

The clinical use and indications for head computed tomography scans in paediatric ambulatory care (short stay ward and medical emergencies) at a children's hospital over a one-year period, 1st January-31st December 2013

By

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DECLARATION

I, Dr Pamela Rudo Machingaidze, hereby declare that the work on which this dissertation/thesis entitled **The clinical use and indications for head computed tomography scans in paediatric ambulatory care (short stay ward and medical emergencies) at a children's hospital over a one-year period, 1st January-31st December 2013** is based on my original work (except where acknowledgements indicate otherwise) and that neither the whole work nor any part of it has been, is being, or is to be submitted for another degree in this or any other university.

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Signature: PR Machingaidze

Signed by candidate

Date: 14 November 2017

List of Abbreviations

CT: Computed tomography

CSF: Cerebrospinal fluid

ED: Emergency department

HIV: Human Immunodeficiency Virus

LP: Lumbar puncture

MEU: Medical Emergency Unit

MRI: Magnetic Resonance Imaging

PACS: Picture Archiving and Communication System

RCWMCH: Red Cross War Memorial Children's Hospital

SSW: Short Stay Ward

UCT: University of Cape Town

VPS: Ventriculoperitoneal shunt

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Chapter 1: Literature review

1

2 **1.1 Introduction**

3

4 Computed tomography (CT) scanning is a vital diagnostic tool and plays a fundamental role in the
5 diagnostic evaluation of paediatric intracranial pathology. It is important to ascertain why this
6 investigative modality is being used in the South African paediatric emergency setting and whether
7 judicious use is being made of this mode of investigation. Because of the structural detail that it
8 provides which is inaccessible to the naked eye, it is considered essential neuroimaging. Despite this,
9 in low and middle-income settings such as South Africa it is only accessible at secondary and tertiary
10 level institutions, making its access inequitable. However, wherever it is used, from a good clinical
11 practice stance, the onus is upon the clinician to use judicious clinical justification for each order of a
12 CT scan. Numerous institutions across the globe have documented their experiences in the patterns
13 of its use and possible concerns arising from that.

14 **1.2 Aim of the literature review**

15

16 The aim of this literature review was to summarise key issues relating to the clinical indications for
17 performing head CT scans in children in the setting of emergency care, and to evaluate the results
18 relating specifically to the immediate management of the patient along the pathway of care.

19 There is a large pool of published literature on head CT. This review focuses on the most frequent
20 indications for head CT in children presenting with acute neurological illness as well as studies on
21 risks associated with CT radiation. As many publications exist, in this study specifically, some of the
22 frequently occurring indications for head computed tomography were identified and broad topics
23 constructed to coordinate the search for relevant literature. The particular headings were
24 constructed because a high burden of disease is concentrated around those acute neurological

25 presentations and many head CTs are ordered on their account. CT scanning, as an important
26 diagnostic medical tool, contributes the major radiation exposure risk of all medical interventions.
27 Also mentioned in this literature review is the discussion regarding the risks associated with
28 radiation exposure and the work done to try to extrapolate, and hence quantify, the excessive
29 cancer risks that some studies have highlighted [1-6].

30

31 **1.3 Methodology of literature review**

32

33 A structured non-systematic literature search was performed using MEDLINE via Pubmed
34 (<http://www.ncbi.nlm.nih.gov/pubmed>). The search was limited to English language studies
35 involving human participants between birth and 18 years of age.

36

37 A literature search performed on 31 October 2016 was conducted using the terms 'child*' OR
38 'children' (MeSH) AND 'CT' OR 'computed tomography' AND 'emergency' AND 'medical'; 'X ray
39 computed tomography' OR 'CT scan' OR 'CT imaging' OR 'CAT scan' (MeSH) AND 'Head' AND
40 'paediatric' OR 'pediatric' OR 'child'. Five thousand four hundred and thirty-eight articles were
41 retrieved.

42

43 The 5438 papers above were further screened for relevance using the search strings below together
44 with titles and abstracts, with the resulting number of articles in parentheses:

- 45 • 'meningitis' (133 papers)
- 46 • 'Ventriculoperitoneal Shunt' OR 'VP shunt' OR 'Hydrocephalus' OR 'Hydrocephaly' OR
47 'Cerebral Ventriculomegaly' (MeSH) (319 articles)
- 48 • 'Seizures' OR 'convulsions' OR 'epilepsy' (MeSH) (244 articles)

- 49 • 'Intracranial pressure' refined with 'increased' OR 'elevated' OR 'raised' (MeSH) (112
50 articles)
- 51 • 'Level of consciousness' refined by 'altered' OR 'depressed' OR 'decreased' OR 'impairment'
52 (14 articles)
- 53 • 'Macrocephaly' OR 'megalencephaly' (MeSH) (33 articles)

54

55 Papers that discussed the use of head CT investigation in trauma settings were excluded as the
56 current study focused on medical emergencies.

57

58 More articles were identified by 'snowballing' from the references of articles initially found on the
59 MEDLINE search. Alerts were also set up to email new relevant articles as they appeared on the
60 database. Titles and abstracts were used to select relevant articles. The final screening yielded 35
61 articles which were included for review. These articles discussed the modality of CT investigation in
62 medical scenarios, particularly involving medical emergency settings in which CT was indicated for
63 suspected meningitis, suspected cerebrospinal fluid shunt pathology and seizures, as well as the
64 concern over the exposure of paediatric patients to ionising radiation and possible long-term
65 sequelae. Twenty-nine studies reported on the paediatric population; six reported on both children
66 and adults. Two articles were from Africa, one from India, one from Thailand and 31 were from
67 developed countries. Various articles were reviewed (Table 1).

68

69

70

71

72

73 **Table 1: Articles included in the literature review on paediatric head CT usage**

Article type	Number of articles
Retrospective review of case notes	7
Retrospective cohort	6
Prospective cohort	6
Prospective longitudinal cohort	1
Retrospective longitudinal cohort	1
Review article	4
Consensus guideline	3
Randomized controlled trials	2
Case series	1
Systematic review	1
Commentary	1
Letters to the Editor	2

74 **CT – computed tomography**

75

76

77 **1.4 Results**

78

79 The review that follows is organised under four key headings as these clinical scenarios represent
80 the most common dilemmas facing clinicians within our practice:

- 81 1. Head Computed Tomography (CT) in patients with suspected meningitis
- 82 2. Head CT in patients with cerebrospinal fluid (CSF) shunts
- 83 3. Head CT in patients presenting with seizures
- 84 4. Risks associated with radiation exposure during head CT scanning

85

86 **1.4.1 Head Computed Tomography (CT) in patients with suspected** 87 **meningitis**

88

89 A proportion of patients presenting with clinical features of meningitis undergo head CT scanning to
90 assess whether it is safe to perform lumbar puncture (LP) on them. LP definitively diagnoses or rules
91 out meningitis; however clinicians are often concerned about the possibility of precipitating cerebral

92 herniation (coning) if the patient has raised intracranial pressure, possibly resulting in death of the
93 patient. Adhering to the Hippocratic principle that first we should do no harm, two questions arise in
94 this matter:

95 1. What is the frequency of coning post lumbar puncture in patients with meningitis?

96 2. Is it possible to confirm the safety of LP on CT with absolute certainty?

97 An Australian study by Rennick et al., looked at children with bacterial meningitis [7]. The objective
98 was to assess whether the incidence of cerebral herniation increases immediately after lumbar
99 puncture and to look at head CT findings in children with herniation. The study was conducted at a
100 large paediatric teaching hospital. Of the 445 children assessed, 19 (4.3%) had cerebral herniation. In
101 two of the children herniation occurred twice, giving a total of 21 episodes. Thirty-one (7%) children
102 died, of whom 14 (45%) had herniation. Of the 17 children who had a LP, 19 episodes of herniation
103 occurred, 12 of which occurred in the first 12 hours post LP, and seven episodes over six other 12-
104 hour periods. CT results were normal in five (36%) of the 14 herniation episodes. The study does not
105 explicitly state whether cerebrospinal fluid (CSF) opening pressures were measured or not. They
106 concluded that there was a strong suggestion that LP may cause herniation, and normal CT results
107 do not mean it is safe to perform a lumbar puncture in a paediatric patient with bacterial meningitis
108 [7]. The limitations of this study were that herniation was confirmed in only five children at autopsy,
109 eight other children who died did not have autopsy done. There remains ongoing debate regarding
110 whether LP causes herniation, leading to conflicting recommendation regarding timing of LP in
111 children with suspected meningitis and reduced level of consciousness, and various
112 recommendations regarding performing head CT prior to LP. Acute meningitis may result in cerebral
113 swelling and fatal herniation even without lumbar puncture [7]. There may be clinically significant
114 increased ICP without any abnormality on a CT scan. Indications for delaying LP in the above study
115 included Glasgow Coma Scale <8, unresponsiveness to pain, focal neurological signs and decorticate
116 or decerebrate posturing. It is crucial to establish clinically whether it is safe to perform the LP;
117 clinical contraindications must not be ignored based on a normal CT result.

118

119 In a prospective study Cabral et al., looked at acute bacterial meningitis in 41 infants and children
120 above the age of two months [8]. Serial CT imaging was performed at admission, discharge, and six
121 to 18 months after treatment for bacterial meningitis. The authors established that clinical
122 management was not influenced by CT findings, which did not show any clinically significant
123 abnormalities that were not already suspected on clinical examination. For instance, all six (14.6%)
124 patients with either focal infarction or pus in the basal cisterns had hemiparesis. Also, no focal
125 parenchymal pathology was observed on CT scan without noting clinical neurological abnormality
126 [8].

127

128 In 2013, a team of practitioners comprising the Federation of Infectious Diseases Societies of
129 Southern Africa Working Group on Acute Meningitis in Children and Adults published consensus
130 guidelines based on expert opinion for the management of acute meningitis in children and adults in
131 South Africa [9]. They listed neurological contraindications to lumbar puncture without prior head CT
132 scan as follows:

- 133 • Coma or markedly reduced conscious level (Glasgow Coma Scale <10)
- 134 • Papilloedema
- 135 • New unexplained focal neurological abnormality, for example hemiparesis or dysphasia
- 136 • Seizures with no apparent explanation
- 137 • Presence of cerebrospinal fluid shunt
- 138 • Caution was advised for patients with a combination of isolated cranial nerve palsies and
139 reduced level of consciousness; the nerve palsies on their own were not deemed to be a
140 contraindication.

141 Contraindications to LP after head CT are radiological features of gross generalised cerebral oedema
142 or mass lesion with significant hemispherical shift [9].

143 **1.4.2 Head CT and patients with cerebrospinal fluid (CSF) shunts**

144

145 Cerebrospinal fluid shunts used in the treatment of hydrocephalus (HCP) comprise
146 ventriculoperitoneal, ventriculopleural, ventriculoatrial, temporary external ventricular drainage and
147 third ventriculostomy. Ventriculoperitoneal shunts, which are the commonest, are prone to
148 numerous complications such as mechanical obstruction, malfunction, fracture, infection, migration
149 and excessive CSF drainage [10]. A retrospective study in Louisiana in the United States analysed the
150 long-term outcomes of ventriculoperitoneal shunt (VPS) surgery in 1015 patients with HCP, with the
151 primary outcome of interest being shunt failure, whether revision or replacement after shunt
152 insertion [11]. Eight had VPS surgery performed in the 1960s, 20 in the 1970s, 52 in the 1980s and
153 935 between 1990 and 2010. Two hundred and forty (78.2%) of the 305 paediatric patients required
154 shunt revision versus 32.5% in the adult population ($p < 0.01$). Single shunt revision occurred in 21.3%
155 of paediatric and 19.7% of adult patients. Multiple shunt revision occurred in 57.4% of paediatric
156 and 12.7% of adult patients. The mean number of shunt revisions in children was 2.6 (range 0–17)
157 and 0.6 (range 0–11) for adults. Patients with history of previous shunt surgery had significantly
158 greater shunt revision rates than those without previous shunt surgery (81.4% vs. 39.1%, $P < 0.01$).
159 Statistically the odds for shunt revision in patients with prior shunt surgery were nine times higher
160 than those without. Children were 4.22 times more likely to experience shunt revision [11]. A high
161 index of suspicion for these complications should be maintained for children with VPS presenting to
162 emergency departments (ED), as delay in rectifying problems may lead to severe morbidity, or worse
163 still, mortality.

164 In a prospective multicentre cohort study commissioned by the Hydrocephalus Clinical Research
165 Network, risk factors for shunt malfunction in 1036 children below 19 years of age with first-time
166 shunt insertion were assessed [12]. In the cohort, 344 patients experienced shunt failure,
167 demonstrating a failure rate of 33.2%. This represents one third of the patients which is a high

168 failure rate. A failure rate higher than 40% was reported in the second year following surgery in
169 children who underwent shunt insertion before six months of age [12].

170 Most patients will be scanned frequently in their lifetimes. However:

- 171 1. Is it necessary for them to be scanned so often?
- 172 2. Are there distinguishing clinical features that make it imperative to scan some patients,
173 while others become less urgent?
- 174 3. Can any adjustments be made to the radiation dose to reduce the cumulative effect?

175 Two multicentre prospective randomized controlled trials in Michigan focused on diagnosing failure
176 of VPS clinically [10]. Bulging fontanelle, collection of fluid around the shunt, depressed conscious
177 level, irritability, abdominal pain, nausea and vomiting, accelerated cranial growth and headache
178 were strongly associated with shunt failure. Fever, gross signs of wound infection, drainage of pus,
179 meningism, peritonitis and CSF leakage were associated with shunt infection. Irritability was
180 identified as an important observation for both shunt failure and infection. Loss of upgaze eye
181 movement was also highly significant [10]. Picking up highly suggestive clinical features and referring
182 those patients for CT scan versus the current practice of performing head CT on every child with a
183 VPS presenting to the emergency department (ED) could help to curtail unnecessary exposure of
184 patients to frequent irradiation.

185

186 A multicentre study also done in the US looked at 1319 children with ventricular shunts seen across
187 31 hospitals, with a total of 6636 ED visits over a 10-year period [13]. Almost half (49.4%) of all ED
188 visits culminated in a head CT, and about 6% of patients received 10 or more scans, accounting for
189 just over a third (37.2%) of all ED visits with a CT, indicating that a small proportion of children were
190 scanned the most. Twenty percent of the visits where CT was obtained required revision of the
191 shunt. Notably, many children who didn't require operative intervention received multiple scans.
192 Regarding scanning frequency, this was highest within the two years following initial shunt

193 placement, when risk for revision as well as vulnerability to ionizing radiation are highest. The last
194 two observations may be attributed to the fact that it is challenging to distinguish clinically between
195 shunt malfunction and other common clinical syndromes in young children, as symptoms like
196 vomiting or headache can also indicate gastroenteritis or migraine. The authors noted significant
197 variability across the hospitals in performing CT scan for VPS evaluation, and postulated that there is
198 a paucity of strong evidence to guide clinical decision-making, further complicated by medico-legal
199 concerns and institutional culture. They recommended that further research is required to identify
200 patients with a higher risk for shunt malfunction using clinical prediction tools [13]. The fact
201 remains: if a malfunctioning shunt is not appropriately identified and diagnosed, whether clinically
202 or radiologically, the child will cone and either die or suffer severe irreversible neurological damage.

203 **1.4.3 Head CT and patients presenting with seizures**

204

205 First onset seizures, focal seizures, complex febrile seizures and breakthrough seizures are
206 commonly used by clinicians as indications for head CT scanning.

207

208 In 2007 the American Academy of Neurology, through its Therapeutics and Technology Assessment
209 Subcommittee, published an evidence-based systematic review on neuroimaging in the emergency
210 patient presenting with seizure in both adults and children [14]. The objective was to reassess the
211 value of neuroimaging as a screening procedure for providing information with a bearing on acute
212 management, as well as to assess clinical features associated with abnormal imaging results. Fifteen
213 articles were reviewed. The conclusions were that CT in the ED for children with first-onset seizures
214 will change acute management in approximately 3-8%, with no clear difference between rates of
215 abnormal emergent CT for patients with chronic seizures compared to first-onset. CT abnormalities
216 resulting in change in emergency management were cerebral haemorrhage, neoplasms,
217 neurocysticercosis and obstructive hydrocephalus. Fifty per cent of the time, children presenting

218 with seizures below 6 months of age have a high incidence of clinically significant abnormalities on
219 CT. Focal abnormalities on neurological examination, predisposing history or focal seizure onset are
220 likely predictive of abnormal CT results. The following recommendations were made:

- 221 • An emergency CT may be considered in children with first onset seizure
- 222 • Emergency CT is not recommended for patients with chronic seizures
- 223 • Consider emergency CT in children under 6 months of age presenting with first onset
224 seizures [14].

225

226 A prospective cohort study at Red Cross War Memorial Children's Hospital (RCWMCH) investigated
227 the diagnostic yield of head CT in paediatric patients presenting with first-onset partial seizures in an
228 area with a high prevalence of neurocysticercosis and tuberculosis (TB) [15]. One hundred and
229 eighteen children ranging in age from six months to 12 years were enrolled. There was no age-based
230 stratification. Ninety-five children had CT scans, and the remainder were lost to follow-up; 94
231 (79.7%) CT results were available which were subsequently analysed. The median age of the
232 patients was 94 months (IQR 33-99). In 32 children (34%) the scans were reported as being normal,
233 45 (48%) exhibited single or multiple granulomas, and 17 (18%) demonstrated other findings. Five
234 scans (5%) showed incidental findings of no clinical significance, and four (4%) showed findings of
235 uncertain significance, of whom those patients were discharged after follow-up. Eight (8%) patients
236 had specific findings that were suspected before the CT scan. None of the patients had meaningfully
237 abnormal CT findings besides neurocysticercosis that were not already clinically suspected prior to
238 CT. Researchers concluded that routine CT imaging did not meaningfully alter clinical management
239 of the 94 children. The authors extrapolated that 26 CT scans would be required to detect one
240 unsuspected abnormality that would be clinically meaningful besides neurocysticercosis. They also
241 extrapolated that, assuming that albendazole reduces the risk of subsequent seizures from 33% to
242 13% based on a statistical estimate of its effect [16], routine CT imaging would require 11 scans and

243 5 courses of albendazole to prevent one more paediatric patient from experiencing seizures,
244 compared with no CT imaging and 11 courses of albendazole with blanket albendazole use [15].

245

246 At Schneider Children's Hospital in New York, a team studied the role of brain CT in evaluating
247 children with new onset seizures in the ED [17]. A year-long retrospective review of case notes of all
248 paediatric patients presenting with first-onset seizures to the ED who underwent brain CT was
249 performed. Patients with simple febrile seizures were excluded. Of the 66 patients, 14 (21.2%) had
250 abnormal results. The cause of seizures was deemed unknown in 33 patients, two of whom had
251 abnormal results but neither warranted intervention. In 20 patients, 12 of whom had abnormal
252 results, the cause was considered symptomatic. Two of the patients with abnormal results had
253 findings of therapeutic significance which were foreseen from prior clinical evaluation. Of 13
254 patients with complex febrile seizures, none had an abnormal scan. Patients with partial seizures
255 were more likely to have abnormal scans compared to those with generalised seizures, although the
256 difference was not statistically significant. The authors concluded that routine brain CT scans for all
257 patients with new onset non-febrile seizures is not justified, and history and examination are enough
258 to pick up patients warranting imaging. Emergency CT imaging is not indicated for patients without
259 known seizure risk factors, with normal neurological examination, and no acute symptomatic cause
260 besides fever. Rather referral to a paediatric neurologist for evaluation including
261 electroencephalogram (EEG) and more appropriately magnetic resonance imaging (MRI) would be
262 more suitable [17].

263

264 In West Virginia, Allen and Jones looked at 21 children with epilepsy presenting with breakthrough
265 seizures and undergoing head CT scanning [18]. None of the scans had acute findings and they were
266 all discharged from the emergency department, suggesting that the yield of emergent CT scans in
267 epileptic children with breakthrough seizures is low [18]. This corresponds with the recommendation

268 by the American Academy of Neurology stating that emergency CT is not useful for patients with
269 chronic seizure conditions [14].

270

271 Physicians in Atlanta conducted a retrospective review of case notes to determine the clinical factors
272 associated with a more extensive workup in children presenting with complex febrile seizures,
273 defined as febrile seizures with a duration of 15 minutes or more, more than one seizure in a 24-
274 hour period, and/or focal in nature. The investigators found that, of the 199 patients enrolled, 53
275 (28%) had a head CT performed, and no significant findings to assist with management were noted;
276 further, patients presenting with focal seizures and patients who received anticonvulsants either in
277 the emergency department or en route to hospital had greater odds of getting a head CT. The latter
278 presented with altered mental state making their clinical assessment challenging. Practice guidelines
279 are necessary for evaluation of these patients to reduce the amount of imaging [19].

280

281 Certain clinical features can often be predictors of abnormal CT findings. Warden et al., in Seattle set
282 out to develop guidelines for clinical decision-making using clinical features of paediatric patients
283 presenting to the ED with seizures, in order to predict abnormal CT results [20]. In a sample of 203
284 patients with a median age of 3.1 (IQR 1.1-6.1) years, analysis revealed that normal CT results were
285 associated with patients who had no pre-existing high-risk condition such as malignancy,
286 neurocutaneous syndrome, closed head injury or CSF shunt revision in the preceding 6 weeks, were
287 above the age of six months, had fitted for 15 minutes or less, and had no history of new onset focal
288 neurology. A retrospective application of those criteria would have deferred 41% of the CT scans
289 performed. Notably this study also included trauma patients, who were excluded from our study
290 [20].

291

292 In Thailand, Sanmaneechai et al., identified characteristics in epileptic children aged one month to
293 two years that are predictive of abnormal neuroimaging findings. Half the children had CT only, 14
294 (38%) had MRI, and 4 (11%) had both CT and MRI. They found that the younger the age, the higher
295 the chances of abnormal imaging results; other predictors were developmental delay, abnormal
296 head circumference and abnormal findings on neurologic examination [21]. The young age may be
297 attributable to the vulnerability of the very young brain, which undergoes maximal growth and
298 development prenatally extending into the first year of life; abnormalities in structure or dynamic
299 organisation occurring during this delicate time may influence major clinical manifestations early on
300 in life.

301 **1.4.4 Risks associated with radiation exposure**

302

303 CT examination exposes patients to high doses of radiation. In adults, the radiation dose in a single
304 abdominal CT scan is equivalent to 500 chest radiographs [22]. The dose in a single head CT is
305 equivalent to 100 chest radiographs [23]. In children, the following factors further compound the
306 risk:

- 307 • Children, being more radiosensitive, are 10 to 15 times more likely to develop malignancy
308 than an adult after exposure to the same radiation dose
- 309 • Proximity of other tissues and organs, for example the thyroid gland, to the cranial CT
310 imaging site results in greater radiation exposure
- 311 • The rapid turnaround time in which CT is performed with little or no sedative needed makes
312 it tempting to use as a screening procedure [24].

313 There has been growing concern over cancer risks from radiation exposure in paediatric CT,
314 considering the rapidly increasing frequency of imaging with CT in children. There is data to suggest
315 that brain tissue is far more radiosensitive than previously thought. Age at exposure seems to
316 modify the risk, with it being higher in individuals exposed early in life. [25]. In rare circumstances of

317 prolonged, high-dose ionising radiation exposure, other adverse health effects, such as skin
318 erythema, tissue injury, and birth defects following in-utero exposure can occur [26].

319

320 Due to multiple episodes of imaging, patients with CSF shunts are exposed to more episodes of
321 radiation which possibly lead to an increased excessive risk of malignancy. Smyth et al., documented
322 two cases where it is thought to be likely that excessive radiation exposure contributed to the
323 development of head and neck malignancies [27]. One patient was 18 years old, with a VPS from
324 three weeks of life. He underwent a total of 23 head CT scans and 25 skull radiographs and required
325 23 VPS revisions in his lifetime. At age 17 years he developed Hodgkin's lymphoma in the cervical
326 region of his shunt tract, for which he was treated successfully. The second patient received a shunt
327 at two months of age, underwent 13 VPS and ventriculoatrial shunt revisions and had 14 head CT
328 scans before he was 15 years old. At age 19 he was diagnosed with a gliosarcoma to which he
329 succumbed despite aggressive therapy. However, the article did note that causation cannot be
330 established with certainty due to multiple factors involved in the scanning episodes [27]. Aldrink et
331 al., also documented a cohort of 112 patients with shunted hydrocephalus, of whom 13.6%
332 developed thyroid nodules detected on ultrasonography. No malignancies were detected. The mean
333 age of the enrolled patients was 19 years (SD +/-8.1 years) and number of head CT scans was 23 (SD
334 +/-14) per patient. The patients in whom nodules were detected were older (mean age 24.3 ± 7.6
335 years versus mean age 18.4 ± 8.0 years for those without nodules; $p=0.005$), with a longer follow-up
336 time compared to those without nodules, illustrating that time of exposure to radiation is significant.
337 They concluded that during diagnostic imaging of the head and neck these patients are exposed to
338 substantial amounts of radiation predisposing them to development of thyroid nodules and possibly
339 malignancy and recommended ongoing surveillance [28].

340

341 Efforts have been made to estimate radiation doses and extrapolate lifetime cancer risks. A study
342 conducted by radiologists in New York postulated that in the US, of about 600 000 abdominal and
343 head CT examinations performed annually in children below the age of 15 years, roughly 500 might
344 ultimately die from cancer attributable to the CT radiation [2].

345

346 In Israel, Chodick et al., set out to estimate the number of excess lifetime malignancy-related deaths
347 associated with annual CT scans performed in children [4]. Over a 5-year period they looked at
348 gender and age-specific CT scan use nationwide. Based on published organ doses for common CT
349 examinations and radiation-related malignancy mortality risk estimates from studies in survivors of
350 the atomic bomb, excess lifetime risks for malignancy mortality due to CT utilisation in children and
351 adolescents were estimated. The authors estimated that 17 686 scans were performed on children
352 annually during the years 1999-2003, and projected that 9.5 lifetime deaths would be associated
353 with one year of CT scanning in children below 18 years of age and about 7.25 for those scanned
354 below 15 years of age, representing an excess of 0.29% over the total number of patients eventually
355 estimated to die from a malignancy in their lifetime. They concluded that this excess lifetime risk is
356 small, but not negligible, and that all health workers involved should endeavour to minimise the
357 radiation dose for children and encourage judicious use of CT as it is an indispensable diagnostic tool
358 [4].

359

360 In France, Journy et al., gave predictions of potential lifetime cancer risks induced by childhood CT
361 examinations using routine practices. They estimated organ doses from standard protocols in 15
362 hospitals. Excess risks of leukaemia, central nervous system (CNS), breast and thyroid cancers were
363 predicted from estimates in the Japanese atomic bomb survivors' cohort and medical exposure
364 studies. They predicted that 100 000 head CT scans in five-year-old children would result in eight
365 CNS cancers and four cases of leukaemia; 100 000 chest scans would lead to 31 thyroid cancers, 55

366 breast cancers and one case of leukaemia. Lifelong risks would be low for individuals, but relative
367 risks would be highest in the first decades of life [5].

368

369 In the United Kingdom another study attempted to project the risks of developing malignancy, and
370 to estimate cases potentially induced by past, current and future CT performed in patients under 20
371 years of age. The 130 750 scans of 2015 were projected to induce 64 cancers in the future. Current
372 practices would result in about 300 future cancers induced by scans performed in 2016-2020 [6].

373

374 In light of the above, there has been a movement towards the use of imaging settings with a lower
375 radiation dose, the concept of ALARA (As Low As Reasonably Achievable). This concept endeavours
376 to use the lowest possible radiation dose without compromising the diagnostic quality of images. In
377 2001 the Society for Paediatric Radiology convened a multidisciplinary conference in the United
378 States to clarify issues regarding paediatric CT. They acknowledged the existing evidence of the
379 excess cancer risk associated with radiation exposure, as extrapolated from atom bomb survivors
380 whose radiation exposure is comparable to the dose received in helical CT. The risk is small but was
381 deemed to be statistically significant. The panel also reiterated that radiosensitivity in children is 10
382 times that in adults. They emphasised that by no means should the dose be reduced such that
383 imaging quality is compromised hence rendering the examination useless, but emphasis should be
384 avoiding CT use where it is not needed, for example as a screening procedure. The consensus was
385 that radiation doses need to be modified to the lowest effective dose for children, and robust
386 indications should be present for doing the investigation [29]. As a result of this conference an FDA
387 public health notification was released to radiologists, radiation health professionals, risk managers
388 and hospital administrators regarding the reduction of radiation risk from CT for paediatric and small
389 adult patients. The recommendations were, in summary:

- 390 1. Optimize CT settings by tube current reduction, using charts of tube current settings based
391 on patient weight or diameter and anatomical region of interest, and increasing table
392 increment (axial scanning) or pitch (helical scanning)
- 393 2. Cut down the number of multiple scans using contrast
- 394 3. Curtail inappropriate CT referrals [30].

395

396 A group of surgeons and radiologists in Oregon investigated the use of a modified head position, the
397 'exaggerated sniff', together with a commercially available iterative reconstruction CT technique as
398 well as reduced radiation dose to perform paediatric craniofacial imaging. This head position with a
399 fully extended neck removes the thymus and cervical structures including the thyroid gland (which
400 are two very radiosensitive organs) from the field of view, reducing their exposure to radiation, and
401 simultaneously includes the whole head. Previously the authors had shown an 18% effective
402 radiation dose reduction using the modified head position alone, while maintaining the diagnostic
403 quality of the images [31]. Their results with the combined modalities of head position and dose
404 reduction showed a 56.7% reduction in the imaging-related effective radiation dose [32].

405

406 Regarding patients with VPS, limited slice protocols have been investigated for monitoring patients
407 with VP shunts. A study in Pittsburg on both children and adults was conducted where
408 neuroradiologists selected three slices from specific anatomical landmarks and reported findings
409 from those CT images. They concluded that unenhanced head CT with limited 3-slice protocol gives
410 adequate information for diagnosing of VPS malfunction with more than 90% reduction in effective
411 dose. However, this limited protocol is only indicated specifically for the investigation of shunt
412 malfunction. Missed findings were acknowledged but were not life-threatening or acute [33].

413

414 On the other hand, recently a community in paediatric radiology believe that excess risks of cancer
415 attributable to CT radiation are miniscule, if at all they exist. They hold the opinion that evidence
416 thus far indicating risk has not been overwhelming, and the belief is that many CT scans that are
417 warranted and indicated are being denied unnecessarily, and the burden on anaesthetists and MRI
418 lists is being unnecessarily added to [34, 35]. Cohen also expressed that the risk of cancer from CT is
419 surpassed by the risk of an incorrect diagnosis emanating from not doing a CT scan. The concern is
420 that there has been unnecessary media hype in articulating CT risks, causing alarm to parents who
421 may not consent to CT imaging fearing that their children may develop cancer. Cohen, in response to
422 Andronikou's article noted that the topic of cancers attributed to radiation has fuelled media articles
423 that generate fear in the public, "despite the fact that CT radiation induced cancer remains an
424 unproven hypothesis with no valid supporting evidence". He argued that campaigns like ALARA have
425 caused confusion for referring physicians and have alarmed patients by indicating that there is a
426 significant risk hence radiation doses should be reduced. He states that a clinically indicated CT scan
427 will far exceed any risk, and encourages clinicians and radiologists to apply all the principles of
428 'excellent, correct imaging', providing holistic care to patients, beyond the focus of CT radiation and
429 cancer [36].

430

431 **1.5 Conclusion**

432

433 In summary, the literature review has focused on addressing key elements that are faced in
434 managing children presenting to emergency departments with neurological symptoms and signs.
435 Whilst value in children with CSF shunts has been demonstrated there is little consensus on when
436 head CT scans should be done in other clinical settings like suspected meningitis and seizures. The
437 review has highlighted the value of brain CT scanning, but has also raised awareness of potential
438 risks associated with exposure to ionizing radiation. Despite the opinion that the risks are possibly
439 being overcalled, the consensus in the world of paediatric radiology is that exposure to radiation

440 through computed tomography scanning does pose a risk of developing malignancy in children, as
441 they are particularly radiosensitive. As such, it is vital that appropriate indications for CT be applied
442 with sound protocols, especially in a medical emergency setting.

443 The study that follows aims to explore the patterns of use of head CT scanning in the medical
444 emergency department of a tertiary level paediatric hospital in South Africa over a 12-month period.
445 The main areas of interest include indications for head CT scanning, excluding trauma, and the
446 frequency of abnormal findings, with or without subsequent intervention, mainly of a surgical
447 nature.

448

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565

566

567

1 **Chapter 2: Publication-ready Manuscript**

2
3 **The clinical use and indications for head computed tomography**
4 **scans in paediatric ambulatory care (short stay ward and medical**
5 **emergencies) at a children’s hospital over a one-year period, 1st**
6 **January-31st December 2013**

7
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26 **Abstract**

27 **Background:** Computed tomography (CT) imaging is an indispensable tool in the management of
28 acute paediatric illness. It offers quick answers, allowing timely lifesaving decision-making. Clinical
29 evidence is required to maximise its benefits against radiation-exposure risks to patients and cost to
30 the healthcare system.

31 **Aims:** The study aimed to retrospectively investigate clinical presentation and indications of head CT
32 at a tertiary paediatric hospital.

33 **Methods:** Records of children presenting with acute illness to the medical emergency unit, excluding
34 trauma, of Red Cross War Memorial Children's Hospital, Cape Town, over one year (2013) were
35 retrospectively reviewed. Participants were included if they underwent head CT scan within 24 hours
36 of presentation. Clinical data were extracted from records and CT findings reported by a paediatric
37 radiologist.

38 **Results:** Inclusion criteria were met by 311 patients; 188 (60.5%) were boys. The median age was
39 39.2 (IQR 12.6-84.0) months. Commonest indications were seizures (n=169;54.3%), reduced level of
40 consciousness (n=140;45.0%), headache (n=74;23.8%) and suspected ventriculoperitoneal shunt
41 (VPS) malfunction (n=61;19.7%). In 217 (69.8%) patients CT showed no adverse findings. In the 94
42 (30.2%) patients in whom CT abnormalities were detected, the predominant findings were
43 hydrocephalus (n=54;57.4%) and cerebral oedema (n=29;30.9%). Abnormal CT findings were
44 commoner in patients with nausea or vomiting (n=21;9.3%, p=0.05) papilloedema (n=3;1.3%,
45 p=0.015) and long tract signs (n=23;10.2%, p=0.02). Forty-seven patients (15.1%) required surgical
46 intervention after CT of which 40 (85.1%) needed a ventricular drainage procedure. A larger
47 proportion of patients with VPS (25/62;40.3%) required surgical intervention compared to patients
48 without VPS (22/249;8.8%, p<0.001).

49 **Conclusion:** Most children presenting with acute illness (excluding trauma) and undergoing
50 emergency head CT have normal findings. Patients with ventriculoperitoneal shunts constituted a

51 large proportion of patients requiring intervention after CT. Considerations should be made to use
52 clinical presentation to select patients most likely to benefit from CT.

53

1 **Introduction**

2

3 Computed tomography (CT) is an indispensable tool in the management of paediatric illness;
4 particularly in the acute diagnosis of medical or surgical intracranial pathology. It can give answers
5 quickly, allowing potentially lifesaving decisions to be made urgently [1-3].

6

7 A number of studies show that CT head or brain is the commonest CT examination in children [4-6].
8 This contrasts with older age groups in which abdominal and pelvic CT scans predominate [7, 8].

9

10 The benefits of CT must be weighed against the risks to the patient and health care system. CT
11 carries potential risk of malignancy because of its associated ionizing radiation. This is particularly so
12 in children who are more radiosensitive than adults, and can lead to leukaemia and brain tumours
13 [9]. Using data sourced from atomic bomb survivors, one model estimated that for every 600 000
14 abdominal and head CT examinations performed in children under the age of 15 years, 500 will
15 ultimately die from radiation attributable malignancy [10]. Other effects of high-dose ionising
16 radiation exposure include skin erythema, tissue injury, and birth defects following in-utero
17 exposure [11]. CT imaging also carries infrastructural costs associated with the need for sedation
18 required to achieve optimal imaging results in children. Consequently, in addition to radiographer
19 and radiologist time, anaesthetic staff is required to ensure safety of the airway and monitoring of
20 breathing during the procedure.

21

22 There is need to have evidence-based guidelines for performing CT to minimize cumulative radiation
23 doses and avert long-term sequelae. In high income countries, attempts have been made to create
24 tools for estimating cumulative radiation exposure as well as calculating associated risks of
25 malignancy [12].

26

27 There is some published data on the use of CT in Africa in the management of meningitis and
28 paediatric seizures [13]. However, data are generally very limited to guide practice in resource
29 limited settings on the use of CT in acute paediatric medical illness [14]. Our study aimed to
30 investigate the clinical utility of emergency head CT scan investigations at a tertiary paediatric
31 hospital in a low and middle-income country (LMIC) setting. The primary outcomes of interest were
32 indications for and findings of head CT imaging in children presenting for acute medical care, as well
33 as to establish baseline characteristics and interventions performed post CT scanning. Secondly,
34 we explored presenting factors that predict abnormal findings on CT. The null hypothesis postulated
35 that most head CT scans done in children presenting acutely in the medical emergency department
36 would demonstrate normal findings, or findings which would not be of acute clinical significance.

37

38 **Methods**

39

40 A retrospective observational study was done on a cohort of children presenting with acute medical
41 illness requiring CT scan of the brain. A list of CT scans performed over one year was compiled from
42 the radiology department's Picture Archiving and Communication System (PACS) of the Red Cross
43 War Memorial Children's Hospital (RCWMCH), Cape Town, South Africa. RCWMCH is a tertiary
44 referral hospital servicing a paediatric population of about 1.5 million children. All children seen in
45 the medical emergency unit (MEU) from 1 January 2013 to 31 December 2013 who underwent brain
46 CT imaging within 24 hours of consultation or admission were eligible for inclusion. Subjects were

47 excluded if referral for CT was not done in the MEU as part of their assessment; injured children are
48 seen in a separate trauma unit at this institution. Demographic data were extracted from records,
49 and indications for CT as well as clinical presentation were documented for each child. CT findings as
50 independently reported by an experienced paediatric radiologist were noted.

51

52 Head CT scan findings were classified as normal (clinically insignificant) if a first-time scan was
53 reported as normal or where no interval change on CT findings of a participant with known pre-
54 existing abnormality on CT was found. CT findings reported as abnormal in first-time CTs or where
55 interval change had occurred in subjects with known abnormal findings on previous CT were
56 regarded as abnormal (clinically significant).

57

58 Data were analysed using STATA software version 13 (STATA Corporation, College Station, Texas,
59 USA). Categorical variables were represented as proportions using percentages. Continuous
60 variables were summarised using medians with interquartile ranges (IQR). Categorical variables were
61 compared using Chi-square tests.

62

63 Approval for the study was granted by the Research Ethics Committee of the University of Cape
64 Town, and the administration of RCWMCH; Ethics reference HREC/Ref: 087/2015.

65

66 **Results**

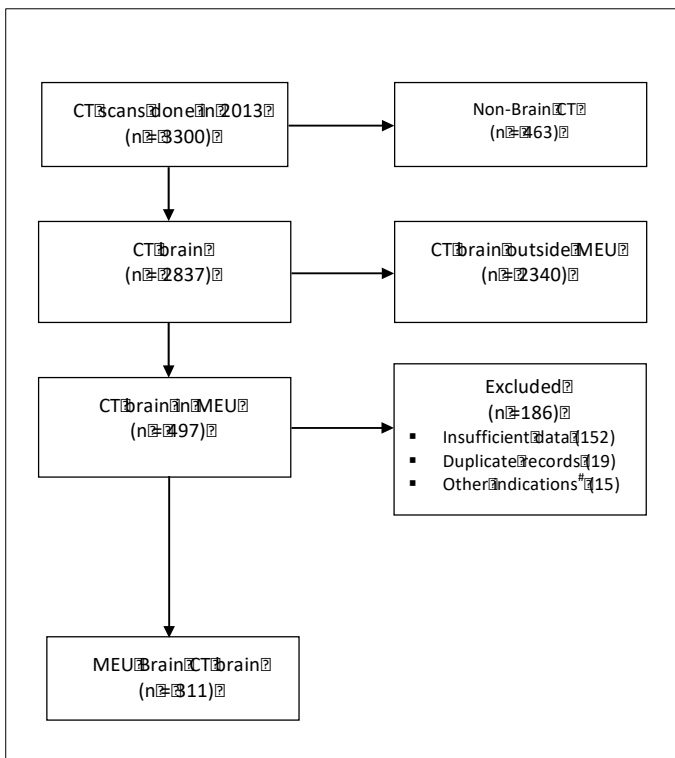
67

68 **Baseline characteristics of included study subjects**

69

70 A total of 311 subjects, representing 9.4% of the 3300 CT scans done in the hospital in 2013 met
 71 inclusion criteria (Figure 1). The cohort included 188 boys (60.5%). The median age of the group was
 72 39.2 (IQR 12.6-84.0) months and ranged from two and a half weeks to 15 years of age. There were
 73 62 (19.9%) patients who had cerebrospinal fluid (CSF) shunts, one of whom had a ventriculopleural
 74 shunt, three had cystoperitoneal shunts and the rest had indwelling ventriculoperitoneal shunts.
 75 None of the patients had endoscopic third ventriculostomy. In addition to having CSF shunts, 25
 76 (40.3%) of shunted patients also had a diagnosis of epilepsy.

77 **Figure 1: Flow diagram of sample selection for enrolment**



78
 79 #CT done for ophthalmology and otorhinolaryngology purposes
 80 CT – computed tomography
 81 MEU – medical emergency unit
 82

83 For 225 (72.3%) of the study subjects this was their first head CT scan. In 74 (86.0%) out of 86
 84 patients for whom the 2013 scan was a repeat, the number of previous scans could be determined.
 85 The median number of previous scans was four (IQR 2-7), ranging from one to 22 scans for a total of
 86 365 previous scans. Individuals with CSF shunts accounted for 62 (72.1%) of patients with previous

87 head CTs and 322 (88.2%) of the total known number of previous scans. The total number of
 88 previous scans could not be ascertained for 12 of the patients. One child, a two-year-old female with
 89 hydrocephalus secondary to neonatal meningitis and a ventriculoperitoneal shunt (VPS) in situ, had
 90 the highest number of head CT scans. She was scanned 5 times during 2013 and 22 times in her
 91 lifetime. The same patient underwent three VPS revisions in 2013.

92

93 **Table 1: Baseline characteristics of study participants**

Baseline characteristic	<i>N=311</i>
Age	
Median IQR months	39.2 (12.6-84.0)
n (%)	
Gender	
Female	123 (39.5)
Male	188 (60.5)
Origin	
Referred	191 (61.4)
Self-referred	101 (32.5)
Unknown	19 (6.1)
First scan	225 (72.3)
Repeat scan	86 (27.7)
CSF shunt in situ	62 (19.9)
No CSF shunt in situ	249 (80.1)
Known epilepsy diagnosis	54 (17.4)
Previous seizures	30 (9.7)
HIV status	
Unexposed uninfected	158 (50.8)
Exposed uninfected	31 (10.0)
Infected	7 (2.2)
Unknown HIV status	115 (37.0)

94 **IQR – interquartile range**

95 **CSF – cerebrospinal fluid**

96 **HIV – human immunodeficiency virus**

97

98

99 **Indications for head CT**

100

101 Ninety-six (30.9%) decisions to perform CT were made by senior staff, that is, paediatric consultants
 102 and senior registrars. Most requests (n=163;52.4%) were made by junior staff, comprising junior
 103 paediatric and neurosurgical registrars, medical officers and interns. For the remaining 52 (16.7%)
 104 patients it could not be established from the patient record who had ordered the scan.

105
 106 The median time from ordering the head CT to performing it was 63 (IQR 38-112) minutes, ranging
 107 from 10 minutes to 21.7 hours.

108
 109 Indications for CT have been shown in table 2 in descending order of frequency. The majority of
 110 study subjects had more than one indication.

111
 112 **Table 2: Indications for head computed tomography in children presenting with acute medical**
 113 **illness to RCWMCH in 2013**

Indication#	n (%)
Seizures	169 (54.3)
Impaired level of consciousness	140 (45.0)
Headache	74 (23.8)
Suspected VPS pathology	61 (19.7)
Focal neurological signs	42 (13.5)
Suspected raised intracranial pressure	26 (8.4)
Suspected hydrocephalus	23 (7.4)
Suspected tuberculous meningitis	8 (2.6)
Other	23 (7.4)

majority of study subjects had more than one indication
 RCWMCH – Red Cross War Memorial Children’s Hospital
 VPS – ventriculoperitoneal shunt

114
 115 The commonest indication for CT was seizures (n=169; 54.3%) with 63 (37.5 %) of the patients
 116 having generalised seizures, 59 (35.1%) focal and eight (4.8%) classified as atypical. In 28 (16.7%)
 117 both focal and generalised seizures co-existed while the type of seizure was unknown in 10 (6.0%).
 118 The median seizure duration was 15 (IQR 5-30) minutes, with the longest seizure lasting 4 hours (240
 119 minutes) and the shortest less than a minute. In 49 (37.7%) of the participants, a diagnosis of status

120 epilepticus (SE) was made by the attending clinician, defined as a seizure lasting more than 30
121 minutes. The presenting seizure was the first seizure episode for 53 (31.4%) of the patients while 54
122 (17.4%) had a pre-existing diagnosis of epilepsy. The remaining 30 (9.7%) had experienced previous
123 seizures, although no diagnosis of epilepsy was made. Of the patients who had prior head CT scans,
124 28 were known with a diagnosis of epilepsy. Twenty-eight (16.6%) patients were documented as
125 having febrile seizures.

126

127

128 Findings on CT scan

129

130 In 169 (54.3%) patients the CT scan findings were normal, while 50 (16.1%) showed no change from
131 previous CT findings, collectively giving 219 (70.4%) with no clinically significant findings on current
132 CT. Fifty-six patients (18.0%) undergoing CT for the first time had abnormal findings on CT, while 36
133 (11.6%) had pathological interval change on known previous CT findings, adding up to 92 (29.6%)
134 patients with significant abnormal CT findings on current scan.

135

136 Hydrocephalus was the commonest abnormal finding with 54 (58.7%) of the 92 abnormal CTs
137 showing this finding. Twenty-nine patients with CSF shunts presented with hydrocephalus on CT
138 scan. This was followed by cerebral oedema in 29 (31.5%). The other abnormal CT findings are
139 shown in table 3.

140

141 **Table 3: Findings on head computed tomography (CT) in 311 children presenting with acute**

142 **medical illness**

Finding on CT#	n (%)
Normal	219 (70.4)
Hydrocephalus	54 (17.4)
Cerebral oedema	29 (9.3)
Space occupying lesion	19 (6.1)
Cerebral atrophy	16 (5.1)

Meningitis*	12 (3.9)
Infarct	11 (3.5)
Surface collection	10 (3.2)
Haemorrhage	4 (1.3)
Thrombosis	3 (1.0)

some study subjects had more than one abnormal finding
 *meningitis – basal meningeal enhancement, leptomeningeal enhancement, subdural hygroma

143

144 Fifteen (27.8%) of the 54 patients with a known diagnosis of epilepsy had normal findings on CT
 145 while 21 (38.9%) had known pre-existing pathology which was unchanged. A total of 18 (33.3%)
 146 patients with epilepsy had abnormal CT findings of which six (33.3%) were findings on first time CT
 147 and 12 (66.7%) interval change on pre-existing CT pathology.

148

149 Frequency of abnormal or clinically significant findings was slightly higher though not significant in
 150 patients who presented without seizures compared to those with seizures with 49 (34.5%) out of
 151 142 and 43 (25.4%) out of 169 respectively; P=0.081. Lack of association between presence of
 152 seizures and abnormal CT findings was noted irrespective of the type and duration of seizure (Table
 153 4). In patients with seizures lasting more than 15 minutes, of the patients scanned for the first time,
 154 38/86 (44.2%) had normal findings versus 10/24 (41.7%) with abnormal findings (p=0.826); of the
 155 patients receiving a repeat scan 10/15 (66.7%) had no interval change while 2/5 (40%) had new
 156 findings (p=0.292).

157

158 **Table 4: Comparison of CT findings in patients with first and repeat CT by clinical presentation**

Clinical Presentation	First Computed Tomography n(%)			Repeat Computed Tomography n(%)		
	Normal n=169	Abnormal n=56	P	No change n=50	New findings n =36	P
Impaired LOC	84 (49.7)	35 (62.5)	0.096	27 (54.0)	16 (44.4)	0.382
Nausea or vomiting	41 (24.3)	21 (37.5)	0.055	22 (44.0)	22 (61.1)	0.117
Papilloedema	0 (0.0)	3 (5.4)	0.015	1 (2.0)	3 (8.3)	0.304
Generalised seizure	61 (36.1)	16 (28.6)	0.304	9 (18.0)	5 (13.9)	0.610
Headache	28 (16.6)	11 (19.6)	0.598	18 (36.0)	17 (47.2)	0.296
Long tract signs [#]	42 (24.9)	23 (41.1)	0.020	15 (30.0)	11 (30.6)	0.956
Focal seizure	53 (31.4)	20 (35.7)	0.546	10 (20.0)	4 (11.1)	0.271
Focal neurology	18 (10.7)	8 (14.3)	0.461	10 (20.0)	6 (16.7)	0.695

159

160 Abnormal CT findings on current CT were found in 31 (50.0%) out of 62 patients with CSF shunts
161 compared to 61 (24.5%) out of 249 in those without shunt; P<0.001.

162

163 Of the 169 patients with seizures, 151 (89.3%) did not have CSF shunts. In that cohort, 44/151
164 (29.1%) had abnormal findings on CT scan.

165

166 **Management and outcome**

167

168 One patient, a 17-month-old male, experienced a severe reaction to intravenous non-iodinated
169 contrast. This manifested as desaturation accompanied by swelling of lips and eyelids. He received
170 intravenous promethazine, to which he responded positively without residual morbidity.

171 A total of 160 LPs were performed on 158 patients (2 patients had LP before and after scan). Of this
172 group, 21 (13.1%) LPs were performed before CT scan and 139 (86.9%) after CT scan. In 70 (50.4%)

173 patients with suspected meningitis who had LP post CT scan, CT was done first to exclude space-
174 occupying lesions, non-communicating hydrocephalus or raised intracranial pressure,

175 contraindications for LP. Only two (2.9%) of these had radiological contraindications to LP. Both

176 patients were previously well. One patient presented with fever, seizures, impaired level of

177 consciousness, irritability, global hypotonia and ataxia. The CT showed brain swelling with effaced

178 surface sulci and basal cisterns. The LP was deferred, and the patient treated empirically for

179 meningitis. It was performed nine days later after a repeat CT done four days after the initial scan

180 showed interval improvement. The cerebrospinal fluid (CSF) was clear and colourless, there were no

181 polymorphonuclear (PMN) leucocytes, five lymphocytes and 105 erythrocytes. The Gram and Ziehl-

182 Nielsen stains demonstrated no organisms and no growth was obtained on culture. The biochemistry

183 was normal. The discharge diagnosis was 'meningitis with seizures'. The second patient presented

184 with first-onset prolonged focal seizures with impaired level of consciousness, vomiting, fever and
185 meningeal irritation. The CT showed diffuse brain swelling with effacement of sulci and basal
186 cisterns. LP was deferred and empiric therapy for bacterial and tuberculous meningitis plus herpes
187 encephalitis was commenced. Two days later the CT was repeated and interval improvement in
188 degree of brain swelling was noted. LP done on the same day yielded turbid CSF, 1044 PMN
189 leucocytes and 444 lymphocytes. No organisms were identified on Gram staining or grown on
190 culture. Viral polymerase chain reaction (PCR) was positive for enterovirus and negative for herpes
191 simplex viruses 1 and 2, as well as mumps virus. Biochemistry demonstrated elevated protein of
192 0.79g/L, low glucose of 2.7mmol/L (although there was no concurrent random blood glucose to
193 compare with) and a normal chloride of 134mmol/L. The final diagnosis was 'most likely enterovirus
194 encephalitis'.

195

196 Forty-seven patients (15.1%) of the 311 had interventions based on CT scan findings of which 40
197 (85.1%) required a CSF shunt (either new insertion, or revision of a previous VPS; or external
198 ventricular drainage). Surgical drainage of brain abscess or subdural collection was indicated for four
199 (8.5%) patients while the remaining three patients required therapeutic LP or ventricular tapping to
200 relieve raised ICP in communicating hydrocephalus. Intervention was indicated in 25 (40.3%) of the
201 62 patients with CSF shunts compared to 22 (8.8%) of the 249 without CSF shunts; $p < 0.001$. VPS
202 revisions were carried out on two patients diagnosed with shunt sepsis and blocked shunt
203 respectively although CT findings revealed no interval changes.

204

205 Eight (2.6%) patients, of whom two had normal CT, died during the admission. Causes of death were
206 as follows: intracerebral haemorrhage due to undetermined causes, severe pneumococcal
207 meningitis, suspected pineal mass, meningitis with subsequent cerebral herniation, complicated
208 tuberculous meningitis and VPS malfunction with hydrocephalus. For the two with normal CT death
209 followed severe pneumonia and acute liver failure secondary to hepatitis A.

210

211 **Discussion**

212

213 Our study shows that the majority of children who present with acute medical illness and undergo
214 emergency head CT have no clinically significant findings on CT. The study also demonstrated that
215 patients with CSF shunts made up a large proportion of patients undergoing head CT and were also
216 more likely to be scanned repeatedly. Although this group comprised only 20% of the sample, it was
217 significantly more likely to have abnormal CT findings and interventions based on CT findings.
218 Patients with CSF shunts have previously been reported to have more investigations and surgical
219 procedures in their lifetime [15]. In our study, a greater proportion of children with shunts required
220 surgical intervention, compared to children without (40% versus 9%). This concurs with a
221 longitudinal cohort study in the US by Florin et al., that demonstrated that 20% of 1319 patients with
222 VPS presenting to the emergency department required surgical intervention [16].

223

224 Hydrocephalus (HCP) was the commonest CT finding, most likely reflecting the number of patients
225 with CSF shunts who made up a large proportion of those undergoing the investigation and requiring
226 intervention after imaging. Ventriculoperitoneal shunts (VPS), which are the commonest, are prone
227 to numerous complications such as mechanical obstruction, malfunction, fracture, infection,
228 migration and excessive CSF drainage [17]. A study in the United States analysed the long-term
229 outcomes of VPS surgery in patients with HCP, with the primary outcome of interest being shunt
230 failure [15]. It was demonstrated that 78.2% of the paediatric patients required shunt revision versus
231 32.5% in the adult population and this was statistically significant. Single shunt revision occurred in
232 21.3% of paediatric and 19.7% of adult patients. Multiple shunt revision occurred in 57.4% of
233 paediatric and 12.7% of adult patients. The mean number of shunt revisions in children was 2.6
234 (range 0–17) and 0.6 (range 0–11) for adults. Patients with history of previous shunt surgery had

235 significantly greater shunt revision rates than those without previous shunt surgery (81.4% vs.
236 39.1%, $P < 0.01$). Statistically the odds for shunt revision in patients with prior shunt surgery were
237 nine times higher than those without. Children were 4.22 times more likely to experience shunt
238 revision [15].

239

240 Children with abnormal findings on first CT were more likely to present with abnormal clinical
241 findings although a statistically significant association was manifest only with the presence of
242 papilloedema or long tract signs. There was also a moderately strong association with nausea and
243 vomiting. A cohort study performed on an adult American population found that in addition to
244 altered mental status and focal neurology, papilloedema was a significant predictor of new
245 intracranial pathology on CT scan [18].

246

247 In our study, seizures were the commonest indication for head CT. The median seizure duration was
248 15 minutes, with a predominance of generalised seizures. In 16.6% of the patients presenting with
249 seizures a diagnosis of febrile seizures was made; all their CT imaging was normal. Other studies
250 have also noted that patients with complex febrile seizures were more likely to receive an extensive
251 workup, including a CT scan. In a study in Atlanta by Boyle and Sturm, of 53 patients with complex
252 febrile seizures, none of the head CT scans performed showed significant findings that necessitated
253 intervention or guided therapy [19]. This study excluded patients with CSF shunts; these patients
254 were included in our study. In our study, of the cohort of 169 patients with seizures, we selected out
255 151 with no CSF shunts. In that group, 29.1% had abnormal findings on CT. The risk of abnormal CT
256 findings was however not associated with the duration of seizures or whether the patients
257 presented with focal or generalised seizures. This differs from a review of adult and paediatric
258 studies by Harden et al., noting that focal seizures are likely predictive of abnormal CT results [20]. In

259 a previous study done at the same setting as our study, Swingler et al., concluded that routine CT
260 imaging in children with recent onset partial seizures did not meaningfully change clinical
261 management [13]. In New York, Maytal et al., studied the role of brain CT in evaluating children with
262 new onset seizures in the ED [21]. A year-long retrospective review was done of case notes of all
263 paediatric patients presenting with first-onset seizures to the ED who underwent brain CT was
264 performed, excluding patients with simple febrile seizures. Of the 66 patients, 14 (21.2%) had
265 abnormal results. The cause of seizures was deemed unknown in 33 patients, two of whom had
266 abnormal results but neither warranted intervention. In 20 patients, 12 of whom had abnormal
267 results, the cause was considered symptomatic. Two of the patients with abnormal results had
268 findings of therapeutic significance which were foreseen from prior clinical evaluation. Of 13
269 patients with complex febrile seizures, none had an abnormal scan. Patients with partial seizures
270 were more likely to have abnormal scans compared to those with generalised seizures, although the
271 difference was not statistically significant. The authors concluded that routine brain CT scans for all
272 patients with new onset nonfebrile seizures is not justified, and history and examination are enough
273 to pick up patients warranting imaging. Another study by Allen and Jones, assessed children with
274 epilepsy presenting with breakthrough seizures and undergoing head CT scanning [22]. Twenty-one
275 children with breakthrough seizures were scanned. None of the scans had acute findings and they
276 were all discharged from the emergency department, suggesting that the yield of emergent CT scans
277 in epileptic children with breakthrough seizures is low. This corresponds with the recommendation
278 by the American Academy of Neurology stating that emergency CT is not useful for patients with
279 chronic seizure conditions [20].

280

281 Although children with seizure disorders are more likely to be scanned when they present with
282 breakthrough seizures, available data indicate that they are unlikely to have new acute findings on
283 CT. This is not surprising as children with chronic seizure disorders are likely to have been extensively

284 evaluated by neurologists and undergone previous investigations such as magnetic resonance
285 imaging [22]. Most patients (65%) in our study with a prior diagnosis of epilepsy did not have
286 clinically significant findings on CT. An evidence based review looking at both adults and children
287 recommended that emergency CT not be undertaken for patients with chronic seizures [20]. It is
288 possible that in our cohort of patients, which included a large proportion of children with CSF shunts,
289 a shunt malfunction may have presented with breakthrough seizures.

290

291 In our study, the performance of CT to establish safety of LP in patients with suspected meningitis
292 demonstrated a low yield of abnormal findings, with only two patients out of 70 noted to have
293 radiological contraindications to LP. This is consistent with the findings of a prospective study by
294 Gopal et al., involving 113 adults, in which only 2.7% had absolute radiological contraindications to
295 LP [18]. Other investigators demonstrated that normal head CT results do not guarantee safety of LP
296 in children with suspected raised intracranial pressure especially in the setting of bacterial meningitis
297 [23, 24]. Acute meningitis may result in cerebral swelling and fatal herniation even without lumbar
298 puncture [24]. An Australian study by Rennick, Shann and de Campo, looked at children with
299 bacterial meningitis to assess whether the incidence of cerebral herniation increases immediately
300 after lumbar puncture [24]. The authors concluded that there was a strong suggestion that LP may
301 cause herniation in some patients, and normal CT results do not mean it is safe to perform a lumbar
302 puncture in a paediatric patient with bacterial meningitis; clinical contraindications must not be
303 ignored based on a normal CT result.

304

305 Normal head CT scans played a pivotal role in ruling out lesions and narrowing down the differential
306 diagnoses. This made the emergency management of patients more efficient as the therapy was
307 more targeted.

308

309 Relatively few decisions to scan were made by senior clinicians. It concerned us in this study that less
310 than a third of decisions to do CT scan seem to have involved senior clinicians. This may be
311 responsible for poor screening of patients. More senior input may be required before ordering
312 scans. Over and above that, better clinical skills, especially checking for papilloedema, are vital in
313 order to guide the scan requests and pick up subtle pathology clinically where scan results may
314 otherwise be interpreted as normal.

315

316 Our study is limited by its retrospective design. In addition to missing data, due to the small sample
317 size, the study was not powered to assess associations in a number of comparisons. Where
318 univariate associations were noted, the small sample size precluded conducting of multivariable
319 analysis to establish independent associations. Another limitation is that the study relies only on the
320 radiologist's interpretation of CT findings and not on neurosurgical opinion which at times may differ
321 from that of radiologists. Data on lumbar puncture opening pressures were largely missing with no
322 documentation why they were not measured. It is not clear what contribution, if any, this clinical
323 feature would have made towards findings and plan of management. Seizure duration, number of
324 episodes and description of seizures and decision-makers in ordering CT scans are other missing data
325 that may have proved useful.

326

327 **Conclusion**

328

329 Our study has found that most children presenting acutely to the MEU have normal or clinically
330 insignificant findings on CT. Patients with VPS had the highest yield of abnormal scans with HCP the
331 commonest finding. Our study also suggests the feasibility of creating a clinical selection tool that
332 incorporates clinical features such as presence of nausea or vomiting, papilloedema and long tract

333 signs. This selection tool would require thorough clinical assessment to yield useful information. This
334 is relevant because proper selection of patients for CT brain will reduce exposure of patients to
335 unnecessary cranial irradiation, thereby reducing excess risk of malignancy. This is especially
336 important for patients with CSF shunts who receive multiple CT imaging. Where CT is clearly
337 indicated, the use of paediatric protocols with adjusted radiation doses and limited slice scanning
338 will also assist in reducing radiation risk.

339

340 Head CT has revolutionized the diagnosis and management of illness in childhood, but possibly at
341 the expense of good clinical skills and judgement. Thorough clinical assessment is still an
342 indispensable and crucial tool in identifying patients that require CT brain.

343

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345

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349

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351

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426

427

428 **Appendices**429 **Appendix 1: Head CT data collection sheet**

430

431 **1. PATIENT CHARACTERISTICS**

	Study number:	Date of Folder Review ____/____/20____
1.1	Patient name	
1.2	Folder number	
1.3	Residence	
1.4	Date of birth	
1.5	Sex	Female <input type="checkbox"/> Male <input type="checkbox"/>
1.6	Weight	
1.7	Origin	Clinic referral <input type="checkbox"/> Secondary level <input type="checkbox"/> Walk-in from home <input type="checkbox"/> Trauma unit referral <input type="checkbox"/>
1.8	Triage classification	Red <input type="checkbox"/> Orange <input type="checkbox"/> Green <input type="checkbox"/>

432

2. CLINICAL FEATURES		
2.1	Date of admission/consultation	
2.2	Date of scan	
2.3	Index head CT or repeat scan	First time <input type="checkbox"/> Repeat <input type="checkbox"/>
2.4	If repeat scan:	Number: ____ Previous scan(s) and indication(s):

2.5	Indications (As per CT request)	<p>i) Altered level of consciousness: Yes <input type="checkbox"/> No <input type="checkbox"/> Not documented <input type="checkbox"/> If yes, GCS (if documented): AVPU (if documented):</p> <p>ii) Seizures: Yes <input type="checkbox"/> No <input type="checkbox"/> Not documented <input type="checkbox"/> If yes, Focal <input type="checkbox"/> Generalised <input type="checkbox"/> Atypical <input type="checkbox"/> Not documented <input type="checkbox"/> If atypical, specify: _____ Duration in minutes: _____ Not documented <input type="checkbox"/> Number of episodes: _____ Not documented <input type="checkbox"/> Witnessed: Yes <input type="checkbox"/> No <input type="checkbox"/> Not documented <input type="checkbox"/> If yes, witnessed by: _____ Required anticonvulsants: Yes <input type="checkbox"/> No <input type="checkbox"/> Not documented <input type="checkbox"/> If yes, which one(s): _____</p> <p>iii) Suspected VP shunt pathology: Yes <input type="checkbox"/> No <input type="checkbox"/> No VP shunt in situ <input type="checkbox"/> If yes, Blocked <input type="checkbox"/> Infected <input type="checkbox"/> Other (specify) _____</p> <p>iv) Raised intracranial pressure: Yes <input type="checkbox"/> No <input type="checkbox"/> Not documented <input type="checkbox"/></p> <p>v) Focal neurological deficit: Yes <input type="checkbox"/> No <input type="checkbox"/> Not documented <input type="checkbox"/></p> <p>vi) Other (specify):</p>
2.6	Associated symptoms (state duration where possible)	<p>Headache: Yes <input type="checkbox"/> No <input type="checkbox"/> Not documented <input type="checkbox"/> Drowsiness: Yes <input type="checkbox"/> No <input type="checkbox"/> Not documented <input type="checkbox"/> Photophobia: Yes <input type="checkbox"/> No <input type="checkbox"/> Not documented <input type="checkbox"/> Blurred vision: Yes <input type="checkbox"/> No <input type="checkbox"/> Not documented <input type="checkbox"/> Tinnitus: Yes <input type="checkbox"/> No <input type="checkbox"/> Not documented <input type="checkbox"/> Irritability: Yes <input type="checkbox"/> No <input type="checkbox"/> Not documented <input type="checkbox"/> Apnoea: Yes <input type="checkbox"/> No <input type="checkbox"/> Not documented <input type="checkbox"/> Vomiting: Yes <input type="checkbox"/> No <input type="checkbox"/> Not documented <input type="checkbox"/> Nausea: Yes <input type="checkbox"/> No <input type="checkbox"/> Not documented <input type="checkbox"/> Diarrhoea: Yes <input type="checkbox"/> No <input type="checkbox"/> Not documented <input type="checkbox"/> Dizziness: Yes <input type="checkbox"/> No <input type="checkbox"/> Not documented <input type="checkbox"/> Fever: Yes <input type="checkbox"/> No <input type="checkbox"/> Not documented <input type="checkbox"/> Cough: Yes <input type="checkbox"/> No <input type="checkbox"/> Not documented <input type="checkbox"/> Night sweats: Yes <input type="checkbox"/> No <input type="checkbox"/> Not documented <input type="checkbox"/></p>

		Weight loss/failure to thrive: Yes <input type="checkbox"/> No <input type="checkbox"/> Not documented <input type="checkbox"/> Other:
2.7	Clinical signs	<p>Vital signs (recorded at presentation or shortly after)</p> <p>Temperature _____</p> <p>Heart rate _____</p> <p>Blood pressure _____</p> <p>Respiratory rate _____</p> <p>Blood glucose _____</p> <p>Oxygen saturation _____</p> <p>Meningism: Yes <input type="checkbox"/> No <input type="checkbox"/> Not documented <input type="checkbox"/> (as defined by neck stiffness, positive Kernig's or Brudzinski sign)</p> <p>Increased tone: Yes <input type="checkbox"/> No <input type="checkbox"/> Not documented <input type="checkbox"/></p> <p>Brisk tendon reflexes: Yes <input type="checkbox"/> No <input type="checkbox"/> Not documented <input type="checkbox"/></p> <p>Papilloedema: Yes <input type="checkbox"/> No <input type="checkbox"/> Not documented <input type="checkbox"/></p> <p>Unequal pupils: Yes <input type="checkbox"/> No <input type="checkbox"/> Not documented <input type="checkbox"/></p> <p>Cranial nerve palsy: Yes <input type="checkbox"/> No <input type="checkbox"/> Not documented <input type="checkbox"/> If yes, specify _____</p> <p>Motor deficit: Yes <input type="checkbox"/> No <input type="checkbox"/> Not documented <input type="checkbox"/> If yes, specify _____</p> <p>Sun-setting sign: Yes <input type="checkbox"/> No <input type="checkbox"/> Not documented <input type="checkbox"/></p> <p>Splayed sutures: Yes <input type="checkbox"/> No <input type="checkbox"/> Not documented <input type="checkbox"/></p> <p>Bulging fontanelle: Yes <input type="checkbox"/> No <input type="checkbox"/> Not documented <input type="checkbox"/></p> <p>Visible scalp veins: Yes <input type="checkbox"/> No <input type="checkbox"/> Not documented <input type="checkbox"/></p> <p>Chest crepitations: Yes <input type="checkbox"/> No <input type="checkbox"/> Not documented <input type="checkbox"/></p> <p>Head circumference and centile:</p> <p>Other significant sign(s):</p>
2.8	Co-morbid condition(s)	Yes <input type="checkbox"/> No <input type="checkbox"/> Not documented <input type="checkbox"/> If yes, specify:
2.9	Drug history	Nil of note <input type="checkbox"/> Significant <input type="checkbox"/> Not documented <input type="checkbox"/> If significant, specify:
2.10	Past medical/surgical history	Nil of note <input type="checkbox"/> Significant <input type="checkbox"/> Not documented <input type="checkbox"/> If significant, specify:
2.11	Family history	Nil of note <input type="checkbox"/> Significant <input type="checkbox"/> Not documented <input type="checkbox"/> If significant, specify:

2.12	Birth history	Mode: Birth weight: Perinatal complications:	Gestation: Apgars:
2.13	TB contact	Yes <input type="checkbox"/> No <input type="checkbox"/> Not documented <input type="checkbox"/> -If yes, give details of contact and any investigations	
2.14	HIV status	Negative <input type="checkbox"/> Positive <input type="checkbox"/> Exposed <input type="checkbox"/> Unknown <input type="checkbox"/>	
2.15	Immunisation status	Up to date <input type="checkbox"/> Not up to date <input type="checkbox"/> Not documented <input type="checkbox"/>	
2.16	Development	Normal <input type="checkbox"/> Delayed <input type="checkbox"/> Not documented <input type="checkbox"/>	
2.17	PROVISIONAL DIAGNOSIS		

3. HEAD CT SCAN INVESTIGATION

3.1	Decision to scan made by:	i. Consultant <input type="checkbox"/> ii. Senior Registrar <input type="checkbox"/> iii. Paediatric Registrar <input type="checkbox"/> iv. Medical Officer <input type="checkbox"/> v. Intern <input type="checkbox"/> vi. Other <input type="checkbox"/> vii Cannot be ascertained <input type="checkbox"/>
3.2	Time of ordering of scan	
3.3	Time the scan was performed	
3.4	Time interval	

4. PRE-SCAN MANAGEMENT

4.1	Investigations	Lumbar puncture: Yes <input type="checkbox"/> No <input type="checkbox"/> Not documented <input type="checkbox"/> Time (if documented): Results: Full blood count: Yes <input type="checkbox"/> No <input type="checkbox"/> Not documented <input type="checkbox"/> Results: C reactive protein: Yes <input type="checkbox"/> No <input type="checkbox"/> Not documented <input type="checkbox"/> Results: Urea, electrolytes&creatinine: Yes <input type="checkbox"/> No <input type="checkbox"/> Not documented <input type="checkbox"/> Results: Blood culture: Yes <input type="checkbox"/> No <input type="checkbox"/> Not documented <input type="checkbox"/> Results: Tuberculin test: Yes <input type="checkbox"/> No <input type="checkbox"/> Not documented <input type="checkbox"/> Result: Positive <input type="checkbox"/> Negative <input type="checkbox"/> Not documented <input type="checkbox"/>
-----	----------------	--

		<p>Induced sputum/gastric washings (specify which one if done): Yes <input type="checkbox"/> No <input type="checkbox"/> Not documented <input type="checkbox"/></p> <p>Chest radiograph: Yes <input type="checkbox"/> No <input type="checkbox"/> Not documented <input type="checkbox"/></p> <p>Results:</p> <p>EEG: Yes <input type="checkbox"/> No <input type="checkbox"/> Not documented <input type="checkbox"/></p> <p>Results:</p> <p>Other investigation(s):</p>
4.2	Medication	<p>Antibiotics: Yes <input type="checkbox"/> No <input type="checkbox"/> Not documented <input type="checkbox"/></p> <p>Details: Medication(s): Time commenced:</p> <p>Anti-TB therapy: Yes <input type="checkbox"/> No <input type="checkbox"/> Not documented <input type="checkbox"/></p> <p>Details: Medication(s): Time commenced:</p> <p>Systemic corticosteroids: Yes <input type="checkbox"/> No <input type="checkbox"/> Not documented <input type="checkbox"/></p> <p>Details: Medication(s): Time commenced:</p> <p>Antiviral therapy: Yes <input type="checkbox"/> No <input type="checkbox"/> Not documented <input type="checkbox"/></p> <p>Details: Medication(s): Time commenced:</p> <p>Anticonvulsants: Yes <input type="checkbox"/> No <input type="checkbox"/> Not documented <input type="checkbox"/></p> <p>Details: Medication(s): Time commenced:</p> <p>Other (mannitol, hypertonic saline): Yes <input type="checkbox"/> No <input type="checkbox"/> Not documented <input type="checkbox"/></p> <p>Details: Medication(s): Time commenced:</p>
5. HEAD CT RESULTS		
5.1	Findings	<p>No intracranial pathology <input type="checkbox"/></p> <p>Hydrocephalus (specify whether (non)communicating) <input type="checkbox"/></p> <p>Cerebral oedema <input type="checkbox"/></p> <p>Cerebral atrophy <input type="checkbox"/></p> <p>Thrombosis(specify) <input type="checkbox"/></p> <p>Space occupying lesion (specify) <input type="checkbox"/></p> <p>Meningitis (specify) <input type="checkbox"/></p> <p>Infarct <input type="checkbox"/></p> <p>Haemorrhage <input type="checkbox"/></p> <p>Other (specify) <input type="checkbox"/></p>

		Extracranial abnormalities <input type="checkbox"/>
5.2	Was further imaging indicated?	i. Yes <input type="checkbox"/> ii. No <input type="checkbox"/>
5.3	Did the patient subsequently have further imaging within 24 months after this scan?	i. Yes <input type="checkbox"/> ii. No <input type="checkbox"/> If yes, specify:

6. POST-SCAN MANAGEMENT

6.1	Investigation	Lumbar puncture: Yes <input type="checkbox"/> No <input type="checkbox"/> Not documented <input type="checkbox"/> Date, time and result: Other investigation (specify and document result if applicable)
6.2	Medication	Antibiotics: Started <input type="checkbox"/> Continued <input type="checkbox"/> Changed <input type="checkbox"/> Stopped <input type="checkbox"/> Never started <input type="checkbox"/> Anti-TB: Started <input type="checkbox"/> Continued <input type="checkbox"/> Changed <input type="checkbox"/> Stopped <input type="checkbox"/> Never started <input type="checkbox"/> Corticosteroids: Started <input type="checkbox"/> Continued <input type="checkbox"/> Changed <input type="checkbox"/> Stopped <input type="checkbox"/> Never started <input type="checkbox"/> Antiviral: Started <input type="checkbox"/> Continued <input type="checkbox"/> Changed <input type="checkbox"/> Stopped <input type="checkbox"/> Never started <input type="checkbox"/> Anticonvulsants: Started <input type="checkbox"/> Continued <input type="checkbox"/> Changed <input type="checkbox"/> Stopped <input type="checkbox"/> Never started <input type="checkbox"/>
6.3	Intervention	Yes <input type="checkbox"/> No <input type="checkbox"/> Not documented <input type="checkbox"/> If yes, specify:
6.4	FINAL DIAGNOSIS	

7. OUTCOME

Discharged <input type="checkbox"/> Died <input type="checkbox"/>	Date:
Transferred <input type="checkbox"/>	Date:

Outcome post-transfer: Discharged <input type="checkbox"/> Died <input type="checkbox"/>	Date:
Follow up plan(s)	

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UNIVERSITY OF CAPE TOWN
Faculty of Health Sciences
Human Research Ethics Committee



Room E52-24 Old Main Building
Groote Schuur Hospital
Observatory 7925
Telephone [021] 406 6492 • Facsimile [021] 406 6411
Email: Sumayah.arteftdien@uct.ac.za
Website: www.health.uct.ac.za/fhs/research/humanethics/forms

12 March 2015

HREC/REF: 087/2015

Dr H Buys
Paediatrics, Ambulatory & Emergency
5th Floor ICH Building
Red Cross Children's Hospital
Rondebosch

Dear Dr Buys

Project Title: THE CLINICAL USE AND INDICATIONS FOR BRAIN CT SCANS IN PAEDIATRIC AMBULATORY CARE (SHORT STAY WARD AND MEDICAL EMERGENCIES) AT A CHILDREN'S HOSPITAL OVER A ONE YEAR PERIOD, 1ST JANUARY - 31ST DECEMBER 2013

Thank you for submitting your study to the Faculty of Health Sciences Human Research Ethics Committee (HREC) for review.

It is a pleasure to inform you that the HREC has **formally approved** the above mentioned study.

Approval is granted for one year until the 28 March 2016.

Please submit a progress form, using the standardised Annual Report Form, if the study continues beyond the approval period. Please submit a Standard Closure form if the study is completed within the approval period.

Please note that the on-going ethical conduct of the study remains the responsibility of the principal investigator.

Please quote the HREC REF in all your correspondence.

Yours sincerely

**PROFESSOR M BLOCKMAN
CHAIRPERSON, HSF HUMAN ETHICS**

Federal Wide Assurance Number: FWA00001637.

Institutional Review Board (IRB) number: IRB00001938

Hrec/ref088/2015

437 Appendix 3: Annual progress report/renewal


UNIVERSITY OF CAPE TOWN
UNIVERSITEIT VAN KAAPSTAD

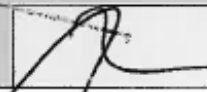
ETHICS COMMITTEE
 15 AUG 2016

FACULTY OF HEALTH SCIENCES
 Human Research Ethics Committee



FHS017: Annual Progress Report / Renewal

Record Reviews/Audits/Collection of Biological Specimens/Repositories/Databases/Registries

HREC office use only (FWA00001637; IRB00001938)			
This serves as notification of annual approval, including any documentation described below.			
<input checked="" type="checkbox"/> Approved	Annual progress report	Approved until/next renewal date	30.8.2017
<input type="checkbox"/> Not approved	See attached comments		
Signature Chairperson of the HREC			Date Signed
			16/8/2016

Principal Investigator to complete the following:

1. Protocol information

Date (when submitting this form)	28/07/2016		
HREC REF Number	087/2015	Current Ethics Approval was granted until	28/03/2016
Protocol title	THE CLINICAL USE AND INDICATIONS FOR HEAD CT SCANS IN PAEDIATRIC AMBULATORY CARE (SHORT STAY WARD AND MEDICAL EMERGENCIES) AT A CHILDREN'S HOSPITAL OVER A ONE YEAR PERIOD, 1ST JANUARY-31ST DECEMBER 2013		
Principal Investigator	DR HELOISE BUYS		
Department / Office Internal Mail Address	DEPARTMENT OF PAEDIATRICS		
1.1 Does this protocol receive US Federal funding?		<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No

2. Protocol status (tick ✓)

<input checked="" type="checkbox"/>	Research-related activities are ongoing
<input type="checkbox"/>	Data collection is complete, data analysis only
Please indicate (in the block below) the titles and HREC reference numbers of any projects currently making use of the Database/registry/repository	
NOT APPLICABLE	

3. Protocol summary

Total number of records or specimens collected, reviewed or stored since the original approval	174
Total number of records or specimens collected, reviewed or stored since last progress report	174
Have any research-related outputs (e.g. publications, abstracts, conference presentations) resulted from this research? If yes, please list and attach with this report	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No

4. Signature

Signature of PI		Date	10/08/2016
-----------------	---	------	------------

Appendix 4: Hospital Management (RCWMCH) Approval



Dr AS Booysen
Manager: Medical Services
Email: Tony.Booyesen@Westerncape.gov.za
Tel: +27 21 658 5788 fax: +27 21 658 5166

Dr H Buys
Red Cross War Memorial Children's Hospital

Dear Dr H Buys

APPROVAL OF RESEARCH

PROJECT TITLE: THE USE OF BRAIN CT SCANS IN PAEDIATRIC AMBULATORY CARE (SHORT STAY WARD AND MEDICAL EMERGENCIES)

It is a pleasure to inform you that approval is hereby granted to conduct the above-mentioned study at Red Cross War Memorial Children's Hospital.

Yours sincerely,

A handwritten signature in black ink, appearing to read "Tony Booysen", written over a horizontal line.

Dr AS Booysen
Manager: Medical Services
Date: 27.11.15

443 **Appendix 5: PLOS One journal instructions to authors**



TITLE, AUTHOR, AFFILIATIONS FORMATTING GUIDELINES

1

2

3

4 **This is the article title**

5

6

7 **John Doe^{1†}, Antonie Data^{1‡}, Johannes van Stats^{1,4*}, Marie Testperson^{2*}, David**

8 **Ribosome Jr.^{3,5}, Gregory H.T. McBio^{4,6}, Angela Reviewerson^{1,2*}, Marina**

9 **Measure^{1,8}, on behalf of The Bunny Genome Sequencing Consortium[^]**

10

11

12

13 ¹ Department, Institution, City, State, Country

14 ² Department of Dermatology, Division of Rabbit Health, Section of Veterinary

15 Medicine, St. Hare Hospital, San Francisco, California, United States of America

16

17 ³ Department of Libraries and Archives, National Contemporary Bunny Museum,

18 Lagomorph, Connecticut, United States of America

19

20 ⁴ Department of Restoration, National Contemporary Bunny Museum, Lagomorph,

21 Connecticut, United States of America

22

23 ⁵ Department of Archaeology, Bunny University, Lagomorph, Connecticut, United

24 States of America

25

26 ⁶Current Address: Department of Carrot Science, Bunny University, Lagomorph,

27 Connecticut, United States of America

28

29 ⁸Current Address: Department of Canine Evasion, Bunny University, Lagomorph,

30 Connecticut, United States of America

31

32

33 ^{*} Corresponding author

34 E-mail: testperson@university.ed (MT)

35

36

37 [†]These authors contributed equally to this work.

38 [‡]These authors also contributed equally to this work.

39

40

41 [^]Membership of the Bunny Genome Sequencing Consortium is provided in the

42 Acknowledgments.

Symbol Legend		
Symbol	Name	Definition
¶	Pilcrow (paragraph symbol)	1st set of equal contributors
&	Ampersand	2nd set of equal contributors
*	Asterisk	Corresponding author(s)
#a	Pound/number sign	First Current address
#b	Pound/number sign	Second Current address
†	Dagger/Cross	Deceased
^	Caret	Consortium/Group Authorship

Article Title

- Italics, bold type, symbols, and other text formatting will all be reproduced in the published article as submitted.
- Titles should be written in sentence case (capitalize only the first word of the title, the first word of the subtitle, and any proper nouns and genus names).

Author Byline

- Author names will be published exactly as they appear in the accepted manuscript.
- Indicate affiliations by number only.
- Affiliation footnotes should appear in numerical order at first mention.
- Please use the symbols provided in this document for other designations.
- Numbers and symbols should be in superscript.
- Do not include titles (Dr., PhD, Professor, etc.).

Affiliations

- Affiliations will be published as they appear in the accepted manuscript.
- Include each component in order of small to large (Department, Division, Section, Institution, City, State, Country).
- Do not include ZIP or Postal Codes, street addresses, or building/office numbers.
- Do not use abbreviations (e.g. Dept.).
- Do not list positions within an Institution (e.g. Department Chair, Professor, etc.).
- List each affiliation individually and in full.

Corresponding Authorship

- Do not include physical addresses; only email addresses are required.
- List corresponding author's initials in parentheses after the email address.

Contributorship

- Use the symbols provided here to indicate equal contributions.
- If you would like the equal contributions notes to read differently, please specify in your manuscript (e.g., "AR and MM are Joint Senior Authors").

Consortia or other Group Authors

- If there is a consortium or group author on your manuscript, please provide a note that describes where the full membership list is available for the readers.
- The membership list can be listed in the Acknowledgments, in Supporting Information, or on the Internet.
- Consortia/Group authors can have affiliations, but it is not required.

Modified January 2017

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445

1 **Abstract** ←

2 Lorem ipsum dolor sit amet, consectetur adipiscing elit.
 3 Vestibulum adipiscing urna ut lectus gravida, vitae blandit tortor
 4 interdum. Donec tincidunt porta sem nec hendrerit. Vestibulum nec
 5 pharetra quam, vitae convallis nunc. Mauris in mattis sapien. Fusce
 6 sodales vulputate auctor. Nam lacus felis, fermentum sit amet nulla
 7 ac, tristique ultrices tellus. Integer rutrum aliquet sapien, eu
 8 fermentum magna pellentesque vitae. Integer semper viverra mauris
 9 vel pulvinar. Suspendisse sagittis malesuada urna. Praesent mauris
 10 diam, fringilla id fringilla ac, posuere non lorem. Vestibulum mauris
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17 **Introduction** ←

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 21 pharetra quam, vitae convallis nunc.

22 **Materials and methods**

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 24 Vestibulum adipiscing urna ut lectus gravida, vitae (Fig 1) ←
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 26 pharetra quam, vitae convallis nunc. Mauris in mattis sapien. Fusce
 27 sodales vulputate auctor. Nam sit amet nulla lacus a, (Figs 1 and 2) ←
 28 ultrices tellus. Integer rutrum aliquet sapien, eu fermentum magna
 29 pellentesque vitae.

30

31 **Fig 1.** This is the Fig 1 Title. This is the Fig 1 legend.

32 **Fig 2.** This is the Fig 2 Title. This is the Fig 2 legend.

33

34 **File Naming for Figures**

- Figure files should be saved as "Fig1.tif", "Fig2.eps", etc.
- Acceptable file formats for figures are ".tif", ".tiff", and ".eps"
- Figures should be uploaded separately as individual files.
- PLOS ONE guidelines for figures can be found here: <http://journals.plos.org/plosone/s/figures>

1

Level 1 Heading

- Use Level 1 heading for all major sections (Abstract, Introduction, Materials and methods, Results, Discussion, etc.).
- Bold type, 18pt font.
- Only use italics and text formatting where needed (e.g. genus and species names, genes, etc.).
- Headings should be written in sentence case (capitalize only the first word of the heading, the first word of the subheading, and any proper nouns and genus names).

NOTE: Do not cite figures, tables, supporting information, or references in the Abstract.

Figure Citations

- Cite figures as "Fig 1", "Fig 2", etc.
- Cite figures and tables in order.
- Do not cite "Fig 2" before "Fig 1".
- Cite multiple figures as "Figs 1 and 2", "Figs 1-3", etc.

Figure Captions

- Each figure caption should appear directly after the paragraph in which they are first cited.
- Do not include tables within captions.
- Use bold type for the figure titles.

35

36

37 Lorem ipsum dolor sit amet, consectetur adipiscing elit.
38 Vestibulum adipiscing urna ut lectus gravida, vitae blandit tortor
39 interdum. Donec p^3 et q^3 tincidunt porta sem nec hendrerit.

40

$$p^2 + 2pq + q^2 = 1 \quad (1)$$

Display/Numbered Equation

- Format display equations in Mathtype or Equation Tools.
- Do not use Graphic Objects.

41

42 Vestibulum nec pharetra quam, vitae convallis nunc. Mauris
43 in mattis sapien. Fusce sodales vulputate auctor. Nam lacus felis,
44 fermentum sit amet nulla ac, tristique ultrices tellus. Integer rutrum
45 aliquet sapien, eu fermentum magna pellentesque vitae. Integer
46 semper viverra mauris vel pulvinar dolor sit amet en $(p + q)^2 = 1$.

Inline Equation

- Format in regular text or as an inline equation in Mathtype or Equation Tools.
- Do not use Symbol Font.
- Do not use Graphic Objects.

46

Genotyping

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50 interdum. Donec tincidunt porta sem nec hendrerit. Omnes tuum
51 basi sunt pertinent ad nos. Mauris in mattis sapien. Fusce sodales
52 vulputate auctor. Nam lacus felis, fermentum sit amet nulla ac,
53 tristique ultrices tellus. Integer rutrum aliquet sapien, eu fermentum
54 magna pellentesque vitae. Integer semper viverra mauris vel
55 pulvinar et alst.

Level 2 Heading

- Use Level 2 headings for sub-sections of major sections.
- Bold type, 16pt font.
- Only use italics and text formatting where needed.
- Use sentence case.

Whole genome RFLP analysis

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59 interdum. Donec tincidunt porta sem nec hendrerit. Vestibulum nec
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61 sodales vulputate auctor. Nunquam iens dare tibi up.

Level 3 heading

- Use Level 3 headings for sub-sections within Level 2 headings.
- Bold type, 14pt font.
- Only use italics and text formatting where needed.
- Use sentence case.

62 **NOTE:** This document is presented in single-space paragraph
63 format for ease of use. Please submit your manuscript in
64 double-space paragraph format.

64

65 Results and discussion

66 Lorem ipsum dolor sit amet, consectetur adipiscing elit.
67 Vestibulum adipiscing urna ut lectus gravida, et bland [Table 1](#)
68 Donec tincidunt porta sem nec hendrerit. Vestibulum nec pharetra
69 quam, vitae convalli. Fido nemo.

70 **Table 1. This is the Table 1 Title.**

	Chemical W	Chemical X	Chemical Y	Chemical Z
Chemical 1	Reaction 1W	Reaction 1X	Reaction 1Y	Reaction 1Z
Chemical 2	Reaction 2W	Reaction 2X	Reaction 2Y	Reaction 2Z
Chemical 3	Reaction 3W ^a	Reaction 3X	Reaction 3Y ^b	Reaction 3Z
Chemical 4	Reaction 4W	Reaction 4X	Reaction 4Y	Reaction 4Z
Chemical 5	Reaction 5W	Reaction 5X	Reaction 5Y	Reaction 5Z

71 This is the Table 1 legend.

72 ^aTable footnotes belong here.

73 ^bFootnotes should have corresponding symbols in the table.

74

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76 Conclusions

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79 interdum. Donec tincidunt porta sem nec hendrerit. Vestibulum nec
80 pharetra quam, vitae convallis nunc. Mauris in mattis sapien. Fusce
81 sodales vulputate auctor [S1 Fig](#). Dolor sit amet [S1 and S2 Tables](#).

82

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3

Tables and Table Citations

- Tables should be cited as "Table 1", "Table 2", etc.
- Cite multiple tables as "Tables 1 and 2", "Tables 1-3", etc.
- Tables should be included directly after the paragraph in which they are first cited.
- Tables must be cell-based in Microsoft Word or embedded with Microsoft Excel.
- Do not use empty rows to create spacing.
- Do not include graphic objects, images, or colored text.
- See PLOS ONE Table Guidelines for more complete instructions: <http://journals.plos.org/plosone/s/tables>

Reference Citations

- Cite references in brackets (for example, "[1]" or "[2-5]" or "[3,7,9]").
- References must be cited in order at first mention.

Supporting Information Citations

- Format Supporting Information Citations as "S1 Fig", "S1 Table", etc.
- Cite multiple files as "S1 and S2 Figs", "S1-S3 Figs", etc.
- It is not required to cite each Supporting Information file.
- Supporting information should be uploaded separately as individual files.

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85 Acknowledgments

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