses of medication and may be more prone to adverse events.^{20,51}

STEP 5 : MAINTENANCE OF TREATMENT

As noted earlier, when the patient has a good response to medication, it is important to reinforce the necessity for tapering the medication. The long-term prescription of benzodiazepines is generally discouraged.⁵⁰ It should be noted, however, that some patients do seem to require ongoing chronic treatment with hypnotic medications. Caution should similarly be employed with the newer non-benzodiazepine agents, although some studies have concluded that these can be used for longer periods of time without causing dependence.⁵²

STEP 6: RE-ASSESSMENT

When insomnia does not respond to a clinical trial of optimal dose and duration, it is useful to re-assess a number of factors. The presence of certain features may impact the choice of the subsequent intervention. Polysomnography may be indicated in patients who have not responded to medication.

a. Comorbid substance use: In patients who fail to respond to pharmacotherapy, the possibility of comorbid substance use should

Table 10.3. Sleep centres in South Africa

Kwazulu-Natal	
Durban Sleep Centre (EEG and sleep laboratory)	(031) 268-5091
Gauteng	
Benoni Sleep Centre	(011) 422-4531
Morningside Sleep Clinic	(011) 784-0278
Garden City Sleep Centre	(011) 495-5089
Waterfall Sleep Clinic	(011) 304-7820
Pretoria Sleep Centre	(012) 343-0780
Western Cape	
Cape Sleep Centre	(021) 633-2215
Constantia Sleep Centre	(021) 761 3109
Somerset West Sleep Centre	(021) 840-7103
Greyton Sleep Centre	(028) 254-9898
Limpopo	
Polokwane Sleep Centre	(015) 291-3288

again be considered. There may be a need to withdraw the substance, as well as provide symptomatic treatment for insomnia.²⁸

b. Underlying disorder: Patients with insomnia who fail to respond to medication should be thoroughly re-assessed for an underlying psychiatric disorder (particularly depression), medical disorder, or sleep disorder (such as breathing-related sleep disorder). Polysomnography may be a particularly useful tool here; for example, the treatment of sleep state misperception (in which there is a marked discrepancy between subjective and objective estimates of sleep) may require specific behavioural techniques.^{53,54}

c. Psychosocial issues: Psychosocial circumstances that continue to complicate the course of insomnia need to be assessed, as these may necessitate appropriate intervention.

STEP 7: TREATMENT RESISTANCE

Many patients go on to develop symptoms of persistent insomnia (symptoms lasting for longer than three months), with 40% of this population not responding to first-line pharmacological treatments.⁵⁵ Behavioural interventions may be a particularly important treatment strategy at this time.^{6,56}

After the failure of an adequate clinical trial of medication in a patient where re-assessment sheds no light on any further unresolved factors, a different agent should be used. It may be advisable to switch classes of medication. Alternatively, a combined trial with cognitive behavioural therapy may be considered.^{7,9}

Referral to a specialised sleep centre may ultimately be required. Table 3 lists sleep centres by province.

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11: Schizophrenia

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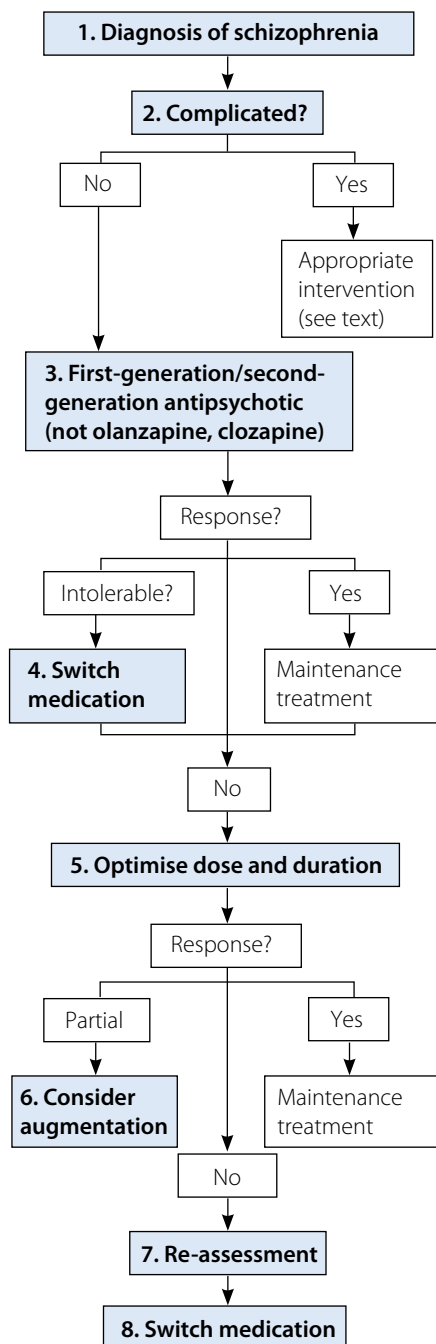
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Schizophrenia is among the most distressing and disabling conditions in medicine. The introduction of the first-generation antipsychotics (FGA/typical) several decades ago led to a dramatic change in the pharmacological management of patients with schizophrenia.¹ The development of the second-generation antipsychotic (SGA/atypical) agents further contributed to the management of schizophrenia, however there may be few differences in efficacy between the two classes (with the exception of clozapine).²⁻⁵ Advances in neuroscience and neurogenetics have, nevertheless, created some optimism about the future discovery of novel medications for the treatment of psychosis.⁶

General practitioners are frequently the first port of call in an acute psychotic episode, and may be faced with the long-term management of patients with schizophrenia after discharge from hospital.⁷⁻⁹ This chapter discusses the pharmacological treatment of adult patients with an acute psychotic episode in schizophrenia, as well as some long-term management issues by way of step-by-step guidelines (see Figure 11.1.), based on the authors' review of the research literature.

Although medication is crucial in the management of schizophrenia, other treatment modalities should not be overlooked. It is well

Figure 11.1. Algorithm for pharmacotherapy of schizophrenia



known that the course of these disorders is influenced by psychological, familial, and social factors.^{10,11} Psycho-education of the patient and family is a particularly important aspect of management.¹² Other psychosocial and vocational interventions, aimed at the individual and the family, are important insofar as they improve adherence, decrease stressors, improve coping strategies, and ultimately enhance prognosis.^{10,11,13,14}

STEP 1: DIAGNOSIS OF SCHIZOPHRENIA

The first step in the management of schizophrenia is appropriate diagnosis and evaluation. Reliable diagnostic guidelines now exist for the diagnosis of schizophrenia (see Table 11.1.). Target symptoms for medication therapy in schizophrenia include positive symptoms (hallucinations, delusions), negative symptoms (blunting of affect, avolition), and mood symptoms. In the acute stage of a psychotic episode, the aim of pharmacotherapy is often behavioural control, while over the long term more subtle yet important symptoms, including impairments in cognitive and social function, should be targeted. Finally, with the introduction of second-generation antipsychotics for schizophrenia, targets increasingly include reduction of cardiovascular risk factors, such as cigarette smoking and obesity.⁵

Psychiatric consultation is typically advisable to rule out other psychiatric disorders characterised by psychosis (e.g., bipolar disorder, psychotic depression, brief psychotic disorder, etc). It is particularly important to rule out substance-induced psychotic disorders, as well as psychotic disorder secondary to another medical condition. Thus, patients with a first episode of a psychotic disorder deserve drug-screening, tests for syphilis, thyroid-function tests, structural brain imaging, and consideration of other tests where relevant (e.g., HIV-testing, electro-encephalography). By definition, the diagnosis of schizophrenia is made only when symptoms (including prodromal symptoms) have persisted for longer than six months. When a schizophrenia-like picture is present for less than six months, a diagnosis of schizophreniform disorder may be given, or brief psychotic episode if less than one month. However, regardless of the timing and duration of symptoms, initial medication treatment of other psychotic conditions is similar to the treatment of schizophrenia.^{4,5,15-17}

STEP 2: COMPLICATIONS IN DIAGNOSIS IMPACTING PHARMACOTHERAPY

Psychotic disorders may be complicated in several ways, impacting decisions about pharmacotherapy and other interventions. In particular, an immediate consideration is

Table 11.1. Diagnostic guidelines for schizophrenia (adapted from ICD-11)

1. Two (or more) of the following, each present most of the time for a period of one month or more (or less if successfully treated). At least one of these must be (a), (b), (c), or (d):

a

Persistent delusions (e.g. grandiose, persecutory, ideas of reference)

b

Persistent hallucinations (e.g. auditory, visual)

c

Disorganised thinking (e.g. formal thought disorder, circumstantiality, tangentiality)

d

Experiences of influence, passivity or control

e

Grossly disorganised behaviour that impedes goal-directed activity

f

Psychomotor disturbance such as catatonic restlessness or agitation, posturing, negativism, stupor or mutism.

g

Negative symptoms (e.g. affective flattening, alogia, asociality, anhedonia or avolition)

2. For a significant portion of the time since the onset of the disturbance, one or more major areas of functioning, such as work, interpersonal relations, or self-care, are markedly below the level achieved prior to the onset.

3. Schizo-affective disorder and depressive or bipolar disorder with psychotic features have been ruled out.

4. The disturbance is not due to the direct physiological effects of a substance or a general medical condition.

whether medication should be initiated in an inpatient or outpatient setting. Patients should usually be hospitalised if they:

- Pose a serious threat of harm to themselves or others.
- Cannot care for themselves or need constant supervision.
- Have a co-existing psychiatric or medical problem that would make outpatient treatment unsafe.

Regardless of whether treatment takes place on an inpatient or outpatient basis, comorbid psychiatric and medical disorder may affect medication decisions. Comorbid substance use disorders, for example, require integrated interventions if future psychotic episodes are to be prevented.^{18,19} Similarly, medications used for the treatment of schizophrenia can affect comorbid medical disorders via side effects (e.g., anticholinergic effects of the antipsychotics) or via drug interactions (e.g., via cytochrome P450 system induction or inhibition).^{20,21}

Antipsychotics can increase cardiovascular risk, with older agents showing pro-arrhythmic properties, whilst newer SGAs may worsen the general metabolic profile.²² Risk for QTc prolongation may also affect antipsychotic choice as this is more common with certain agents (e.g., chlorpromazine, haloperidol) – additional risks include low potassium or magnesium levels, underlying cardiac disease, active or recent cocaine or alcohol abuse, and taking medications that prolong QTc or that inhibit metabolism of a drug that prolongs QTc.²³ A pre-treatment ECG is recommended for patients at increased cardiovascular risk.²⁴ Pregnancy and lactation will also affect medication decisions as antipsychotics are known to cross the placenta and enter breast milk. There is currently no evidence to suggest that either FGAs or SGAs are associated with teratogenic effects^{25,26}, however the second-generation antipsychotics have been associated with gestational diabetes and foetal macrosomia.²⁷ Single agents at lower doses are preferred, and non-pharmacological management should be explored if appropriate, however in complex situations the input of an experienced psychopharmacologist will be necessary. In general, the risk of untreated psychotic illness during pregnancy outweighs the risk of continuing or initiating antipsychotic therapy.⁵

Some features of psychotic episodes suggest that medications other than those usually

employed may be useful. Catatonia may be seen in schizophrenia, mood disorders, and various medical conditions. It is known to respond to treatment with benzodiazepines or with electroconvulsive therapy (ECT).²⁸⁻³⁰

STEP 3: FIRST-LINE PHARMACOTHERAPY

The first-line medication management of schizophrenia relies on antipsychotics (other than olanzapine and clozapine).^{5,9,31} Similar efficacy, different side-effect profiles and sometimes lower costs mean that older, “typical” antipsychotics remain a viable first-line option for some patients. Benzodiazepines may be added for the control of acute agitation.³² Choice of medications should be influenced by anticipated side effects and tolerability; early effective intervention with a drug that is well tolerated is likely to improve adherence and offer the best chance of a favourable, long-term outcome.^{4,5}

Current recommendations are to use relatively low doses of antipsychotics, particularly in first-episode schizophrenia (see also below); indeed, it is possible that some advantages of the atypical antipsychotics over the traditional agents are no longer present when low doses of the older agents are used³³, although more recent network meta-analysis suggests better efficacy with atypical antipsychotics, compared to haloperidol.⁴ Clozapine is an atypical antipsychotic that runs the risk of severe side effects (myocarditis and agranulocytosis) and is therefore not used as a first-line agent.³⁴⁻³⁷ Similarly, olanzapine should be discouraged as a first-line agent, due to the marked weight gain and metabolic complications associated with this drug.³⁸

The definition of an “atypical antipsychotic” remains a subject of some debate,³⁹ but these agents have been suggested to differ from more typical antipsychotics in a number of respects:

- More typical antipsychotics are primarily D2 receptor blockers, while more atypical agents are not only D2 blockers, but also have a range of other effects such as 5HT2 antagonism.
- More typical antipsychotics (particularly high-potency agents) act on striatal dopaminergic pathways and are associated with extrapyramidal adverse effects, while more atypical antipsychotics act primarily on limbic and cortical dopaminergic

pathways and are associated with fewer extrapyramidal symptoms (and, as a result, probably with a lower incidence of tardive dyskinesia).^{40,41}

- Both typical and atypical antipsychotics are associated with the development of cardiometabolic risk factors, although this effect appears most pronounced for some atypical antipsychotics (clozapine, olanzapine).
- More typical antipsychotics are efficacious for the positive symptoms of schizophrenia, while some more atypical agents may lead to statistically significant improvements in both positive and negative symptoms.⁴²⁻⁴⁴ Partial agonists, such as aripiprazole, may be particularly useful in treating negative symptoms.⁴⁵ Some atypical antipsychotics may also be associated with less cognitive impairment, with antidepressant effects, and with improved relapse prevention.^{5,18,46,47}

The older traditional antipsychotics are sometimes less expensive than the newer atypicals. Nevertheless, preliminary pharmacoeconomic studies indicate that some of the newer agents may ultimately save costs in the treatment of schizophrenia by increasing adherence and reducing hospitalisation.^{48,49} After all, the cost of medication comprises only a small fraction of the cost of managing patients with schizophrenia.⁵⁰

The traditional antipsychotics may be divided into high-potency agents (e.g., haloperidol) and low-potency agents (e.g., chlorpromazine). High-potency agents are associated with a greater incidence of extrapyramidal side effects, but with less sedation; while the low-potency agents are associated with fewer extrapyramidal side effects, but with more postural hypotension and sedation.^{41,51} This is largely due to relative affinities to D₂, H₁, ACh, and α ₁ receptors.⁴¹ The choice between these two groups therefore depends on symptoms targeted and relative importance of side effects in the particular patient. For example, sedation may be desirable in an emergency situation, but a patient with a high-functioning job may well require a high-potency agent in order to minimise this adverse effect.

STEP 4: ASSESS RESPONSE TO TREATMENT

To determine response to medication, it is important to ask about changes in those symptoms initially targeted for treatment.

Side effects of the medication should also be determined, with particular attention to those that patients may be reluctant to disclose (e.g., sexual dysfunction). Gathering collateral information from family members is often crucial in the assessment of the patient with schizophrenia.

Side effects of the antipsychotics may respond to simple interventions. For example, dystonia responds to anticholinergic agents. Most authors do not advise the routine, prolonged use of these agents due to associated cognitive dysfunction^{52,53}, but a short course may be useful when initiating antipsychotic agents in patients at risk (e.g., young males prescribed high-potency agents).⁵⁴ Akathisia may be relieved by administration of a benzodiazepine, anticholinergic medication, or β -blocker.⁵⁵⁻⁵⁷ Valbenazine may also prove useful for tardive dyskinesia and extrapyramidal side effects, however it is not yet available in South Africa.⁵⁸

All patients receiving antipsychotic pharmacotherapy should receive regular metabolic monitoring (see Table 11.2).^{5,59} There is some evidence that metformin may be useful to reduce and reverse antipsychotic-related weight gain and other metabolic complications, and its use has been recommended in recent guidelines.^{5,60,61}

A lower dose of medication may decrease adverse events, but runs the risk of an increase in symptoms. Untreated psychosis leads to higher rates of morbidity and mortality, therefore an adequately high dose of medication is required to achieve symptom remission. Patients with schizophrenia who are intolerant of a particular medication can, of course, be switched to another agent.⁶² Switching from a high-potency to a low-potency neuroleptic or vice versa is often a useful strategy, as is switching from a typical to an atypical antipsychotic and vice versa. However, once an effective and tolerable dose is found, it is advisable to continue with this medication instead of switching agents unnecessarily. Primarily, one should treat for efficacy, and manage the side effects appropriately as they arise.

Once the patient has shown a good response to medication, it is important to reinforce the necessity for continuing the medication at the therapeutic dose despite the improvement. Guidelines for maintenance therapy of schizophrenia have become increasingly conservative, favouring longer

Table 11.2. Recommended monitoring for patients taking antipsychotics

	Baseline	1 month	2 months	3 months	6 months	Annually
Body mass index	x	x	x	x	x	x
Waist circumference	x	x	x	x	x	x
HbA1c	x			x		x
Fasting glucose(*)	x			x		x
Fasting lipids(*)	x			x		x

(*) For patients receiving olanzapine and clozapine, monitoring of these parameters should take place monthly for the first six months, and then six-monthly thereafter.

courses of medication, in view of the safety of modern agents and the likelihood of additional psychotic episodes in untreated patients with repeated past episodes.^{5,19,31,63,64} While some patients may eventually be able to gradually taper medication to low doses or to stop medication without relapsing, drug holidays and intermittent treatment are not recommended.

STEP 5: OPTIMISE DOSE AND DURATION

When there is a poor response to medication, it is important to optimise dosage and duration.

What is the optimal dose and duration of antipsychotic treatment in schizophrenia? In the past, it was held that high doses of the traditional antipsychotics should be rapidly achieved. However, research indicates that most patients who fail to respond to standard doses of medication do not respond at very high doses.^{4,65} While a dose range of 300–1 000 mg chlorpromazine equivalents has been recommended for acute psychotic episodes in schizophrenia⁶⁶, doses of more than 700 mg should be the exception. Lower doses than usual may be needed in first-episode psychosis and in some ethnic groups.^{4,67–69} Generally, in the past, antipsychotics have been prescribed in dosages considerably higher than are required for a therapeutic effect, resulting in unnecessary adverse effects, and were thought to exert their antipsychotic action between two to four weeks; it is now clear that antipsychotics begin to have an effect almost immediately, with the first two weeks of treatment being a particularly important time to monitor for

symptomatic and functional improvement.^{70,71} The 2009 Schizophrenia Patient Outcomes Report Team (PORT) recommends an adequate trial lasting between two to six weeks.¹⁰

STEP 6: MAINTENANCE OF RESPONSE AND AUGMENTATION OF PARTIAL RESPONSE

Patients should be re-assessed at the end of a clinical trial of optimal dosage and duration. As noted earlier, when the patient has a good response to medication, it is important to reinforce the necessity for continuing the medication at the therapeutic dose despite the improvement. Guidelines for maintenance therapy of schizophrenia have become increasingly conservative, favouring longer courses of medication.

Augmenting agents may play a useful role in schizophrenia patients with comorbid symptoms/disorders.^{18,72} Patients with depressive or anxiety symptoms, for example, may well benefit from the addition of an antidepressant⁷³, such as a TCA or SSRI, to the antipsychotic regimen, however only citalopram has shown benefit in meta-analysis for treatment of depression in schizophrenia.⁷⁴ It is particularly important to diagnose and treat depression that starts after treatment of the acute psychotic episode.⁷⁵ Augmenting atypical antipsychotics with a low dose of a traditional agent when positive symptoms persist has not been well studied, and carries an increased risk of adverse effects.⁷⁶ Systematic reviews of a range of adjunctive agents (carbamazepine, lithium, ondansetron, polyunsaturated fatty acids) in schizophrenia

are available, although results are generally disappointing and none can be recommended for routine use, although ondansetron has shown some promising results.^{73,77-80}

STEP 7: RE-ASSESSMENT

When schizophrenia does not respond to a clinical trial of optimal dose and duration, it is useful to re-assess a number of factors. The presence of certain features may impact on the choice of the subsequent intervention.

- a. **Severity:** The need for hospitalisation should be carefully monitored on a continuous basis. Clearly, patients who are a threat to themselves or others deserve to be treated on an inpatient basis. In patients with severe symptoms, consultation with a specialist is advisable. It is also critical to work with the family or significant others to ascertain the risk and develop a long-term treatment plan.
- b. **Adherence:** It is likely that clinicians often overestimate the adherence of their patients with schizophrenia. In fact, poor adherence is perhaps the single most important cause of relapse.⁸¹ Patients may have delusions about medication, may be too disorganised to adhere to a treatment regimen, may suffer from significant side effects, or may worry that medication is addictive or a “crutch”. It is well worth checking with the patient and family whether medication is, in fact, being taken on a daily basis. For some medications, blood levels can also be measured to assess adherence.⁸² Intramuscular administration of depot neuroleptics is a useful option in the non-adherent patient with schizophrenia who has had a positive previous response to oral medication^{83,84} and may considerably reduce the risk of relapse.^{5,85}
- c. **Comorbid substance use:** In patients who fail to respond to pharmacotherapy, the possibility of comorbid substance use should again be considered. A structured drug-rehabilitation programme integrated with treatment of schizophrenia may be needed to help the patient to remain abstinent to prevent further psychotic episodes.^{11,18}
- d. **Underlying medical disorder:** Psychotic patients who fail to respond to medication should be thoroughly re-assessed for an underlying medical disorder.
- e. **Psychosocial issues:** Psychosocial circumstances that continue to complicate the course of schizophrenia need to be assessed,

as these may necessitate appropriate intervention.^{10,11,86} It is crucial to work with the family as the patient may need help navigating psychosocial changes due to the cognitive impact of the disease process.

STEP 8: TREATMENT RESISTANCE

When a thorough re-assessment sheds no further light on the failure of a patient to respond to an adequate course of first-line treatments, alternative interventions should be considered.

There is no universally accepted definition of treatment resistance in schizophrenia, although two failed trials of adequate dose and duration of two different antipsychotics is a useful definition.^{5,87,88} Referral to a psychiatrist should be considered, if not already sought. The use of medications in doses above their recommended ranges has not been shown to confer any additional benefit, and is associated with worsened side effects.^{66,76,89} Dose-response studies have indicated a possible benefit from higher doses of olanzapine, although this should be carefully considered along with risk of additional side effects, particularly metabolic effects.⁶⁵ Switching from one class of medication to another may be helpful in treatment-refractory schizophrenia⁹⁰ – within the typical and atypical antipsychotics, there is a range of different classes of medication, including some agents with unique structures and mechanisms (e.g., pimozide, aripiprazole, lurasidone). The strongest evidence for the treatment of refractory schizophrenia, however, exists for clozapine, which may be particularly effective in patients who have failed several previous trials of antipsychotics.^{2,3,35,36} Clozapine carries a serious risk of medical complications (including agranulocytosis, myocarditis, seizures, ileus and toxic megacolon); absorption and elimination may also be affected by age, sex, smoking status and cytochrome P450 interactions, therefore careful monitoring is necessary.^{34,91-93} A subgroup of patients will demonstrate a sub-satisfactory response to clozapine, and various augmentation strategies have been attempted, with none showing particular efficacy.⁹⁴

Non-pharmacotherapy interventions may also be considered; assertive community programmes can be useful for patients who have required multiple hospitalisations,⁹⁵⁻⁹⁷ and electroconvulsive therapy is occasionally used in severe treatment-refractory cases.^{98,99}

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12: Bipolar Disorder

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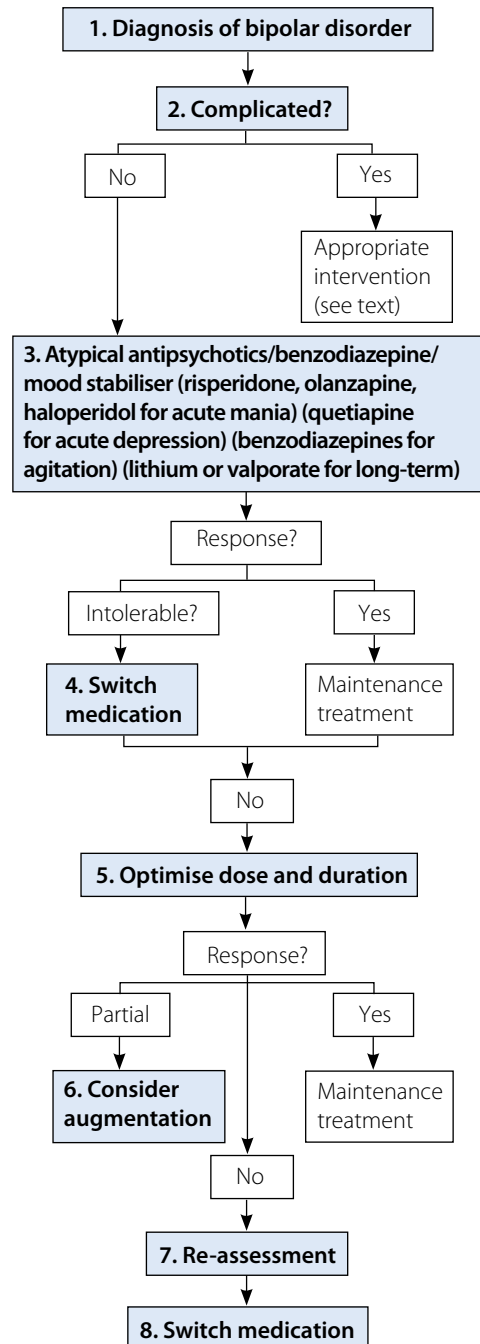
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Bipolar disorder (manic depression) is a highly prevalent disorder, with substantial morbidity and mortality.^{1,2} The introduction of lithium several decades ago was a major achievement in the fight to control this condition. Subsequent discoveries that certain anticonvulsant and antipsychotic agents are also effective in the treatment of bipolar disorder have increased treatment options further.³ Primary care practitioners are frequently the first port-of-call in an acute manic or depressive episode, and are often faced with the long-term management of patients with bipolar disorder after discharge from hospital.⁴ This chapter discusses the pharmacological treatment of bipolar disorder in adults, focusing on both manic and depressive episodes and maintenance therapy, and using step-by-step guidelines (see Figure 12.1.) based on the authors' review of the research literature and international guidelines.

Although medication is crucial in the management of bipolar disorder, other treatment modalities should not be overlooked. It is well known that the course of this disorder is influenced by psychological, familial, and social factors. Psycho-education of the patient and family is a particularly important aspect of management.^{5,6} Other psychosocial interventions in combination with pharmacotherapy are important insofar as

Figure 12.1. Algorithm for pharmacotherapy of bipolar disorder



they increase compliance, decrease stressors, improve coping strategies, and ultimately enhance prognosis.⁶ Electroconvulsive therapy (ECT) is a non-pharmacological treatment which may be considered in medical emergencies for mania and in severe presentations of bipolar disorder.⁷ Its use in treatment-resistant bipolar disorder and in pregnancy is discussed later.

STEP 1: DIAGNOSIS OF BIPOLAR DISORDER

The first step in the management of bipolar disorder is appropriate diagnosis and evaluation. Reliable criteria exist for the manic and depressive episodes of bipolar disorder (see Tables 12.1., 12.2.). Targets for medication therapy in bipolar disorder include manic, hypomanic and depressive episodes. In the acute stage of a severe manic episode (which may be accompanied by psychotic features), the aim of pharmacotherapy is often behavioural control, while over the long term the focus

shifts to prevention of recurrent episodes, improving psychosocial functioning, targeting residual symptoms and the management of medication-related side effects.^{7,8}

Bipolar disorder is frequently misdiagnosed, and many patients will present with single or multiple episodes of depression before experiencing their first manic or hypomanic episode.⁹⁻¹¹ Certain aspects of the history may favour a diagnosis of bipolar depression rather than unipolar depression, namely a family history of bipolar disorder, atypical depressive features, psychotic features, earlier onset of an index depression episode and a poor response to multiple antidepressants.^{12,13} In patients with mania, psychiatric consultation and often admission to hospital is advisable to manage the disorder safely and for further medical and psychiatric evaluation.

In bipolar I disorder, there is a past or current history of at least one manic episode; irrespective of the number of previous depressive episodes.

Table 12.1. Diagnostic guidelines for manic episode (adapted from ICD-11)

1. Both of the following features occurring concurrently and persisting for most of the day, nearly every day, during a period of at least 1 week, unless shortened by a treatment intervention: A. An extreme mood state characterized by euphoria, irritability, or expansiveness that represents a significant change from the patient's typical mood. May exhibit rapid changes among different mood states (i.e., mood lability) B. Increased activity or subjective experience of increased energy representing a significant change from their typical level.
2. Several of the following symptoms, representing a significant change from the patient's usual behaviour or subjective state: A. Inflated self-esteem or grandiosity (in psychotic mania, this may manifest as grandiose delusions) B. Decreased need for sleep (as distinct from insomnia where an individual wants to sleep but cannot) C. More talkative than usual or pressure to keep talking (a feeling of internal pressure to be more talkative) D. Flight of ideas or subjective experience or rapid thoughts or racing thoughts E. Distractibility F. Increase in goal-directed activity or psychomotor agitation G. Excessive involvement in pleasurable activities that have a high potential for painful consequences H. Impulsive, reckless behaviour
3. The mood disturbance is sufficiently severe to cause marked impairment in occupational functioning or in usual social activities or relationships with others, or to necessitate hospitalisation to prevent harm to self or others, or psychotic features are present.
4. The symptoms are not due to the direct physiological effects of a substance (or withdrawal) or a general medical condition.
5. The clinical presentation does not fulfil the diagnostic requirements for a mixed episode.

Table 12.2. Diagnostic guidelines for depressive episode (adapted from ICD-11)

1. Five (or more) of the following characteristic symptoms have been present most of the day, nearly every day during a period lasting at least two weeks and represent a change from previous functioning; at least one of the symptoms is from the affective cluster.
 - A. Affective cluster**
 - Depressed mood most of the day, nearly every day, as reported or observed. This may be experienced and expressed as irritability, particularly in children or adolescents.
 - Markedly diminished interest or pleasure in activities, especially those normally found to be enjoyable to the individual.
 - B. Cognitive-behavioural cluster**
 - Diminished ability to think or concentrate, or indecisiveness.
 - Feelings of worthlessness or excessive and inappropriate guilt, which may be delusional.
 - Hopelessness about the future.
 - Recurrent thoughts of death, recurrent suicidal ideation, or evidence of suicide attempt.
 - C. Neurovegetative cluster**
 - Significantly disrupted sleep (insomnia or hypersomnia).
 - Significant change in appetite or weight (increase or decrease).
 - Psychomotor agitation or retardation.
 - Fatigue, loss of energy or marked tiredness following expenditure of only a minimum of effort.
2. The symptoms cause clinically significant distress or impairment in social, occupational or other important areas of functioning. If function is maintained, it is only through significant additional effort.
3. The symptoms are not due to the direct physiological effects of a substance (or withdrawal) or a general medical condition.
4. The symptoms are not better accounted for by bereavement.
5. The clinical presentation does not fulfil the diagnostic requirements for a mixed episode.

In bipolar II disorder, there are depressive episodes and hypomanic episodes, but no manic episodes. It has been argued that this condition is frequently misdiagnosed, as patients are unlikely to discuss hypomanic symptoms unless specifically asked about them.^{14,15} Similarly, it is important to diagnose cyclothymia when patients with persistent depressive disorder (dysthymia) also have 'up' periods. Although there is less literature on this disorder, the principles of treatment for bipolar disorder may apply; including the emphasis on mood-stabilising agents (rather than on antidepressants).¹⁶

STEP 2: COMPLICATIONS IN DIAGNOSIS IMPACTING PHARMACOTHERAPY

Bipolar disorder may be complicated in several ways, impacting on decisions about pharmacotherapy and other interventions. In particular, an immediate consideration is whether medication should be initiated in an

inpatient or outpatient setting. Patients should usually be hospitalised if they:

- Pose a serious threat of harm to themselves or others.
- Cannot care for themselves or need constant supervision
- Have a co-existing psychiatric or medical problem that would make outpatient treatment unsafe.
- Are psychotic

Whether treatment takes place on an inpatient or outpatient basis, comorbid psychiatric and medical disorders may affect medication decisions.³ Comorbid substance-use disorders should be carefully diagnosed and will require additional interventions to complement standard therapies. Also, medications used for the treatment of bipolar disorder can affect comorbid medical disorders via side effects (e.g., the potential for metabolic syndrome with antipsychotics and valproate, hepatotoxic effects of anticonvulsants, nephrotoxic and endocrine effects of lithium) or drug interactions.^{17,18}

Pregnancy and lactation will also affect medication decisions, and it is recommended that such patients receive specialist referral.¹⁹ Use of lithium during the first trimester may be associated with a very small risk of cardiac abnormalities.^{7,20} Among the anticonvulsants, both valproate and carbamazepine in the first trimester substantially increase the risk of neural tube defects and other congenital abnormalities; the risk is slightly lower with lamotrigine.²¹⁻²³

For women receiving anticonvulsants during conception and pregnancy, daily folate to decrease the risks of neural tube defects, vitamin K in the last two months of pregnancy to prevent anticonvulsant-induced haemorrhage, serum α -fetoprotein screening, and blood-level monitoring are advisable.²⁴ Lithium has been discouraged by some but not all authors during breastfeeding²⁵, with valproate and carbamazepine considered to be safer.²⁶ Antipsychotics are generally considered compatible with breastfeeding, although there are concerns around possible neurodevelopmental effects associated with clozapine or chlorpromazine exposure.^{26,27} Some features of manic and depressive episodes suggest that medications other than those usually employed may be useful. Catatonic symptoms in bipolar disorder, for example, may respond to treatment with benzodiazepines or ECT.^{28,29}

STEP 3: FIRST-LINE PHARMACOTHERAPY

The first-line medication management of bipolar disorder may differ, depending on previous response to treatment and whether a hypomanic/manic episode or a major depressive episode is currently present.

Hypomanic/manic episode

Drugs and drug classes commonly used to treat mania include lithium, anticonvulsants, antipsychotics and benzodiazepines.^{30,31}

The choice will be determined by the history of previous response, as reinstating a drug which was effective and well-tolerated is the most sensible first step, with adjunctive benzodiazepines, where necessary, to stabilise sleep patterns and reduce agitation.³² A short-term antipsychotic agent (e.g., risperidone) may be used to aid with initial stabilisation and psychotic symptoms if present.³³ The primary medication used for long-term management,

however, consists of lithium or valproate.³³

Lithium and valproate both have efficacy in the treatment of acute mania.^{34,35} There is little difference in efficacy between them, or when compared to other anticonvulsants and antipsychotics.^{34,35} While there is some evidence of greater efficacy with olanzapine, given its side-effect profile, it is reserved for those patients in which lithium or valproate are poorly tolerated or unacceptable (i.e., for women of child-bearing age).

Oral loading of valproate at a dose of 20-30 mg/kg/day is safe and well tolerated, and is associated with a more rapid anti-manic effect than standard valproate and lithium titration regimens.³⁶ Carbamazepine also has anti-manic properties, however it is not recommended for first-line use, given the risk of serious dermatological, haematological and hepatic adverse events.^{31,37} There is little to no evidence supporting the use of other anticonvulsants in the treatment of acute mania (including topiramate, lamotrigine and gabapentin).^{30,38-40}

Where lithium is not an appropriate option (i.e., concerns around adherence, toxicity or tolerance) and valproate is also not favoured (i.e., in women of child-bearing age), antipsychotic medication may be considered as monotherapy.^{30,33} Among the antipsychotics effective as monotherapy in acute mania, haloperidol, risperidone and olanzapine are somewhat more efficacious than aripiprazole, quetiapine and ziprasidone.^{30,40} Haloperidol, a typical antipsychotic, has a more rapid onset of action than atypicals^{30,31,41}; however it is usually reserved for severely behaviourally disturbed patients, as patients with bipolar disorder may be more sensitive to the neurological motor side effects of this agent.^{3,42,43}

The severity of the presenting episode may also impact on pharmacotherapy. In mild-moderate manic episodes, monotherapy is recommended. In moderate-severe manic episodes (characterised by psychotic symptoms, impaired judgement, suicidal or homicidal behaviour), hospital admission is indicated, and patients will generally require treatment with a medication combination.^{8,32} The combination of valproate or lithium with an atypical antipsychotic is associated with a more rapid response to treatment, as well as better response rates overall, compared to monotherapy with either agent.^{7,44} In cases of severe behavioural disturbance, high-potency benzodiazepines and/or intramuscular anti-

psychotics may be a necessary measure to reduce immediate risk to self and others.⁴⁵ Combination therapy, while more efficacious than monotherapy, may be associated with a higher rate of side effects.⁴⁴

Depressive episode

The treatment of depressive episodes in bipolar disorder is less extensively studied than the treatment of manic episodes, despite occurring more frequently.¹³ Drug and drug classes commonly used to treat acute bipolar depression include SSRI antidepressants, lithium, anticonvulsants and second-generation antipsychotics.^{3,33} The choice of drug will be determined by the history of previous response, as reinstating a drug which was effective and well tolerated is the most sensible first step. If there is no history of a previous episode, quetiapine is recommended as a first choice.^{3,7,46} Alternative first-line strategies include other atypical antipsychotics, such as lithium, lamotrigine, valproate and fluoxetine, in combination with olanzapine.⁴⁷

Amongst the atypical antipsychotics, quetiapine, olanzapine and lurasidone are efficacious as monotherapy.⁴⁸⁻⁵⁰ Other second-generation antipsychotics may also be effective, although this is yet to be established. The combination of olanzapine and fluoxetine is also efficacious, however, the fixed-dose combination is not yet available in South Africa.^{50,51} All antipsychotics carry an increased risk of adverse events, including marked weight gain with olanzapine and quetiapine both in the initial and maintenance phases of treatment.^{47,52}

The use of SSRI antidepressants in bipolar depression is controversial; despite a favourable safety- and side-effect profile in unipolar depression, current evidence does not support the prescription of antidepressant monotherapy.^{50,53} The controversy relates to the phenomenon of “switching” polarity from depression to mania, although this “switching” is also observed in patients not receiving antidepressant therapy, and is thought to be less frequent than initially reported.⁵³ Furthermore, there appear to be class differences: SSRIs may have lower rates of switching than SNRIs and TCAs⁵⁴; data on other types of antidepressants are limited. Despite the concern that antidepressants may worsen the course of bipolar disorder, the combination of olanzapine and fluoxetine is efficacious

in treating bipolar depression.^{50,51} Indeed, if prescribed in bipolar disorder, antidepressants should always be in combination with an anti-manic agent.^{3,7,32} If manic symptoms emerge during treatment with SSRIs, they should be abruptly discontinued and anti-manic treatment optimised.

The data supporting the efficacy of lithium as monotherapy in acute bipolar depression are limited^{50,55}, although its association with reduced suicide risk and effective anti-manic properties means it is still an appropriate first-line intervention for acute bipolar depression, especially in those with a history of severe manic episodes.^{3,56}

With regard to the anticonvulsants, the evidence supporting lamotrigine as monotherapy in the acute treatment of bipolar depressive episodes is mixed.^{7,50,57,58} Some evidence exists for the efficacy of valproate, although analyses are limited by a small overall sample size^{3,35,59} – it has been suggested that valproate be considered in milder episodes of bipolar depression.⁷

There is growing interest in the use of ketamine as a treatment for mood disorders, particularly in treatment-resistant depression.^{60,61} However, the use of ketamine as a treatment in bipolar depression still requires further research.⁶² There is currently only limited evidence for the use of a single dose of intravenous ketamine as adjunctive therapy in bipolar depression.⁷

STEP 4: ASSESSING RESPONSE TO TREATMENT

In order to determine response to medication, it is important to ask about change in those symptoms initially targeted for treatment. Side effects of the medication should also be determined, with particular attention to those that patients may be reluctant to disclose (e.g., sexual dysfunction with SSRIs and antipsychotics). Gathering collateral information from family members is often crucial in the assessment response to treatment in bipolar disorder.

Side effects of the drugs used to treat bipolar mood disorder will not be discussed here in any detail. However, it may be noted that lithium use requires careful attention to fluid balance and monitoring of lithium blood levels, thyroid function, renal function, and electrocardiograms. It is necessary to monitor liver function and haematological

parameters in patients on valproate, and cardiometabolic risk factors in patients receiving valproate or antipsychotics. Problems uncovered during monitoring may respond to simple interventions (e.g., use of thyroid hormone supplementation). Patients with bipolar disorder who are intolerant of first-line medication can be switched to another first-line agent.³

Once the patient has shown a good response to mood stabilisers, it is important to reinforce the necessity for continuing these medications at the therapeutic dose despite this improvement.⁷ Guidelines for maintenance therapy of bipolar disorder have become increasingly conservative, favouring longer courses of medication, in view of the relative safety of modern agents and the likelihood of additional episodes in untreated patients with repeated past episodes. The kindling theory of bipolar disorder suggests that repeated stopping and starting of medication, especially in the context of ongoing psychosocial stressors, may, in fact, ultimately lead to more severe and treatment-resistant episodes.^{63,64} Thus, while some patients may eventually be able to gradually taper mood stabilisers to low doses or stop these medications without relapsing, drug holidays and intermittent treatment are not recommended.

STEP 5: OPTIMISE DOSE AND DURATION

When there is a poor response to medication, or when patients receiving long-term treatment for bipolar disorder experience a new manic or depressive episode, it is important to optimise dosage and duration of first-line medications. Dosage should be increased within the recommended ranges, against tolerability and side effects.

Lithium requires monitoring of blood levels to ensure that dosage is optimal. Therapeutic levels for lithium in acute mania are in the range of 0.8–1.2 mmol/L. Lithium toxicity occurs at levels greater than 1.5 mmol/L.

The role of therapeutic monitoring with valproate is less clear, with inconsistencies in the relationship between serum level and therapeutic response⁶⁵, but levels above 94 µg/ml have been associated with improved treatment response.⁶⁶ Both lithium and valproate levels should be taken 12 hours after the last dose (trough levels); and 24 hours after the last dose for extended-release formulations of valproate.

Regular monitoring of cardiometabolic risk factors is recommended for all patients receiving antipsychotic pharmacotherapy (especially patients receiving olanzapine) as these agents are associated with weight gain, and glucose and lipid dysregulation.⁶⁷ It is unclear as to what constitutes an adequate duration of trial in the treatment of bipolar episodes, although guidelines recommend up to two weeks for such a trial.⁶⁸

STEP 6: MAINTENANCE OF RESPONSE AND AUGMENTATION OF PARTIAL RESPONSE

Patients should be re-assessed at the end of a clinical trial of optimal dosage and duration.

Partial response

Despite the number of treatment options available, many bipolar disorder patients have only a partial response despite an optimum trial of medication, and addition of a second medication may then be required. In manic episodes, atypical antipsychotic monotherapy failing to adequately control symptoms can be augmented with either valproate or lithium. Similarly, if monotherapy with lithium or valproate fails, the appropriate next step is to combine with an atypical antipsychotic.^{3,41,44} Consideration should be given to the tolerability and side-effect profiles of individual drugs.⁶⁹

For depressive episodes that only partially respond to treatment, consideration should be given to waiting a further four to six weeks for response. If this is unacceptable, quetiapine, in combination with lithium or valproate, may be associated with improvement in depressive symptoms.^{7,52,70} Lamotrigine may be another choice to combine with valproate or lithium, given its efficacy in treating depressive episodes associated with bipolar disorder⁵⁸, however a more recent meta-analysis suggests it confers a higher risk of 'switching' and may be less effective than previously thought.^{3,7,50,54}

Good response and maintenance

Many patients with bipolar disorder will experience multiple mood episodes throughout the course of their illness, and, as noted earlier, when the patient has a good response to mood stabilisers, it is important to reinforce the necessity for continuing these medications at the therapeutic dose despite the improvement.⁷¹ Guidelines for

maintenance therapy of bipolar disorder have become increasingly conservative, favouring longer courses of medication. Some of the medications used in the acute treatment of bipolar disorder are effective in the prophylaxis of further episodes, although agents differ in their relative efficacy against either manic or depressive episodes.^{3,7}

Lithium protects against manic and depressive episodes, and is associated with lower suicide rates and overall mortality in bipolar patients.^{72,73} Lithium levels during prophylactic treatment should be in the range of 0.6 to 1.0 mEq/L; levels greater than 0.75 mmol/L provide additional protection against manic relapses.^{74,75} While valproate is also effective as a maintenance drug, it may be more effective when combined with lithium.⁷⁶ The atypical antipsychotics (aripiprazole, quetiapine and olanzapine) can also be used in the maintenance and prevention of relapse, especially in the avoidance of manic episodes.⁵² Some long-acting antipsychotic injectables have also been shown to be effective both as maintenance treatments in bipolar disorder and in preventing recurrence of manic episodes.⁷⁷ The long-term use of antipsychotics has been associated with metabolic syndrome, and regular physical monitoring is recommended.⁷⁸

Quetiapine and olanzapine may additionally be effective at preventing future depressive episodes.^{52,79} This also applies to lamotrigine, however this agent is less effective in preventing manic relapses.^{80,81}

If antidepressant medications were used in the acute phase, they can be tapered relatively quickly after improvement of symptoms – at approximately two to four months.^{3,7,54}

STEP 7: FAILURE TO RESPOND

When a manic or depressive episode of bipolar disorder does not respond to a clinical trial of optimal dose and duration, it is useful to reassess a number of factors. The presence of certain features may impact the choice of the subsequent intervention.

a. Severity: The need for hospitalisation should be carefully monitored on a continuous basis. Clearly, patients who are a threat to themselves or others deserve to be treated on an inpatient basis. In addition, when severe manic or depressive symptoms are refractory to medication, the need for ECT should be considered.^{7,82} In patients with severe symptoms, consultation with a specialist is advisable.

b. Adherence: It is likely that clinicians often overestimate the adherence of their patients with bipolar disorder. In fact, poor adherence is perhaps the single most important cause of relapse. During the 'buzz' of a hypomanic episode, patients may feel so healthy that they decide no longer to use medication. During a depressed episode, patients' negative thoughts may also generalise to decisions about medication. It is well worth checking with the patient and family whether medication is, in fact, being taken on a daily basis in a regular way. Medication blood levels can also be measured to assess adherence. Intramuscular administration of long-acting depot antipsychotics is sometimes needed for the treatment of the non-adherent patient with manic symptoms.^{77,83}

c. Comorbid substance use: The presence of a comorbid substance-use disorder in patients with bipolar disorder is strongly associated with suicidal ideation and attempts, and should not be overlooked.⁸⁴ In patients who fail to respond to pharmacotherapy, the possibility of comorbid substance use should again be considered. A structured drug rehabilitation programme may be needed to help the patient remain abstinent to prevent further relapses.⁸⁵

d. Comorbid personality disorders: Patients with bipolar disorder may also meet criteria for comorbid personality disorder, and this may result in a degree of diagnostic uncertainty.⁸⁶ In particular, mood swings in bipolar disorder may manifest in a range of maladaptive symptoms, including non-compliance with treatment. While improvement in bipolar symptoms may reduce such behaviour, there are other patients in whom these symptoms themselves should be a major target of additional treatment (i.e., psychotherapy or pharmacotherapy where indicated).^{87,88}

e. Underlying medical disorder: Patients who fail to respond to medication should be thoroughly re-assessed for an underlying general medical disorder; medical illnesses are often underdiagnosed and undertreated in patients with bipolar disorder.⁸⁹

f. Psychosocial issues: Psychosocial circumstances that continue to complicate the course of bipolar disorder need to be assessed, as these may necessitate appropriate intervention.⁶³

STEP 8: TREATMENT RESISTANCE

When a thorough re-assessment sheds no further light on the failure of a patient to respond to an adequate course of first-line treatments, alternative interventions should be considered. Specialist consultation, if not already sought by this stage, is strongly recommended.

For manic episodes that do not respond to a combination of a second-generation antipsychotic and lithium/valproate, one should consider a second trial of combination treatment, replacing lithium with valproate, or vice versa. For patients who still demonstrate no response, a third combination may be necessary, using a different antipsychotic drug. Clozapine, either as monotherapy or in combination with lithium or valproate, may be effective in cases of treatment resistance,⁹⁰ however it is not licensed for this indication in most countries. ECT remains an important treatment option in refractory conditions.⁸²

For depressive episodes that do not respond to antipsychotics or mood stabilisers, there are relatively few data, but it is important to bear in mind that there appears to be minimal benefit in adding an antidepressant to a mood stabiliser.^{53,57} The exception to this is the combination of olanzapine and fluoxetine, which is efficacious.⁵¹ Switching between first-line monotherapies (lithium, lamotrigine, quetiapine and valproate) and combinations with established efficacy is a reasonable approach. ECT remains an effective and safe intervention in this population, even in the presence of medical comorbidities.^{82,91} Finally, ketamine (an NMDA-receptor antagonist) has recently been shown to have a rapid antidepressant action^{60,61} however cannot yet be recommended as routine treatment given that there is still uncertainty around the medium- to long-term consequences.⁶²

Less-well-studied augmentation strategies for the treatment of bipolar depression include: high doses of thyroxine⁹², fish oil containing omega-3 fatty acids⁹³ and modafinil, a wakefulness-promoting agent used in the treatment of narcolepsy.⁹⁴

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13: Alzheimer's Disease

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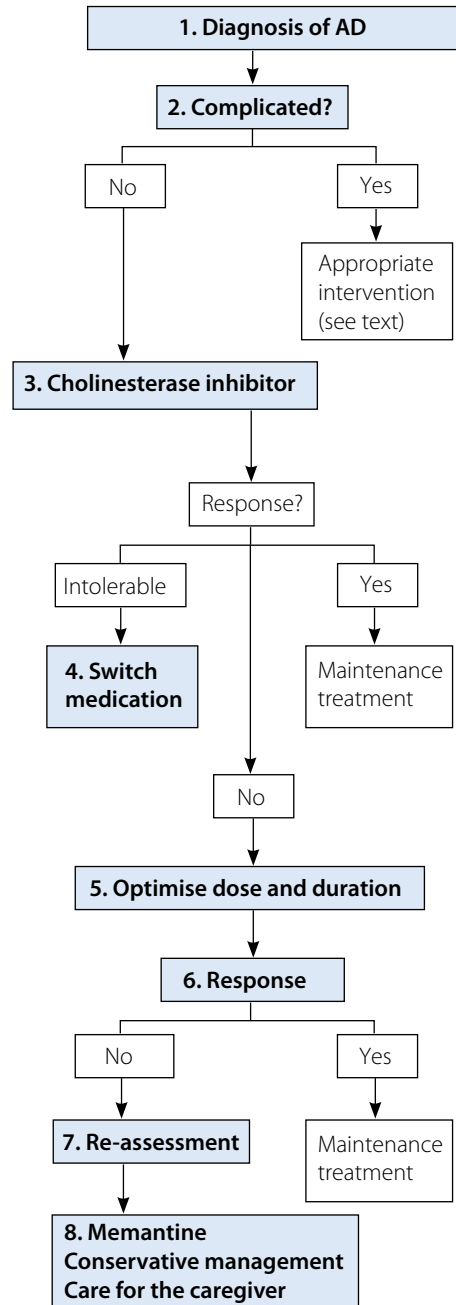
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Alzheimer's disease (AD), first identified and associated with neurofibrillary tangles and plaque formation by the psychiatrist Alois Alzheimer, is the most common of the major neurocognitive disorders ("dementias") of the elderly.^{1,2} Although this degenerative disorder has long been considered essentially untreatable, increased understanding of the basic neuroscience of the disorder, the recent introduction of new medications for the symptomatic treatment of AD, and the development of biomarker technologies have resulted in growing hope that more effective pharmacotherapeutic management will be found.³⁻⁵

A growing recognition of potential contributing causes, and knowledge of the underlying neurobiology of AD has led to a recognition of the importance of diagnosing early cognitive changes, and to the possibility of primary and secondary prevention strategies.^{6,7} This area comprises a major area of interest for research, and already has important clinical implications insofar as it raises awareness of the importance of early diagnosis. Furthermore, cholinesterase inhibitors (CEIs) may also be useful in the management of other dementias, such as vascular dementia, dementia with Lewy bodies, and Parkinson's disease with dementia.^{8,9}

Figure 13.1. Algorithm for pharmacotherapy of Alzheimer's disease (AD)



The management of a patient diagnosed with Alzheimer's disease requires a collaborative approach, including care-needs assessments and plans, family interventions and social and caregiver support. Carers have higher rates of depression, substance abuse, and physical illness.^{10,11} It is therefore important to determine the needs of caregivers and to help meet them.

STEP 1: DIAGNOSIS OF ALZHEIMER'S DISEASE

A diagnosis of AD can only be confirmed on autopsy, on the basis of the pathognomonic tangles and plaques. Diagnosis in life remains clinical, supported by available biomarker

studies and the ruling out of confounders. However, given advances in treatment, early clinical diagnosis is crucial. Fortunately, there is increasing evidence that the diagnosis of AD can reliably be made using such criteria as is found in ICD-11 or the Alzheimer's Disease criteria (see Tables 13.1., 13.2.).^{12,13}

Diagnosis is made on the basis of defining criteria, and the removal of confounds. It is important to exclude potentially reversible causes of or contributors to dementia, such as syphilis, vitamin B₁₂ deficiency, hypothyroidism, and normal pressure hydrocephalus.¹⁴ Structural imaging, in addition to a thorough clinical assessment, may be a valuable tool

Table 13.1. Diagnostic guidelines for major neurocognitive disorder (adapted from ICD-11)

1. Evidence of significant cognitive decline from previous level of performance in one or more of the cognitive domains (attention/concentration, learning and memory, language, visual spatial/ perceptual skills, processing speed, and executive function [e.g., problem-solving, judgement]), based on: A. Concern of the individual, informant or clinician; and B. A substantial impairment in cognitive performance (preferably documented by standardised neuropsychological testing)
2. The deficits are acquired rather than developmental
3. The deficit represents a decline from a previously attained level of functioning
4. The cognitive deficits interfere with independence in everyday activities
5. The cognitive deficits do not occur exclusively in the context of a delirium.
6. The cognitive deficits are not better explained by another mental disorder (e.g., major depressive disorder, schizophrenia)

Table 13.2. Diagnostic guidelines for major neurocognitive disorder due to Alzheimer's disease s (adapted from ICD-11)

1. The criteria are met for major neurocognitive disorder (i.e., dementia).
2. Dementia presumed to be attributable to underlying Alzheimer's disease, based on quantified clinical assessment, or standardised neuropsychological/cognitive testing, neuro-imaging data, genetic testing, medical tests, family history and/or clinical history.
3. The most common form begins with neuronal impairment in the medial temporal lobes, responsible for memory formation. Early clinical history is typically characterised by: A. Gradual onset B. Progressive memory problems and word-finding difficulties C. Mild functional impairment
4. As Alzheimer's disease progresses and affects other brain regions, neurocognitive symptoms worsen. Atypical forms of Alzheimer's disease also characterised by progressive neurocognitive and functional impairment, with initial neurocognitive symptoms often corresponding to the brain region(s) initially affected (e.g., visual processing impairment in posterior cortical atrophy, etc.)
5. The disturbance is not better explained by cerebrovascular disease, another neurodegenerative disease, the effects of a substance, or another mental, neurological or systemic disorder.

to support the diagnosis of AD.¹⁵ While AD develops and progresses over time, it is convenient to consider its course in stages. Pre-syndromal disease is called mild cognitive impairment (MCI) and refers to the presence of an amnesic or non-amnesic syndrome that does not impair daily function. In mild AD, there is short-term memory impairment, which may be accompanied by symptoms of anxiety and depression. Moderate AD is characterised by worsening neuro-cognitive features, accompanying neuro-psychiatric symptoms (hallucinations, delusions, agitation, aggression), and disturbance of sleep patterns. Severe AD is characterised by prominent cognitive decline, loss of ability to perform basic activities of daily living (ADLs) and motor signs (such as rigidity).

Target symptoms of AD treatment include cognitive symptoms and impairment in daily functioning, as well as the various associated neuropsychiatric symptoms that manifest commonly during the course of the disorder.¹⁶ Treatment should aim to maximise functional performance and quality of life, and to reduce the period of disability.

STEP 2: COMPLICATIONS IN DIAGNOSIS IMPACTING PHARMACOTHERAPY

Resolution of symptoms other than memory impairment (e.g., agitation) may be more important for caregivers than subtle improvements in memory. These neuropsychiatric symptoms of dementia (also called behavioural and psychological symptoms of dementia [BPSD]) occur frequently, and are associated with increased disability and earlier nursing-home placement.^{17,18} In this section, we focus on these symptoms associated with AD, discussing the role of medications other than the cholinesterase inhibitors; however, it should be noted that the cholinesterase inhibitors may be primarily indicated for some of the neuropsychiatric symptoms and not only for memory impairment.^{19,20} (See also Step 3.) The use of tools, such as the Neuropsychiatric Inventory, may guide the clinician as to the presence, extent and impact of BPSD.²¹

a. Agitation: It is important to rule out medical causes of mental status deterioration in patients with AD. For mild agitation, non-pharmacological interventions, such as environmental modifications, caregiver psycho-education and behavioural methods,

may be effective.^{22,23} However, a range of different medications is used for more severe agitation in Alzheimer's, perhaps most commonly low doses of neuroleptic agents. The use of all antipsychotics in patients with dementia is, however, associated with increased mortality, both in the long- and short-term, and especially with higher doses.^{24,25} These effects increase with the duration of treatment.²⁶ A Cochrane review of haloperidol concluded that it may be useful for aggression in dementia, but that it is poorly tolerated and therefore not recommended for routine use in non-aggressive agitation.²⁵ As a class, the atypical antipsychotics may be effective – however the effect size is modest.²⁵ The effect appears most pronounced for risperidone and olanzapine although these drugs may also be associated with significant cardiovascular risk.^{24,27} International guidelines suggest cautious use of atypical antipsychotics for severe agitation, at the lowest effective dose, provided the expected benefits outweigh the risks.²⁸ Caution should be exercised in patients with cardiovascular disease, electrolyte derangements, long QTc-interval or concomitant prescription of drugs known to lengthen QTc.²⁹ Long-term use of antipsychotics should be avoided – regular re-evaluation and individual risk-benefit analysis should take place, with a maximum treatment period of 12 weeks.²⁶ Antidepressants may also be helpful in Alzheimer's patients, although they have been less extensively studied. SSRIs (such as citalopram) and trazodone may be efficacious, and are better tolerated than antipsychotics.^{30,31} The evidence for anticonvulsants and mood-stabilisers in this context (valproate, carbamazepine, topiramate, lamotrigine, lithium) is less supportive.^{32,33} Benzodiazepines should generally be avoided, particularly where daily medication for agitation is needed, and, indeed, they are associated with accelerated cognitive decline.^{34,35}

b. Depression: Comorbid depression is common in patients with AD in the early stages. Furthermore, in some patients, the cognitive symptoms of depression may make diagnostic differentiation from AD very difficult. In both groups of patients, a trial of antidepressants is warranted if symptoms are severe with significant

functional impairment.³⁶ Lower doses are needed than in younger patients. The absence of anticholinergic effects makes the selective serotonin re-uptake inhibitors a particularly useful group of agents in this context, and they may prove efficacious for a broad range of symptoms.³⁶ The elderly may be at increased risk of the hyponatraemia associated with drug-induced SIADH.³⁷

- C. Psychosis:** Psychotic symptoms may respond well to low doses of an antipsychotic agent, although, as above, prescriptions should follow an individualised risk-benefit analysis.²⁴ Caution must be advised when hallucinations occur in the context of Lewy body dementia, as these patients are highly sensitive to neuroleptics.³⁸ Cholinesterase inhibitors have also demonstrated efficacy in the treatment of psychotic symptoms in dementia.²⁰ Donepezil, memantine, risperidone and aripiprazole were shown to be similarly efficacious in a recent network meta-analysis, however risperidone was less well-tolerated than the others.²⁰

STEP 3: FIRST-LINE PHARMACOTHERAPY

In the algorithm (see Figure 13.1.), we suggest cholinesterase inhibitors as the first-line choice for preserving cognitive function in mild to moderate AD patients. A cholinergic hypothesis of AD was first put forward in the 1970s, and ultimately led to the development of these agents.^{39,40} These agents may not only improve cognitive symptoms, but may also result in improvement in associated symptoms, including apathy, agitation, depression, and psychotic symptoms.^{41,42} Some studies suggest that any one of the cholinesterase inhibitors may be used, and the choice should be made based on cost, availability and side-effect profile.⁴²⁻⁴⁵ Others have suggested that donepezil is useful for all severities of cognitive impairment and is more useful than other cholinesterase inhibitors in treating patients with mild or moderate cognitive impairment.⁴⁶ A recent review also found it has a more favourable side-effect profile, compared to rivastigmine and galantamine.⁴⁷ However, they are all associated with cholinergic side effects, and it is unclear whether they delay progression of the disease process.^{44,48}

A second class of molecule is available for more severe AD. The NMDA receptor antagonist memantine has been registered in

Europe and the USA for more than 10 years for this indication. It has benefits for improving agitation and BPSDs, as well as slowing cognitive decline and reducing caregiver burden.⁴⁹ More recently, there have been trials to suggest that memantine may be introduced in earlier disease, together with cholinesterase inhibitors, and that together these agents may be well tolerated.^{50,51} However, whilst combination cholinesterase inhibitors and memantine may improve cognition, there is insufficient evidence to suggest a clinically significant impact on ADLs and BPSDs.⁵²

An immediate barrier to the use of these agents is the expense. Nevertheless, by decreasing behavioural symptoms and reducing hospitalisation and placement costs, these medications may actually be cost-effective.⁵³ There is the additional benefit of the possibility that caregiver burden may be considerably lightened.⁵⁴ Though not yet available in South Africa, the monoclonal anti-amyloid- β antibody, aducanumab, recently received FDA approval for treatment of Alzheimer's disease. It has shown some potential in improving cognition in patients with early Alzheimer's disease although it also has marked side effects with increasing dose.^{55,56} Other amyloid-targeted therapies, including immunisation, are still being investigated as treatments for Alzheimer's disease.³

No good quality data exist for oestrogen replacement, vitamin E or NSAIDs in the treatment of AD.⁵⁶⁻⁵⁹

STEP 4: ASSESS RESPONSE TO TREATMENT

In order to determine the response to medication, it is important to set reasonable expectations for the patient's response to treatment. It may be useful to complete a scale, such as the Mini Mental Status Examination (MMSE; see Table 13.3.) or Montreal Cognitive Assessment (MOCA), in order to help quantify response to medication.^{60,61}

Side effects of the medication should also be monitored. Gastro-intestinal side effects are the most common after cholinesterase inhibitors. They are dose-related and often transient, and may be particularly distressing for thin, small patients. Patients who are intolerant of a particular cholinesterase inhibitor can be switched to another.⁶²

The cholinesterase inhibitors may slow the rate of decline of cognitive function and

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Table 13.3. Mini-mental status examination

Orientation	Score point
1. What is the	year?.....(1)
	season?.....(1)
	month?.....(1)
	day?.....(1)
	date?.....(1)
2. Where are we?	Country?.....(1)
	Province?.....(1)
	Town or city?.....(1)
	Hospital?.....(1)
	Floor?.....(1)
Registration	
3. Name three unrelated words, taking once second to say each. Then ask the patient to repeat all three after you have said them. Give one point for each correct answer. Rehearse the answer (a maximum of 6 trials) until the patient learns all three.....	(3)
Attention and calculation	
4. Serial sevens. Give one point for each correct answer. Stop after five answers. Alternatively: spell "world" backwards, or "herfs" (for Afrikaans-speaking patients).....	(5)
Recall	
5. Ask for the words learned in question 3 and above. Give one point for each correct answer.....	(3)
Language	
6. Point to pencil and watch. Have the patient name them as you point.....	(2)
7. Have the patient follow a three-stage command: "Take this paper in your right hand, fold the paper in half, put the paper on the floor.".....	(3)
8. Have the patient repeat: "No ifs, ands or buts" or "Nog vis, nog vlees, nog voël" (Afrikaans-speaking patients).....	(1)
9. Have the patient read and obey the following: "CLOSE YOUR EYES". (Write in large letters.).....	(1)
10. Have the patient write a sentence of their choice. The sentence should contain a subject and an object, and should make sense. Ignore spelling errors when scoring.....	(1)
11. Have the patient copy the design printed below. (Give one point if all the sides and angles are preserved, and if the intersecting sides form a diamond shape.).....	(1)
TOTAL SCORE:.....(30)	
(A score of <25 is considered abnormal)	

improve activities of daily living^{16,63}, although individual patient response may be highly heterogeneous and independent of stage or severity of illness.⁶⁴ Improvement may be noted by caregiver reports, but also by improved or stabilised MMSE scores; this may not be noticeable during a brief trial of treatment. Therefore, a reasonable approach is a six-month trial on the recommended or maximum tolerated dose; if there is no evidence of improvement on bedside testing or from carers, the medication should be discontinued. The length of pharmacotherapy in treatment responders must be individualised, but the cholinesterase inhibitors may improve cognitive and global functioning for up to at least six months of treatment⁶⁵, and probably for longer.⁶⁶⁻⁶⁹

STEP 5: OPTIMISE DOSE AND DURATION

It is important to optimise the dose and duration of cholinesterase inhibitor treatment. The benefits of higher dosing are inconsistent, and may compromise drug tolerability.^{63,70,71} Meta-analysis shows treatment with donepezil for 12 or 24 weeks confers a small benefit in cognitive function, activities of daily living and clinician-rated global clinical state.⁶³

Donepezil is administered once daily, beginning with a dose of 5 mg at bedtime and increasing to 10 mg after four weeks (particularly in patients weighing more than 60 kg). A dose of 10 mg has been associated with better outcomes compared to 5 mg, although with slightly reduced tolerability.⁶³ In some cases, 15 mg may be used, where a partial and gradual treatment response is observed from 5 mg to 10 mg. Rivastigmine is initiated at 1.5 mg twice daily, and increased by 1.5 mg twice daily every two to four weeks to a maximum of 12 mg daily. If treatment is missed for more than three days, it should be restarted at the lowest daily dose and re-titrated up. Galantamine ER (extended-release) is initiated at 8 mg daily, and the dose is increased to a minimum maintenance dosage of 16 mg daily after a minimum of four weeks. Similarly, if missed for more than several days, it should be restarted at the lowest dose and titrated up to the current dose.

Caution is needed when prescribing these agents to patients with sick sinus syndrome or other supraventricular conduction defects,

or when combining agents metabolised by the P450 system (donepezil, galantamine) with other medications metabolised by this pathway.⁷²

STEP 6: MAINTENANCE OF TREATMENT

Re-assess the patient at the end of a clinical trial of optimal dose and duration. Data obtained at the two-year mark show that some patients are still functioning at above their expected level of deterioration when compared with untreated patients.^{56,73} Unfortunately, response to the cholinesterase inhibitors is heterogeneous, so some patients do markedly better than others. Furthermore, these agents do not modify the disease process, but rather aim to delay the impact on cognitive function. While there may be some benefit to continuing therapy in patients with advanced disease⁵⁶, it has been suggested that cholinesterase medication be withdrawn once the MMSE falls to less than 12.⁶⁸ Subsequent rapid deterioration following withdrawal occasionally occurs, and would suggest that there was still some value in the therapy.^{74,75}

STEP 7: RE-ASSESSMENT

Should there be no response to a clinical trial of optimal dose and duration, it may be useful to re-assess a number of factors. The diagnosis of AD should be reconsidered; in particular, other types of dementia should be excluded (although there is evidence that cholinesterase inhibitors may be useful in treating dementia due to other causes, such as Lewy body dementia or Parkinson's disease dementia).^{9,76}

It is also important to reconsider the diagnosis of depression. Not only may patients with AD have comorbid depression, but depression may result in the misdiagnosis of dementia ("pseudodementia").⁷⁷ A trial of antidepressants may be the only way to exclude these possibilities.

STEP 8: TREATMENT RESISTANCE

There is evidence that if a patient does not respond to one cholinesterase inhibitor, switching to another may be useful.⁶² A switch to memantine, or combination of memantine and donepezil, could also be considered, especially in moderate to severe AD.^{46,51,52,78}

While development of several new pharmacotherapeutic interventions for AD

is currently under way^{79,80}, symptomatic management and caring for the caregiver may remain the main forms of intervention for some time. Measures to address caregiver burden, such as referral of the caregiver to an AD consumer advocacy group, may be useful.⁸¹

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14: Attention Deficit/ Hyperactivity Disorder

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Attention deficit/hyperactivity disorder (ADHD) is characterised by symptoms of inattention and/or hyperactivity/impulsivity (see Table 14.1.). The disorder is understood to be highly prevalent (up to 5% of children and adolescents and 2.5% of adults) and associated with significant morbidity and functional impairment.¹⁻³ An improved understanding of the neurobiological basis of this disorder has led to significant developments in various pharmacotherapies, although the potential for adverse events should necessitate cautious prescribing.⁴

ADHD, its diagnosis and pharmacological management have frequently been the subject of intense public debate – concerns have been raised about the possibility of over-diagnosis, and risk related to subsequent over-medication.⁵ Additionally, many of the first-line medications used to treat ADHD carry the potential for abuse and diversion.⁶ While over-diagnosis in some settings may occur, untreated ADHD can have serious consequences (e.g., unemployment, substance abuse, poorer academic outcomes and involvement in criminal activity⁷); under-treatment is therefore an important public health concern.

Throughout this volume we have noted that both pharmacotherapy and psychotherapy have a role in the treatment of psychiatric disorders. The Multimodal Treatment Study of Children with ADHD (MTA) found that pharmacotherapy was superior to behaviour therapy for core ADHD symptoms, but that

Continued on page 147

Figure 14.1. Algorithm for pharmacotherapy of attention-deficit/hyperactivity disorder (ADHD)

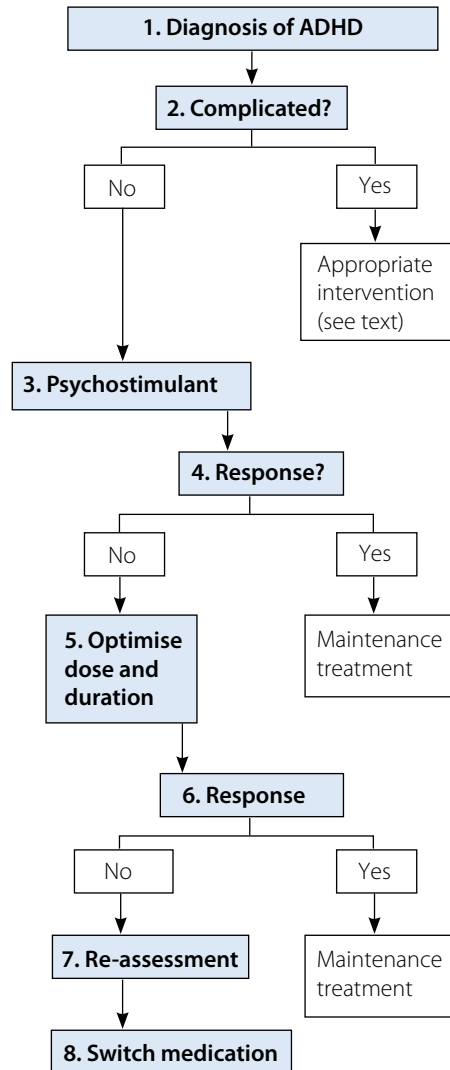


Table 14.1. Guidelines for attention-deficit/hyperactivity disorder (adapted from ICD-11)

1. Persistent pattern (e.g., for at least 6 months) of inattention and/or hyperactivity-impulsivity that is outside the limits of normal variation expected for age and level of intellectual development, as characterised by (I.) and/or (II.):
 - I. **Inattention:** Several of the following symptoms that are persistent and sufficiently severe to have a negative impact on academic, occupational or social functioning:
 - a Difficulty sustaining attention to tasks that do not provide a high level of stimulation or reward or require sustained mental effort.
 - b Lacking attention to detail (e.g., overlooks or misses details).
 - c Making careless mistakes in school or work assignments (e.g., work that is inaccurate).
 - d Difficulty following instructions and completing tasks or assignments (e.g., starts tasks but quickly loses focus and is easily side-tracked).
 - e Difficulty sustaining attention in tasks or play activities (e.g., has difficulty remaining focused during classes, conversations, or lengthy reading).
 - f Easily distracted by extraneous stimuli or thoughts not related to the task at hand.
 - g Often does not seem to listen when spoken to directly (e.g., mind seems elsewhere, even in the absence of any obvious distraction, frequently appears to be daydreaming or to have mind elsewhere).
 - h Difficulty planning, managing and organising schoolwork, tasks and other activities (e.g., difficulty managing sequential tasks; difficulty keeping materials and belongings in order; messy, disorganised work; poor time management; fails to meet deadlines).
 - i Often avoids, dislikes, or is reluctant to engage in tasks that require sustained mental effort (e.g., schoolwork or homework; for older adolescents and adults, preparing reports, completing forms, reviewing lengthy papers).
 - j Often loses things necessary for tasks or activities (eg, school materials, pencils, books, tools, wallets, keys, paperwork, eyeglasses, and mobile telephones).
 - k Is often forgetful in daily activities (e.g., doing chores, running errands; for older adolescents and adults, returning calls, paying bills, keeping appointments).
 - l Note: inattention may not be evident when engaged in activities that provide intense stimulation and frequent rewards.
 - II. **Hyperactivity and impulsivity:** Several of the following symptoms have persisted for at least six months to a degree that is inconsistent with developmental level, negatively impacting social and academic/occupational activities (these tend to be most evident in structured situations that require behavioural self-control):
 - a May show features of excessive motor activity, particularly when required to be still.
 - b Often fidgets with or taps hands or feet or squirms in seat
 - c Often leaves seat in situations when remaining seated is expected (e.g., in the office or other workplace, or in other situations that require remaining in place).
 - d Often runs about or climbs in situations where it is inappropriate. (Note: In adolescents or adults, these impulses may be limited to feeling restless, a sense of discomfort with being quiet or still).
 - e Often unable to play or engage in leisure activities quietly.
 - f Is often "on the go", acting as if "driven by a motor" (e.g., is unable to be, or uncomfortable being, still for extended time, as in restaurants, meetings; may be experienced by others as being restless or difficult to keep up with).
 - g Often talks excessively.
 - h Often blurts out an answer before a question has been completed (e.g., completes people's sentences; cannot wait for turn in conversation). In adults, may comment impulsively at work.
 - i Often has difficulty waiting one's turn (e.g., while waiting in line).
 - j Often interrupts or intrudes on others (e.g., butts into conversations, games, or activities; may start using other people's things without asking or receiving permission; for adolescents and adults, may intrude into or take over what others are doing).
 - k Tendency to act in response to immediate stimuli without deliberation or consideration of risks and consequences (e.g., engaging in behaviours with the potential for physical injury, impulsive decisions, reckless driving).

Table 14.1. (continued)

2. Several significant inattentive or hyperactive-impulsive symptoms were present prior to age 12 years (although clinical attention and presentation may only be later).
3. Manifestations of inattentive or hyperactive-impulsive symptoms are present in multiple settings (e.g., at home, school, or work; with friends or relatives; in other activities), but might vary according to the structure and demands of the setting.
4. There is clear evidence that the symptoms interfere with, or reduce the quality of, social, academic, or occupational functioning.
5. The symptoms are not better accounted for by another mental disorder (e.g., mood disorder, anxiety disorder, dissociative disorder, personality disorder), medication (e.g., bronchodilators, thyroid replacement), substance use (e.g., stimulants) or other medical conditions including disease of the nervous system.

Specify whether:

- **Combined presentation:** If diagnostic requirements of ADHD are met, with presence of clinically significant symptoms of both inattention and hyperactivity-impulsivity at current presentation, with neither clearly predominating.
- **Predominantly inattentive presentation:** If diagnostic requirements for ADHD are met and symptoms of inattention are predominant.
- **Predominantly hyperactive/impulsive presentation:** If diagnostic requirements of ADHD are met and symptoms of hyperactivity-impulsivity are predominant.

combined pharmacotherapy and behavioural intervention appeared particularly useful for some additional measures (i.e., academic achievement, social skills).⁸ Current consensus guidelines recommend a combination of psychosocial/behavioural and pharmacologic interventions, depending on age and severity of symptoms.^{9,10}

We have focused the algorithm on the treatment of children and adolescents with ADHD as opposed to adults, although the approach is generally similar.

STEP 1: DIAGNOSIS OF ADHD

The diagnosis of ADHD can be made by a health professional with training and expertise in the diagnosis of ADHD.⁹ The diagnosis should be based on a full clinical and psychosocial assessment and observer reports or observations in a variety of settings, as well as a mental state examination. Diagnosis can only be made when symptoms of hyperactivity/impulsivity and/or inattention meet the DSM-5 criteria or are consistent with ICD-11 guidelines and are associated with at least moderate impairment in multiple settings (see Table 14.1.). Rating scales may be used as an adjunct to help assess the child, but are not diagnostic tools.¹⁰ Patients with hyperactive or impulsive symptoms are more likely to be referred for treatment than those with primarily

inattentive symptoms, although both may benefit equally from treatment.¹¹ The diagnosis should not be made in very young children (under six years) without specialist input.

Target symptoms of treatment may include motor restlessness, behavioural disturbances and social difficulties. Children and adolescents with ADHD are at increased risk of mood and anxiety disorders, conduct and oppositional defiant disorder, and intellectual disabilities.^{12,13}

STEP 2: COMPLICATIONS IN DIAGNOSIS IMPACTING PHARMACOTHERAPY

Up to one-half of children with ADHD have one or more comorbid behavioural or emotional disorders.¹⁴ ADHD can be complicated in a number of ways that may affect pharmacotherapy decisions:

- a. **Comorbid conduct disorder:** Standard treatments for ADHD may impact positively on comorbid conduct disorder.¹³ However, patients with significant conduct disorders are likely to require particular emphasis on behavioural modification by means of family intervention and limit-setting to address symptoms, combined with patient/parent education and support.¹⁵
- b. **Comorbid learning disorders:** Standard treatments for ADHD may again impact positively on comorbid learning disorder.¹⁰

However, patients with significant learning disorders are likely to require additional remedial educational interventions to address scholastic problems.

- c. **Comorbid depression or anxiety:** Depressive and anxiety disorders occur more commonly in children and adolescents with ADHD than those without^{16,17}, and should prompt specialist consultation. The combination of pharmacological and non-pharmacological treatments may have additional benefit in this population.¹⁸
- d. **Comorbid tics:** Although stimulants have not been shown to worsen tics in most people with tic disorders¹⁹ and remain first-line, they may exacerbate tics in individual cases.^{20,21} In these instances, treatment with α -agonists (clonidine) or atomoxetine may be an alternative.²¹
- e. **Alcohol and/or substance abuse or dependence:** Children with ADHD are more likely than peers to develop substance-use disorders (SUDs), and treatment with stimulants may reduce the risk of SUD.²²⁻²⁴ Despite this, stimulants themselves are a class of medication with significant abuse and diversion potential.²⁵ In patients with ADHD and a comorbid SUD, non-stimulants may be an appropriate alternative for patients with concern about abuse and physicians concerned with general misuse. Where prescribed, higher doses of stimulants may be required in ADHD and comorbid SUD.²⁴
- f. **History of psychosis:** Stimulants may precipitate and/or exacerbate psychosis in susceptible patients.²⁶ However, there is no evidence to suggest that psychotic symptoms occur more frequently in children treated with ADHD drugs than in those in the general population.²⁷ If psychotic symptoms do occur with therapeutic doses of ADHD medications, an appropriate strategy would involve reductions in the dose, or discontinuation of the ADHD medications, with a re-challenge once the psychotic symptoms have resolved.^{27,28} Simultaneous use of antipsychotic medication may also be considered.²⁸
- g. **Pregnancy/lactation:** Limited data suggest that stimulants are safe to use in pregnancy and lactation, although there are risks associated with both continuing and discontinuing medications.²⁹ Both

methylphenidate and atomoxetine have been associated with an increased risk of spontaneous abortion, however these findings may be influenced by confounding factors (for example, maternal smoking status).³⁰

- h. **Adverse psychosocial circumstances:** Medication alone may not be useful when psychosocial circumstances contribute to the exacerbation of ADHD symptoms. Family intervention (in the form of behaviour modification) and school-based contingency management may be crucial first steps if pharmacotherapy is to succeed.³¹

STEP 3: FIRST-LINE PHARMACOTHERAPY

Prior to starting pharmacotherapy, a detailed assessment should take place, including a full history and physical examination with attention to the cardiovascular system.³² Heart rate, blood pressure, height and weight should be plotted on centile and growth charts respectively. An ECG is indicated if there is a past medical or family history of serious cardiac disease, a history of sudden death in young family members, or abnormal findings on cardiac examination.

Although the algorithm (see Figure 4.1.1.) focuses on the pharmacotherapy of ADHD, it is worth noting that behavioural and psychological treatments may be indicated as first-line treatment in children with very mild ADHD, and in those under the age of six years.⁹

First-line pharmacotherapy includes stimulant and non-stimulant medication; we recommend stimulant medication as first-line treatment in ADHD.⁹

Stimulant medication: Methylphenidate is the most widely prescribed of these agents, with a rapid onset of action and a long record of safety and efficacy.^{33,34} Various short-acting, intermediate-release and long-acting forms are available, and may be prescribed according to the individual patient's needs. A reasonable starting dose is 5 mg, three times daily, or equivalent for extended-release formulations. Methylphenidate is also an effective and safe medication in the treatment of adult ADHD.³⁴⁻³⁶

Amphetamine-type stimulants are recommended within their licensed indications for treatment of ADHD, but are not available in South Africa.^{37,38}

Non-stimulant medication: Atomoxetine is a serotonin-noradrenaline re-uptake inhibitor (SNRI) that may be used when there are contra-indications to treatment with stimulants, such as a comorbid substance-use disorder or parental risk of abuse and diversion of stimulants. Atomoxetine is safe, well-tolerated and effective in reducing the core symptoms of ADHD³⁹⁻⁴¹, and is prescribed at a dose of 0.5 mg/kg/day-1.2 mg/kg/day. Like stimulant medication, atomoxetine is also an effective treatment in adults with ADHD, although may be more useful for inattention rather than impulsivity/hyperactivity.^{42,43}

STEP 4: ASSESS RESPONSE TO TREATMENT

To determine response to medication, it is important to ask about change in those symptoms initially targeted for treatment. It may be useful to have family and teachers complete a scale to rate ADHD symptoms to quantify response to medication – the Swanson, Nolan and Pelham Teacher and Parent Rating Scale (SNAP-IV) is one example; it is free to download and has good psychometric properties.^{44,45}

Side effects of stimulants include loss of appetite, loss of weight, growth delay and insomnia. Cardiovascular side effects are rare, although arrhythmia may occur.³² It is also important to monitor for the development of psychosis.³² Height, weight, blood pressure and pulse should continue to be monitored during maintenance treatment. Side effects may respond to adjustments in dosing or timing (e.g., restricting use to mornings and early afternoons).⁴⁶ Decrease in physical stature has been raised as a concern, but the use of drug holidays during school vacations may allow for catch-up growth.⁴⁷

When the patient has a good response to medication, it is important to reinforce the necessity for continuing the medication at the therapeutic dose despite such improvement. Drug holidays during school vacations are no longer routinely recommended, but may be useful in the management of stimulant medication-related side effects.⁴⁷

STEP 5: OPTIMISE DOSE AND DURATION

When there is a poor response to medication, it is important to optimise dosage and duration of treatment.

Some effects of methylphenidate can usually be seen immediately after initiation if the dose is correct. In particular, there may be an increase in the ability to concentrate and a decrease in hyperactivity. Nevertheless, to see shifts in such measures as academic and social functioning, it may be necessary to continue methylphenidate for several months. It may also be necessary to increase the dose to achieve therapeutic levels.⁹ The optimal dose is the dose at which target symptoms are relieved with minimal side effects. For example, a dose of 1 mg/kg/day of methylphenidate may be necessary in some children. A maximum dose of 60 mg per day should not be exceeded.

It is also important to ensure that medication is given throughout the day (e.g., two to three times daily), or to use an extended-release preparation if available – longer-acting preparations may improve adherence and reduce stigma.⁴⁸ Failure to administer medication at school may mean good symptom reduction in the early morning, with rebound effects as the medication wears off as the day progresses. Atomoxetine has a slower onset of action than stimulants – this is important as an adequate trial is at least 12 weeks on a therapeutic dose.⁴⁹ The maximum dose is 1.2 mg/kg/day.

STEP 6: MAINTENANCE OF TREATMENT

Patients should be re-assessed at the end of a clinical trial of optimal dosage and duration. When the patient has a good response to medication, it is important to reinforce the necessity for continuing the medication at the therapeutic dose despite the improvement. There is good evidence that both stimulants and non-stimulants are efficacious treatments in the long-term treatment of ADHD.³⁴

STEP 7: RE-ASSESSMENT

When ADHD does not respond to a clinical trial of optimal dose and duration, it is useful to re-assess a number of factors. The presence of certain features may impact on the choice of the subsequent intervention.

a. Adherence: It is likely that clinicians often overestimate the adherence of their patients.⁵⁰ Children are likely to forget to take midday medication unless there is adequate school supervision. Many families worry that medication is addictive or a 'crutch'. It is well worth checking whether

medication is, in fact, being taken on a daily basis in a regular way.

- b. **Anxiety or mood disorder:** These disorders may be misdiagnosed as ADHD. Alternatively, comorbid anxiety or mood disorders may require appropriate intervention.⁵¹
- c. **Comorbid substance use:** In patients who fail to respond to pharmacotherapy of ADHD, the possibility of comorbid substance use should be considered. There may be a need to detoxify the patient before treating the ADHD.²²
- d. **Underlying medical disorder:** ADHD patients who fail to respond to medication should be thoroughly re-assessed for an underlying medical disorder.
- e. **Psychosocial issues:** Psychosocial circumstances that continue to complicate the course of ADHD need to be assessed, as these may necessitate appropriate intervention.

STEP 8: TREATMENT RESISTANCE

Most patients with ADHD will respond to systematic pharmacotherapy or a multimodal treatment programme.^{31,52} Atomoxetine is a reasonable second-line option when stimulant medications have failed to achieve adequate response or proved intolerable.⁹ The evidence for the use of modafinil as a treatment for ADHD in children is still limited, compared with standard treatments.³⁴

Alpha-2 adrenergic agonists (e.g., clonidine), or tricyclic antidepressants usually are reserved for children or adolescents who respond poorly to a trial of stimulants or atomoxetine, have unacceptable side effects with stimulants or atomoxetine, or have significant comorbid conditions.^{53,54} For patients demonstrating an incomplete response on stimulants or atomoxetine, the α_2 -agonists may be used as augmenting agents.⁵⁵⁻⁵⁷ A recent review of bupropion suggested it may have comparable tolerability, acceptability and efficacy as methylphenidate, although findings are still considered preliminary.⁵⁸ Regardless, use of these medications is best done in consultation with a specialist.

Polyunsaturated fatty acids may also improve the core symptoms of ADHD, although the evidence for this is limited.^{59,60} No evidence exists to support the use of meditation and acupuncture (as alternative therapies) in treating ADHD.^{61,62}

ADDITIONAL READING

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15: Drug Interactions

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This chapter describes harmful interactions that are of particular relevance to clinical practice. Drug interactions may be pharmacokinetic or pharmacodynamic in nature. Pharmacokinetic drug interactions involve interactive effects on absorption, distribution, protein-binding, metabolism and excretion; pharmacodynamic interactions occur directly at the site of action of the different drugs.¹

Risks of drug interactions obviously increase with polypharmacy.² Additional factors, such as advanced age, general medical conditions, and genetic deficiencies in liver-metabolising enzymes, may also be important (for example, genetically low CYP2D6 activity may result in toxicity when two or more drugs taken concurrently are metabolised by this enzyme).¹

This chapter discusses important harmful pharmacokinetic and pharmacodynamic interactions noted with the major psychiatric medications. A table (see Table 15.1.) of common pharmacokinetic drug interactions is included in this chapter – although this list is by no means exhaustive. We wish to emphasise that this chapter is not meant to include all known drug interactions; readers are encouraged to consult the product information sheet of all medications that they prescribe.

1. PHARMACOKINETIC DRUG INTERACTIONS

a. Absorption

The absorption of any drug from the gastrointestinal tract is dependent on local factors, including gastric pH, motility, and surface area. For example, antacids and cimetidine reduce the absorption of many antipsychotics. Similarly, anticholinergics reduce motility and

suppress gastric acid secretion, and therefore reduce absorption of other agents.

b. Protein-binding

After absorption, drugs distribute freely in blood cells or plasma, or bind to plasma proteins (albumin and glycoproteins). Drugs vary in degree of protein-binding. Competition for, and displacement from, binding sites occurs, resulting in altered ratios of bound-to-free drug. For example, many antidepressants and benzodiazepines are protein-bound, and can displace warfarin (highly protein-bound). Such effects may not manifest clinically, perhaps because the displaced drug is often rapidly metabolised.

c. Metabolism

Metabolism produces active and inactive metabolites. Two processes are important, namely enzyme induction and enzyme inhibition. Enzyme induction occurs when a drug increases the activity of a particular enzyme. Thus, carbamazepine and cigarette smoking induce a range of P450 enzymes, so reducing the availability of a range of agents taken concomitantly. Enzyme inhibition occurs when a drug reduces the activity of an enzyme. Valproate and cimetidine inhibit various P450 systems, thereby increasing blood levels of various other agents.

The hepatic cytochrome P450 enzyme system is a group of iso-enzymes involved in the oxidative metabolism of many psychiatric medications and deserves particular emphasis. There are four families of the P450 system, designated 1,2,3, and 4 (hence the nomenclature CYP3A4, where CYP represents cytochrome P450, 3 represents the family, A the enzyme subfamily, and 4 the individual gene). Important P450 enzymes in the prescription of psychiatric medications include 1A2, 2D6, and 3A4. Psychotropic medication interacts with cytochrome P450 enzymes in the liver and brain through a variety of mechanisms.³ Individual variations in these enzymes may result in clinically important drug-drug interactions. Pharmacogenetic studies might further identify an individual's ability to absorb, distribute, metabolise or excrete various

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Table 15.1. Selected CYP450 pharmacokinetic interactions

Substrate	Inhibitors	Inducers
CYP1A2		
Caffeine Chlorpromazine Clomipramine Clozapine Duloxetine Mirtazapine Melatonin Olanzapine	Fluvoxamine Ciprofloxacin Ketoconazole	Carbamazepine Cigarette smoke Barbiturates Phenobarbital
CYP2C9/19		
Diazepam Tricyclics Oral hypoglycaemics Warfarin	Fluoxetine Fluvoxamine Carbamazepine Modafinil Oxcarbazepine Valproate Fluconazole	<i>Ginkgo biloba</i>
CYP2D6		
Amphetamines Atomoxetine Aripiprazole Clomipramine Chlorpromazine Fluoxetine Galantamine Haloperidol Imipramine Mianserin Nortriptyline Olanzapine Perphenazine Risperidone Trimipramine Venlafaxine Zuclopenthixol	Amitriptyline Bupropion Duloxetine Fluoxetine Fluvoxamine Paroxetine Quinidine	None
CYP3A4		
Alprazolam Aripiprazole Buspirone Calcium-channel blockers Carbamazepine Chlorpromazine Clonazepam Clozapine Diazepam Galantamine Haloperidol Midazolam Nitrazepam Oral contraceptives Pimozide Zolpidem Zopiclone	Nefazodone Grapefruit juice Protease inhibitors Ketoconazole Clarithromycin	Phenytoin Carbamazepine Oxcarbazepine Phenobarbital <i>Ginkgo biloba</i> St. John's Wort

medications.^{4,5} Indeed, a number of guidelines are now available to aid clinicians integrating pharmacogenetic data into daily clinical practice, although such testing should in most instances be restricted to specialists.⁶

d. Excretion

Significant drug interactions may result from the effects drugs have on kidney excretion. Lithium provides a few classic examples:

- i. **Lithium-indomethacin combination:** Indomethacin reduces the renal blood flow (by reducing prostaglandin synthesis) and so increases serum lithium levels. This may also occur with other nonsteroidal anti-inflammatory drugs, such as ibuprofen.
- ii. **Lithium-thiazide combination:** Thiazide increases lithium levels.
- iii. **Lithium-salt:** By affecting renal sodium excretion, salt restriction increases serum lithium levels, while salt intake reduces serum-lithium levels.

2. PHARMACODYNAMIC DRUG INTERACTIONS

These are interactions that occur at the site of action of the drugs in question. Clinically relevant examples include:

- Potentially fatal 'serotonin syndrome' that can result when MAOIs are administered concomitantly with SSRIs.
- Potentiation of CNS-depressant effects when alcohol, benzodiazepines, tricyclic antidepressants, or antipsychotics are taken concomitantly.
- Enhancement of anticholinergic effects when drugs with high anticholinergic activity (e.g., tricyclic antidepressants or certain antipsychotics) are administered together with anticholinergics.

3. SPECIFIC PSYCHOTROPIC DRUG INTERACTIONS

a. Antidepressants

Most antidepressants are metabolised by, or inhibit to a varying degree, one or more P450 enzymes. CYP2D6, for example, metabolises a number of antidepressants (including TCAs, SSRIs [fluoxetine, paroxetine, fluoxetine], and venlafaxine) and is inhibited by fluoxetine and paroxetine. CYP3A4 metabolises sertraline, is activated by St John's Wort, and is inhibited by fluvoxamine. There may be marked

genetic variation in the activity of these enzymes. Combinations of MAOIs and other antidepressants are contra-indicated, given the potential to cause serotonin syndrome.

b. Antipsychotics

Antipsychotics often inhibit the CYP2D6 enzyme and may reduce the ability of the liver to metabolise some drugs. Conversely, other drugs that inhibit CYP2D6 may raise the levels of antipsychotics. Low-potency typical antipsychotics have the propensity to potentiate the anticholinergic, sedative and hypotensive effects of the tricyclic antidepressants. Pimozide should not be taken concomitantly with foods (e.g., grapefruit juice) or medications (e.g., clarithromycin, erythromycin, fluvoxamine, ketoconazole) that inhibit the CYP3A4 enzyme, as this may lead to increased drug levels and prolongation of the QTc-interval. Clozapine should not be used with drugs that cause bone-marrow suppression (e.g., carbamazepine, captopril, propylthiouracil, sulphonamides) given the possibility of agranulocytosis.

c. Benzodiazepines

Many of the benzodiazepines and non-benzodiazepine hypnotics are metabolised by CYP3A4. Antiretrovirals (specifically protease inhibitors) may increase the serum levels of benzodiazepines due to their inhibition of CYP3A4. Benzodiazepines may, as noted previously, enhance the sedative effects of alcohol, antidepressants, and antipsychotics.

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