

**Patterns of mortality in children presenting to a tertiary paediatric emergency
unit in Sub-Saharan Africa: a cross sectional study**



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4 **Abstract**

5

6 **Background**

7 Pneumonia, diarrhoea and perinatal factors are the foremost killers of South African children as
8 in other low- and middle-income countries. Poverty, poor access to care and pre-hospital care
9 are reported major pre-hospital factors and lack of triage, poor skills, delays, poor adherence to
10 treatment protocols and inadequate emergency care determining mortality have been reported
11 to increase in-hospital mortality.

12 **Objectives**

13 To describe the clinical presentation and management of children admitted via the medical
14 emergency unit (MEU) of the Red Cross War Memorial Children’s Hospital (RCWMCH) who
15 subsequently died.

16 **Methods**

17 We did a retrospective study undertaking a cross-sectional review of children who died
18 following admission via RCWMCH MEU in 2008. Demographic information, clinical data, time
19 factors and mortality data were reviewed and summarised by descriptive and inferential
20 statistics. The unit utilised the WHO Emergency Triage Assessment and Treatment (ETAT) triage
21 tool, categorising children into Red (emergency), orange (priority) and Green (non-urgent).
22 Patient management was assessed by means of ETAT and the Integrated Management of
23 Childhood Illness (IMCI) tools, which is used to identify severity of illness and strategize
24 treatment plans accordingly.

25 **Results**

26 A total of 135 children met the inclusion criteria. The crude mortality rate was of 6.25 per 1000
27 admissions. Of the 135 children who died, 119 (88%) were under five years of age, 33(24%)
28 were HIV-infected, of whom 29 (88%) were under 5 years old. In 67 (50%), a chronic medical
29 condition could be identified while 67 (50 %) were moderately or severely malnourished. There
30 were 29 (22%) deaths within 24 hours of arrival at the MEU. Fifty-five (41%) presented after
31 hours. Community health centres referred 65 (48%) patients, general practitioners referred 20
32 (15%) and 38 (28%) were self-referred. Ambulance services provided pre-hospital transport to
33 69 (51%). The two top presenting illnesses in 88 (65%) of the children were acute respiratory
34 illness and acute gastroenteritis. Prior to referral, oxygen was not provided in 57 (59%) children,
35 35 (71%) with suspected sepsis did not receive antibiotics and glucose was not checked in 39
36 (80%) with depressed level of consciousness. The median time to ward transfer was 3.23 (IQR:
37 2.12-4.92) hours. Twelve deaths (9%) occurred in the MEU, 57 (42%) in PICU, 56 (42%) in medical
38 wards and 10 (7%) in specialist wards. The five most common causes of death were acute
39 respiratory infections in 45 (33%), acute gastroenteritis in 27 (20%), septicaemia 22 (16%),
40 meningitis in 13 (10%) and cardiac conditions in 12 (9%) children.

41 **Conclusion**

42 The top causes of mortality in this hospital cohort in 2008 were pneumonia, acute
43 gastroenteritis, and septicaemia. Using the IMCI and ETAT standard of care, suboptimal
44 management was identified in pre-hospital management, as well as MEU management.

45 Appropriate training and protocol implementation to improve morbidity and mortality should
46 be undertaken.

47

48 **Keywords**

49 Emergency care, mortality, children, South Africa

(461 words)

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52

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59 giving up on me.

60 Above all, to the Creator of all, sincere praise for His grace and mercy.

ABBREVIATIONS

MDGs	:	Millennium Development Goals
UN	:	United Nations
U5MR	:	Under 5 Mortality Rate
HR	:	Hazard ratio
WHO	:	World Health Organization
SDGs	:	Sustainable Development Goals
RCWMCH	:	Red Cross War Memorial Children’s Hospital
ETAT	:	Emergency Triage Assessment and Treatment
ED	:	Emergency Department
MEU	:	Medical Emergency Unit
LMICs	:	Low- and middle-income countries
PMTCT	:	Prevention of mother to child transmission
ChildPIP	:	Child Healthcare Problem Identification Programme
GP	:	General Practitioner
CHC	:	Community Health Centre

IQR : **Interquartile range**

CI : **Confidence Interval**

CFT : **Capillary Filling Time**

IPPV : **Intermittent Positive Pressure Ventilation**

CPAP : **Continuous Positive Airway Pressure**

HREC : **Human Research Ethics Committee**

IO : **Intraosseous**

IV : **Intravenous**

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Chapter One

78 **The Research Problem/Introduction**

79 Millennium Development Goal (MDG) 4 entailed reducing under-five mortality by two thirds
80 and this varied based on the country context; in the case of South Africa, this would have
81 required reducing under-five mortality from 60 per 1000 live births in 1996 to 20 per 1000 live
82 births by 2015. [1] This goal was not met. Sustainable Development Goals (SDGs) were then
83 adopted in 2015 at a United Nations congress to address challenges faced globally with regards
84 to poverty, inequality, prosperity, justice and various other challenges. One of the SDGs is to
85 reduce child mortality to less than 25 per 1000 live births by the year 2030. [2]

86 Prior to the SDGs, the MDGs were devised by the United Nations (UN) in the year 2000. The
87 MDG 4 stated that by the year 2015, global mortality should be reduced by two thirds. [3]

88 There has been a steady decline in the global under-five mortality rate (U5MR) from 93 per
89 1000 live births in 1990 to 41 per 1000 live births in 2016, equating to a 56% reduction in
90 mortality. [4] However, whilst global mortality has decreased, the World Health Organisation
91 (WHO) reported that Africa still had the highest under-5-mortality rate, 76.5 per 1000 live
92 births, indicating disparities in child survival based on where children live.

93

94 Globally, the main killers of children under 5-years-of-age in 2016 were preterm birth
95 complications (18%), pneumonia (16%), intrapartum-related events (12%), diarrhoea (8%),
96 neonatal sepsis (7%) and malaria (5%). [4]

97 Whilst there are published data for causes of childhood mortality such as malaria, acute
98 respiratory infections and HIV, as well as modifiable factors such as immunisation coverage,
99 availability of medical services, levels of parental education and residing in a rural area, in
100 countries such as Malawi and Nigeria [5, 6], there is little known with regards to the factors that
101 play a role in patients who present to a health facility in South Africa, and any reported
102 mortality within 24 hours of presentation. Factors such as household poverty, access to care
103 and emergency medical transport may influence outcome.[6] Children who are critically ill
104 should be correctly identified by a triage tool at presentation, appropriately resuscitated and
105 receive effective on-going management in an appropriate ward. [7, 8] Although hospitals with
106 intensive care facilities may be expected to achieve improved outcomes, poor initial patient
107 management may still negatively impact on final outcome. The need for triage to prioritise and
108 categorise acutely ill children arriving at any hospital is highlighted by the fact that many deaths
109 occur within the first 24 hours of presentation to hospitals in low- and middle-income countries
110 (LMICs). [9-11] Finally, appropriately skilled staff, as well as evidence-based protocols and
111 implementation of quality assurance monitoring are factors that may also play a positive role in
112 any emergency unit managing acutely ill children.[11]

113 The management and outcome of children seen at the Medical Emergency Unit (MEU) of the
114 Red Cross War Memorial Children's Hospital (RCWMCH) who die within 24 hours is discussed at
115 the unit's monthly morbidity and mortality meetings. However, a critical review of all the
116 children dying following admission through the unit has not been formally evaluated and
117 reported; this review serves to address an aspect of this deficit.

118 The importance of obtaining this information may help identify modifiable factors that could be
119 reviewed with the view to create prevention strategies and thereby hopefully, reduce
120 childhood mortality.

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Chapter two

Literature review

Aim of the literature review

This review serves to summarise causes of mortality in children under several headings: global, sub-Saharan Africa (SSA) and South Africa. These headings were chosen because there are differences in child mortality rates depending on where children live. The review will also evaluate the impact of factors that may be associated with mortality in the early phases of management and will also discuss whether any of these causative factors can be prevented and further, identify prevention strategies to improve childhood mortality, as set out in the SDGs.

The mortality of hospitalised children is well described across several settings as greatest within the first 24 hours of presentation, making triage and recognition of serious illness an important intervention point in the child’s pathway to care. Malnutrition’s contribution to mortality is significant and is often hidden behind the more commonly presented causes of death in most countries, it is thought to be a cross-cutting contributor to mortality in 30-50% of childhood deaths. [12]

HIV remains a huge burden in sub-Saharan Africa and its role in mortality cannot be ignored. In 2015, 36.9% of in-hospital deaths in South Africa were associated with HIV. [12]

141 **Methodology**

142

143 A structured literature review was done by accessing the Medline library via PubMed and
144 Google Scholar in November 2018 for publications in English involving children aged 0-18 years,
145 using the following search terms:

- 146 • “global” OR “Sub-Saharan Africa” OR “South Africa” AND “child OR childhood” AND
147 “mortality”
- 148 • “triage” AND “child” OR “childhood” AND “mortality”
- 149 • “child” OR “childhood” AND “HIV” AND “mortality”
- 150 • “child” OR “childhood” AND “mortality” AND “malnutrition”

151 Studies with the following characteristics in their titles were then excluded as they were
152 deemed not relevant to this project:

- 153 • Trauma
- 154 • Neonatal-specific studies
- 155 • Disease-specific studies
- 156 • Studies prior to 1998 (>10 years prior to current database)

157 I then reviewed a total of 283 abstracts which seemed relevant and included the following:

- 158 - 88 studies regarding global child mortality
- 159 - 75 studies regarding childhood mortality in SSA
- 160 - 34 studies regarding childhood mortality in South Africa

- 161 - 32 studies regarding triage and child mortality
- 162 - 30 studies regarding HIV and child mortality
- 163 - 24 studies regarding malnutrition and child mortality

164 However, after a more detailed study of the full text articles and excluding duplicate articles, in
165 fact only 48 were suitable for inclusion in this literature review because the focus was on the
166 following selected factors:

- 167 a) Childhood mortality: global
- 168 b) Childhood mortality: sub-Saharan Africa
- 169 c) Childhood mortality: South Africa and access to care
- 170 d) Triage and Emergency management
- 171 e) HIV and Malnutrition

172

173 **Results**

174 **Global childhood mortality**

175 Global childhood mortality studies have shown a reduction in the under-five mortality rate
176 (U5MR) from 91 per 1000 live births in 1990 to 43 per 1000 live births in 2015. The United
177 Nations Inter-Agency Group for child mortality also reported that 16 000 children under the age
178 of 5 years die daily. [4] While this seems very negative, progress has been made, with 65 out of
179 195 countries having met the MDG 4 target, thereby saving 48 million lives. Neonatal mortality
180 rates have been reduced from 36 per 1000 live births in 1990 to 19 per 1000 live births in 2015.
181 In low- and middle-income countries (LMICs), pneumonia, diarrhoea and perinatal-related

182 causes remain at the forefront as causes of mortality in children, accounting for 13%, 8% and
183 7% respectively of the under-5-mortality. In high-income countries, the commonest cause of
184 death is congenital abnormalities. [13, 14]

185

186 **Childhood mortality in sub-Saharan Africa**

187 More than 50 % (3.3 million) of global child deaths in 2018 occurred in Sub-Saharan Africa
188 (SSA). The overall estimate for SSA as a region is an U5MR of 83/1000 live births. However,
189 mortality rates in SSA vary considerably between countries, with Botswana having an U5MR of
190 15.6/1000 and Central African Republic having an U5MR of 135/1000 live births. Notably six of
191 the seven countries with an U5MR of more than 100 per 1000 live births are situated in West
192 and Central Africa. They include Somalia, Chad, Central African Republic, Mali, Sierra Leone and
193 Nigeria. [15]

194 A study regarding access to care showed that only 16 out of 48 Sub-Saharan countries met the
195 international benchmark of more than 80% of the population having access to care within a
196 two-hour travelling distance to a healthcare facility. [16] In Burkina Faso, mortality risk was
197 more than 50% higher if distance to a healthcare facility was more than four hours away. [17] In
198 South Ethiopia, an in-depth look at mortality factors revealed pre-hospital and primary
199 healthcare factors such as poor perinatal care, lack of immunisations, poor vitamin A coverage
200 and not breastfeeding as significantly increasing under-5-mortality. [18] There is evidence
201 showing a strong association between household size and multiple gestations and mortality.
202 [19] There is great disparity between countries across the world and even in SSA. Economic

203 input into improving access to healthcare appears to have played a significant role in reaching
204 the MDG 4 target. [20]

205 The top three causes of child mortality in SSA are pneumonia, diarrhoea and perinatal-related
206 factors. In malaria-endemic areas such as Mozambique, Benin, Burkina Faso, Burundi and
207 Central African Republic, however, malaria is prominent and diarrhoea less common. These
208 four causes have been unchanged for over 25 years as the top causes of death in SSA, as
209 evidenced by studies from 1990-2015. [14, 21-23] Factors associated with mortality have been
210 found to be lack of resources, inadequate senior level of care, access to healthcare and the
211 effects of poverty such as access to sanitation, as well as lack of maternal education and poor
212 health-seeking behaviour.[24-27] In a tertiary hospital in Malawi, modifiable risk factors
213 identified for mortality were appropriate assessment and monitoring and timely provision of
214 testing and treatment. [9] Staff in resource-constrained areas such as Kenya and Rwanda have
215 been trained and the staff and triage system evaluated, pre- and post-implementation. [28, 29]
216 In addition to triage, treatment needs to be implemented timeously, as illustrated in studies
217 reporting that increased morbidity is directly proportional to increased time to treatment
218 initiation. [30, 31]

219 In Nigeria, two out of ten children were dying before reaching the age of 5 years in 1990. [32,
220 33] The under-five mortality rate (U5MR) decreased from 213 per 1000 live births in 1990 to
221 128 deaths per 1000 in 2013. Infectious diseases accounted for more than 90% of these
222 deaths. Risk factors related to mortality were access to care and poor recognition of illness, as
223 well as cultural and resource-related factors.[24] One study showed a 45.9% lower risk of child

224 mortality in patients with mothers with tertiary education, a 28.3% increase in childhood
225 mortality if living in a rural area and a risk of mortality 49% lower in richer areas, when
226 compared to the poorest areas.[32] Another study from Nigeria showed increased risks for
227 infant and child mortality if a child lived in a low-income household (HR=1.40), multiple
228 gestation (HR=1.94), maternal age <20years (HR=3.04) and lack of maternal education
229 (HR=1.38). [33]

230

231 **Childhood mortality and access to care in South Africa**

232 In 2005, South Africa was one of only four countries that had shown an increase in U5MR since
233 1990, the others being Eswatini (formally Swaziland), Lesotho and Zimbabwe. [34] This was
234 largely due to the impact of HIV and HIV-related illnesses. With the introduction of ‘Prevention
235 of Mother to Child Transmission’ (PMTCT) and access to antiretroviral therapy (ART), the U5MR
236 was at 34.7 per 1000 live births in 2015, whereas it peaked at 75 per 1000 live births in 2006.
237 [35] One third of under-five mortality occurs in the newborn period, whilst the most common
238 causes of mortality outside of the neonatal period are similar to those of global trends in low
239 and middle-income countries, with pneumonia, diarrhoea and perinatal-related events cited as
240 the most common causes of death.[36] Pneumonia, diarrhoea and septicaemia accounted for
241 78% of in-hospital causes of death. Looking at associated risk factors for mortality, 36.9% of in-
242 hospital mortality was associated with HIV disease and 30.9% was associated with severe acute
243 malnutrition (SAM). Further social determinants of health as risk factors include access to water
244 and sanitation. Twenty-six percent of South African children do not have access to clean

245 running water and 32% do not have adequate sanitation resources.[37, 38] The situation is
246 even more dire in places such as Eastern Cape and Limpopo provinces, where 60% of children
247 have no running water and 49% have no sanitation resources respectively. [12]

248 In South Africa, strategies have been implemented in order to reduce mortality. Improved
249 services have been provided with regards to primary healthcare with the introduction of the
250 “Integrated Management of Childhood Illnesses” (IMCI) programme, an improved expanded
251 programme on immunization and vitamin A coverage for children under five years of age; all of
252 which played a role in a significant reduction in mortality secondary to diarrhoeal illness. [39]
253 Using these approaches, the diarrhoeal incidence in Limpopo improved from 54 per 1000 to 5
254 per 1000. The IMCI tool looks at ways to assess and identify illness severity and then classify
255 severity with consequential treatment plans. It was devised by the WHO and UNICEF because
256 most children in the developing world die from illnesses that are preventable. It therefore
257 focuses on treatment entities that are geared at treating pneumonia, diarrhoea, measles,
258 malaria and serious bacterial infection. There is also a national process known as Child
259 Healthcare Problem Identification Programme (ChildPIP) whereby participating hospitals audit
260 all paediatric deaths and modifiable factors identified with a view to preventing future deaths
261 by implementing quality assurance interventions such as community based care, social service
262 interventions, firming up referral pathways and access to care, staff training and allocation,
263 effective triage, protocols, equipment and resource provision at all levels of care. [12]

264

265 **Triage, emergency care and child mortality**

266 Studies in LMICs reported child mortality within 24 hours of admission to a hospital to be
267 between 34-55%. Early mortality factors have been cited as delayed recognition of illness
268 severity with delayed presentation to emergency centres and delays in emergency centres.
269 Specific clinical signs placing patients at high risk of death within first 24 hours of presentation
270 were fever and subcostal recessions. [40] WHO introduced IMCI and the Emergency Triage and
271 Assessment and Treatment(ETAT) courses to address poor recognition, lack of triage, poor
272 emergency care and poor staff training.[11] In Guatemala, ETAT was shown to lead to a decline
273 in admission rates, as well as a decrease in mortality. [7]Molyneux et al., developed, introduced
274 and highlighted the importance of triage and emergency care in a study performed in Malawi,
275 where appropriate training of staff in ETAT and triage, improving patient flow and good
276 communication with inpatient staff were cited as significant factors that played a role in
277 reducing mortality. With the introduction of triage and improved flow, they reported a massive
278 reduction in number of deaths within 24 hours of admission from 36% to 12.6%. [8] The value
279 of implementing a facility-based appropriate triage system was evaluated in a study performed
280 at RCWMCH, at two different points in time, with the outcome showing an appropriate
281 threefold increase in admissions for each advance in triage colour, as well as a strong
282 association between triage colour and severity of illness, indicating appropriate prioritisation of
283 care. In addition, more than 90% of patients were appropriately triaged with the use of the
284 Emergency Triage Assessment and Treatment (ETAT). [11]

285

286 **Role of HIV in child mortality**

287 In South Africa, between 2006 and 2011, child mortality was associated with HIV in 43% of
288 cases. With subsequent efficient rollout of PMTCT and ART, a decline was noted down to 36.9%
289 by 2015. The risk of dying secondary to an HIV-related illness was also noted to be higher if the
290 maternal viral load was high and in patients from a lower wealth index.[41] Subsequent to the
291 introduction of PMTCT and ART, there was a significant reduction in South Africa’s overall
292 mortality. [42, 43]

293

294 **The role of malnutrition in child mortality**

295 Sub-Saharan Africa has high rates of malnutrition, with East and West Africa being the worst
296 affected.[44] Malnourished children are at high risk of mortality, secondary to metabolic
297 instability and immune compromise, which in turn results in susceptibility to infection, placing
298 them at greater risk and converting simple gastroenteritis and pneumonia to lethal events.[45]
299 According to the United Nations Children’s Fund and WHO, children with severe wasting have a
300 nine-fold risk of death compared to normally nourished children. [45]A study in Eswatini
301 (Swaziland) showed that weight-for-height and associated oedema increased the risk of
302 mortality.[46] A West African mortality study looking at factors contributing to death within 24
303 hours of presentation, revealed malnutrition as one of these contributory factors.[10] Another
304 study in Malawi reported that an analysis of the reduction in their U5MR from 247 per 1000 in
305 1990 to 71 per 1000 in 2013, was partly attributable to a reduction in malnutrition.[47]

306 **Discussion**

307 There has been a decline in global child mortality. The decline, however, has not been adequate
308 to meet the MDG4 of 2000. The SDG 3 target for 2030, which was subsequently set in light of
309 the MDG 4 target not being met, is attainable, but will require some developing countries to
310 implement strategies such as improved access to care, as well as political and economic input.
311 This will be in keeping with the WHO Universal Health Coverage Strategy of achieving universal
312 health coverage, financial risk protection, access to quality essential health-care services and
313 access to safe, effective, quality and affordable essential medicines and vaccines for all.
314 Pneumonia and diarrhoea as persistent significant causes of mortality prompts improved access
315 to care, maternal education and appropriate resources within appropriate travelling distance.
316 In terms of perinatal-related events, lack of antenatal care has been identified as a very
317 important risk factor for neonatal mortality.

318 In SSA, malaria is endemic and in many SSA countries, it is the most common cause of
319 childhood mortality. HIV exposure or disease as well as malnutrition are very often comorbid
320 factors that contribute to high mortality in this region. There have been numerous studies
321 evaluating risk factors for mortality. Much emphasis has been placed on improvement of
322 primary healthcare and access to care facilities. These two factors appear to play a role with
323 regards to morbidity and mortality across SSA, with economic input required in order to create
324 appropriate health services, as well as appropriate and attainable transport or access to care,
325 whether it be mobile health care services or improved infrastructure and transportation. The
326 poorer countries have an additional burden of poor maternal education, increased parity and
327 poor immunization coverage. There is a need to improve access to education and in addition,

328 strategies need to be put in place that empower parents to recognize ill health and act
329 appropriately. Immunisation campaigns have been implemented, but it is uncertain why the
330 access to immunisations has been blunted in certain areas such as Rwanda. Prenatal care is a
331 great concern as well as antenatal care, as these are entities that not only impact mortality, but
332 also the general health and well-being of the newborn and the mother. In addition, there
333 appears to be a strong association between household size and multiple gestations and
334 mortality. Again, it appears that economic input, as well as access to care are shortfalls which
335 will continue to impact mortality, should there not be a change.

336 However, it is with great dismay that 6 of the SSA countries still have 1 out of 10 children dying
337 before they reach the age of 5 years. What is poorly understood is how these countries differ
338 from those who have met the MDG 4 target. Factors such as war, unrest and a poor resource
339 provision may play significant roles. However, evidence does not reveal absolute risk factors
340 and the magnitude thereof in the Central African countries. Malawi, Kenya and Nigeria are
341 relatively well studied populations in terms of childhood mortality and have shown major
342 advances in improved mortality.

343 In South Africa, the U5MR has steadily declined since 2006, but not rapidly enough to reach the
344 MDG 4 target. In 2015, U5MR was at 34.7 per 1000 live births with the most common causes of
345 death, according to the Child Problem Identification programme (Child PIP), being infectious
346 causes. Pneumonia, diarrhoea and septicaemia remain at the forefront as the most common in-
347 hospital causes of death. Factors such as HIV disease and severe acute malnutrition (SAM)
348 continue to impact and increase risk of mortality, as well as social determinants. Access to clean

349 running water and adequate sanitation resources are major risk factors that require economic
350 input and government intervention.

351 With regards to factors leading to mortality and a more in depth look at triage and the first 24
352 hours of admission, studies revealed that appropriate triage aids in recognition and appropriate
353 timing in management, thereby affecting morbidity and mortality. Triage systems should be
354 geared at what is applicable to a setting and should aid in risk identification and stratification.

355

356 **Conclusion**

357 This literature review highlights that pneumonia, diarrhoea and perinatal factors are the
358 foremost killers of SA children, these factors are similar to those found in other low-and middle-
359 income countries. There is an urgent need to evaluate strategies to prevent these diseases from
360 causing mortality. There is enough evidence to advise policy on mandatory triage, resuscitation
361 and appropriate on-going care.

362 This review is followed by a study that looked at the cause of deaths of children admitted via
363 the medical emergency unit of a children's hospital over one year and aims to evaluate the
364 presenting illness and triage characteristics, and impact of treatment and other factors on their
365 mortality.

366

367

Chapter three

368 **Aims and Objectives**

369

370 **3.1 Aims**

371

372 To describe the clinical presentation and acute management of children admitted via the
373 medical emergency unit (MEU) at the Red Cross War Memorial Children's Hospital (RCWMCH)
374 who subsequently died.

375

376 **3.2 Objectives**

377

- 378 • To describe the crude mortality rate (per 1000 admissions) for children admitted to the
379 hospital via the MEU
- 380 • To describe the demographic characteristics, clinical presentation, and management of the
381 children that die following admission via MEU
- 382 • To describe the causes of death of children admitted via the MEU of the RCWMCH

383

Chapter four

384

385 **Methodology**

386 **Setting**

387 The study was done at Red Cross War Memorial Children’s Hospital (RCWMCH) - a tertiary
388 academic hospital in the Western Cape Province, South Africa. RCWMCH provides secondary
389 and tertiary level care to approximately 1.4 million children aged 0-14 years from all over the
390 Western Cape, as well as quaternary care to patients from across the country.

391 Approximately 35 000 children present to the emergency unit at RCWMCH per annum.

392 With regards to the Sustainable Development Goals, it was necessary to ascertain all factors
393 that could effectively assist in achieving these goals. Therefore, this study undertook to
394 describe demographics, potential risk and modifiable factors of patients who presented to the
395 RCWMCH medical emergency unit in one year, 2008, and died at or during the admission.

396

397 **Study design**

398 A descriptive retrospective cross-sectional study with an analytical component

399 **Study Population**

400 All children who presented to RCWMCH in 2008, who subsequently died in hospital.

401 **Inclusion criteria:**

402 Children admitted via the medical emergency service at RCWMCH and subsequently died in
403 hospital within the study period 2008.

404 **Exclusion criteria**

405 Children admitted directly to any wards of RCWMCH from any other source than the medical
406 emergency unit.

407 **Definitions**

408 For the purposes of this document the following definitions were used:

- 409 • Emergency Treatment Assessment and Treatment (ETAT) is a WHO tool that trains and
410 equips frontline staff at health facilities with the skills to recognise and identify critically ill
411 children and then to systematically manage them according to Advanced Paediatric Life
412 Support principles of airway, breathing, circulation, coma and dehydrating diarrhoea with
413 assessment and management. The first step in identifying critically ill children is by triage
414 into one of three categories: Red category- children with emergency signs requiring
415 immediate treatment; Orange category- those with priority or urgent signs and requiring
416 urgent care and Green category- those children with non-urgent conditions who can await
417 care in a queue. [11]
- 418 • HIV infection: “a positive HIV DNA PCR result confirmed by either a HIV RNA PCR or repeat
419 HIV DNA PCR test, in any child < 18 months old, or 2 positive serological test results (HIV
420 ELISA or HIV Rapitest) or a positive HIV DNA PCR result confirmed by either a HIV RNA PCR
421 or repeat HIV DNA PCR test, in a child > 18 months old were considered HIV-infected” [48]
- 422 • Unknown HIV status: any infant or child where there was no record of HIV testing at the
423 National Health Laboratory Services (NHLS) laboratory database and whose mother’s HIV
424 status was unknown.

- 425 • HIV-exposed status: Any infant or child whose mother’s HIV status was known to be positive
426 during pregnancy and whose own status was negative by HIV testing at the NHLS laboratory.
427 Using World Health Organisation (WHO) growth reference standards, moderate underweight
428 was classified as weight-for-age z score (WAZ) between -2 and -3 standard deviations (SD)
429 below the median while severe underweight was a WAZ < -3 SD. [45]
- 430 • Impaired Circulation: capillary filling time more than 3 seconds or weak pulse or cold
431 extremities [11]
- 432 • Severe dehydration: two or more signs of lethargy, sunken eyes and very slow skin pinch
433 [11]
- 434 • Shock: all of -capillary filling time more than 2 seconds and weak and/or fast pulse and cold
435 hands[11]
- 436 • Hypoxaemia: pulse oximetry saturation less than 90% [11]
- 437 • Hypoglycaemia: point-of care glucose measurement less than 3mmol/L [11]

438

439 **Data collection:**

440 This was a data analysis performed on a database compiled in 2008 at the MEU at the
441 RCWMCH. The data was collected by performing a retrospective folder review of all deaths that
442 occurred in patients who presented to RCWMCH MEU where a meticulous register is kept of all
443 children passing through- arrival and disposition. The case notes were retrieved from the
444 medical records department and data abstracted. There were no missing folders and the
445 resuscitation sheet is standardised for all children, however, there may have been some

446 variation in the detail recorded within the folders. Incomplete data were recorded as such in
447 the results.” The variables collected included:

448 1. *Demographic data:*

449 a. Age, sex, weight, weight-for-age z-score, HIV status

450 2. *Clinical data:*

451 a. Clinical presentation, triage information, clinical diagnosis, pre-hospital and in
452 hospital treatment.

453 3. *Time factors:*

454 a. Time of admission, time to initiation of treatment, time to ward transfer.

455 4. *Mortality data:*

456 a. Cause(s) of death, time to death, and place of death

457

458 **Data Analysis:**

459 The analysis was done using STATA Statistical software, release 13, (College Station, Texas, USA)
460 and constituted descriptive and analytical components.

461 *Numerical data*

462 Numerical data was tested for normality. Data was summarised using mean(s) with standard
463 deviation(s) or median(s) with interquartile range(s) as appropriate. Hypothesis testing was
464 done with a t-test on data with normal distribution, and Wilcoxon Ranksum used to test non-
465 parametric distribution. A level of two-sided $p < 0.05$ was chosen for statistical significance.

466 *Categorical data*

467 Categorical data were presented as proportions together with the 95% confidence interval. The
468 chi-squared test was performed between categorical data to look for association. A significance
469 level of $p < 0.05$ was chosen.

470

471 **Ethical considerations:**

472 The study was submitted for ethical review to the Human Research Ethics Committee (**HREC**
473 **Ref: 747/2018**) of the Faculty of Health Sciences at the University of Cape Town and had the
474 approval of the RCWMCH Administration. In view of the retrospective nature of the study, the
475 Ethics committee was approached to provide a waiver of individual consent. The study was
476 done in accordance with the Declaration of Helsinki, 2013.

477

478

Chapter five

479 **Results**

480 **Mortality and demographics**

481 A total of 135 patients died following treatment in the medical emergency unit (MEU) at the
482 Red Cross War Memorial Children's Hospital (RCWMCH) from January to December 2008. Over
483 this period 21 600 children were admitted to RCWMCH, giving a crude mortality rate of 6.25
484 per 1000 admissions for the year. The median age of the children who died was 8 months with
485 an interquartile range (IQR) of 3 to 17 months; 81 (60%) were infants of whom 40 (30%) were
486 under 3 months, 38 (28%) were aged 12-59 months, and 16 (12%) were more than 5 years-old.
487 There were 67(50%) male children. **(Table 1)**

488 The median weight-for-age z-score was -2 (IQR -3.2 to -1). There were 67(50%) with moderate
489 or severe underweight-for-age children, 28 of whom were moderately underweight-for-age
490 (UWFA) and 39 who were severely underweight-for-age.

491 Of 108 children with known HIV status, 33 (31%) were HIV-infected, 66 (61%) unexposed
492 uninfected, and 9 (9%) were HIV-exposed uninfected. The HIV status was unknown in 27 (20%).

493

494

Table 1: Demographic Characteristics of the study children (n=135)

	Number	Percentage
Age in months		
<1 month	20	15
1 to 3 months	20	15
3 to 11 months	41	30
12 to 59 months	38	28
>60 months	16	12
Sex		
Male	67	50
Female	68	50
Weight-for-age[#]		
Normal WFA	66	50
Moderate UWFA	26	20
Severe UWFA	41	30
HIV status		
Unexposed uninfected	66	49
Infected	33	24
Exposed uninfected	9	7
Unknown	27	20
Referral status		
Unreferred	38	28
Referred	97	72
Community Health Centre	65	48
General Practitioner	20	15
Other hospital	12	9
Telephonic notification		
No	105	78
Yes	30	22
Mode of Transport		
Ambulance	69	51
Own Transport	62	46
Unknown	4	3

WFA: weight-for-age; UWFA: underweight-for-age; [#]moderate underweight = WFA z-score between -2 and -3 and severe underweight WAZ < -3 SD

496 **Referral pattern**

497 The children lived in six main health sub-districts within the Western Cape Metropolitan area:
498 most of the patients that died came from Khayelitsha (n=44; 33%) and the Klipfontein sub-
499 district (n=40; 30%). There were 29 (22%) children from Mitchells Plain, 8 (6%) from the
500 Southern, 7 (5%) from the Tygerberg and 6 (4%) from the Western health districts. There were
501 69 (51%) children brought by the emergency ambulance services and 62 (46%) arrived by
502 private transport. The mode of arrival was unknown for the remaining four children.

503 Telephonic notification of referrals to the MEU was made in 30 (22%) cases. General
504 practitioners (GP) referred 20 (15%), the community health centres (CHC) referred 65 (49%),
505 and 12 (9%) came from other local hospitals, whilst 38 (28%) of children were self-referred.

506 **Time of arrival at RCWMCH emergency and triage**

507 Eighty (60%) patients arrived during normal working hours between 08h:00 and 18h:00, while
508 55 (40%) arrived between 6pm and 8am, **Figure 1**. At triage, 98 (73%) were categorised as red
509 (emergency) and 31 (23%) as orange (priority). One patient was triaged as green (non-urgent)
510 category. Five patients did not have a triage category assigned.

511

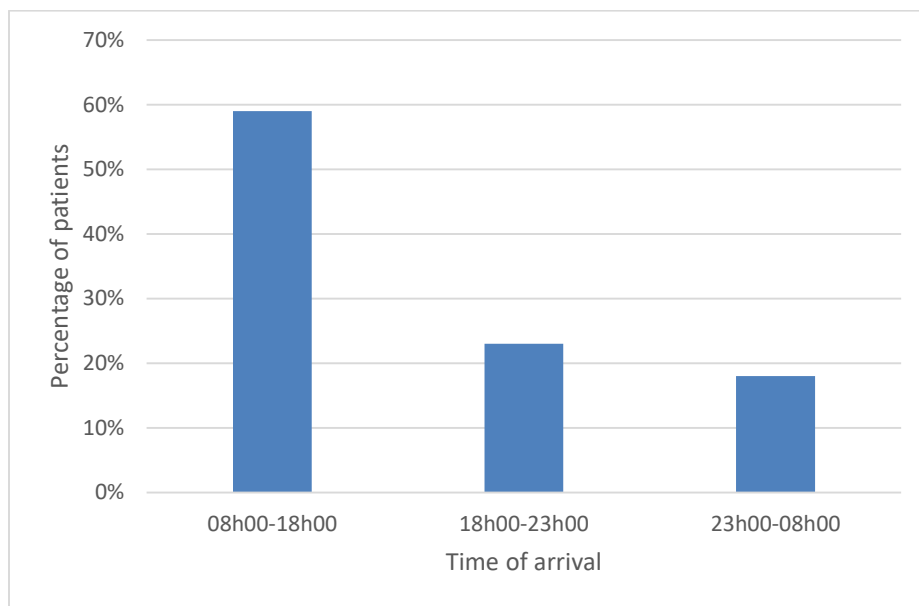
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516 **Figure 1: Time of arrival of 135 study children in the medical emergency unit**



517

518

519 **Clinical presentation at MEU and triage information**

520 **Presenting diagnosis**

521 The top three presenting diagnoses were pneumonia, acute gastroenteritis and septicaemia.

522 **(Table 2)**; 113 (84%) children had more than one clinical diagnosis at presentation.

523

524

525

526

527

528 **Table 2: The presenting condition of the 135 study patients**

PRESENTING ILLNESS	N	(%)
Pneumonia	62	46
Acute gastroenteritis	35	26
Septicaemia	33	24
Cardiac	23	17
Acute neurological	20	15
Severe acute malnutrition	16	12
Other	16	12
Bacterial meningitis	8	6
Tuberculous meningitis	7	5
Pulmonary tuberculosis	6	4
Acute surgical abdomen	6	4

529

530 Hypoxaemia as indicated by pulse oximetry <90% was a feature at presentation for 81 (60%)

531 children. There were 61 (45%) children identified with impaired circulation and 43 (32%) with

532 shock having a capillary filling time (CFT) of more than 2 seconds plus a weak pulse and cold

533 extremities. Diarrhoea with dehydration was present in 48 (36%) children with signs of severe

534 dehydration in 23 (48%) of those children.

535 Sixty-seven (50%) children had a chronic underlying medical condition and there were five

536 children who had more than one underlying medical condition. **(Table 3)**

537 **Table 3: Underlying medical conditions of study population, n=135**

Underlying Diagnosis	n (%)
None	68(50)
HIV	33(24)
Cardiac	13(10)
Cerebral Palsy	5(4)
Down Syndrome	5(4)
Chronic Lung Disease	2(2)
Tuberculous Meningitis	2(2)
Inborn errors of metabolism	1(1)
Neoplasm	1(1)
Chronic Renal Disease	1(1)
Unspecified syndrome	1(1)
Asthma	1(1)
Neurofibromatosis	1(1)
Sickle cell anaemia	1(1)

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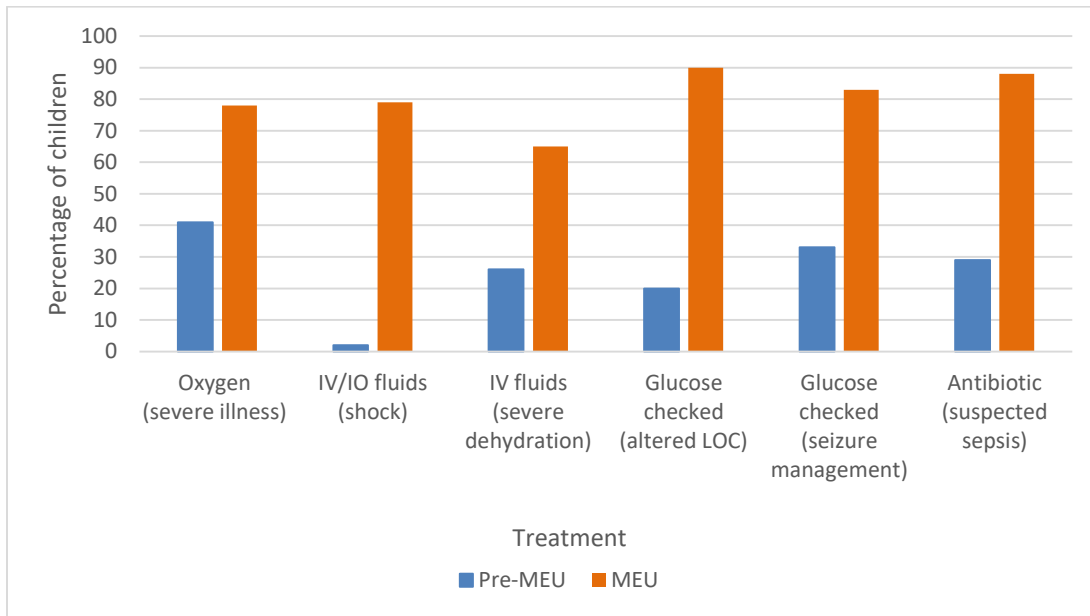
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545

546 **Figure 2: Treatment at referral centres and MEU**



547

548 Legend: MEU – medical emergency unit; IV – intravenous; IO – intraosseous; LOC – level of consciousness

549

550 **Treatment at referral centres and MEU**

551 The management of the severe illness was evaluated according to the treatments in
552 parentheses **Fig 2:**

- 553 A. Hypoxia (oxygen given)
- 554 B. Shock (intravenous/intraosseous fluid bolus)
- 555 C. Diarrhoea with severe dehydration (intravenous fluids)
- 556 D. Seizures (glucose check)
- 557 E. Altered level of consciousness (glucose check)
- 558 F. Suspected sepsis (antibiotics given)

559 **A. Management of severe illness with oxygen**

560 Pre-hospital oxygen was given in 40 (41%) of the 97 children who were referred. One hundred
561 and five (78%) received oxygen in the MEU. Eighty-one (60%) of the children had documented
562 hypoxia.

563

564 **B. Shock management**

565 There were 43 (32%) children who had shock documented. Of these, eight (2%) received a fluid
566 bolus at the referral centre, whilst 34 (79%) received a fluid bolus in the MEU. One child's
567 records did not document whether a fluid bolus was given.

568

569 **C. Diarrhoea with severe dehydration management**

570 There were 23 (17%) children with diarrhoea with severe dehydration; an intravenous (IV) line
571 for IV rehydration fluids was inserted at the referral centre in 6 (26%) of these children, whilst
572 IV fluids were commenced in 15 (65%) of the children who presented with severe dehydration
573 in the MEU.

574

575 **D. Management of altered level of consciousness**

576 Of the 49 (36%) children who had an altered level of consciousness, a glucose check was done
577 in 10 (20%) children at the referral centre. At the MEU 44 (90%) children had a glucose check.

578

579 **E. Management of seizures**

580 Fifteen children were referred with seizures from the referral centre. Of these children, a
581 glucose check was not done in 10 (67%).

582 Eighteen children were diagnosed with seizures at the MEU. Fifteen (83%) had a glucose
583 checked.

584

585 **F. Management of suspected sepsis**

586 There were 49/135 (36%) children who presented to the MEU with suspected sepsis, with
587 either hypothermia or pyrexia, of whom 14 /49 (29%) received antibiotics at the referral
588 centres, with antibiotics documented as given in 43/49 (88%) children in the MEU.

589

590 **Outcomes**

591 **Ward transfer**

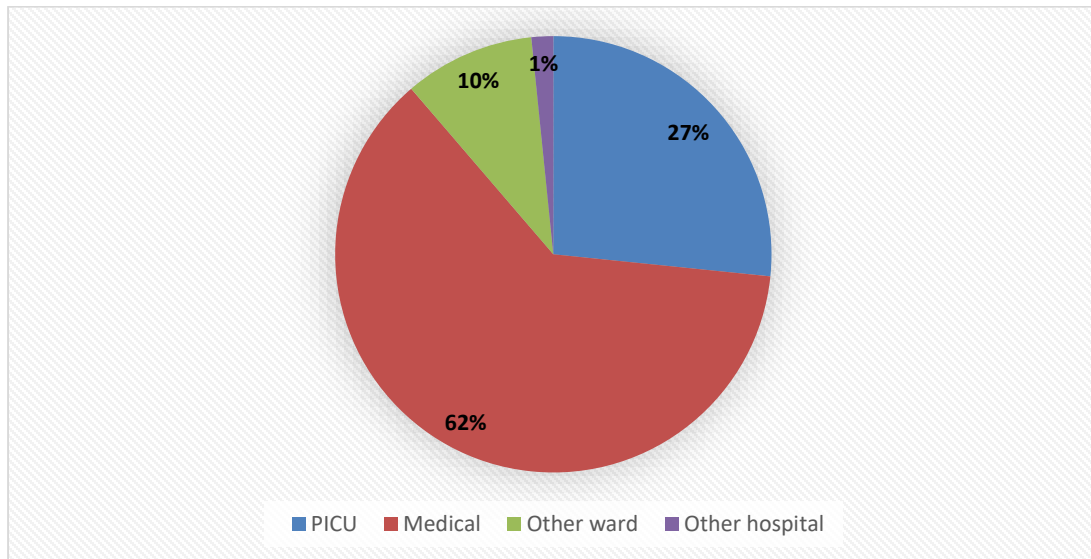
592 Of the 125 patients who were transferred from the MEU to wards, 33 (26%) were admitted to
593 the Paediatric Intensive Care Unit (PICU) from the MEU, 77 (62%) to the medical wards, 12
594 (10%) went to a specialized medical or surgical ward and 2 (2%) were transferred to secondary
595 level hospitals. **Figure 3**

596

597

598

Figure 3: Destination ward after stabilisation in the MEU



599

600

Legend: MEU: medical emergency unit; PICU: paediatric intensive care unit

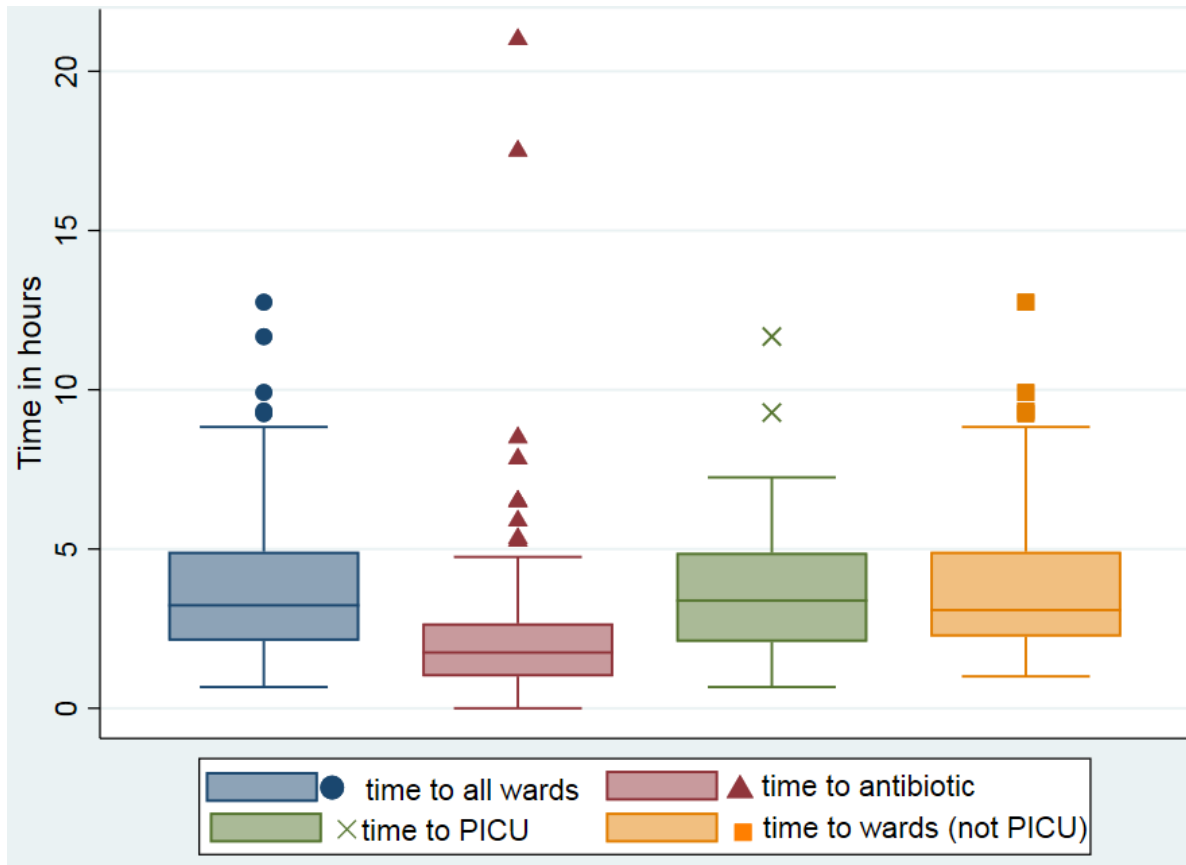
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602 **Time Factors**

603 Once stabilisation had occurred, six of the 125 (5%) patients who were transferred from the
604 MEU to other wards, were transferred within an hour. The other 119 (95%) were transferred at
605 later than one hour to an inpatient ward. There was no significant difference in the median
606 time to ward transfer was 3.23 (IQR 2.1-4.9) hours compared to the median time to PICU
607 directly from the MEU, was 3 (IQR 2.0-4.0) hours. Of the 33 children transferred to ICU 8 (24%)
608 were transferred within an hour.

609 Of the 102 (76%) who did not receive antibiotic prior to MEU, 21 did not have records of having
610 received antibiotic before death. Of the remaining 81 (79%), time taken to the first dose of
611 antibiotic being administered to patients was a median of 1.75 hours (IQR 1-2.6).

612 **Figure 4: Box and whisker plot of time variables**



613

614 Legend: PICU – Paediatric Intensive Care Unit; (for completeness and accuracy, outliers are
615 indicated for each plot by circles, triangles, crosses and squares)

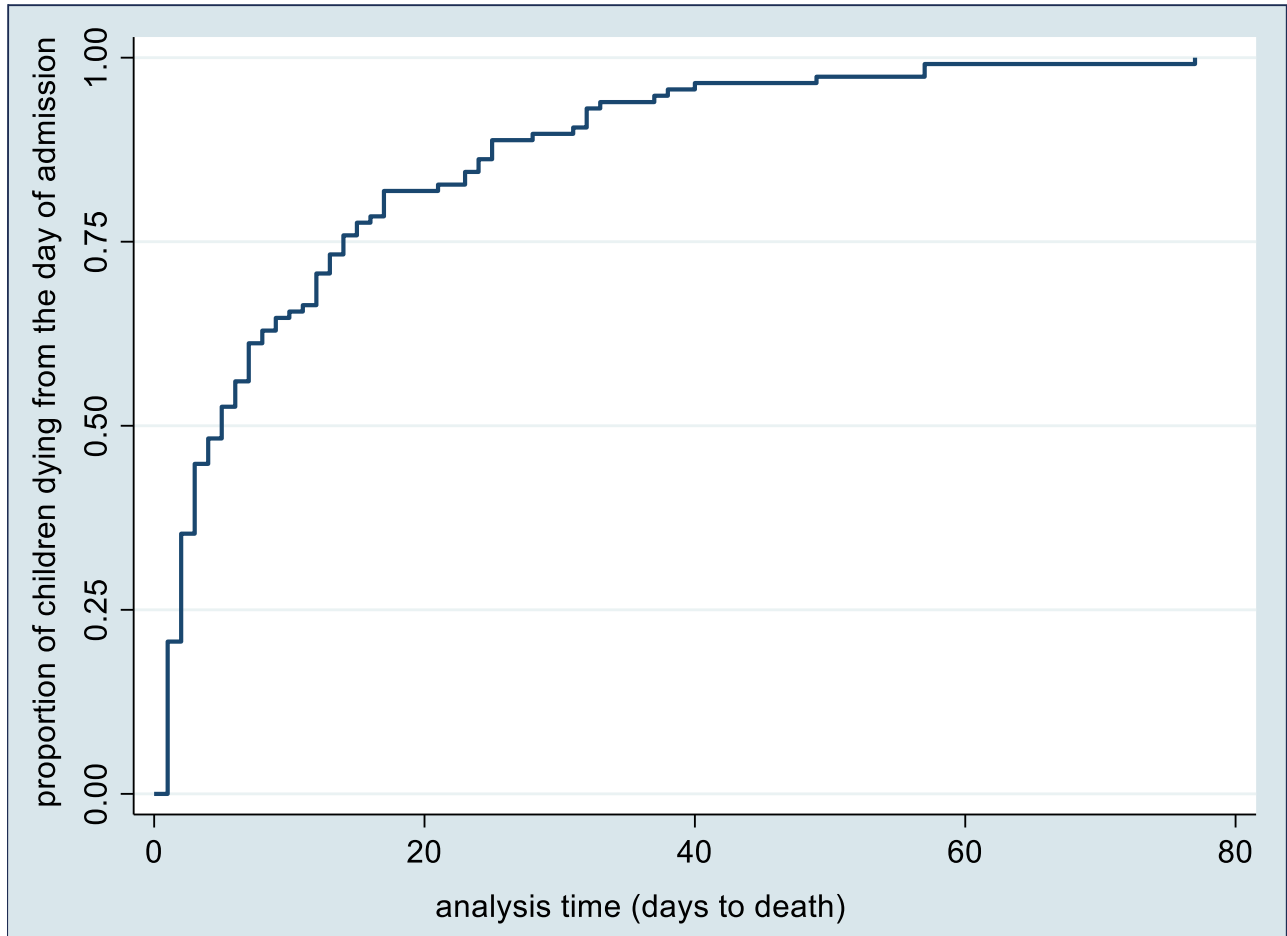
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617

618 A survival curve showing time to death is shown in **Figure 5**. There were 29 (22%) children who
619 died within 24 hours of presentation. Forty-five percent of children died within 72 hours of
620 admission; 80% died within 17 days of admission and 20% died between day 18 and day 80.

621

622 *Figure 5: Graph showing the cumulative mortality over time of the study children from the*
623 *time of admission to the medical emergency unit (MEU)*



624

625 **Place of death**

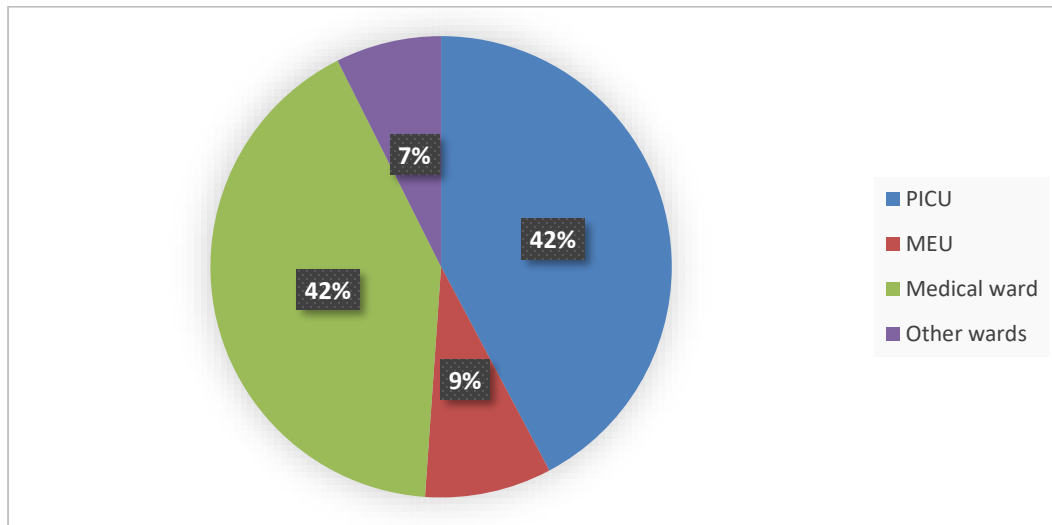
626 Of the 135 deaths, there were 12 (9%) that occurred in the MEU, 56 (42%) occurred in the PICU,
627 56 (42%) in the medical wards and the last 10 (7%) in the speciality wards. (**Figure 6**)

628

629

630

Figure 6: Place of death of study patients



631

632

Legend: PICU: paediatric intensive care unit; MEU: medical emergency unit

633 **Deaths within the first 24 hours**

634 There were 29 (22%) children who died within 24 hours of presentation. Twelve (41%) of these
635 29 children died in the MEU, 11 (38%) died in PICU and 6 (21%) died in the medical wards.

636 Three of the 29 (10%) were HIV-infected, none of whom went to the PICU.

637 Causes of death in this group of children were acute gastroenteritis 12 (41%), acute respiratory
638 infection 6 (21%), septicaemia 6 (21%) and cardiac pathology in 5 (17%) as a primary cause of
639 death in the form of cardiomyopathy, myocarditis or congenital cardiac disease. (**Figure 7**)

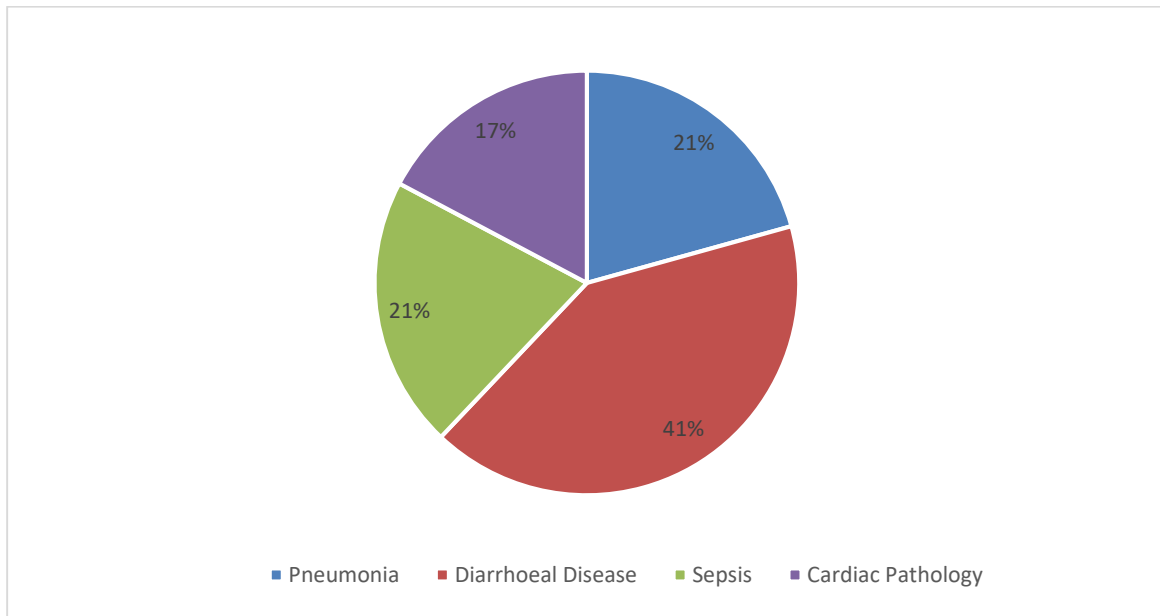
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643

Figure 7: Causes of death of patients who died within 24 hours (n=29)



644

645

646 **Cause of death**

647 The top five primary causes of death were acute respiratory infections (ARI) 45 (33%), acute
648 gastroenteritis (AGE) 27 (20%), septicaemia 22 (16%), meningitis 12 (9%) and mixed cardiac
649 disease 12 (9%). There were 82 (61%) patients with secondary diagnoses and 21 (16%) with a
650 third diagnosis or underlying condition. A further 3 (2%) had a fourth diagnosis or underlying
651 condition. **(Table 4)**

652

653

654 **Table 4: The primary cause of death in the study children (n=135)**

Primary cause of death	N	%
Acute respiratory infection	45	33
Hypovolaemic shock secondary to AGE	27	20
Septicaemia	22	16
Bacterial meningitis	8	6
Tuberculous meningitis	5	4
Surgical (Acute abdomen)	5	4
Cardiomyopathy	4	3
Complex congenital cardiac	4	3
Myocarditis	4	3
Liver failure	3	2
Status epilepticus	1	1
Suffocation	1	1
Diphtheria	1	1
Malignancy	1	1
Inborn error of metabolism	1	1
Severe anaemia with congestive cardiac failure	1	1
End stage renal failure (Nephrotic Syndrome)	1	1
Cerebral haemorrhage	1	1

655 Legend: AGE- acute gastroenteritis; percentages rounded to whole numbers

656

657

658 **The role of HIV infection**

659 Of the 33 HIV-infected children, 19 (58%) were under 1 year. There were 18 (55%) female
660 children. It is unknown whether patients were on antiretroviral therapy and their viral loads
661 and CD4 counts were not recorded. Of those who were HIV-infected, 3 (9%) were admitted to
662 ICU. Eighteen (27%) of the 66 patients who were HIV-uninfected went to ICU.

663 The most common causes of death in the HIV-infected group of children were ARI 18 (55%),
664 AGE 8 (24%) and septicaemia 4 (12%).

665

666 **The role of malnutrition:**

667 In the study population, there were 67 (50%) children who were moderately or severely
668 underweight-for-age, ten (15%) of these children died within 24 hours. Seventeen (25%) were
669 HIV-infected. The top three causes of death in this group was 25 (37%) with acute respiratory
670 infections, 14 (21%) with acute gastroenteritis with hypovolaemic shock and 13 (19%) with
671 septicaemia.

672

673

Chapter six

674

675 **Discussion**

676 In our study, we described the demographics of 135 children who presented to the MEU at Red
677 Cross War Memorial Children’s Hospital in the year 2008, who subsequently demised. The
678 leading causes of death were acute respiratory infections, diarrhoeal disease and septicaemia,
679 in keeping with mortality studies from other developing countries including Angola, Nigeria,
680 and Malawi, as well as in South Africa. [36, 49, 50]

681 Most of the children attending the MEU (73%) were critically ill and appropriately identified at
682 triage as “Red” category cases. There was only one patient who subsequently demised and was
683 triaged “Green”, this is likely an error in triage assessment. Sixty percent of patients who died
684 were under 1 year of age. This correlates with global statistics where childhood mortality
685 studies have shown that up to two thirds of childhood deaths occur in infancy.[49, 51] The
686 burden of critically ill children remains high in SSA, mortality in the first twenty-four hours was
687 22% (29/135) in the present study; in one study Malawi reported a rate of 44%.[5] Nigeria, a
688 rate of 57%.[6] What is evident is that 11 years after this study, acute respiratory infections,
689 diarrhoeal disease and sepsis remain the top causes of mortality in sub-Saharan Africa and
690 provides a strong focus for preventive strategies. [12]

691 One of the factors being addressed is malnutrition, which is thought to contribute to half of the
692 global under-5-years mortality and is thought to be related to decreased immunity and causal
693 increased severity of illness.[45] Similarly, in this study, 50% of patients were moderately to
694 severely underweight-for-age.

695 HIV disease as well as HIV exposure continues to play a role in mortality, but this has improved
696 substantially since the rollout of the prevention -of-mother-to-child-transmission (PMTCT)
697 programme, with a reduction in HIV-related under 5 mortality having declined from 116.8
698 deaths per 100 000 population in the period 2001 to 2004 to 14.8 deaths per 100 000
699 population in the period 2010 to 2014.[52] In our study 24% of children were HIV-infected.
700 Disparity still exists but has shown improvement in certain sub-districts such as Khayelitsha,
701 where HIV-related deaths declined from 230.5/100 000 to 21.1/100 000 in the same
702 aforementioned periods.[52]

703 Patients were referred from various centres. Community health centres provided treatment to
704 most of the referred patients. Despite treatment provided, a significant number of patients did
705 not receive IMCI-defined adequate treatment modalities, as can be noted with regards to
706 oxygen provision for hypoxia, glucose checks, fluid management and antibiotic provision. This
707 has been shown to be of concern in various sub-Saharan countries.[53] The patients who were
708 referred by GPs had received no treatment prior to presentation. This raised the concern with
709 regards to adequate and appropriate care provision in primary health. The Advanced Paediatric
710 Life Support course emphasises stabilisation of airway, breathing, circulation and dextrose prior
711 to transfer. This study marks a need to provide more support to primary health care, with
712 subsequent development of district paediatric care and outreach.

713 A significant number of patients who presented, resided in Khayelitsha, a township in the
714 Metro East of the Western Cape, where many children reside in informal dwellings, have
715 limited access to tap water within their dwellings and generally poor sanitation services. There

716 were also a large number of patients who presented from the Klipfontein sub-district, a middle-
717 to low-income area situated within a 5km radius from Red Cross Children's Hospital. These two
718 areas accounted for more than 60% of the study population who presented. It was therefore
719 pertinent to address the two areas as to how socioeconomics impacts on health and the
720 challenges experienced. Red Cross War Memorial Children's Hospital is situated more than
721 20km away from Khayelitsha, thereby impacting further on access to care. Khayelitsha is the
722 second largest township in South Africa. In 2008, 15% of the MEU attendees were from
723 Khayelitsha of whom 33% died. Access to emergency care is restricted due to lack of
724 transportation, inability of emergency services to access certain areas within the township and
725 continued informal dwellings being erected without appropriate infrastructure. These poverty
726 challenges may continue to maintain differential mortality statistics. Access to healthcare has
727 been partially addressed by the opening of a district hospital in Khayelitsha in 2012. This has
728 aided in the decline in under-five mortality of 976.6 deaths per 100 000 population in the
729 period 2001 to 2004 to 570.2 deaths per 100 000 population in the period 2010 to 2013, a
730 decline of 41.6%.[52] Despite the significant improvement in mortality, Khayelitsha still remains
731 the sub-district with the highest U5MR.

732 With 73% of the study population being triaged as 'Red' category, time of presentation is
733 another important consideration as a risk factor for mortality, as the staff complement is
734 diminished in both number and expertise after 5pm in the evening.[54] This places critically ill
735 children at risk for possible management errors.

736 Delayed antibiotic administration in children with severe sepsis has been shown to be a risk
737 factor for increased mortality.[54, 55] In 2008, within the MEU, there was a significant time
738 delay in time to antibiotics, with less than 25% of patients receiving antibiotics within the
739 recommended “golden hour”. The reason for this is unclear. Access to the ICU or wards was
740 also significantly delayed, with less than 25% of patients being transferred from the MEU within
741 2 hours of arrival and a further 25% only exiting the MEU more than 5 hours after arrival. This
742 has resulted in a need for upskilling of ward staff, provision of more high care beds and very
743 recently, the upgrading and resultant increased capacity of the ICU. It would be valuable to
744 review time delays to transfer now that capacity has been increased, along with looking at
745 factors causing any delays.

746 Over 80% of the study patients had more than one recognised diagnosis as a possible cause of
747 death indicating the complexity of pathology which may be related to the study site being a
748 tertiary referral centre.

749 Programmatic improvements in many South African child health issues since 2008 may well
750 have affected the disease spectrum and severity in the communities covered by Red Cross War
751 Memorial Children’s Hospital e.g., improved prevention -of-mother-to-child-transmission
752 (PMTCT) of HIV disease, encouraged breast-feeding including in the context of HIV, access to
753 antiretroviral therapy to mothers and children, strengthening of primary health care and pre-
754 hospital emergency medical services, the introduction of mandatory triage and life support
755 training for all staff seeing children. The first 1000 days initiative, which prioritises the care of
756 an infant from conception to the age of 2 years under the 3 domains, grow, play and love, aims

757 to improve the nutrition, health, development and social well-being of all children and thereby,
758 optimise health, growth, brain development and social skills, so as to improve their future and
759 the future of society. This initiative has been recognised by the Western Cape department of
760 health (WCDOH) as an initiative that will be implemented throughout facilities and practices
761 that involve the care of mother and child antenatally as well as postnatally.

762

763 **Limitations**

764 This study was a retrospective study conducted at a single centre in 2008 and had inherent
765 limitations of incompleteness of information and missing data contained in handwritten patient
766 records and referral letters. It is also possible that the urgency involved with stabilising and
767 transferring a critically ill child in a busy unit, both pre-hospital and at the MEU, may be
768 associated with incomplete documentation of all treatments and interventions given. The
769 protocols available in 2008 were no longer available to scrutinize either at the pre-hospital or
770 emergency room level. Since then, new protocols including the Child PIP death review process
771 and staff training programmes have been implemented to improve management outcomes.
772 Nevertheless, notwithstanding all limitations, this audit is an important clinical governance tool
773 that necessitated completion.

774 It is important for emergency units to conduct audits of this nature to give insight into causes
775 of mortality of children in order to identify and prioritize interventions to optimize patient care
776 and quality of healthcare services in the paediatric emergency department. The review
777 indicates that top causes of mortality remain virtually unchanged. Lastly, the study did not

778 investigate the skills and training of the staff providing care in the triage room and MEU, and
779 pre-hospital setting. This may need further interrogation as poor skills may be a contributing
780 factor particularly as much of the work of the unit occurred at time periods when there was less
781 senior supervision.

782

783 **Strengths**

784 This study captured and reported all the deaths that passed through the MEU for the period
785 2008. We identified all the causes of death, described the demographic characteristics of all the
786 study children, their clinical presentation and treatment plans as well as speculated
787 contributory and possible modifiable factors. Thereby we were able to compare with
788 international literature and strengthen treatment protocols and management guidelines, as
789 well as devise strategies to improve and address modifiable factors.

790

791

792

793

Chapter Seven

794 **Conclusion**

795 Significant gaps were identified in the pre-referral care of children with potentially life-
796 threatening diseases. In particular, general practitioners did not provide emergency treatment.
797 Dehydration and shock management represented a significant cause of death, the management
798 of which was sub-optimal in some cases in 2008. In 2008, even the medical emergency unit
799 management appeared sub-optimal at times. However, poor documentation may have been a
800 contributing factor.

801

802 **Recommendations**

803 We recommend that the MEU reviews the results of this study and considers conducting a
804 modern prospective clinical study to critically review the current performance of this tertiary
805 unit in providing quality emergency care to children to see if the problems raised have changed
806 after modifications to protocols, training, duty rosters, staffing levels, patient flow and
807 minimised delays, consultant presence after-hours, provision of dedicated trained coding staff
808 and encouraging an ethos of research with the ultimate view to sustaining and maintaining best
809 practice. Sharing this information at a wider forum may be of interest to provincial governance
810 groups who may wish to critically review the pathways to care, management and outcomes for
811 critically ill children from home to the definitive hospital bed and home again. Regular auditing
812 as a quality improvement strategy would help identify remaining barriers inhibiting the
813 achievements of the SDGs.

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975 **Appendices**

976 **Appendix 1: Ethics approval letter**



UNIVERSITY OF CAPE TOWN
Faculty of Health Sciences
Human Research Ethics Committee



Room E53-46 Old Main Building
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13 November 2018

HREC REF: 747/2018

Dr Heloise Buys
Paediatrics
5th floor
ICH Building
Red Cross War Memorial Children's Hospital

Dear Dr Buys

PROJECT TITLE: PATTERNS OF MORTALITY IN CHILDREN PRESENTING TO A TERTIARY PAEDIATRIC EMERGENCY UNIT IN SUB-SAHARAN AFRICA: A DESCRIPTIVE CROSS-SECTIONAL STUDY (SUB-STUDY LINKED TO 369/2012) (MMed Candidate - Dr T.L Josephs)

Thank you for submitting your study to the Faculty of Health Sciences Human Research Ethics Committee.

It is a pleasure to inform you that the HREC has formally approved the above-mentioned study.

Approval is granted for one year until the 30 November 2019.

Please submit a progress form, using the standardised Annual Report Form if the study continues beyond the approval period. Please submit a Standard Closure form if the study is completed within the approval period.

(Forms can be found on our website: www.health.uct.ac.za/fhs/research/humanethics/forms)

Please quote the HREC REF in all your correspondence.

Please note that the ongoing ethical conduct of the study remains the responsibility of the principal investigator.

Please note that for all studies approved by the HREC, the principal investigator **must** obtain appropriate institutional approval, where necessary, before the research may occur.

The HREC acknowledge that the student, Dr Tracey-Lee Josephs will also be involved in this study.

Yours sincerely

signature removed

PROFESSOR M. BLOCKMAN
CHAIRPERSON, FHS HUMAN RESEARCH ETHICS COMMITTEE
Federal Wide Assurance Number: FWA00001637.

HREC 747/2018

977

978 **Appendix 2: Protocol**

979

980 **Research Proposal**

981 **Patterns of mortality in children presenting to a tertiary paediatric emergency unit in Sub-Saharan**

982 **Africa: a cross sectional study**

983

984 Researchers:

985 MMED Student: Dr Tracey Josephs

986 UCT Student Number: JSPTRA001

987

988 Student number: JSPTRA001

989 Dr Heloise Buys ^{1,2}

990 Dr Adelaide Masu ²

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992 1 Emergency and Ambulatory Paediatrics, Red Cross War Memorial Children's Hospital

993 2 Department of Paediatrics and Child Health, UCT

994

995 Background

996 The Sustainable Development goals (SDGs) were adopted in 2015 at a United Nations congress and

997 address challenges faced globally with regards to poverty, inequality, prosperity, justice and various

998 other challenges. (1, 57) One of the SDGs is an aim to reduce child mortality to less than 25 per 1000 live
999 births by the year 2030. Globally, the main killers of children under age 5 in 2016 were preterm birth
1000 complications (18%), pneumonia (16%), intrapartum-related events (12%), diarrhoea (8%), neonatal
1001 sepsis (7%) and malaria (5%). (4)

1002

1003 Prior to the SDGs, the Millennium Development goals (MDGs) were devised by the United Nations (UN)
1004 in the year 2000. The MDG 4 stated that by the year 2015, the aim would be to reduce global mortality
1005 by two thirds. (3) Globally, the under-five mortality rate (U5MR) has decreased from 93 per 1000 live
1006 births in 1990 to 41 per 1000 live births in 2016, a 56% reduction in mortality. (4) However, while global
1007 mortality has decreased, the World Health Organisation (WHO) reported that Africa still had the highest
1008 incidence of under 5 mortality, 76.5 per 1000 live.

1009

1010 In order for Sub-Saharan Africa to achieve the SDG goal on child mortality progress needs to accelerate
1011 to combat the top causes of mortality in the region. The top causes of mortality are pneumonia,
1012 diarrhoea, malnutrition and malaria. Factors contributing to these causes of mortality are rural living,
1013 poor access to resources, poverty, maternal age and parental education level. (58-60)

1014

1015 In South Africa, The Child Problem Identification Programme (Child PIP) is a system implemented to look
1016 at trends in mortality, as well as identification of modifiable factors. Child PIP data is collected at all
1017 government hospitals across the country and a report is collated with regards to childhood mortality.
1018 (61, 62) The under-five mortality rate in South Africa declined from 59 in 2000 to 34.7 in 2015 but has

1019 subsequently remained stagnant. (30, 63). The top causes of mortality in South African children are
1020 prematurity-related, pneumonia, gastro-enteritis and injuries. (64, 65)

1021 Many children suffering from one of the top causes of mortality present to health facilities critically ill.
1022 Recognizing critically ill children is the first step in their management. (66) These children should be
1023 correctly identified by a triage tool at presentation, and appropriately resuscitated. The need for triage
1024 to prioritise and categorise acutely ill children arriving at any hospital is highlighted by the fact that
1025 many deaths occur within the first 24 hours of presentation to hospitals in developing countries. (31, 59)
1026 Appropriate triage is critical to ensuring the delivery of timely emergency care. (67, 68)

1027

1028 The Emergency Triage, Assessment and Treatment tool (ETAT) was developed in 2005 in Malawi and has
1029 been endorsed by the WHO as a paediatric triage tool across many countries, including Rwanda, Kenya
1030 and Malawi.(59, 69, 70) In South Africa, it was rolled out in Kwazulu Natal and in the Eastern Cape in
1031 2016(71). RCWMCH is a hospital in the Western Cape which provides secondary and tertiary level care
1032 to 1.5 million children <14years from all over the Western Cape, as well as quaternary care to patients
1033 from across the country. According to the computerised hospital information system (HIS) application,
1034 Clinicom®, the medical emergency unit sees approximately 30 000 children who present per annum. In
1035 2007 Red Cross War Memorial Children’s Hospital (RCWMCH) introduced ETAT, as an adapted triage
1036 tool, with a view to understanding the processes involved in the initial management of these children
1037 with respect to triage, resuscitation, management after admission and cause of death.(34) In 2013, a
1038 collaborative process merged the clinical discriminators of ETAT with the physiological measurements
1039 and a Triage Early Warning Score of another existing triage tool SATS to enhance the safety and
1040 sensitivity of the final revised tool called the Paediatric South African Triage Scale (PSATS)(72).

1041

1042 There is little data in Sub Saharan Africa highlighting the effective use of a triage tool (in this case ETAT)
1043 and the impact it has on child mortality especially in the first 24 hours. This study aims to address the
1044 gap as part of a quality improvement initiative.

1045 **Aim:**

1046 To evaluate the impact of factors associated with in hospital death of children admitted via the Medical
1047 Emergency Unit (MEU) at the Red Cross War Memorial Children's Hospital (RCWMCH).

1048

1049 **Objectives:**

1050 • To describe the in-hospital mortality rate (per 1000 admissions via MEU) of children admitted to
1051 the hospital via the medical emergency unit (MEU)

1052 • To describe the demographic characteristics, clinical presentation, and treatment plans of the
1053 demised children to the MEU

1054 • To describe the cause(s) of death

1055 • To describe factors leading to death within 24 hours of admission

1056 • To assess if timing of treatment impacts on mortality of children in the MEU

1057

1058 **Methods:**

1059 Study design

1060 Cross sectional study with an analytical component

1061 Sample size:

1062 The sample population will be the 136 children who died in 2008.

1063

1064 **Inclusion Criteria:**

1065 Children that died following treatment in the medical emergency service at RCWMCH within the study
1066 period 2008.

1067 **Exclusion Criteria**

1068 • Children that died who were admitted to the Red Cross War Memorial Children's Hospital via
1069 other areas e.g. Trauma Unit; directly into ICU from outside RCWMCH or surgical wards

1070 • Children transferred from other hospital wards other than casualty/emergency rooms.

1071 • All children who were 'Dead on Arrival' (DOA)

1072 Data collection:

1073 This is a secondary data analysis on a database compiled in 2008 at the MEU at the RCWMCH. The
1074 variables collected include:

1075

1076 Demographic data:

1077 Age, gender, weight, nutritional status, HIV status

1078

1079 Clinical data:

1080 Clinical presentation, ETAT information, vital signs, clinical diagnosis, pre-hospital and in-hospital
1081 treatment.

1082

1083 Time factors:

1084 Time of admission, time to initiation of treatment, time to ward transfer.

1085

1086 Mortality data:

1087 Cause(s) of death, time to death, time of death and place of death

1088

1089 Data Analysis:

1090 The analysis will be done using Stata13v11 and will constitute descriptive and analytical components.

1091

1092 Numerical data

1093 Summary descriptive statistics will be done on numerical data reporting either median and interquartile

1094 ranges of means with ranges as appropriate. Means/Median with interquartile ranges/ranges (IQR) of

1095 numerical data with either a paired t-test or a Wilcoxon ranksum test with 95% Confidence Intervals (CI)

1096 and p-values reported. A significance level of $p < 0.05$ will be chosen.

1097

1098 Categorical data

1099 Categorical data will be presented as proportions and chi-squared test performed between categorical

1100 data to look for association. The 95% CI and the p-values will be reported. A significance level of $p < 0.05$

1101 will be chosen.

1102

1103 **Ethical considerations:**

1104 Risk-Benefit Ratio

1105 The benefits of this study outweigh the risks.

1106 This study is a minimum risk study as the personal data of patients will not be included in the study and
1107 there will be no direct contact with the participants.

1108 The results will benefit the future management of patients presenting to the RCWMCH Medical
1109 Emergency Unit (MEU) by identifying the variables leading to mortality.

1110 Independent Review

1111 The research will be submitted for ethical review to the Human Research Ethics Committee (HREC) at
1112 the University of Cape Town.

1113 **Informed Consent**

1114 In view of the retrospective nature of the study, the Ethics committee will be approached to provide a
1115 waiver of individual consent. All information pertaining the patient's credentials are stored in a
1116 password protected computer and any other relevant data will be protected by the principal
1117 investigator to guarantee anonymity. The data sheets feature alphanumeric characters assigned to each
1118 patient to safeguard anonymity. Should the study be published in any form, all identifying information of
1119 the patients will be removed.

1120

1121 **Confidentiality:**

1122 Data will be stored in a locked office on a password protected computer, with only the principal
1123 investigator having access to the data. Study numbers (but not names / folder numbers) will be entered
1124 on an electronic database for anonymous analysis and reporting.

1125

1126 **Timetable**

1127

1128 October 2018 Protocol completion and ethics submission

1129 December 2018 - March 2019 Data analysis

1130 March-August 2019 Discussion and write up

1131 October 2019 Publication submission

1132

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- 1268

1269 **Appendix 3: Data collection sheet**

1270 Study title: Patterns of mortality in children presenting to a tertiary paediatric emergency unit

1271 in Sub-Saharan Africa: a cross sectional study

1272

1273 Biographical information

Study number				
Folder number				
Date of birth				
Gender	MALE		FEMALE	
Date of arrival				
Time of arrival (triage)				
Date of death				
Time of death				
Triage form Y/N	Yes		No	
Triage Colour: Red/Orange/Green/Nil	Red	Orange	Green	Nil
Chief presenting problem if not RED (taken from triage form where available)				
	Tiny tot (<2 months)			
	Temperature			
	Trauma/surgical			

	Severe palmar pallor (Hb<5)	
	Poisoning (overdose)	
	Pain (severe)	
	Respiratory distress	
	Restless/irritable	
	Referral (urgent)	
	Malnutrition (visible severe wasting)	
	Oedema	
	Burns	
	Some dehydration (1 of 3 signs)	
	Unable to drink/feed OR vomits everything	
	Tender swelling behind ear	
	Mouth ulcers (severe)	
	Measles(complicated)	
	Nil (No triage form)	
	Other-specify	
	Nil specified	
Chief presenting problem RED (taken from triage form where available)	RED CATEGORY SYMPTOMS	

	Airway and Breathing	
	Circulation	
	Coma	
	Convulsions	
	Dehydration and Diarrhoea	
	Other	
	Taken to emergency room (no paper triage or triage form not filled)	
Presenting illness diagnosis(ICD 10)		
Underlying diagnosis(specify)		
Other co-morbid illnesses(specify)	1.	
	2.	
	3.	
	4.	
Clinical parameters on arrival:		
Time into ER:	Time out of ER/died:	
Transfer to :	Ward:	
Temperature in °C(axillary)		

Weight (Kg):			
Airway and Breathing:			
- Spontaneous respiration	Y	N	
- Arrived intubated	Y	N	
- Has tracheostomy	Y	N	
- RR (tachypnoea for age)			
- Sats < 92% in RA (hypoxia)			
- Stridor	Y	N	
- Wheeze	Y	N	
- Arrival saturation (%)			
- Departure saturation			
- needs more than NPO ₂ to keep sats>92%	Y	N	
Circulation:			
- Cardiac arrest	Y	N	
- Admission tachycardia for age	Y	N	
- Admission bradycardia for age	Y	N	
- HR on arrival			
- HR on departure			
- CFT >2s	Y	N	
- Weak/feeble pulses	Y	N	
- Cold/mottled hands and/or feet	Y	N	

Level of Consciousness (AVPU):			
- Alert (A)			
- Lethargic(V)			
- Altered LOC			
- Coma (P, U)			
- Pupils fixed and dilated			
- Pupils pinpoint			
Convulsions:			
- Currently	Y	N	
- Immediately postictal	Y	N	
Confusion:	Y	N	
Dehydration and diarrhoea	Y	N	
- Lethargy			
- Sunken fontanelle			
- Slow skin pinch			
Laboratory results:			
pH (<7.25)			
Base deficit (>5 mmol/l)			
Lactate (>3mmol/l)			

Blood glucose <3mmol/l				
Positive blood culture(72h)	Y	N		
Organism grown				
Meningitis on CSF	Y	N		
HIV status (anytime during admission)	Exposed		Pos	Neg
				Unknown
Treatment given at referral facility:(taken from referral letter)				
Oxygen	Y	N		
Intubated	Y	N		
Plasma expander bolus	Y	N	Volume:	
CPR	Y	N		
Antibiotic	Y	N	<i>Name:</i>	
Blood glucose checked	Y	N		
Anticonvulsant	Y	N		
Other (specify)				
Child referred	Y	N		
Telephonic warning	Y		N	
Referred from where			N/A	
Transport used				

1274

Therapy/resuscitation events in ER (taken from case notes)			
Airway and Breathing			
Arrived intubated but ETT dislodged	Y	N	
Intubated in emergency room	Y	N	N/A
Given oxygen	Y	N	
Sats monitored	Y	N	
Saturation on	Admission:		Discharge:
Given nebulised adrenaline	Y	N	N/A
Given nebulised bronchodilator	Y	N	N/A
NCPAP	Y	N	N/A
IPPV	Y	N	N/A
CXR done	Y	N	N/A
Circulation			
External cardiac compressions	Y	N	N/A
HR monitored	Y	N	
HR on	Admission		Discharge
BP monitored	Y	N	
BP on	Admission		Discharge
IV line in situ on arrival	Y	N	
IV line inserted ER	Y	N	

IO line on arrival	Y	N		
IO line inserted in ER	Y	N		
Fluid bolus given(20ml/kg)	0	<20ml/kg	20-30ml/kg	31-40ml/kg
Fluid bolus>60ml/kg	Y	N		
Inotropes started	Y	N	N/A	
Disability				
Antibiotics given before arrival	Y	N		
Antibiotic given in MEU	Y	N		
Time antibiotics given(MEU)	Y	N		
Blood glucose done	Y	N		
Blood glucose <3 mmol/l	Y	N		
Other drugs: (Y/N)	Activated charcoal			
	Adrenaline			
	Anticonvulsants			
	Bronchodilators			
	IV steroids			
	Mannitol			
	Calcium			

	Oral KCL	
	Sodabic	
	Other-specify	

1275

1276

Cause of Death if known-ICD-10 (list compiled from CHIP Causes of death list v2.0 Appendix 2-Code Lists 2007)		
	Acute diarrhoea, hypovolaemic shock	
	Acute respiratory infection	
	Aspiration of gastric contents or foreign body	
	Asthma	
	Cardiomyopathy	
	Cirrhosis, portal hypertension, liver failure	
	Complex congenital heart problem	
	Drowning	
	Meningitis	
	Myocarditis	

	Paraffin inhalation	
	Poisoning	
	Septicaemia	
	Status epilepticus	
	Severe malnutrition	
	HIV related disease	
	Surgical (acute abdomen)	
	Tuberculosis	
	Other-specify	

1277