



UNIVERSITY OF CAPE TOWN

DEPARTMENT OF MATHEMATICS

Compactifications, Subordinations and Uniformities

by

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FOREWORD

This thesis relates hausdorff compactifications of spaces and other structures on the space.

Each chapter starts with an introduction, which describes its content, and ends with a collection of notes, where the references relevant to the chapter are given.

Most of the thesis is self contained.

The standard reference throughout is : General Topology, by Kelley. The notation is as in Kelley. We often use the abbreviations - s.t. for such that ; iff for if and only if; i.e. for that is.

This thesis arose from an attempt to prove the essential steps in the construction of νX , described by Aleksandrov in his survey : Some Results in the Theory of Topological Spaces, Obtained Within the Last Twenty-Five Years.

I want to thank Dr. H. Schlagbauer, my supervisor, for his encouragement, tremendous patience, and many helpful conversations. I cannot describe my debt, but it will be apparent to everyone who knows me.

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Chapter 1

Subordination and Generalised Topology

1.1. Generalised closure and neighbourhood spaces

In this section a one to one correspondence between generalised closure and neighbourhood structures on X is established .

Definition 1.1.1. Let X be a set and $u:p[X] \rightarrow p[X]$ a map from the family of subsets of X into itself , satisfying

$$U1 \quad u(\varphi) = \varphi$$

$$U2 \quad A \subset u(A) \quad \text{for all } A \subset X$$

$$U3 \quad u(A) \subset u(B) \quad \text{if } A \subset B$$

u is called a generalised closure on X , and (X,u) a generalised closure space .

Definition 1.1.2. Let X be a set and $I:X \rightarrow p[p[X]]$ s.t.

$$I1 \quad I(x) \neq \varphi \quad \text{for each } x \in X \quad .$$

$$I2 \quad x \in V \quad \text{for all } V \in I(x)$$

$$I3 \quad \text{If } V \in I(x) \text{ and } V \subset W \text{ , then } W \in I(x) \text{ .}$$

I is called a neighbourhood function and (X,I) a neighbourhood space .

If (X,I) is a neighbourhood space , it is natural to specify

a notion of closeness by - x is close to $A \subset X$ if $V \cap A \neq \emptyset$ for all $V \in I(x)$; we write $x \in u(A)$ if x is close to A .

If (X, u) is a closure space, and V is to be a neighbourhood of x , then $x \notin u(X-V)$ since $V \cap X-V = \emptyset$. These remarks motivate

Definition 1.1.3. Given a generalised closure u , let

$$I(x) = \{ V \mid V \subset X \text{ and } x \in X-u(X-V) \}$$

We denote this I by $I[u]$.

For completeness we state

Definition 1.1.4. Given a neighbourhood space (X, I) , let $u: \mathcal{P}[X] \rightarrow \mathcal{P}[X]$ be defined by $u(A) = \{ x \mid V \cap A \neq \emptyset \text{ for all } V \in I(x) \}$. We write $u[I]$ for this u .

Proposition 1.1.1. $I[u]$ is a neighbourhood function, for any generalised closure u .

We verify

- I1 $x \in I(x)$ for all $x \in X$, since $X-u(X-X) = X-u(\emptyset) = X$.
Hence $I(x) \neq \emptyset$ for all $x \in X$.
- I2 If $V \in I(x)$, then $x \in X-u(X-V)$. Now $X-V \subset u(X-V)$, hence $X-u(X-V) \subset V$ so that $x \in V$ for all $V \in I(x)$.
- I3 If $V \in I(x)$ and $V \subset W$, then $X-W \subset X-V$ and hence $u(X-W) \subset u(X-V)$, so that $X-u(X-W) \supset X-u(X-V)$. It follows that $x \in X-u(X-W)$ and so $W \in I(x)$, as required.

Proposition 1.1.2. $u[I]$ is a generalised closure for any neighbourhood function I .

We verify

U1 Assume $x \in u(\varphi)$. Now $I(x) \neq \varphi$, so $\exists V \in I(x)$ and $V \cap \varphi \neq \varphi$

This is a contradiction , hence $u(\varphi) = \varphi$.

U2 If $x \in A$, then $x \in V \cap A$ for all $V \in I(x)$. Hence $A \subset u(A)$.

U3 If $A \subset B$ and $x \in u(A)$, then $V \cap B \supset V \cap A \neq \varphi$ for all $V \in I(x)$. Hence $x \in u(B)$, and so $u(A) \subset u(B)$ if $A \subset B$.

Proposition 1.1.3 If I is a neighbourhood function and u the induced generalised closure , then $I = I[u]$.

To simplify the notation write I_1 for the neighbourhood function $I[u]$. If $V \in I_1(x)$, then $x \in X - u(X - V)$ so that $x \notin u(X - V)$. Hence $\exists W \in I(x)$ s.t. $W \cap X - V = \varphi$, so that $W \subset V$ and , by I3 , $V \in I(x)$. Conversely , if $V \in I(x)$, then $V \cap X - V = \varphi$ so that $x \notin u(X - V)$. It follows that $V \in I_1(x)$, and the proof is complete .

Proposition 1.1.4. If u is a generalised closure and I the induced neighbourhood function , then $u = u[I]$.

Denote $u[I]$ by u_1 . We first show that $u_1(A) \subset u(A)$ for all $A \subset X$. Let $x \in u_1(A)$, then $X - A \in I(x)$ and hence $x \notin u_1(X - A)$, since $A \cap X - A = \varphi$. Conversely , to show that $u(A) \subset u_1(A)$,

let $x \notin u_1(A)$. Then $\exists V \in I(x)$ s.t. $V \cap A = \emptyset$ and so $A \subset X-V$. It follows that $u(A) \subset u(X-V)$, hence $X-u(X-V) \subset X-u(A)$. Now $x \in X-u(X-V)$, by definition of I , hence $x \in X-u(A)$. This completes the proof .

We have established

Proposition 1.1.5. There is a one to one correspondence between generalised closure operators and neighbourhood functions on X .

Often , a neighbourhood function is required to be intersective , in the sense that $V_1 \cap V_2 \in I(x)$ when $V_1, V_2 \in I(x)$. The corresponding requirement for u is that it be union preserving, i.e. $u(A \cup B) = u(A) \cup u(B)$.

If $u(u(A)) = u(A)$ for all $A \subset X$, u is said to be idempotent . The corresponding condition on I is that each neighbourhood of x contain an open neighbourhood of x - one which is a neighbourhood of each of its points . If such a neighbourhood function is also intersective , it is called topological .

A union preserving , idempotent , generalised closure is a Kuratowski closure , topological closure, or simply a closure on X , and will be denoted by $u(a)$ or clA or A^- . When there is a need to specify that $A \subset X$, we write $u_X(A)$ or $cl_X A$ for the closure of A .

We now complement proposition 1.1.5.

Proposition 1.1.6. If u is an idempotent, generalised closure, then $I[u]$ has the open neighbourhood property .

Let $V \in I(x)$, then $x \in X-u(X-V)$. Let $W = X-u(X-V)$. Note that $W \subset V$ and $X-u(X-W) = X-u(X-(X-u(X-V))) = X-u(u(V)) = X-u(V) = W$. Hence , $y \in W$ implies $W \in I(y)$, and so W is a neighbourhood of each of its points, and $x \in W$.

Conversely

Proposition 1.1.7. If the neighbourhood function I has the open neighbourhood property , then $u = u[I]$ is idempotent .

Suppose $x \notin u(A)$, then $\exists V \in I(x)$ s.t. $V \cap A = \emptyset$, and we may assume that $V \in I(y)$ for all $y \in V$. It follows that $V \cap u(A) = \emptyset$, since $z \in V \cap u(A)$ implies $z \in V$ and $z \in u(A)$, so that $V \cap A \neq \emptyset$ as $V \in I(z)$, this is impossible . Thus $u(u(A)) \subset u(A)$ for all $A \subset X$. Now u is a generalised closure , hence $u \circ u = u$.

Proposition 1.1.8. If the neighbourhood function I is intersective , then $u = u[I]$ is union preserving .

If $x \notin u(A) \cup u(B)$, then $\exists V_1, V_2 \in I(x)$ s.t. $V_1 \cap A = V_2 \cap B = \emptyset$, hence $(V_1 \cap V_2) \cap (A \cup B) = \emptyset$, and so $x \notin u(A \cup B)$, since

$V_1 \cap V_2 \in I(x)$. Conversely , if $x \notin u(A \cup B)$, then $\exists V \in I(x)$ s.t. $V \cap (A \cup B) = \emptyset$. It follows that $V \cap A = V \cap B = \emptyset$, hence $x \notin u(A) \cup u(B)$, as required .

Proposition 1.1.9. If the generalised closure u is union preserving , then $I = I[u]$ is intersective .

Let $V_1, V_2 \in I(x)$. Now $X-u(X-(V_1 \cap V_2)) = X-u((X-V_1) \cup (X-V_2)) = (X-u(X-V_1)) \cap (X-u(X-V_2))$, since u preserves unions . Hence $x \in X-u(X-(V_1 \cap V_2))$, and so $V_1 \cap V_2 \in I(x)$ when $V_1, V_2 \in I(x)$.

For completeness , we note that a union preserving operator u is necessarily monotone , i.e. satisfies U3 . To prove this , suppose $A \subset B$, then $A \cup B = B$ and $u(A \cup B) = u(A) \cup u(B) = u(B)$, so that $u(A) \subset u(B)$. Thus, a set of axioms for Kuratowski closure is U1 and U2 , as for a generalised closure u ,

$$U3^* \quad u(A \cup B) = u(A) \cup u(B)$$

$$U4 \quad u(u(A)) = u(A) \quad \text{for all } A \subset X \quad .$$

1.2. Separation , Generalised Closure and Neighbourhood Spaces

A generalised closure specifies which points are near to a given set , a proximity, or separation , specifies when two sets are near, or far . We shall write $A \delta B$ if A and B are near , and $A \nabla B$ if A is far from B , in the sense that $A \delta B$ is false .

There are certain natural requirements that ∇ must satisfy -

- S1 $\phi \nabla A$ for all $A \subset X$
 S2 If $A \nabla B$, then $B \nabla A$
 S3 If $A \nabla B$, then $A \cap B = \phi$
 S4 If $A \subset B$ and $B \nabla C$, then $A \nabla C$.

Definition 1.2.1. ∇ is called a separation on X if it satisfies S1 - S4, in which case (X, ∇) is a separation space.

Every separation induces a notion of closeness in an obvious way :

Definition 1.2.2. Let (X, ∇) be a separation space, define u by $x \in u(A)$ if $\{x\} \delta A$. We denote this u by $u[\nabla]$. We shall also write $x \delta A$ ($x \nabla A$) for $\{x\} \delta A$ ($\{x\} \nabla A$).

Proposition 1.2.1. u , defined above, is a generalised closure.

We verify

- U1 $u(\phi) = \phi$, since $x \nabla \phi$ for each $x \in X$, and hence $x \delta \phi$ is false so that $x \notin u(\phi)$ for all $x \in X$.
- U2 If $x \notin u(A)$, then $x \nabla A$ so that $\{x\} \cap A = \phi$. Thus $A \subset u(A)$.
- U3 If $A \subset B$ and $x \notin u(B)$, then $x \nabla B$ and hence $x \nabla A$, so that $x \notin u(A)$. This shows that u is monotone.

However, this is not a general u - it satisfies $u(\{x\}) \subset V$ for all $V \in I(x)$, where $I = I[u]$.

Proposition 1.2.2. If u is induced by ∇ , then $u(\{x\}) \subset V$
for all $V \in I(x)$, where $I = I[u]$.

$V \in I(x)$ iff $x \notin u(X-V)$ iff $x \nabla X-V$. So, if $V \in I(x)$ and $y \notin V$, then $y \in X-V$ so that $x \nabla y$. It follows that $y \notin u(\{x\})$, this completes the proof.

Only generalised closures with this property can arise from a separation. We show that any such closure does arise from a separation. A simple example shows that not all generalised closures have this property -

Example Let $X = \{a, b\}$ with $u(X) = X$, $u(\emptyset) = \emptyset$, $u(a) = X$ and $u(b) = \{b\}$. u is a generalised closure, in fact, a topological closure. Now $\{a\} \in I(a)$, since $\{a\} = X - \{b\} = X - u(\{b\}) = X - u(X - \{a\})$, but $u(\{a\}) = X \not\subset \{a\}$.

Suppose ∇ induces u . If $A \nabla B$, it is natural to ask how $u(A)$ and $u(B)$ are related. We note that $A \nabla B$ implies $x \nabla B$ for all $x \in A$, so that $A \cap u(B) = \emptyset$. Similarly $B \cap u(A) = \emptyset$. Hence $(A \cap u(B)) \cup (B \cap u(A)) = \emptyset$ [*]. However, [*] does not imply $A \nabla B$, but it defines a separation ∇_0 which also induces u . Thus ∇_0 is the finest separation inducing u .

These remarks motivate

Definition 1.2.3. If u is a generalised closure, define ∇_0 by $A \nabla_0 B$ if $(A \cap u(B)) \cup (B \cap u(A)) = \emptyset$. We shall write $\nabla_0 = \nabla_0[u]$.

Proposition 1.2.3. ∇_0 , defined above, is a separation .

We verify

- S1 $\varphi \nabla_0 A$ for all $A \subset X$, since $(A \cap \varphi) \cup (u(A) \cap \varphi) = \varphi$, as $u(\varphi) = \varphi$.
- S2 $A \nabla_0 B$ implies $B \nabla_0 A$ is obvious .
- S3 If $A \nabla_0 B$, then $A \cap u(B) = \varphi$. Hence $A \cap B = \varphi$, since $BC u(B)$.
- S4 If $A \subset B$ and $B \nabla_0 C$, then $(A \cap u(C)) \cup (C \cap u(A)) \subset (B \cap u(C)) \cup (C \cap u(B)) = \varphi$, since u is monotone and $A \subset B$. Hence $A \nabla_0 C$.

Proposition 1.2.4. Let $u_1 = u[\nabla[u]]$. $u(A) \subset u_1(A)$ for all $A \subset X$. Equality holds iff u satisfies the condition in proposition 1.2.2.

As a corollary we have

Corollary There is a one to one correspondence between separations on X and generalised closures satisfying $u(\{x\}) \subset V$ for all $V \in I(x)$, where $I = I[u]$.

We now prove proposition 1.2.4. Let $x \notin u_1(A)$, then $x \nabla A$, so $\{x\} \cap u(A) = \varphi$. This proves $u(A) \subset u_1(A)$.

Suppose that $u(\{x\}) \subset V$ for all $V \in I(x)$. We show that $u_1(A) \subset u(A)$, by above , it follows that $u_1 = u$. If $x \notin u(A)$, then $X-A \in I(x)$ and so $u(\{x\}) \subset X-A$. Hence $(\{x\} \cap u(A)) \cup (A \cap u(\{x\})) = \varphi$, i.e. $x \nabla A$, so that $x \notin u_1(A)$.

Conversely , assume $u = u_1$. If $V \in I(x)$, then $x \notin u(X-V)$,

and so $x \nabla (X-V)$, since $u = u_1$. Thus $y \in X-V$ implies $x \nabla y$, so that $y \notin u(\{x\})$. Hence $u(\{x\}) \subset V$, as required.

We now express proposition 1.2.4. in a slightly different form. For this we need

Definition 1.2.4. $A < B$ if $A \nabla X-B$. $<$ is called a subordination.

Proposition 1.2.5. If (X, I) is a neighbourhood space and $<$ the induced subordination, then $x < V$ implies $V \in I(x)$. Also, $x < V$ is equivalent to $V \in I(x)$ iff $u = u[I]$ has the property in proposition 1.2.2.

We note that this condition can be expressed in terms of I alone - for all $x, y \in X$, if $y \notin V$ for some $V \in I(x)$, then $\exists W \in I(y)$ s.t. $x \notin W$.

The proof of proposition 1.2.5. is similar to that of 1.2.4. and will be omitted.

u can be expressed in terms of $<$, as follows:

Proposition 1.2.6. If u is a generalised closure satisfying the condition in proposition 1.2.2., then $u(A) = \cap \{ V \mid A < V \}$, where $<$ is any subordination that induces u .

If $\exists V$ s.t. $A < V$ and $x \notin V$, then $x \nabla A$, since $x \in X-V$ and $A \nabla X-V$. Hence $x \notin u(A)$, and so $u(A) \subset \cap \{ V \mid A < V \}$. Conversely,

if $x \notin u(A)$, then $x \nabla A$, so that $A < X - \{x\}$. But $x \notin X - \{x\}$, hence $x \notin \bigcap \{V \mid A < V\}$ if $x \notin u(A)$. This completes the proof.

Corollary $i(A) = X - u(X - A) = \bigcup \{V \mid V < A\}$.

1.3. Closure and Separation, Continued.

In this section T_1, T_2 , regular and topological spaces are described in terms of u and ∇ .

The equivalence of i) and ii) in the following propositions is to mean: i) implies ii) with u a given generalised closure and ∇ any separation inducing u ; ii) implies i) with ∇ a given separation and u the induced closure.

We say that X is T_1 , regular, T_2 if $u(\nabla)$ satisfies i) (ii) of propositions 1.3.1. - 1.3.3., respectively.

Proposition 1.3.1. The following are equivalent

- i) $u(\{x\}) = \{x\}$
- ii) For all $x \neq y$, $x \nabla y$.

If i) and $x \neq y$, then $y \notin u(\{x\})$ so that $y \nabla x$. Conversely, assume ii) holds. If $x \neq y$, then $x \nabla y$ so that $y \notin u(\{x\})$. Hence $u(\{x\}) = \{x\}$, as $x \in u(\{x\})$.

Proposition 1.3.2. The following are equivalent

- i) If $V \in I(x)$, then $\exists U \in I(x)$ s.t. $u(U) \subset V$
- ii) If $x \nabla y$, then $\exists U$ s.t. $x \nabla U$ and $V \subset \{y \mid y \nabla X - U\}$.

Assume i) and suppose $x \nabla W$. Then $x < X-V$, hence $X-V \in I(x)$, thus $\exists W \in I(x)$ s.t. $u(W) \subset X-V$. It follows that $y \nabla w$ if $y \in V$. Also $x < W$, hence $x \nabla X-W$, and so ii) is satisfied. Conversely, assume ii). If $V \in I(x)$, then $x < V$ and so $x \nabla X-V$. Let $U = X-0$, 0 given by ii) s.t. $x \nabla 0$ and $X-V \subset \{y \mid y \nabla X-0\}$, then $x < X-0$ so that $U \in I(x)$. Also, if $y \notin V$, then $y \nabla X-0 = U$, hence $u(U) \subset V$. This completes the proof.

Proposition 1.3.3. The following are equivalent

- i) If $x \neq y, \exists V_1 \in I(x)$ and $V_2 \in I(y)$ s.t. $V_1 \cap V_2 = \emptyset$
- ii) If $x \neq y, \exists G$ s.t. $x \nabla G$ and $y \nabla X-G$.

Assume i) and suppose $x \neq y$. Let V_1, V_2 be given by i), then $G = X-V_1$ is s.t. $x \nabla G$, since $x \notin u(X-V_1)$, and $y \nabla X-G$, since $y \notin u(V_1) = u(X-G)$. Thus ii) holds.

Conversely, if ii) holds and $x \neq y$, then let $V_1 = X-G$ and $V_2 = G$. It follows that $x < V_1$ and $y < V_2$ and that $V_1 \cap V_2 = \emptyset$. Hence i).

We note that in the three preceding propositions the requirement - $u(\{x\}) \subset V$ for all $V \in I(x)$ - is superfluous, since it is satisfied for any u for which i) holds.

We recall that u is topological if

$$U1 \quad u(\emptyset) = \emptyset$$

$$U2 \quad A \subset u(A)$$

$$U3^* \quad u(A \cup B) = u(A) \cup u(B)$$

$$U4 \quad u(u(A)) = u(A)$$

As remarked earlier, u is monotone if u satisfies $U2$ and $U3^*$, and so $\nabla[u]$ is meaningful.

Proposition 1.3.4. If u is a generalised closure and $\nabla = \nabla[u]$, then the following are equivalent

$$i) \quad u(A \cup B) = u(A) \cup u(B)$$

$$ii) \quad (A \cup B) \nabla C \text{ iff } A \nabla C \text{ and } B \nabla C$$

We shall prove that if ∇ is any separation inducing u , then $ii)$ implies $i)$. Assume $ii)$, we have only to prove that $u(A \cup B) \subset u(A) \cup u(B)$. If $x \notin u(A) \cup u(B)$, then $x \nabla A$ and $x \nabla B$ and so $x \nabla (A \cup B)$, as required. Conversely, assume $i)$. It implies that $A \nabla C$ and $B \nabla C$ if $(A \cup B) \nabla C$; to prove the reverse implication, let $A \nabla C$ and $B \nabla C$. Then $(u(A) \cap C) \cup (A \cap u(C)) = \emptyset$ and $(u(B) \cap C) \cup (B \cap u(C)) = \emptyset$, so that $[(u(A) \cup u(B)) \cap C] \cup [(A \cup B) \cap u(C)] = \emptyset$. Hence $[u(A \cup B) \cap C] \cup [(A \cup B) \cap u(C)] = \emptyset$, i.e. $(A \cup B) \nabla C$.

Proposition 1.3.5. If u is a generalised closure and $\nabla = \nabla[u]$, then the following are equivalent

$$i) \quad u(u(A)) = u(A)$$

$$ii) \quad x \nabla A \text{ implies } x \in \nabla[A], \text{ where } \nabla[A] = \{y \mid y \nabla A\}$$

As above, $ii)$ implies $i)$ even when ∇ is any separation inducing u . The proof of 1.3.5. will be omitted.

1.4 Separation and Completely Regular Spaces

We shall characterise completely regular spaces in terms of subordination. This provides an internal description of such spaces as opposed to an external description in terms of real valued, continuous functions. The two points of view are, of course, related: In this section we show that every c -subordination determines a ring C_ζ of real valued, bounded, ζ -continuous functions, and these functions induce ζ , since $A < B$ iff $\exists f \in C_\zeta$, $f: X \rightarrow [0,1]$ and $A \subset f^{-1}[0]$, $X-B \subset f^{-1}[1]$. In chapter 4, we complete this result by showing that certain subrings R of the ring of real valued, bounded, continuous functions induce a c -subordination ζ , $A < B$ being defined by the condition above. Furthermore R is C_ζ , where ζ is the induced subordination.

This section differs from the previous ones in that it considers a family of subordinations inducing a given topology rather than the finest such subordination.

Definition 1.4.1. A relation ζ on $p[X]$ is a c -subordination or a compact subordination, if

- S1 $\emptyset < A$, for all $A \subset X$
- S2 If $A < B$, then $X-B < X-A$
- S3 If $A < B$, then $A \subset B$

and

S4 If $A \subset B \subset C \subset D$, then $A \subset D$

S5 If $A_1, A_2 \subset B$, then $A_1 \cup A_2 \subset B$

S6 If $A \subset C$, $\exists B$ s.t. $A \subset B \subset C$

S7 If $x \neq y$, $\exists U, V$ s.t. $x \in U$, $y \in V$ and $U \cap V = \emptyset$

We note that S1 - S4 are the axioms for a separation expressed in terms of \subset instead of ∇ . S7 can be replaced by: $x \neq y$ implies $x \nabla y$, i.e. we require the separation to be T_1 .

We recall that \subset induces a neighbourhood function I , by $V \in I(x)$ if $x \in V$.

Proposition 1.4.1. A c -subordination induces a topological neighbourhood function.

We have only to verify that $x \nabla A$ implies $x \nabla \{y \mid y \delta A\}$.

If $x \nabla A$, then $\exists C$ s.t. $x \nabla C$ and $X - C \nabla A$, so that $y \in X - C$ implies $y \nabla A$. Hence $\{y \mid y \delta A\} \subset C$. It follows that $x \nabla \{y \mid y \delta A\}$, as required.

The following propositions concern the restriction \subset_0 , of \subset , to the family of open sets induced by \subset .

Proposition 1.4.2. If I, u are induced by a c -subordination \subset , then

- i) If $A \subset B$, then $X - u(B) \subset X - u(A)$
- ii) If $A \subset C$, $\exists B$, open, s.t. $A \subset B \subset B^- \subset C$.

iii) If $V \in I(x)$, $\exists U, \text{open, s.t. } U \in I(x)$ and $U < V$.

iv) If $A < B_i$ $i=1,2$, then $A < B_1 \cap B_2$

Proposition 1.2.6. is essential here .

i) If $A < C$, $\exists B$ s.t. $A < B < C$. Hence $A \subset A^- \subset B < C$. Now $A^- < B$, and so $X-B^- < X-A^-$ since $X-B^- \subset X-B < X-A^-$.

ii) If $A < C$, $\exists B_i$ $i=1,2,3$ s.t. $A < B_1 < B_2 < B_3 < C$. Now $B_1 \subset i(B_2)$ and $[i(B_2)]^- \subset B_2^- \subset B_3$. Hence $B = i(B_2)$ satisfies ii).

iii) If $V \in I(x)$, then $x < V$, so that $\exists U, \text{open, s.t. } x < U < V$.

iii) follows since $x < U$ implies $U \in I(x)$.

iv) If $A < B_i$, then $X-B_i < X-A$. Hence $X-(B_1 \cap B_2) = (X-B_1) \cup (X-B_2) < X-A$, so that $A < B_1 \cap B_2$.

Let $<_0$ be a relation defined in the family of open sets of a hausdorff topological space. $<_0$ is called a c_0 subordination if

S1* $\phi < A$, A any open set.

S2* If $A < B$, then $X-B^- < X-A^-$.

S3* If $A < B$, then $A \subset B$.

S4* If $A \subset B < C \subset D$, then $A < D$.

S5* If $A_i < B < C_i$ $i=1,2$, then $A_1 \cup A_2 < B < C_1 \cap C_2$.

S6* If $A < C$, then $\exists B$ s.t. $A < B < C$.

S7* If $x \in V, V$ open, then $\exists U$ s.t. $x \in U < V$.

Proposition 1.4.2. shows that any c -subordination is a c_0 -subordination on the family of open sets that it induces.

Definition 1.4.2. If $<_0$ is a c_0 subordination on (X,u) , define $<$ by

$A < B$ if $\exists O_1, O_2$ s.t. $A \subset O_1 <_0 O_2 \subset B$.

Proposition 1.4.3. $<$, defined in 1.4.2., is a c-subordination and induces the topology on X . Furthermore $<$, restricted to the family of open sets is $<_0$.

S2 is the only axiom for $<$ that is not obvious. To prove it, assume $A < B$. Then $\exists O_i$ $i=1,2,3$, open, s.t. $A \subset O_1 <_0 O_2 <_0 O_3 \subset B$. Hence $X-B \subset X-O_3 \subset X-O_2 <_0 X-O_1 \subset X-A$, since i) of proposition 1.4.2. still holds and $G <_0 H$ implies $G^c \subset H$. thus $X-B < X-A$, as required.

We now show that $<$ induces the topology on X .

Let V be open, then for each $x \in V$, $\exists O$, open, s.t. $x \in O < V$, by S7*. Hence $x < V$, and so V is open in the topology induced by $<$. Conversely, let V be open in the topology induced by $<$. Then $x < V$ for all $x \in V$. Hence $\exists O_{ix}$ $i=1,2$, open, s.t. $x \in O_{1x} <_0 O_{2x} \subset V$, and so $V = \bigcup_{x \in V} O_{1x}$ is open.

If $G <_0 H$, then $G < H$ by definition of $<$. Conversely, if $G < H$, G and H open, then $\exists O_1, O_2$, open, s.t. $G \subset O_1 <_0 O_2 \subset H$. Hence $G <_0 H$. This proves that the restriction of $<$ to the family of open sets is $<_0$.

Proposition 1.4.4. If $<_0$ is the restriction of $<$ to the family of open sets that it induces, then $<^* = <$, where $<^*$ is the subordination induced by $<_0$.

If $A <^* B$, then $\exists O_i$ $i=1,2$, open, s.t. $A \subset O_1 <_0 O_2 \subset B$, i.e.

$A \subset O_1 \subset O_2 \subset B$. Hence $A < B$.

Conversely , if $A < B$, by proposition 1.4.2. ii) , $\exists O_i$ $i=1,2..$, s.t. $A \subset O_1 \subset O_2 \subset B$ and both O_i 's are open . Hence $A <^* B$.

We have seen that a c-subordination gives rise to a topology on X . We show that this topology is completely regular. In fact slightly more is proved :

Proposition 1.4.6. $A < B$ iff $\exists f: X \rightarrow [0,1]$, s.t. f is \leftarrow -continuous and $A \subset f^{-1}[0]$ and $X-B \subset f^{-1}[1]$.

Corollary If A is closed and $x \notin A$, $\exists f: X \rightarrow [0,1]$, continuous, s.t. $f(x) = 0$ and $A \subset f^{-1}[1]$. This is the usual definition of complete regularity .

Before proving proposition 1.4.6. , we show how continuity and \leftarrow -continuity are related .

Definition 1.4.3. Let $f: (X, \nabla) \rightarrow (X^*, \nabla^*)$ be a map from a separation space into another . f is ∇ -continuous if $f^{-1}[A] \nabla f^{-1}[B]$ whenever $A \nabla^* B$.

Also , $f: (X, u) \rightarrow (X^*, u^*)$ is u -continuous if $u(f^{-1}[A]) \subset f^{-1}[u^*(A)]$, $A \subset X^*$.

Proposition 1.4.7. Every ∇ -continuous function is u -continuous with respect to $u_1 = u[\nabla_1]$ and $u_2 = u[\nabla_2]$.

To show that $u_1(f^{-1}[A]) \subset f^{-1}[u_2(A)]$, let $x \notin f^{-1}[u_2(A)]$. Then $f(x) \notin u_2(A)$ and hence $f(x) \nabla_2 A$. Now f is ∇ -continuous, so $f^{-1}[f(x)] \nabla_1 f^{-1}[A]$, and so $x \nabla_1 f^{-1}[A]$. Thus $x \notin u_1(f^{-1}[A])$, and the proof is complete.

Conversely,

Proposition 1.4.8. If $f:(X_1, u_1) \rightarrow (X_2, u_2)$ is u -continuous, then f is ∇ -continuous with respect to $\nabla_i = \nabla[u_i]$, $i = 1, 2$.

Suppose $A \nabla_2 B$, then $(A \cap u_2(B)) \cup (B \cap u_2(A)) = \emptyset$. Hence $(f^{-1}[A] \cap u_1(f^{-1}[B])) \cup (f^{-1}[B] \cap u_1(f^{-1}[A])) \subset (f^{-1}[A] \cap f^{-1}[u_2(B)]) \cup (f^{-1}[B] \cap f^{-1}[u_2(A)]) = f^{-1}[\emptyset] = \emptyset$. It follows that $f^{-1}[A] \nabla_1 f^{-1}[B]$.

However, if $f:(X, u) \rightarrow (X^*, u^*)$ is u -continuous, it does not follow that f is ∇ -continuous with respect to ∇_1 and ∇_2 . Where the ∇_i 's are separations inducing u_i , but not the finest such separations. This is illustrated by the following example:

Let ω be the set of integers. Define $A \nabla B$ if $A \cap B = \emptyset$ and not both sets are infinite. This separation induces the discrete topology on ω , and the function $f:\omega \rightarrow [0, 1]$ defined by $f(n) = (-1)^{n+1}$ is continuous but not ∇ -continuous since 0 and 2 are far in $[0, 1]$ but $f^{-1}[0] \nabla f^{-1}[2]$ is false.

Thus ∇ -continuity is a stronger concept than continuity.

We now return to proposition 1.4.6.

The proof of necessity rests on a construction due to Urysohn .

Let $G < H$. Then $\exists H \frac{1}{2}$ s.t. $G < H \frac{1}{2} < (H \frac{1}{2})^- < H$. Also , $G < H \frac{1}{2}$ and $(H \frac{1}{2})^- < H$. Hence $\exists H \frac{1}{4}$ and $H \frac{3}{4}$ s.t. $G < H \frac{1}{4} < (H \frac{1}{4})^- < H \frac{1}{4} < (H \frac{1}{4})^- < H \frac{3}{4} < (H \frac{3}{4})^- < H$. Proceeding in this way ,

for each dyadic integer t ($t = \frac{m}{2^n}$, n, m are integers) , we have a set H_t s.t. $G < H_{t_1} < H_{t_1}^- < H_{t_2} < H_{t_2}^- < H$ whenever $t_1 < t_2$.

For $x \in X$, let $f(x) = \inf\{t \mid x \in H_t\}$ if $x \in H_t$ for some t , and $f(x) = 1$ otherwise .

To prove that f is ∇ -continuous , we note that two sets of real numbers A and B are far if $d(A, B) > 0$, where d is the usual metric for the reals . Thus $A < B \subset [0, 1]$ iff $A^- \subset i(B)$, and so f is ∇ -continuous if $f^{-1}[A] < f^{-1}[B]$ for all $A = A^- \subset i(B) = B$. This can be refined further .

If $A \subset B$, A closed and B open , then $\exists I_i, U_i$ $i=1, \dots, n$, s.t. $I_i \subset U_i$, I_i is a closed interval and U_i is an open interval, for each i , all having dyadic integers as end points , and s.t. $A \subset \bigcup_{i=1}^n I_i \subset \bigcup_{i=1}^n U_i \subset B$. This is a consequence of the Heine-

Borel theorem and the fact that the dyadic integers are dense in the real line . Thus f is ∇ -continuous if $f^{-1}[A] < f^{-1}[B]$, where $A = [s, t]$, $B = (r, u)$ and $r < s \leq t < u$ are dyadic integers . We now prove that this is the case for the function f defined above .

Suppose $[r, s] \subset (q, t) \subset [0, 1]$. Let u, v be s.t. $q < u < r \leq s < v < t$ and $0 < u < v < 1$. Now $f^{-1}[[r, s]] \subset f^{-1}[(u, v)] = \{x \mid u < f(x)\} \cap \{x \mid f(x) < v\} \subset \{x \mid x \notin H_u\} \cap \{x \mid x \in H_v\} = X - H_u \cap H_v$. If a, b are dyadic integers s.t. $q < a < u < v < b < t$, then $X - H_a \cap H_b \subset \{x \mid a \leq f(x)\} \cap \{x \mid f(x) < b\} \subset f^{-1}[(q, t)]$. But $H_v \subset H_b$ and $H_b \subset H_u$, hence $X - H_u \cap H_v \subset X - H_a \cap H_b$, since $X - H_u \subset X - H_a$. Thus $f^{-1}[[r, s]] \subset f^{-1}[(q, t)]$, as required.

To prove the sufficient condition, assume $A, B \subset X$ s.t. $\exists f: X \rightarrow [0, 1]$, f \leftarrow -continuous, and $A \subset f^{-1}[0]$, $X - B \subset f^{-1}[1]$. Then $A \cap X - B$, since 0 is far from 1 and f is \leftarrow -continuous. Thus $A \subset B$, as required.

The following result completes our introduction to Chapter 2.

Proposition 1.4.9. Let X be a hausdorff space with a c_0 -subordination. X is compact iff every \leftarrow_0 -ultrafilter is convergent, i.e. has a non empty intersection.

The definition of a \leftarrow_0 -ultrafilter appears in Chapter 2.

Note that $G^- = \bigcap \{V \mid G \subset V\}$, hence $\bigcap \{G \mid G \in \xi\} = \bigcap \{G^- \mid G \in \xi\}$, where ξ is a \leftarrow_0 -ultrafilter. Necessity follows from compactness of X .

Conversely, if $\{F_\alpha\}$ is a filter of closed sets, then $\{V \mid \exists 0, \alpha$ s.t. $F_\alpha \subset 0 \subset V\}$ is a \leftarrow_0 -filter. The result now follows since every \leftarrow_0 -filter can be included in a \leftarrow_0 -ultrafilter, which, by assumption, has a smaller and non-empty intersection.

Notes

Generalised closure was introduced by Hammer [H_1]. We show that the resulting spaces are the same as Fréchet's V -spaces [F_1].

The axioms S1-S3 for separation were given by Wallace [W_1] as axioms for a weak separation. They arise naturally when trying to characterise generalised closure spaces in terms of a notion of separation. Some of the propositions given here relating ∇ and u are similar to theorems in [W_1]. Axioms for separation were also considered by Krishna-Murti [KM_1] and Szymanski [Sz_1]. A more complete, though slightly different, treatment is found in Čech's book [C_1].

The axioms for a c -subordination are essentially the same as those given by Effremović [E_1], who also proved that the topology induced is completely regular. Here we prove slightly more.

For technical reasons, it is convenient to restrict a subordination to the family of open sets that it induces, thus the notion of a c_0 -subordination. The axioms for a c_0 -subordination may be regarded as supplementing those given by Freudenthal [Fr_1]. With the set of axioms given in the text, one can establish a one to one correspondence between T_2 compactifications and c_0 -subordinations. This is not possible with Freudenthal's axioms as remarked by Aleksandrov [A_1].

In the following chapters we shall use the term c -subordination or compact subordination for both a c -subordination and a c_0 -subordination.

Chapter 2.

Subordinations and Compactifications

A subordination induces a generalised topology where V is a neighbourhood of x if $x < V$. For a compact subordination the neighbourhood system of x is a $<$ -ultrafilter. The point of view of this section is that all $<$ -ultrafilters have the same right to be called neighbourhoods of points. Thus, ideal elements are introduced that serve as points having $<$ -ultrafilters as neighbourhood systems. This can be described as completing X with respect to a subordination. One would expect the resulting space to have the property that every $<$ -ultrafilter converges, and, hence be compact. Conversely a compactification C induces, in a natural way, a subordination which gives rise to C .

Henceforth we shall refer to a compact subordination simply as a subordination.

2.2. The Compactification Induced by a Subordination

Definition 2.2.1. A family ξ of open subsets of X s.t.

- i) $\xi \neq \emptyset$
- ii) $\emptyset \notin \xi$
- iii) $G_1, G_2 \in \xi \Rightarrow G_1 \cap G_2 \in \xi$
- iv) $G_1 \in \xi \Rightarrow \exists G_2 \text{ s.t. } G_1 < G_2$

is a $<$ -filter. A $<$ -ultrafilter is a $<$ filter which is maximal with respect to set inclusion.

We now use Zorn's lemma to show that

Proposition 2.2.1. Every \leftarrow -filter can be included in a \leftarrow -ultrafilter.

If ξ_0 is a \leftarrow -filter and C a chain of \leftarrow -filters including ξ_0 , then $\cup \{ \sigma \mid \sigma \in C \}$ is a \leftarrow -filter including ξ_0 . By Zorn's lemma the set of \leftarrow -filters including ξ_0 has a maximal element.

Definition 2.2.2. $\langle X = \{ \xi \mid \xi \text{ is a } \leftarrow\text{-ultrafilter} \}$.

For any open G , $G^* = \{ \xi \mid G \in \xi \}$.

Proposition 2.2.2. $(G_1 \cap G_2)^* = G_1^* \cap G_2^*$.

If $\xi \in G_1^* \cap G_2^*$, then G_1 and $G_2 \in \xi$, hence $\exists G_3, G_4 \in \xi$ s.t. $G_3 \subset G_1$ and $G_4 \subset G_2$ so that $G_3 \cap G_4 \in \xi$; since $G_3 \cap G_4 \in \xi$. Thus $G_1^* \cap G_2^* \subset (G_1 \cap G_2)^*$. Conversely, if $\xi \in (G_1 \cap G_2)^*$, then $G_1 \cap G_2 \in \xi$, now $G_1 \cap G_2 \in G_j$, $j = 1, 2$, hence G_1 and $G_2 \in \xi$, so that $\xi \in G_1^* \cap G_2^*$. This completes the proof.

Corollary The G^* 's form a base $[K_1]$ for open sets in $\langle X$.

Whenever we refer to $\langle X$ we mean $\langle X$ with the topology described above.

The following lemma is useful in establishing that $\langle X$ is compact and hausdorff.

Lemma 2.2.3. Let ξ be a \leftarrow -ultrafilter, G open s.t. for any $H \supset G$, $H \cap A \neq \emptyset$ for all $A \in \xi$; then any such $H \in \xi$.

In particular, if $G \cap A \neq \emptyset$ for all $A \in \xi$, then $G < H$ implies $H \in \xi$.

Let $\zeta_0 = \{ A \cap H \mid A \in \xi \text{ and } H > G \}$. Note that $\xi \subset \zeta_0$ since $G < X$. Hence, if ζ_0 is a \leftarrow -filter, then $\xi = \zeta_0$. Furthermore $H \in \zeta_0$ if $H > G$, since $H = H \cap X$ and $X \in \xi$. To complete the proof we have only to show that ζ_0 is a \leftarrow -filter.

- i) $X \in \xi$ and $G < X$, hence $X \in \zeta_0$ and so $\zeta_0 \neq \emptyset$.
- ii) $A \cap H \neq \emptyset$ for any $A \in \xi$ and $H > G$, hence $\emptyset \notin \zeta_0$.
- iii) If $A_j \cap H_j \in \zeta_0$ for $j = 1, \dots, n$, then $\bigcap_{j=1}^n (A_j \cap H_j) = \bigcap_{j=1}^n A_j \cap \bigcap_{j=1}^n H_j \in \zeta_0$, since $G < \bigcap_{j=1}^n H_j$ and ξ is a \leftarrow -filter.
- iv) If $A \cap H \in \zeta_0$, let $A_1 \in \xi$ and H_1 be s.t. $A_1 < A$ and $G < H_1 < H$. Then $A_1 \cap H_1 \in \zeta_0$ and $A_1 \cap H_1 < A \cap H$, so ζ_0 is a \leftarrow -filter.

Corollary 1. If $G < H$ then $G^{*-} \subset H^*$, where $-$ denotes closure in $\langle X \rangle$.

If $\xi \in G^{*-}$ then $G^* \cap A^* \neq \emptyset$, hence $G \cap A \neq \emptyset$, for all $A \in \xi$. Hence $G < H$ implies $H \in \xi$ i.e. $\xi \in H^*$, as required.

We shall prove later that $G^{*-} = \bigcap \{ H^* \mid H > G \}$.

Corollary 2. If $G_j < H_j$ for $j=1, \dots, n$ and $\bigcup_{j=1}^n G_j = X$, then $\bigcup_{j=1}^n H_j^* = \langle X \rangle$.

By corollary 1, $G_j^{*-} \subset H_j^*$ for each j , so $(\bigcup_{j=1}^n G_j^{*-}) \subset \bigcup_{j=1}^n H_j^*$.

Let $\xi \in \langle X \rangle$, then for any $A \in \xi$ we have $A \cap G_j \neq \emptyset$ for some j , since $A \neq \emptyset$, hence $A^* \cap G_j^* \neq \emptyset$ and so $A^* \cap \bigcup_{j=1}^n G_j^* \neq \emptyset$, thus $\xi \in \bigcup_{j=1}^n G_j^{*-} = \left(\bigcup_{j=1}^n G_j^* \right)^-$, this completes the proof.

Proposition 2.2.4. $\langle X \rangle$ is a hausdorff space .

Let $\xi \neq \zeta$ be elements of $\langle X \rangle$, $\exists A \in \xi - \zeta$. If $A_1 \in \xi$ is s.t. $A_1 \subset A$, then $A_1^{*-} \subset A^*$. Now $\zeta \not\subset A^*$ hence $\zeta \not\subset A_1^{*-}$, thus A_1^* and $\langle X - A_1^{*-} \rangle$ are disjoint open neighbourhoods of ξ and ζ , respectively .

Proposition 2.2.5. $\langle X \rangle$ is compact

We argue by contradiction. Suppose $\{ C_j^* \}$ is a family of basic open sets covering $\langle X \rangle$ such that no finite subfamily covers $\langle X \rangle$. Define $\{ B_i^* \mid B_i \subset C_j \text{ for some } j \} = \Omega$. We verify that Ω is a cover and then exhibit an element of $\langle X \rangle$ which is not contained in any member of Ω , this is a contradiction and the compactness of $\langle X \rangle$ is established.

If $\xi \in \langle X \rangle$ then $\xi \in C_j^*$ for some j , so $C_j \in \xi$; hence $\exists B \in \xi$ s.t. $B \subset C_j$, it follows that $B^* \in \Omega$, also $\xi \in B^*$ hence Ω is an open cover of $\langle X \rangle$.

Let $\zeta_0 = \{ X\text{-cl } B_j \mid B_j^* \in \Omega \}$.

i) $\emptyset \in \Omega$ so $X \in \zeta_0$, this shows that $\zeta_0 \neq \emptyset$

ii) Suppose $X - \text{cl } B_j \in \zeta_0$ for $j=1, \dots, n$. and $\bigcap_{j=1}^n X - \text{cl } B_j = \emptyset$, then $\text{cl} \left(\bigcup_{j=1}^n B_j \right) = X$. Now the subordination is com-

pact so $\exists D_j$ s.t. $B_j \subset D_j \subset C_j$, where $C_j^* \in$ original cover, and

$\text{cl } B_j \subset D_j$. We have, then, $\bigcup_{j=1}^n D_j = X$, hence $\bigcup_{j=1}^n C_j^* = \langle X$ which contradicts our choice of C_j^* 's.

iii) Given $B_j^* \in \Omega$, to find $B^* \in \Omega$ s.t. $X - \text{cl } B < X - \text{cl } B_j$, choose B s.t. $B_j < B < C_j$, where C_j is s.t. $B_j < C_j$.

The above shows that the finite intersections of members of ζ_0 constitute a \leftarrow -filter. Let ζ be a \leftarrow -ultrafilter including this \leftarrow -filter, then $\zeta \not\subset B_j^*$ for any $B_j^* \in \Omega$ since $B_j \cap (X - \text{cl } B_j) = \emptyset$ and $X - \text{cl } B_j \in \zeta$.

We now consider the embedding of X in $\langle X$.

Definition 2.2.3. $\Phi(x) = \{ O \mid O \text{ is open in } X \text{ and } x \in O \}$

Proposition 2.2.6. $\Phi(x)$ is a \leftarrow -ultrafilter.

It is clear that $\Phi(x)$ is a \leftarrow -filter. To show that it is maximal, assume $\exists \xi \in \langle X$ s.t. $\Phi(x) \subsetneq \xi$. then $\exists G \in \xi$ s.t. $x \notin G$. Now $\exists G_1 \in \xi$ s.t. $G_1 < G$, hence $\text{cl } G_1 \subset G$ and so $x \notin \text{cl } G_1$. But this implies $X - \text{cl } G_1 \in \Phi(x) \subset \xi$, impossible since $G_1 \in \xi$ and $G_1 \cap (X - \text{cl } G_1) = \emptyset$.

Proposition 2.2.7. $\Phi : X \rightarrow \langle X$ is one-to-one.

Suppose $x \neq y$ are points of X . Now $X - \{ y \}$ is open and contains x , so $\exists O$, open, s.t. $x \in O < X - \{ y \}$; hence $\text{cl } O \subset X - \{ y \}$ so $X - \text{cl } O \in \Phi(y)$. Noting that $O \in \Phi(x)$ we conclude $\Phi(x) \neq \Phi(y)$.

Proposition 2.2.8. For any open set G , $\Phi[G] = G^* \cap \Phi[X]$
 For all $x \in X$, $\Phi(x) \in G^*$ iff $G \in \Phi(x)$ iff $x \in G$.

Corollary 1. Φ is an open map.

Corollary 2. Φ is continuous.

Note $\Phi^{-1}[G^*] = \Phi^{-1}[G^* \cap \Phi[X]] = \Phi^{-1}[\Phi[G]] = G$ by propositions 2.2.7 and 8. Continuity follows from the fact that the G^* 's form a base.

Corollary 3. $\Phi[X]$ is dense in $\langle X \rangle$.

Since any non empty basic open set G^* meets $\Phi[X]$ in $\Phi[G]$ which is not empty.

The following remarks will be useful later.

Proposition 2.2.9. $(\bigcup_j G_j^*) \cap \Phi[X] = (\bigcup_j G_j)^* \cap \Phi[X]$

R.h.s. = $\Phi[\bigcup_j G_j] = \bigcup_j \Phi[G_j] = \bigcup_j (G_j^* \cap \Phi[X]) = \text{l.h.s.}$

Corollary G^* is the largest open set meeting $\Phi[X]$ in $\Phi[G]$.

Proposition 2.2.10. For any open G , $G^{*-} = (\Phi[G])^-$

$\Phi[G]^- = (G^* \cap \Phi[X])^- = G^{*-}$ since G^* is open and $\Phi[X]$ is dense.

2.3. The Subordination Induced by a Compactification

Given a subordination \langle , we remarked that $G \langle H$ implies $G^{*-} \subset H^*$. Identifying X and $\Phi[X]$, we have $G \langle H$ implies $G^- \cap \langle X - H^* = \emptyset$, where H^* is the largest open set in $\langle X$ that meets X in H .

When a compactification C is given, it is natural to look for a subordination inducing C . In view of the above remarks, a reasonable definition for \langle is

Definition 2.3.1. Let C be a compactification of X . $G_1 \langle G_2$ if $G_1 \cap C - G_2^* = \emptyset$, where G_1 and G_2 are open in X and G^* is the largest open subset of C intersecting X in G .

Proposition 2.3.1.

- i) $A^* \cup B^* \subset (A \cup B)^*$
- ii) $A \subset B$ implies $A^* \subset B^*$
- iii) $A^* \cap B^* = (A \cap B)^*$
- iv) $A^{*-} = A^-$

For any A, B open in X .

- i) $(A^* \cup B^*) \cap X = (A^* \cap X) \cup (B^* \cap X) = A \cup B$, by definition it follows that i) is true.
- ii) If $A \subset B$, then $A^* \subset A^* \cup B^* \subset (A \cup B)^* = B^*$.
- iii) $(A^* \cap B^*) \cap X = A \cap B$, also $(A \cap B)^* \cap X = A \cap B \subset A$. Similarly, $(A \cap B)^* \subset X \subset B$, iii) follows.
- iv) $A^{*-} = (A^* \cap X)^-$ since A^* is open and X is dense, now $(A^* \cap X)^- = A^-$, this completes the proof.

We can now prove that \langle is a compact subordination.

Proposition 2.3.2. \prec , defined above, is a c -subordination .

S1* $\varphi \prec A$ is obvious .

S2* Let $A \prec B$, then $A^- \subset B^* \subset B^{*-} = B^-$. Hence $C-B^- \subset C-B^*$, and so $(C-B^-)^- \subset C-B^* \subset C-A^-$. Now $C-A^- \subset (X-clA)^*$, since $(C-A^-) \cap X = X-clA$. Hence $(X-clB)^- \subset (C-B^-)^- \subset (X-clA)^*$, so that $X-clB \prec X-clA$.

S3* If $A \prec B$, then $A^- \subset B^*$. Hence $A \subset A^- \cap X \subset B^* \cap X = B$.

S4* If $A \prec B \prec C \prec D$, then $A^- \subset B^- \subset C^* \subset D^*$. Hence $A \prec D$.

S5* If $A_1 \prec B \prec C_i$, then $A_1^- \subset B^*$ and $B^- \subset C_i^*$. It follows that $(A_1 \cup A_2)^- = A_1^- \cup A_2^- \subset B^*$, and so $(A_1 \cup A_2) \prec B$. Also $B^- \subset C_1^* \cap C_2^* = (C_1 \cap C_2)^*$, hence $B \prec (C_1 \cap C_2)$.

S6* If $A \prec B$, then $A^- \subset B^*$. C is compact T_2 , hence normal $[K_1]$, so $\exists O$, open, s.t. $A^- \subset O \subset O^- \subset B^*$. Now $O \subset (O \cap X)^*$ and $(O \cap X)^- = O^-$, hence $A^- \subset (O \cap X)^* \subset (O \cap X)^{-} = (O \cap X)^- = O^- \subset B^*$. Hence $A \prec O \cap X \prec B$, and $O \cap X$ is open in X .

S7* If O is open in X and $x \in O$, then $x \in O^*$. Now C is regular, so $\exists V$, open, s.t. $x \in V \subset V^- \subset O^*$. Thus $x \in V \cap X$, and $(X \cap V)^- = V^- \subset O^*$, so that $X \cap V \prec O$, as required .

2.4. The correspondence between \prec 's and compactifications

To show that every compactification arises from its induced subordination , we define a map $\pi: X \rightarrow C$, C the given compactification , s.t. π is continuous, one to one, and onto, and hence a homeomorphism since all spaces involved are compact and T_2 .

To define π , we associate with each \prec -ultrafilter its unique adherence point , this is justified by

Proposition 2.4.1. If $\xi \in \langle X$, then $\bigcap \{ G^- \mid G \in \xi \} \neq \emptyset$,
where $-$ = closure in C .

This follows immediately from compactness of C .

Proposition 2.4.2. Let $c \in \bigcap \{ G^- \mid G \in \xi \}$. $V \cap X \in \xi$
when V is open in C and $c \in V$.

Let U be open in C s.t. $c \in U \subset U^- \subset V$, then $(U \cap X)^- = U^- \subset V \subset (V \cap X)^*$, so $U \cap X \subset V \cap X$. Furthermore $(U \cap X) \cap G = U \cap G \neq \emptyset$ for all $G \in \xi$, hence $V \cap X \in \xi$, by lemma 2.2.3.

Corollary If $c, d \in \bigcap \{ G^- \mid G \in \xi \}$ then $c = d$. Assume $c \neq d$, C is a hausdorff space so $\exists U, V$, open, s.t. $c \in U, d \in V$ and $U \cap V = \emptyset$. By above $U \cap X, V \cap X \in \xi$; this is impossible.

Definition 2.4.1. For any $\xi \in \langle X$, let $\pi(\xi) = c$ where $\{c\} = \bigcap \{ G^- \mid G \in \xi \}$.

Proposition 2.4.3. π is one to one.

Let $\xi \neq \zeta \in \langle X$, then $\exists G_1^*, G_2^*$, basic open subsets of $\langle X$, s.t. $\xi \in G_1^*, \zeta \in G_2^*$ and $G_1^* \cap G_2^* = \emptyset$. Now $\pi(\xi) \in G_1$ and $\pi(\zeta) \in G_2$, hence $\pi(\xi) \neq \pi(\zeta)$ since $G_1 \cap G_2 = \emptyset$.

Proposition 2.4.4. $\pi[\Phi(x)] = x$ for all $x \in X$.

$\pi[\Phi(x)] \in \bigcap \{ O^- \mid O \text{ open and } x \in O \}$; clearly, x belongs to the same set. By definition of π , we have $\pi[\Phi(x)] = x$.

To prove continuity of π , we use the following lemmas

Lemma 2.4.5. $\{ O^+ \mid O \text{ open in } X \}$ is a base for the topology on C , where O^+ is the largest open subset of C s.t. $O^+ \cap X = O$.

Note i) $O_1^+ \cap O_2^+ = (O_1 \cap O_2)^+$ by proposition 2.3.1.

ii) If V is an open neighbourhood of c , let U be open s.t. $c \in U \subset U^- \subset V$. Again by 2.3.1., we have $U \subset (U \cap X)^+ \subset (U \cap X)^{+-} = (U \cap X)^- = U^- \subset V$, this completes the proof.

Lemma 2.4.6. If $\{ F_\nu \}$ is a filter $[K_1]$ of closed subsets of a compact space C , and G is open and $\bigcap \{ F_\nu \} \subset G$, then $F_\nu \subset G$ for some ν .

We argue by contradiction. If $F_\nu \not\subset G$ for all ν , then $\{ F_\nu \cap (C-G) \}$ is a filter of closed sets, and so $\exists c \in \bigcap \{ F_\nu \cap (C-G) \} = \bigcap \{ F_\nu \} \cap (C-G)$. This is impossible.

Proposition 2.4.7. π is continuous.

By 2.45 it is sufficient to consider the sets $\pi^{-1}[O^+]$, O , open in X . $\pi^{-1}[O^+] = \{ \xi \mid \bigcap \{ G^- \mid G \in \xi \} \subset O^+ \} = \{ \xi \mid \exists G \in \xi \text{ s.t. } G^- \subset O^+ \}$, by 2.4 6. So $\pi^{-1}[O^+] = \{ \xi \mid \exists G \in \xi \text{ s.t. } G \subset O \} = \{ \xi \mid O \in \xi \} = O^*$, a basic open subset of $\langle X \rangle$.

Proposition 2.4.8. π is onto.

$\pi[\langle X \rangle \supset \pi[\Phi[X]] = X$. Also , $\pi[\langle X \rangle$ is compact , hence closed in C , thus $\pi[\langle X \rangle \supset X^- = C$ and the proof is complete .

As remarked above , we can now state

Proposition 2.4.9 . π is a homeomorphism leaving the points of X fixed in the sense that $\pi(\Phi(x)) = x$, for all $x \in X$.

A subordination on X determines a compactification $\langle X$ which , in turn , induces a subordination on $\Phi[X]$. It is natural to ask how the two are related . Proposition 2.4.10. shows that they are essentially the same .

Proposition 2.4.10. If \prec is a subordination on X and \langle the subordination induced by $\langle X$, then $G \prec H$ iff $\Phi[G] \prec \Phi[H]$.

We first prove sufficiency . Suppose $\Phi[G] \prec \Phi[H]$, then $(\Phi[G])^- \subset (\Phi[H])^*$, since , by the corollary to 2.2.9. , $(\Phi[H])^*$ is the largest open set in $\langle X$ meeting $\Phi[X]$ in $\Phi[H]$. Also $(\Phi[H])^* = H^*$, so that , for any $\xi \in (\Phi[G])^-$, $\xi \in H^*$. For any such ξ , $\exists A \in \xi$ s.t. $A \prec H$. Now $\xi \in A^*$ and $(\Phi[G])^-$ is compact , hence $\exists A_j$, $j = 1, \dots, n$. s.t. $(\Phi[G])^- \subset \bigcup_{j=1}^n A_j^*$ and $A_j \prec H$ for each j . Thus $\Phi[G] \subset \bigcup_{j=1}^n A_j^* \cap \Phi[X] = \Phi[\bigcup_{j=1}^n A_j]$,

and , since Φ is one to one , we have $G \subset \bigcup_{j=1}^n A_j$. But $A_j < H$ for all j , hence $G < H$, as required .

Necessity - If $G < H$, then $(G^*)^- \subset H^*$, so $(\Phi[G])^- = (G^*)^- \subset [\Phi[H]]^* = H^*$. This shows that $\Phi[G] < \Phi[H]$.

Corollary After corollary 1 to 2.2.3. we remarked that $G^{*-} = \bigcap \{ H^* \mid G < H \}$. To prove this it is sufficient to show that $G^{*-} \subset H^*$ implies $G < H$. But this is $\Phi[G] < \Phi[H] \Rightarrow G < H$, which was proved above .

Propositions 2.4.10. and 2.4.9 . give

Proposition 2.4.11. There is a one to one correspondence between the subordinations on X and the compactifications of X .

2.5. Subordinations and Normal Bases

This section is a digression . The concept of normal base is introduced and shown to give rise to a compactification . The procedure is similar to the one described in section 2.2. but is simpler . Here each x in X is mapped into an ultrafilter of basic closed sets , rather than a \leftarrow -ultrafilter of open sets .

The main object of this section is to show that a normal base gives rise to a subordination , and that the compactifications they induce are the same .

Definition 2.5.1. A family of closed sets is a normal family if

- i) $\emptyset \neq X \in \Gamma$, where Γ denotes the family
- ii) $N_1, N_2 \in \Gamma \Rightarrow N_1 \cup N_2, N_1 \cap N_2 \in \Gamma$
- iii) If F is closed and $x \notin F$ then \exists
 $N \in \Gamma$ s.t. $x \in N$ and $N \cap F = \emptyset$
- iv) If $N_1, N_2 \in \Gamma$ and $N_1 \cap N_2 = \emptyset$ then \exists in Γ
 N_3, N_4 s.t. $N_j \subset X - N_{j+2}$, $j = 1, 2$
 and $X - N_3 \cap X - N_4 = \emptyset$.

A normal family that is also a base for closed sets is a normal base.

Definition 2.5.2. A filter ξ s.t. $\xi \subset \Gamma$ is a Γ -filter.
 maximal Γ -filter is a Γ -ultrafilter.

Definition 2.5.3. $\mathcal{I}X = \{ \xi \mid \xi \text{ is a } \Gamma\text{-ultrafilter on } X \}$.
 For any $N \in \Gamma$, let $N^* = \{ \xi \mid N \in \xi \text{ and } \xi \in \mathcal{I}X \}$.

We show that the N^* 's form a base for closed sets in $\mathcal{I}X$.

This is an immediate consequence of

Proposition 2.5.1. $(N_1 \cup N_2)^* = N_1^* \cup N_2^*$ and
 $(N_1 \cap N_2)^* = N_1^* \cap N_2^*$, $N_1, N_2 \in \Gamma$.

$\xi \in (N_1 \cup N_2)^*$ iff $N_1 \cup N_2 \in \xi$ iff N_1 or $N_2 \in \xi$ iff $\xi \in N_1^* \cup N_2^*$

This proves the first equality in 2.5.1., the proof of the second is similar.

Proposition 2.5.2. $\mathcal{I}X$ is compact .

Suppose $\xi^* = \{ N_v^* \}$ is an ultrafilter of basic closed subsets of $\mathcal{I}X$. Let $\xi = \{ N_v \mid N_v^* \in \xi^* \}$. ξ is a Γ -filter since $(N_{v_1} \cap N_{v_2})^* = N_{v_1}^* \cap N_{v_2}^* \neq \emptyset$ and so $N_{v_1} \cap N_{v_2} \neq \emptyset$. To show that ξ is a Γ -ultrafilter , consider $N \in \Gamma$ s.t. $N \cap N_v \neq \emptyset$ for all v , then $N^* \cap N_v^* = (N \cap N_v)^* \neq \emptyset$. Now ξ^* is an ultrafilter of basic sets , hence $N^* \in \xi^*$ and so $N \in \xi$, as required . Furthermore $N_v \in \xi$ for all v , hence $\xi \in \bigcap \{ N_v^* \}$. This completes the proof .

Proposition 2.5.3. $\mathcal{I}X$ is hausdorff if Γ is a normal family .

Suppose $\xi_1 \neq \xi_2 \in \mathcal{I}X$, then $\exists N_j \in \xi_j$ $j=1,2$. s.t. $N_1 \cap N_2 = \emptyset$, hence $\exists N_3, N_4 \in \Gamma$ s.t. $N_1 \subset X - N_3$, $N_2 \subset X - N_4$ and $X - N_3 \cap X - N_4 = \emptyset$. So $N_1 \cap N_3 = \emptyset$, hence $N_3 \notin \xi_1$ and thus $\xi_1 \in \mathcal{I}X - N_3^*$. Similarly $\xi_2 \in \mathcal{I}X - N_4^*$. These open sets are disjoint since $N_3^* \cup N_4^* = (N_3 \cup N_4)^* = X^* = \mathcal{I}X$.

A partial converse is

Proposition 2.5.4. If Γ is a family of subsets of X satisfying ii) and iii) of definition 2.5.1. , then iv) also holds if $\mathcal{I}X$ is compact hausdorff .

We first remark that every Γ -filter can be included in a Γ -ultrafilter , it follows that $N^* \neq \emptyset$ for any $N \in \Gamma$ s.t. $N \neq \emptyset$.

It is now an easy consequence of iii) that $N_1^* \cup N_2^* = \mathcal{I}X$ implies $N_1 \cup N_2 = X$.

To prove iv) , let $N_1, N_2 \in \Gamma$ be disjoint . Then $N_1^* \cap N_2^* = \emptyset$, and , since IX is compact and hausdorff , \exists basic closed sets N_3^* and N_4^* s.t. $N_1^* \subset IX - N_3^*$ and $N_2^* \subset IX - N_4^*$ and also $N_3^* \cup N_4^* = IX$

By a remark above $N_3 \cup N_4 = X$, hence $X - N_3 \cap X - N_4 = \emptyset$. To complete the proof note that $N_1^* \cap N_3^* = \emptyset$, hence $N_1 \cap N_3 = \emptyset$, similarly $N_2 \cap N_4 = \emptyset$. Thus iv) holds .

The Embedding of X in IX

Definition 2.5.4. $\Phi(x) = \{ N \mid N \in \Gamma \text{ and } x \in N \}$.

Proposition 2.5.5. If Γ satisfies iii) of D.2.5.1. , then $\Phi(x)$ is a Γ -ultrafilter .

It is clear that $\Phi(x)$ is a Γ -filter . If $N \in \Gamma$ and $x \notin N$, then $\exists N_1 \in \Gamma$ s.t. $x \in N_1$ and $N_1 \cap N = \emptyset$. Hence $N \notin \Phi(x)$, this completes the proof .

A partial converse is

Proposition 2.5.6. If Γ is a base for closed sets and $\Phi(x)$ is a Γ -ultrafilter then iii) of D.2.5.1. is satisfied .

Suppose $x \in X$ and $F = F^- \subset X$ s.t. $x \notin F$, then $\exists N \in \Gamma$ s.t. $x \notin N$ and $N \supset F$. Thus $N \notin \Phi(x)$ and so $\exists N_1 \in \Phi(x)$ s.t. $N_1 \cap N = \emptyset$, as required .

Proposition 2.5.7. If Γ satisfies iii) of D.2.5.1. then Φ is one to one .

If $x \neq y$, then $\exists N_1 \in \Gamma$ s.t. $x \in N$ and $y \notin N_1$, by iii).
 Again by iii), $\exists N_2 \in \Gamma$ s.t. $y \in N_2$ and $N_1 \cap N_2 = \emptyset$. Hence
 $\Phi(x) \neq \Phi(y)$.

Proposition 2.5.8. $\Phi[N] = N^* \cap \Phi[X]$, for all $N \in \Gamma$.

$x \in N$ iff $N \in \Phi(x)$ iff $\Phi(x) \in N^*$. This completes the proof.

Corollary Φ is an open map.

This follows from the above proposition and the fact that Φ is one to one.

Proposition 2.5.9. $\Phi^{-1}[N^*] = N$, for any $N \in \Gamma$.

$\Phi^{-1}[N^*] = \Phi^{-1}[N^* \cap \Phi[X]] = \Phi^{-1}[\Phi[N]] = N$, since Φ is 1 to 1.

Corollary Φ is continuous.

Proposition 2.5.10. $\Phi[X]$ is dense in IX .

Let $\xi \in IX$ and $IX - N^*$ be a basic open neighbourhood of ξ , then $N \notin \xi$. Now $N \neq X$, so, for any $x \notin N$, we have $N \notin \Phi(x)$ and hence $\Phi(x) \in IX - N^*$, as required.

Thus IX is a compactification of X .

The subordination induced by a normal base

Definition 2.5.5. Let G, H be open in X . Define $G < H$ if $\exists N_1, N_2 \in \Gamma$ s.t. $G \subset N_1 \subset X - N_2 \subset H$.

Proposition 2.5.11 $<$ is a subordination on X .

S1* $\phi < G$, G open — is true since $\phi \subset \phi \subset X-X \subset G$, for any G .

S2* Let $G < H$, then $\exists N_1, N_2 \in \Gamma$ s.t. $G \subset N_1 \subset X-N_2 \subset H$, so that $\text{cl}G \subset N_1 \subset X-N_2 \subset \text{cl}H$, since the N 's are closed. Hence $X-\text{cl}H \subset N_2 \subset X-N_1 \subset X-\text{cl}G$, so $X-\text{cl}H < X-\text{cl}G$.

S3* $A < B$ implies $A \subset B$, by definition.

S5* If $A_i < B < C_i$, then $A_1 \cup A_2 < B < C_1 \cap C_2$, since Γ is closed under finite unions and intersections.

S6* Let $G < H$. We show that $\exists N \in \Gamma$ s.t. $G < X-N < H$. $\exists N_1, N_2 \in \Gamma$ s.t. $G \subset N_1 \subset X-N_2 \subset H$. Now $N_1 \cap N_2 = \phi$, hence $\exists N_3, N_4 \in \Gamma$ s.t. $N_1 \subset X-N_3 \subset N_4 \subset X-N_2$. Hence $G < X-N_3 < H$, as required.

S7* If $x \in V$, V open, then $x \notin X-V$ so that $\exists N_1, N_2 \in \Gamma$ s.t. $x \in N_1 \subset X-N_2 \subset V$. Hence $\exists N_3, N_4 \in \Gamma$ s.t. $N_1 \subset X-N_3 \subset N_4 \subset X-N_2 \subset V$.

Thus $x \in X-N_3 < V$.

S4* It is obvious that $G_1 \subset G_2 < H_2 \subset H_1$ implies $G_1 < H_1$.

Let $<$ denote the subordination induced by Γ . We now show that $<X$ and ΓX are homeomorphic, the homeomorphism leaving the points of X fixed. To simplify the notation we denote the image of $x \in X$ in $<X$ and ΓX by x .

Proposition 2.5.12. $\{N \mid N \in \Gamma \text{ and } \exists G \in \xi \text{ s.t. } G \subset N\}$ can be included in a unique Γ -ultrafilter, when ξ is a $<$ -ultrafilter.

Suppose $\exists \xi_1 \neq \xi_2 \in \Gamma$ including this set. $\exists N_1 \in \xi_1$ s.t. $N_1 \cap N_2 = \phi$, hence $\exists N_3, N_4$ in Γ s.t. $N_1 \subset X-N_3 \subset N_4 \subset X-N_2$. Thus $X-N_3 < X-N_2$.

Now , for any $G \in \xi$, $X-N_3 \cap G \supset N_1 \cap G \supset G_1 \cap G$ for some $G_1 \in \xi$. Hence $X-N_3 \cap G \neq \emptyset$ for all $G \in \xi$. By lemma 2.2.3. it follows that $X-N_2 \in \xi$, this contradicts $N_2 \in \zeta_2$ and completes the proof .

Definition 2.5.6. In the notation of the above proposition , let $\tau(\xi) = \zeta$.

Proposition 2.5.13. τ is a one to one map .

If $\xi_1 \neq \xi_2 \in \mathcal{X}$, then $\exists G_j \in \xi_j$, $j=1,2$. s.t. $G_1 \cap G_2^* = \emptyset$, since X is compact hausdorff . It follows that $G_1 \not\subset X\text{-cl}G_2$, hence $\exists N \in \Gamma$ s.t. $G_1 \subset X-N \subset X\text{-cl}G_2$, so that $X-N \in \xi$ and $G_2 \subset N$. Thus $N \in \tau(\xi_2)$ and $N \notin \tau(\xi_1)$, so $\tau(\xi_1) \neq \tau(\xi_2)$.

Proposition 2.5.14 $\tau(x) = x$, for all $x \in X$.

This follows from proposition 2.5.12 and the fact that $\{N \mid N \in \Gamma \text{ and } x \in N\} \supset \{N \mid N \supset G \text{ for some } G \text{ s.t. } x \in G\}$.

Proposition 2.5.15 $\tau^{-1}[N^*] = X-(X-N)^*$ for all $N \in \Gamma$.

Let $\xi \in (X-N)^*$, then $X-N \in \xi$ and so $N \notin \tau(\xi)$. This shows that $\tau^{-1}[N^*] \subset X-(X-N)^*$. Conversely , if $N \notin \tau(\xi)$, then $\exists N_1 \in \tau(\xi)$ and $N_2, N_3 \in \Gamma$ s.t. $N_1 \subset X-N_2 \subset N_3 \subset X-N$ so that $\exists G \in \xi$, $G \subset X-N$. Thus $X-N \in \xi$ i.e. $\xi \in (X-N)^*$. The proof is complete.

Corollary τ is continuous .

Proposition 2.5.16 τ is onto .

The proof is the same as that of proposition 2.4.8.

We have now shown that $\langle X$ and τX are homeomorphic , the homeomorphism leaving the points of X fixed .

To complete this digression we remark that it is not known if a subordination \langle induces a normal base which , in turn , gives rise to \langle .

Notes

Wallman [Wa₁] obtained a compactification of an arbitrary T_1 space by embedding it in the space of all maximal closed filters on the space. A similar technique had been used before by Stone [S₁], and resembles the embedding of a partially ordered space in a complete lattice. The construction of βX given here, is in [Fk₁] and is a modification of Wallman's construction. Aleksandrov [A₂] obtained βX , also by a modification of Wallman's method. The constructions in Shirota [Sh₁], Horne [H₁], de Vries [Vr₁] and the one used here, all embed X in a space of R -ultrafilters, where R is a relation in $\mathcal{P}[X]$. Freudenthal [Fr₁] considered introducing a subordination with the aim of compactifying a topological space. As remarked earlier, he did not obtain a one to one correspondence between his subordinations and compactifications of the space. This was achieved by Smirnov [Sm₁] in terms of proximities on X , and his result was reformulated in terms of a subordination by Aleksandrov and Ponomarev [AP₁], who axiomatised the notion of a subordination of a closed set to an open set. Here we give axioms for a subordination of an open set to an open set, as Freudenthal had done, and we obtain a one to one correspondence between these subordinations and compactifications.

Chapter 3

Uniformities and Compactifications

3.1. Just as a metric space can be completed by the introduction of ideal points that are limits of Cauchy sequences, so can a uniform space be completed by adjoining limits to Cauchy filters. The completion of a precompact metric space is compact, and, similarly, the completion of a precompact uniform space is compact. In fact, every compactification arises in this way. It is the purpose of this chapter to establish a one to one correspondence between the precompact uniformities on a completely regular space X and the subordinations on X .

3.2. Definition and some Properties of Uniformities.

Definition 3.2.1. A family Σ of subsets of $X \times X$ is a uniformity on X if

- i) $\Delta \subset U$ for all $U \in \Sigma$, where $\Delta = \{(x,x) \mid x \in X\}$
- ii) If $U \in \Sigma$, then $U^{-1} \in \Sigma$, where $U^{-1} = \{(x,y) \mid (y,x) \in U\}$
- iii) For each $U \in \Sigma$, $\exists V \in \Sigma$ s.t. $V \circ V \subset U$, where $V \circ V = \{(x,y) \mid \exists z \text{ s.t. } (x,z), (z,y) \in V\}$
- iv) If $U, V \in \Sigma$, then $\exists W \in \Sigma$ s.t. $W \subset U \cap V$
- v) If $U \in \Sigma$ and $U \subset V$, then $V \in \Sigma$.
- vi) The uniformity is separated, i.e. $\bigcap \{U \mid U \in \Sigma\} = \Delta$.

A topological space is uniformisable if \exists a uniformity Σ which is compatible with the topology, in the sense that $U[x] =$

$\{ y \mid (y,x) \in \Sigma \}$, $U \in \Sigma$, is a base for the neighbourhood system of x . Σ is then said to be an admissible uniformity. We shall say (X, Σ) is a uniform space, when Σ is an admissible uniformity.

Proposition 3.2.1. Let (X, Σ) be a uniform space, the family of symmetric open neighbourhoods of Δ is a base for Σ , in the sense that if $U, V \in \Sigma$, then $\exists W \in \Sigma$ s.t. $W \subset U \cap V$, $W = W^{-1}$, and W is open in $X \times X$.

A proof can be found in $[K_1]$.

Proposition 3.2.2. If $U[A] \cap B = \emptyset$ and V is a symmetric subset of $X \times X$ s.t. $V^2 = V \circ V \subset U$, then $V[A] \cap V[B] = \emptyset$. Where $V[A] = \bigcup_{a \in A} V[a]$ for any $W \subset X \times X$ and $A \subset X$.

If $y \in V[A] \cap V[B]$, then (y, a) , $(y, b) \in V$ for some $a \in A$ and $b \in B$. Hence $(a, b) \in V^2$, since $V = V^{-1}$, and so $b \in U[a] \subset U[A]$, a contradiction.

Corollary If $(X; \Sigma)$ is a uniform space and $V \in \Sigma$, then $A^- \subset V[A]$ for any $A \subset X$.

By 3.2.1. $\exists U \in \Sigma$, U a symmetric open set including Δ s.t. $U^2 \subset V$. If $x \notin V[A]$, then $U[x] \cap U[A] = \emptyset$, by 3.2.2. Now $U[x]$ is open and $A \subset U[A]$, hence $x \notin A^-$. The proof is complete.

3.3. Uniformity and Subordination.

Definition 3.3.1. $G < H$ if $\exists U \in \Sigma$ s.t. $U[G] \subset H$.

Proposition 3.3.1. $<$, defined above, is a subordination.

- S1* $\emptyset < H$ is obviously true.
- S2* Let $A < B$, then $\exists U \in \Sigma$ s.t. $U[A] \subset B$ so that $X-B \cap U[A] = \emptyset$. By 3.2.2. $V[A] \cap V[X-B] = \emptyset$ for any symmetric open V s.t. $V^2 \subset U$, hence $V[X-B^c] \subset V[X-B] \subset X-V[A] \subset X-A^c$ as $X-B^c \subset X-B$ and $A^c \subset V[A]$.
- S3* It is clear that $A_1 \subset A < B \subset B_1$ implies $A_1 < B_1$.
- S4* If $A < B$, it follows that $A \subset U[A] \subset B$, as required.
- S5* If $A_i < A$, then $\exists U_i \in \Sigma$ s.t. $U_i[A_i] \subset A$, $i=1,2$.
Let $U = U_1 \cap U_2$, then $U[A_1 \cup A_2] \subset A$, hence $A_1 \cup A_2 < A$ as $U \in \Sigma$.
- S6* It is clear that $A < A_i$ $i=1,2$, implies $A < A_1 \cap A_2$.
- S7* If $x \in V$, V open, then $\exists U \in \Sigma$ s.t. $U^2[x] \subset V$.
Hence $x \in U[x]$, $U[x]$ is open and $U[x] < V$.

As remarked earlier, $\langle X$ is a compact space, hence \exists a unique uniform structure $\Sigma_{\langle X}$ on $\langle X$ consisting of all neighbourhoods of the diagonal $\Delta_{\langle X} [K_1]$. The restriction of this uni-

formity to X is compatible with the topology on X . So $\Sigma_X = \{ U \cap X \times X \mid U \in \Sigma_{\langle X} \}$ is a uniformity on X . We shall also denote Σ_X by Σ_{\langle} .

A base for the uniformity on $\langle X$ consists of all open subsets of $X \times X$ including Δ ; since $\langle X$ is compact, one can select a base $\Sigma_0 = \{ \bigcup_{j=1}^n O_j \times O_j \mid O_j \text{ open in } \langle X \text{ and } \bigcup_{j=1}^n O_j = \langle X \}$. The restriction Σ_{0X} of Σ_0 to $X \times X$ is a base for Σ_X . This base can be described in terms of X and \langle alone -

Proposition 3.3.2. $\Sigma_{0X} = \{ \bigcup_{j=1}^n H_j \times H_j \mid \exists G_j \text{ s.t. } G_j \langle H_{i_j} \text{ for some } i_j, \text{ and } \bigcup_{j=1}^m G_j = X \}$.

Suppose $\{ H_i \}$ is a finite cover of X with $\{ G_j \}$ s.t. $G_j \langle H_{i_j}$ for some i_j and $\bigcup_{j=1}^m G_j = X$. By corollary 2 to 2.2.3. we have

$\bigcup_i H_i^* = \langle X$, hence $\bigcup_i H_i^* \times H_i^* \in \Sigma_0$ and so $\bigcup_i H_i \times H_i = \bigcup_i H_i^* \times H_i^* \cap X \times X \in \Sigma_{0X}$.

Conversely, let $U \in \Sigma_{\langle X}$. Then $\exists V \in \Sigma_{\langle X}$, V symmetric and open in $\langle X \times \langle X$ s.t. $V^2 \subset U$. Now $\alpha = \{ V[x] \mid x \in X \}$ is an open cover of $\langle X$, since $\xi \in \langle X$ implies $\exists x \in X \cap V[\xi]$ as X is dense and $V[\xi]$ is open and so $\xi \in V[x]$ as $V = V^{-1}$. Since $\langle X$ is regular, \exists a basic open family $\alpha_0 = \{ G_j^* \}$, covering $\langle X$ and s.t. for each $j, G_j^* \subset V[x]$ for some $x \in X$. Hence

$G_j \in \mathcal{V}[X]$. Since $\langle X \rangle$ is compact, \exists a finite subfamily of \mathcal{a}_0 that covers $\langle X \rangle$ and, hence, \mathcal{a} , when restricted to X , has a finite subordinate refinement. Furthermore $V[X] \times V[X] \subset V^2 \subset U$, by our choice of V . This completes the proof.

We now describe the relationship between a \langle on X and the subordination induced by Σ_{\langle} ; and, conversely, show that Σ on X is the same as Σ_{\langle} , where \langle is the subordination induced by Σ .

Proposition 3.3.3. Let \langle be a subordination on X , and Σ the induced uniformity. If \langle_0 is the subordination induced by Σ , then $\langle = \langle_0$.

Suppose $G_1 \langle_0 G_2$, then $\exists U \in \Sigma$ s.t. $U[G_1] \subset G_2$. By 3.3.2. $\exists C_1, \dots, C_n$ s.t. $C = \bigcup_{i=1}^n C_i \times C_i \subset U$ and $\bigcup_{i=1}^n C_i^* = \langle X$. Now $C[G_1] = \{ \bigcup_{j=1}^k C_{i_j} \mid C_{i_j} \cap G_1 \neq \emptyset \} \subset G_2$, also, if $\xi \in G_1^-$ then, for some i , $\xi \in C_i^*$ so $C_i \cap G_1 \neq \emptyset$ and hence $G_1^- \subset \{ \bigcup_{j=1}^k C_{i_j}^* \mid C_{i_j} \cap G_1 \neq \emptyset \}$. But $\bigcup_{j=1}^k C_{i_j}^* \subset (\bigcup_{j=1}^k C_{i_j})^* \subset G_2^*$, so $G_1^- \subset G_2^*$ and hence $G_1 \langle G_2$.

Conversely, suppose $G_1 \langle G_2$. Then $G_1^- \subset G_2^*$ and so $\exists x_1, \dots, x_n \in G_1^-$ and $U_1, \dots, U_n \in \Sigma$ s.t. $G_1^- \subset \bigcup_{i=1}^n U_i[x_i] \subset \bigcup_{i=1}^n U_i^2[x_i] \subset G_2^*$. Let $V = \bigcap_i U_i$, then $V[G_1] \subset G_2^*$, since $y \in V[G_1]$ implies $y \in V[g]$ for some $g \in G_1$ and $g \in U_i[x_i]$ for some i , so that $y \in V \circ U_i[x_i] \subset U_i^2[x_i] \subset G_2^*$. It follows that $G_1 \langle_0 G_2$.

Proposition 3.3.4. Let (X, Σ) be a uniform space and $<$ the induced subordination. If Σ_0 is the uniformity induced by $<$, then Σ_0 is the finest precompact uniformity coarser than Σ . Thus, $\Sigma_0 = \Sigma$ iff Σ is precompact.

As an immediate consequence of propositions 3.3.3. and 3.3.4. we have

Proposition 3.3.5. There is a one to one correspondence between subordinations on X , and hence compactifications of X , and precompact uniformities on X .

To prove proposition 3.3.4., we show that

- i) $\Sigma_0 \subset \Sigma$
- ii) If $\Sigma_1 \subset \Sigma$ and Σ_1 is precompact, then $\Sigma_1 \subset \Sigma_0$.
- i) If $U \in \Sigma_0$, then, by proposition 3.3.2., $\exists G_i, H_i$, open, $G_i < H_i$, $\bigcup_{i=1}^n G_i = X$ and $\bigcup_{i=1}^n G_i \times G_i \subset U$. We now show that $\bigcup_{i=1}^n G_i \times G_i \in \Sigma$. $G_i < H_i$, hence $\exists U \in \Sigma$, U symmetric, s.t. $U[G_i] \subset H_i$ for $i = 1, \dots, n$. Now, if $(x, y) \in U$ then $(x, y) \in H_i \times H_i$, for some i , since $x \in G_i$ for some i and so $y \in U[x] \subset U[G_i] \subset H_i$, noting that $G_i \subset H_i$ we get $(x, y) \in \bigcup_{i=1}^n H_i \times H_i$ and hence $U \subset \bigcup_{i=1}^n H_i \times H_i$. The proof of i) is now complete.

ii) Let Σ_1 be a precompact uniformity coarser than Σ .

If $U \in \Sigma_1$, choose $U_1 \in \Sigma_1$ s.t. $U_1^3 = U_1 \circ U_1 \circ U_1 \subset U$ and

U_1 symmetric. To show that $U \in \Sigma_0$, note that $\exists A_i, i = 1, \dots, n$ s.t. $A_i \times A_i \subset U_1$ and $\bigcup_{i=1}^n A_i = X$, since Σ_1 is pre-

compact. Now $A_i < U_1[A_i]$, by definition of $<$, hence

$\bigcup_{i=1}^n U_1[A_i] \times U_1[A_i] \in \Sigma_0$, by proposition 3.3.2. Also,

if $(x, y) \in U_1[A_i] \times U_1[A_i]$ for some i , then $\exists a_1, a_2 \in A_i$ s.t. $(x, a_1) \in U_1$ and $(y, a_2) \in U_1$, but $(a_1, a_2) \in A_i \times A_i \subset U_1$ and so $(x, y) \in U_1^3 \subset U$. This shows that $U \in \Sigma_0$.

3.4. Compactification and Completion

We have seen that every precompact uniformity Σ_0 on X determines a compactification $\langle X$ of X , where $<$ is the subordination induced by Σ_0 . Now the completion of a uniform space is essentially unique, and the completion of a precompact uniformity is easily seen to be compact, it follows that $\langle X$ is $(X; \Sigma_0^*)$, the completion of (X, Σ_0) .

Definition 3.4.1. A filter of subsets of X is a Cauchy filter if, for every $U \in \Sigma$, \exists a member of the filter A , s.t. $A \times A \subset U$.

(X, Σ) is called complete if $\bigcap \{ A^- \mid A \in \xi \} \neq \emptyset$ for all Cauchy filters ξ .

Proposition 3.4.1. Every uniform space can be embedded, as a dense subspace, in a complete uniform space. This space is unique up to uniform isomorphism leaving the points of X fixed.

For a proof we refer to $[K_1]$.

Proposition 3.4.2. If (X, Σ_0) is a precompact uniform space and \prec the induced subordination, then $\prec X$ is uniformly isomorphic to the completion of (X, Σ_0) .

This follows from the above proposition, and the fact that $\prec X$ is compact and hence a complete uniform space, and

Lemma i) $\tau : (X, \Sigma_0) \rightarrow \prec X$ is uniformly continuous
 ii) $\tau^{-1} : X \subset \prec X \rightarrow X$ is also uniformly continuous.
 Where $\tau(x) = x$ for all $x \in X$.

A map $f : (X, \Sigma) \rightarrow (Y, \Omega)$ is uniformly continuous if $f_*^{-1}[V] \in \Sigma$ for all $V \in \Omega$, where $f_* : (x, y) \rightarrow (f(x), f(y))$.

i) Given $U \in \Sigma_{\prec X}$, $\exists G_i^*, H_i^*$ s.t. $G_i^{*-} \subset H_i^*$ and $\bigcup_{i=1}^n G_i^* = \prec X$ and $V = \bigcup_{i=1}^n H_i^* \times H_i^* \subset U$, by compactness of $\prec X$. Now $\tau_*^{-1}[V] =$

$\bigcup_{i=1}^n H_i \times H_i$, and by proposition 3.3.2. $\tau_*^{-1}[V] \in \Sigma_0$. Thus τ

is uniformly continuous.

ii) is clear.

Notes

The results of this chapter were first obtained by Samuel [Sa₁] and later, but independently, by Shirota [Sh₁]. They obtain the compactifications by completing the precompact uniformities, for this X is embedded in a space of ultrafilters or equivalence classes of ultrafilters. However, once $\langle X$ has been constructed there is no need to do this.

Given $\langle X$, the exposition in chapter 3 is a natural one. It is also suggested in Aleksandrov's survey [A₁] when discussing the work of Smirnov, to whom proposition 3.3.2. is due. I do not know Smirnov's proof.

Gal [G₁] offers a direct proof of proposition 3.3.2. .

Chapter 4

Morphisms and Compactifications

4.1. We recall

Definition $f:(X_1, <_1) \rightarrow (X_2, <_2)$ is $(<_1, <_2)$ continuous, or simply $<-$ -continuous, if $f^{-1}[G] <_1 f^{-1}[H]$ whenever $G <_2 H$.

Definition $f:(X_1, \Sigma_1) \rightarrow (X_2, \Sigma_2)$ is uniformly continuous if $f_*^{-1}[V] \in \Sigma_1$ for all $V \in \Sigma_2$, where $f_*(x, y) = (f(x), f(y))$

Definition 4.1.1. $f:(X_1, \Gamma_1) \rightarrow (X_2, \Gamma_2)$ is Γ -continuous if $f^{-1}[N] \in \Gamma_1$ for all $N \in \Gamma_2$, where Γ is a normal base for X .

We now relate these concepts

Proposition 4.1.1. Let $f:(X, <_1) \rightarrow (Y, <_2)$, then f is uniformly continuous when regarded as a map from $(X, \Sigma_{<_1})$ to $(Y, \Sigma_{<_2})$.

Let $U \in \Sigma_2$, then $\exists G_i < H_i$, $i=1, \dots, n$, open in Y s.t. $Y = \bigcup_{i=1}^n G_i$ and $\bigcup_{i=1}^n H_i \times H_i \subset U$, by proposition 3.3.2. We show that $f_*^{-1}[\bigcup_{i=1}^n H_i \times H_i] \in \Sigma_1$. f is $<-$ -continuous so $f^{-1}[G_i] <_1 f^{-1}[H_i]$, also $\bigcup_{i=1}^n f^{-1}[G_i] = X$, since $\bigcup_{i=1}^n G_i = Y$, hence $\bigcup_{i=1}^n f^{-1}[H_i] \times f^{-1}[H_i] \in \Sigma_1$, again by proposition 3.3.2.

$$\text{But } \bigcup_{i=1}^n f^{-1}[H_i] \times f^{-1}[H_i] = \bigcup_{i=1}^n f_*^{-1}[H_i \times H_i] = f_*^{-1}\left[\bigcup_{i=1}^n H_i \times H_i\right] \subset f_*^{-1}[U]$$

and so $f_*^{-1}[U] \in \Sigma_1$. This completes the proof .

Proposition 4.1.2. If $f:(X, \Sigma_1) \rightarrow (Y, \Sigma_2)$ is uniformly continuous and $<_1, <_2$ are the induced subordinations, then f is $(<_1, <_2)$ continuous .

Let $G <_2 H$, then $\exists V \in \Sigma_2$ s.t. $V[G] \subset H$. Let $U = f_*^{-1}[V]$, then $U[f^{-1}[G]] \subset f^{-1}[H]$, since $x \in U[f^{-1}[G]]$ implies $(x, y) \in U$ for some y s.t. $f(y) \in H$, now $f_*(x, y) \in V$ by the choice of U so $f(x) \in V[G] \subset H$, and hence $x \in f^{-1}[H]$. Thus $f^{-1}[G] <_1 f^{-1}[H]$, as required .

Proposition 4.1.3. If $f:(X_1, \Gamma_1) \rightarrow (X_2, \Gamma_2)$ is Γ -continuous and $<_1, <_2$ are the induced subordinations, then f is $(<_1, <_2)$ continuous .

Let $G <_2 H$, then $\exists N_1, N_2 \in \Gamma_2$ s.t. $G \subset N_1 \subset X_2 - N_2 \subset H$, so that $f^{-1}[G] \subset f^{-1}[N_1] \subset X_1 - f^{-1}[N_2] \subset f^{-1}[H]$. Now f is Γ -continuous so $f^{-1}[N_i] \in \Gamma_1$, $i=1, 2$, hence $f^{-1}[G] <_1 f^{-1}[H]$; the proof is complete .

4.2. Extension of maps

In this section we show that the bounded, $<$ -continuous, real valued, functions on X are the ones having an extension to $<X$. This can be proved directly, but it is a consequence of a

similar extension theorem expressed in terms of uniformities rather than subordinations .

Definition 4.2.1. $f: X \rightarrow Y$ is an extension of $g: A \subset X \rightarrow Y$, if $f(a) = g(a)$ for all $a \in A$.

Proposition 4.2.1. Let $f: A \subset X \rightarrow Y$, X a uniform space and Y a complete uniform space . f has an extension to A^- iff f is uniformly continuous .

A proof can be found in $[K_1]$.

Corollary 1 If $f: (X, \langle_1) \rightarrow (Y, \langle_2)$ is \langle -continuous , then f has an extension, f^- , to a map from $\langle X$ to $\langle Y$.
In particular , if $f: (X, \langle) \rightarrow [0, 1]$, then f has an extension to $\langle X$ iff f is \langle continuous .

Corollary 2 If $f: (X, \Gamma_1) \rightarrow (Y, \Gamma_2)$ is Γ -continuous , then f has an extension, f^- , to a map from ΓX to ΓY . Thus $f: (X, \Gamma) \rightarrow [0, 1]$ has an extension to ΓX iff f is Γ -continuous , where $[0, 1]$ has as normal base the family of all closed subsets of $[0, 1]$.

These follow from propositions 4.1.2. and 4.1.3. and the one above .

4.3. Morphisms and $\langle X$.

We now show that $\langle X$ can be characterised by its \leftarrow -continuous bounded real valued functions : any compactification C of X , s.t. any bounded, \leftarrow -continuous, real valued function on X has an extension to C , is homeomorphic to $\langle X$, the homeomorphism leaving the points of X fixed .

We need

Definition 4.3.1. Let $\{X_\alpha\}$ be a family of topological spaces, the product PX_α is the set $=\{ f \mid f(\alpha) \in X_\alpha , \text{ for all } \alpha \}$ topologised by taking $\pi_\alpha^{-1}[G_\alpha] = \{ f \in PX_\alpha \mid \pi_\alpha(f) = f(\alpha) \in G_\alpha \}$ as a subbase for open sets , where G_α is open in X_α .

If $f_\alpha: X \rightarrow X_\alpha$ is a family of maps , then $\exists e$, $e: X \rightarrow PX_\alpha$, s.t. $\pi_\alpha \circ e = f_\alpha$, in fact this relation defines e .

Proposition 4.3.1. $e: X \rightarrow PX_\alpha$, each X_α a topological space

- i) e is continuous iff $\pi_\alpha \circ e$ is continuous for all α .
- ii) e is one to one if for any $x \neq y$ in X , $\exists \alpha$ s.t. $f_\alpha(x) \neq f_\alpha(y)$.
- iii) e is open if, for any $x \in X$ and $A \subset X$ s.t. $x \notin \text{cl}A$, $\exists \alpha$ s.t. $f_\alpha(x) \notin (f_\alpha[A])^-$

The proof can be found in $[K_1]$.

Proposition 4.3.2. The product of hausdorff spaces is a hausdorff space .

The product of compact spaces is compact .

Let C_{\leq} denote the family of real valued, bounded, \leq -continuous functions on X , and let $e: X \rightarrow \prod_{f \in C_{\leq}} (f[X])^{-}$ be s.t. $\pi_f \circ e = f$.

Proposition 4.3.3. If C is any compactification of X s.t. any $f \in C_{\leq}$ has an extension to C , then C is homeomorphic to $(e[X])^{-}$.

Corollary Any such compactification is homeomorphic to $\leq X$.

This follows from the fact that any $f \in C_{\leq}$ has an extension to $\leq X$, by corollary 1 to proposition 4.2.1. , and so $\leq X$ and $(e[X])^{-}$ are homeomorphic .

We now prove proposition 4.3.3.

If $f \in C_{\leq}$, let f^* denote its continuous extension to C . Now $f^*[C] = f^*[X^{-}] \subset (f^*[X])^{-} = (f[X])^{-}$, so that $f^*[C] \subset \pi_f[(e[X])^{-}]$ for each f . Thus we can define $\pi: C \rightarrow (e[X])^{-}$ by $\pi_f(\pi(c)) = f^*(c)$ for each $c \in C$.

We verify that π is a homeomorphism of C onto $(e[X])^{-}$.

- i) $\pi_f \circ \pi = f^*$, so $\pi_f \circ \pi$ is continuous for each f and hence π is continuous .
- ii) If $c_1 \neq c_2 \in C$, then $\exists f^*$, continuous, s.t. $f^*: C \rightarrow [0,1]$ and $f^*(c_1) \neq f^*(c_2)$ and so $\pi_f(\pi(c_1)) \neq \pi_f(\pi(c_2))$, where f is

the restriction of f^* to X .

- iii) for $x \in X$, $\pi_f(\pi(x)) = f^*(x) = f(x) = \pi_f(e(x))$ for all $f \in C_X$, hence $\pi|_X = e|_X$, where $e|_X$ denotes the restriction of e to X . Thus π leaves the points of X fixed, if x and $e(x)$ are identified.
- iv) π is onto, since $\pi[C] \supset \pi[X] = e[X]$ and $\pi[C]$ is compact hausdorff and, hence, closed, so that $(e[X])^- \subset \pi[C]$. But $\pi[C] \subset (e[X])^-$, by definition of π , so the proof is complete.

The result now follows, since all spaces are compact hausdorff and, hence, continuous, one to one, onto maps are homeomorphisms.

In the same way,

Proposition 4.3.4. The compactification C of (X, Γ) s.t. any Γ -continuous, bounded, real valued function has an extension to C , is unique up to homeomorphism leaving the points of X fixed.

Proposition 4.3.5. The compactification C of a precompact uniform space (X, Σ_0) , s.t. any uniformly continuous, bounded, real valued function has an extension to C , is homeomorphic to $\Sigma_0 X = \langle \Sigma_0 X \rangle$ the completion of (X, Σ_0)

4.4. Rings of continuous functions and compactifications

Proposition 4.3.2. shows that any family of real valued , bounded, continuous functions satisfying iii) , gives rise to a compactification of X . Conversely , a compactification C of X determines a family R of real valued, bounded, continuous functions which satisfies iii) - the restrictions to X of all real valued continuous functions on C . These functions form a ring $[K_X]$, which contains all constant , real valued functions on X , and is closed in the norm topology for $C^*(X)$; we call such rings c_X -rings or, simply , c-rings . Furthermore proposition 4.3.3. shows that $e: X \rightarrow \bigcup_{f \in R} (f[X])^{-1}$ is a homeomorphism , since

R is the family of those functions in $C^*(X)$ which have an extension to C . Conversely

Proposition 4.4.1. If R is a c-ring , then R gives rise to a compactification C of X s.t. R is the family of all functions in $C^*(X)$ that have an extension to C , X being regarded as a dense subspace of C .

From this and what was said above , we have

Corollary There is a one to one correspondence between c-rings and compactifications of X .

To prove 4.4.1. , we note that R gives rise to a compactification $C = (e[X])^{-1} \bigcap_{f \in R} (f[X])^{-1}$. We now identify x and $e(x)$, and note that π_f is an extension of f , since π_f is continuous and $f(x) = \pi_f \circ e(x) = \pi_f(e(x)) = \pi_f(x)$ for all $x \in X$.

Furthermore, the extensions to C of the functions in R form a ring , and it is easy to see that it is a closed subring of the ring of real valued, continuous functions on C . Now this ring separates the points of C , since $c_1 \neq c_2 \in C$ implies $\exists f \in R$ s.t. $\pi_f(c_1) \neq \pi_f(c_2)$ and π_f is the extension of $f \in R$, hence it is the ring of real valued continuous functions on C , by the Stone-Weierstrass theorem [S₂] .

The above proposition, together with earlier results , shows that there is a one to one correspondence between c_X -rings and subordinations on X . This can be obtained directly as follows. A subordination $<$ gives rise to a c_X -ring , viz the ring of real valued, bounded, $<$ -continuous functions . Conversely any c_X -ring induces a subordination $<$ by: $G < H$ if $\exists f$ in the given ring s.t. $G \subset f^{-1}[0]$ and $X-H \subset f^{-1}[1]$ and $f: X \rightarrow [0, 1]$. Furthermore c_X induced by $<$ gives $<$ back again , and $<$ induced by a c_X -ring , gives rise to the same ring .

Concerning spaces with a normal base , we have the partial result that Γ determines a c_X -ring - the ring of Γ -continuous , real valued, bounded functions .

Notes

This chapter makes use of the representation of $\langle X, \Gamma X$ and (Σ_0^*, X) as subspaces of products of lines . For completely regular spaces this idea is due to Tychonoff [T₁] . The final section of this chapter is a consequence of this point of view .

In the language of categories, this section shows that $X \rightarrow \langle X$, $X \rightarrow \Gamma X$ and $(X, \Sigma_0) \rightarrow (X, \Sigma_0^*)$ are reflections [Freyd] in the categories of \langle spaces and \langle -continuous maps ; semi-normal spaces and Γ -continuous maps ; Uniform spaces and uniformly continuous maps , respectively .

We wish to remark that definition 4.1.1. is not the one given by Frink . The two are equivalent , but his definition is not what one would call category-theoretic .

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